U.S. PRODUCERS' QUESTIONNAIRE

RUBBER BANDS FROM CHINA AND THAILAND

This questionnaire must be received by the Commission by October 5, 2018

See last page for filing instructions.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its antidumping and countervailing duty investigations concerning rubber bands from China and Thailand (Inv. Nos. 701-TA-598 and 600 and 731-TA-1408 and 1410 (Final)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your firm's possession (19 U.S.C. § 1333(a)).

| Address | | | | |
|---|--|---|---|--|
| City | | State | Zip Code | |
| Website | | | | |
| Has your firm produce | d rubber bands (as defined o | on next page) a | t any time since January | 1, 2015? |
| NO (Sign the | e certification below and promp | otly return only f | his page of the questionnai | re to the Commission) |
| YES (Comple | ete all parts of the questionnaire | e, and return the | entire questionnaire to the | e Commission) |
| • | e via the U.S. Internation :://dropbox.usitc.gov/oinv | | • | licking on the |
| | CER | RTIFICATION | | |
| vledge and belief and under ns of this certification I als mation provided in this que Commission on the same or s e undersigned, acknowledge eeding or other proceedings onnel (a) for developing or i | so grant consent for the Constitution of the C | Commission, a this proceedin ed in respons used: (i) by the this or a relat | nd its employees and cong in any other import-ing to this request for inflet Commission, its employed proceeding, or (b) in | fontract personnel, to use a significant personnel, to use a significant formation and throughout a syees and Coffices, and contractions, auditorial investigations, auditorial syees. |
| endix 3; or (ii) by U.S. govern ract personnel will sign appro | ment employees and contro | act personnel, | _ | _ |
| e of Authorized Official | Title of Authorized Off | ficial | Date | |
| | Phone: | | | |
| ature | | | | |

PART I.—GENERAL INFORMATION

Background.-- This proceeding was instituted in response to petitions filed on January 30, 2018 by Alliance Rubber Co., Hot Springs, Arkansas. Antidumping and countervailing duties may be assessed on the subject imports as a result of these proceedings if the Commission makes an affirmative determination of injury, threat, or material retardation, and if the U.S. Department of Commerce ("Commerce") makes an affirmative determination of dumping and subsidization. Questionnaires and other information pertinent to this proceeding are available at https://usitc.gov/investigations/701731/2018/rubber_bands_china_and_thailand/final.htm

Rubber bands covered by these investigations are bands made of vulcanized rubber, with a flat length, as actually measured end-to-end by the band lying flat, no less than ½ inch and no greater than 10 inches; with a width, which measures the dimension perpendicular to the length, actually of at least 3/64 inch and no greater than 2 inches; and a wall thickness actually from 0.020 inch to 0.125 inch. Vulcanized rubber has been chemically processed into a more durable material by the addition of sulfur or other equivalent curatives or accelerators. Subject products are included regardless of color or inclusion of printed material on the rubber band's surface, including but not limited to, rubber bands with printing on them, such as a product name, advertising, or slogan, and printed material (e.g., a tag) fastened to the rubber band by an adhesive or another temporary type of connection. The scope includes vulcanized rubber bands which are contained or otherwise exist in various forms and packages, such as, without limitation, vulcanized rubber bands included within a desk accessory set or other type of set or package, and vulcanized rubber band balls. The scope excludes products that consist of an elastomer loop and durable tag all-in-one, and bands that are being used at the time of import to fasten an imported product. Excluded from the scope of these investigations are vulcanized rubber bands of various sizes with arrow shaped rubber protrusions from the outer diameter that exceeds at the anchor point a wall thickness of 0.125 inches and where the protrusion is used to loop around, secure and lock in place. Also excluded from the scope of these investigations are yarn/fabric-covered vulcanized rubber hair bands, regardless of size. Merchandise covered by these investigations is currently classified in the Harmonized Tariff Schedule of the United States (HTSUS) under subheading 4016.99.3510. Merchandise covered by the scope may also enter under HTSUS subheading 4016.99.6050. While the HTSUS subheadings are provided for convenience and customs purposes, the written description of the scope of the investigations is dispositive.

<u>Reporting of information</u>.-- If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, and/or purchaser questionnaire), you need not respond to duplicated questions.

<u>Confidentiality</u>.--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

<u>Verification</u>.--The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

Release of information.--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

<u>D-GRIDS tool.</u>--The Commission has a tool that firms can use to move data from their own MS Excel compilation files into self-contained data tables within this MS Word questionnaire, thereby reducing the amount of cell-by-cell data entry that would be required to complete this form. This tool is a macroenabled MS Excel file available for download from the Commission's generic questionnaires webpage (https://www.usitc.gov/trade_remedy/question.htm) called the "D-GRIDs tool." Use of this tool to help your firm complete this questionnaire is *optional*. Firms opting to use the D-GRIDs tool to populate their data into this questionnaire will need the D-GRIDs specification sheet PDF file specific to this proceeding (available on the case page which is linked under the "Background" above) which includes the necessary references relating to this questionnaire, as well as the macro-enable MS Excel D-GRIDs tool itself from the generic questionnaires page. More detailed instructions on how to use the D-GRIDs tool are available within the D-GRIDs tool itself.

I-1a. OMB statistics.--Please report below the actual number of hours required and the cost to your firm of completing this questionnaire.

| Hours | Dollars |
|-------|---------|
| | |

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 50 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please attach such comments to your response or send to the Office of Investigations, USITC, 500 E St. SW, Washington, DC 20436.

| U.S. Pro | oducers' Questionnai | re - Rubber B | ands (Final) | | | Page | <u>.</u> 4 |
|----------------|--|---|---|---|--|--|------------|
| I-1b. | makes an affirmative release of your cont number, email addre | e final detern act informati ess) appearin nd Agricultur | nination in this on (company i g on the front e, as applicab | s proname name page le, so | ceeding, do you e, address, cont e of this questic that your firm | Trade Commission (USITC) u consent to the USITC's cact person, telephone onnaire to the Departments and its workers can be mad m? | |
| | Yes | No | | | | | |
| I-2. | establishment cover stock exchange and establishment shou "Establishment"Ea | ed by this qu trading symb Id combine the | estionnaire. If ol in the footr he data for all a firm involve | youi note t l esta d in t | firm is publicly to the table. Fir blishments into the production | ef description of each y traded, please specify the rms operating more than on o a single report. of rubber bands, including ysically separate from) such | |
| | Establishments covered ¹ | City, | State | ; | Zip (5 digit) | Description | |
| | 1 | | | | | | |
| | 2 | | | | | | |
| | 3 | | | | | | |
| | 4 | | | | | | |
| | 5 | | | | | | |
| | 6 | | | | | | |
| | ¹ Additional discu | ussion on estal | olishments cons | solida | ted in this quest | ionnaire: | |
| I-3a. I-3b. | Petitioner statusIs petitioning entity? No Ye Petition supportD | es | | | | a member firm of the | |
| | Country | , | Support | | Oppose | Take no position |] |
| | China (antidu | | | | | | |
| | China (counterva | iling duty) | | | | | 1 |

Thailand (antidumping) Thailand (countervailing duty)

| Producers' Que | estionnaire - Rubber | Bands (Final) | Page 5 |
|------------------|---|---|-------------------------------|
| <u>Ownership</u> | Is your firm owned | l, in whole or in part, by any other fir | m? |
| ☐ No | YesList the | following information. | |
| Firm name | | Address | Extent of ownership (percent) |
| Fillitianie | | Address | (percent) |
| | | | |
| | | | |
| foreign, tha | at are engaged in important are engaged in ex | Does your firm have any related firm porting rubber bands from China and porting rubber bands from China and following information. | or Thailand into the United |
| Firm name | | Country | Affiliation |
| | | | |
| | | | |
| | | | |
| - | the production of ru | firm have any related firms, either do bber bands? following information. | omestic or foreign, that are |
| Firm name | | Country | Affiliation |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

PART II.--TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from **Christopher W. Robinson** (202-205-2542, chris.robinson@usitc.gov). Supply all data requested on a <u>calendar-year</u> basis.

| II-1. | | | e individual and the manner by which ing the confidential information submitted |
|-------|-----------|-------------|---|
| | Name | | 7 |
| | Title | | |
| | Email | | |
| | Telephone | | |

II-2. <u>Changes in operations.</u>—Please indicate whether your firm has experienced any of the following changes in relation to the production of rubber bands since January 1, 2015.

| (chec | k as many as appropriate) | (If checked, please describe; leave blank if not applicable) |
|-------|--|--|
| | plant openings | |
| | plant closings | |
| | relocations | |
| | expansions | |
| | acquisitions | |
| | consolidations | |
| | prolonged shutdowns or production curtailments | |
| | revised labor agreements | |
| | other (e.g., technology) | |

| II-3. | <u>Production constraints</u> Please describe the constraint(s) that set the limit(s) on your firm's production capacity. |
|-------|---|
| | |

II-4. **Tolling**.--Since January 1, 2015, has your firm been involved in a toll agreement regarding the production of rubber bands?

"Toll agreement"--Agreement between two firms whereby the first firm furnishes the raw materials and the second firm uses the raw materials to produce a product that it then returns to the first firm with a charge for processing costs, overhead, etc.

| No | Yes | If yes Please describe the toll arrangement(s) and name the firm(s) involved. |
|----|-----|---|
| | | |

| U.S. Producers' Questionnaire - Rubber Bands (Final) |
|--|
|--|

| II-5. | Foreign | trade | zones |
|-------|----------------|-------|-------|
| | | | |

(a) <u>Firm's FTZ operations</u>.--Does your firm produce rubber bands in and/or admit rubber bands into a foreign trade zone (FTZ)?

"Foreign trade zone" is a designated location in the United States where firms utilize special procedures that allow delayed or reduced customs duty payments on foreign merchandise. A foreign trade zone must be designed as such pursuant to the rules and procedures set forth in the Foreign-Trade Zones Act.

| No | Yes | If yes Describe the nature of your firms operations in FTZs and identify the specific FTZ site(s). |
|----|-----|--|
| | | |

(b) Other firms' FTZ operations.--To your knowledge, do any firms in the United States import rubber bands into a foreign trade zone (FTZ) for use in distribution of rubber bands and/or the production of downstream articles?

| No | Yes | If yesIdentify the firms and the FTZs. |
|----|-----|--|
| | | |

II-6. <u>Importer</u>.--Since January 1, 2015, has your firm imported rubber bands?

"Importer" – The person or firm primarily liable for the payment of any duties on the merchandise, or an authorized agent acting on his behalf. The importer may be the consignee, or the importer of record.

| No | Yes | |
|----|-----|---|
| | | If yes <u>COMPLETE AND RETURN A U.S. IMPORTERS' QUESTIONNAIRE</u> |

- II-7. <u>Production, shipment, and inventory data of in-scope rubber bands</u>.--Report your firm's production capacity, production, shipments, and inventories related to the production of <u>inscope rubber bands</u> in its U.S. establishment(s) during the specified periods.
 - "Average production capacity" or "capacity" The level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup; and a typical or representative product mix).
 - "**Production**" All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.
 - "Non-retail commercial U.S. shipments" Shipments made within the United States as a result of an arm's length commercial transaction in the ordinary course of business. Report <u>net values</u> (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment. For the purposes of this questionnaire, non-retail commercial U.S. shipments should include sales to distributors, sales to retailers, and sales other end users, but should not include sales made at the retail level of trade by your firm to individual customers (including to other businesses).
 - "Retail commercial U.S. shipments" Shipments made within the United States as a result of an arm's length commercial transaction in the ordinary course of business. Report net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment. For the purposes of this questionnaire, retail commercial U.S. shipments should only include sales at the retail level of trade by your firm to individual customers (including to other businesses).
 - "Internal consumption" -- Product consumed internally by your firm. Such transactions should be valued at fair market value of the bulk in-scope rubber bands (i.e., not the retail price).
 - "Transfers to related firms" Shipments made to related domestic firms. Such transactions are valued at fair market value.
 - "Related firm" –A firm that your firm solely or jointly owns, manages, or otherwise controls.
 - **"Export shipments"** Shipments to destinations outside the United States, including shipments to related firms.
 - "Inventories" Finished goods inventory, not raw materials or work-in-progress.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.

II-7. Production, shipment, and inventory data of in-scope rubber bands.--Continued

| Quantity (in pounds) and value (in dollars) | | | | | | | |
|---|------|----------------|------|--------|---------|--|--|
| | | Calendar years | | Januai | ry-June | | |
| ltem | 2015 | 2016 | 2017 | 2017 | 2018 | | |
| Average production capacity ¹ (quantity) (A) | | | | | | | |
| Beginning-of-period inventories (quantity) (B) | | | | | | | |
| Production (quantity) (C) | | | | | | | |
| U.S. shipments: Non-retail commercial shipments: Quantity (D) | | | | | | | |
| Value (E) | | | | | | | |
| Retail commercial shipments: Quantity (F) | | | | | | | |
| Value (G) | | | | | | | |
| Internal consumption: ² Quantity (H) | | | | | | | |
| Value² (I) | | | | | | | |
| Transfers to related firms: ² Quantity (J) | | | | | | | |
| Value ² (K) | | | | | | | |
| Export shipments: ³ Quantity (L) | | | | | | | |
| Value (M) | | | | | | | |
| End-of-period inventories (quantity) (N) | | | | | | | |
| The production capacity reported is based on operating hours per week, weeks per year. Please describe the methodology used to calculate production capacity, and explain any changes in reported capacity Internal consumption must be valued at fair market value. If your firm uses a different basis for valuing these transactions in your records, please specify that basis (e.g., cost, cost plus, etc.): However, the data provided above in this table should be based on fair market value. Identify your firm's principal export markets: | | | | | | | |

<u>RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY.</u>--Generally, the data reported for the end-of-period inventories (i.e., line N) should be equal to the beginning-of-period inventories (i.e., line B), plus production (i.e., line C), less total shipments (i.e., lines D, F, H, J, and L). Please ensure that any differences are not due to data entry errors in completing this form, but rather reflect your firm's actual records; and, also provide explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

| | Calendar years | | | January-June | | | | | | |
|--|----------------|------|------|--------------|------|--|--|--|--|--|
| Reconciliation | 2015 | 2016 | 2017 | 2017 | 2018 | | | | | |
| B + C - D - F - H - J - L - N = should | | | | | | | | | | |
| equal zero ("0") or provide an | | | | | | | | | | |
| explanation. ¹ | 0 | 0 | 0 | 0 | 0 | | | | | |
| ¹ Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: | | | | | | | | | | |

II-8. <u>Channels of distribution</u>.--Report your firm's U.S. shipments (i.e., inclusive of non-retail commercial U.S. shipments, retail commercial U.S. shipments, internal consumption, and transfers to related firms) of in-scope rubber bands by channel of distribution.

| Quantity (in pounds) | | | | | | | |
|---|------|----------------|--------------|------|------|--|--|
| | | Calendar years | January-June | | | | |
| Item | 2015 | 2016 | 2017 | 2017 | 2018 | | |
| Channels of distribution: U.S. shipments: To distributors (O) | | | | | | | |
| To and/or by retailers and office supply stores: branded¹ (P) | | | | | | | |
| To and/or by retailers and office supply stores: private label¹ (Q) | | | | | | | |
| To end users: agricultural/floral/grocery (R) | | | | | | | |
| To end users: industrial/hardware/construction (S) | | | | | | | |
| To end users: newspaper/packaging/stationery ² (T) | | | | | | | |
| To end users: all other end users ³ (U) | | | | | | | |

¹Include stationery stores, such as Staples, Office Depot, etc., in retail category.

<u>RECONCILIATION OF CHANNELS</u>.--Please ensure that the quantities reported for channels of distribution (i.e., lines O through U) in each time period equal the quantity reported for U.S. shipments (i.e., lines D, F, H, and J) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

| | | Calendar years | January-June | | |
|---|------|----------------|--------------|------|------|
| Reconciliation | 2015 | 2016 | 2017 | 2017 | 2018 |
| O + P + Q + R + S + T + U - D - F - H - | | | | | |
| J = zero ("0"), if not revise. | 0 | 0 | 0 | 0 | 0 |

²Include end users, such as government or post offices, that purchase rubber bands for stationery use in end users category.

³ Please specify the other types of end users:_____

II-9. <u>U.S. shipments by rubber content</u>.--Report your firm's U.S. shipments (i.e., inclusive of non-retail commercial U.S. shipments, retail commercial U.S. shipments, internal consumption, and transfers to related firms) of in-scope rubber bands produced by your firm by rubber content.

| Quantity (in pounds) | | | | | | | |
|---|-----------------------|--|--|--|--|--|--|
| Item | Calendar year 2017 | | | | | | |
| U.S. shipments | | | | | | | |
| In-scope rubber bands with rubber content of: | | | | | | | |
| <50% (V) | | | | | | | |
| >=50% and <65% (W) | | | | | | | |
| >=65% and <80% (X) | | | | | | | |
| >=80% and <95% (Y) | | | | | | | |
| >95% (Z) | | | | | | | |
| In-scope synthetic bands (AA) | | | | | | | |

<u>RECONCILIATION OF U.S. SHIPMENTS</u>.--Please ensure that the quantity reported for U.S. shipments by rubber content (i.e., lines V through AA) in 2017 equal the quantity reported for U.S. shipments (i.e., lines D, F, H, and J) in 2017 in question II-7. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

| Reconciliation | Calendar year 2017 |
|--|-----------------------|
| Quantity: $V + W + X + Y + Z + AA - D - F - H - J = zero$ | |
| ("0"), if not revise. | 0 |

II-10. <u>U.S. shipments by product size</u>.--Report your firm's U.S. shipments (i.e., inclusive of non-retail commercial U.S. shipments, retail commercial U.S. shipments, internal consumption, and transfers to related firms) of in-scope rubber bands produced by your firm by product size.

| Quantity (in pounds) | | | | | | |
|---|-----------------------|--|--|--|--|--|
| Item | Calendar year 2017 | | | | | |
| U.S. shipments Rubber bands balls (AB) | | | | | | |
| Other than rubber band balls: Sizes 8 through 19 and 117-A ¹ (AC) | | | | | | |
| Sizes 27 through 33 and 117-B ² (AD) | | | | | | |
| All other sizes (AE) ³ | | | | | | |

 $^{^1}$ Sizes 8 through 19 and 117-A, based on common industry standards, have a width of 1/16". Lengths are as follows: size 8-7/8", size 10-1 ¼", size 12-1 ¾", size 14-2", size 16-2 ½", size 18-3", size 19-3 ½", and size 117-A-7".

<u>RECONCILIATION OF U.S. SHIPMENTS.</u>--Please ensure that the quantities and values reported for US shipments by product type (i.e., lines AB through AE) in 2017 equal the quantity and value reported for U.S. shipments (i.e., lines D through K) in 2017 in question II-7. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

| Reconciliation | Calendar year 2017 |
|--|-----------------------|
| Quantity: $AB + AC + AD + AE - D - F - H - J = zero$ ("0"), if | |
| not revise. | 0 |

 $^{^2}$ Sizes 27 through 33 and 117-B, based on common industry standards, have a width of 1/8". Lengths are as follows: size 27—1 ¼", size 30—2", size 31—2 ½", size 32—3", size 33—3 ½", and size 117-B—7". 3 Please specify the other sizes:

Explanation of trends:

II-11. <u>Employment data for in-scope rubber bands</u>.--Report your firm's employment-related data related to the production of <u>in-scope rubber bands</u> and provide an explanation for any trends in these data.

"Production and Related Workers" (PRWs) includes working supervisors and all nonsupervisory workers (including group leaders and trainees) engaged in fabricating, processing, assembling, inspecting, receiving, storage, handling, packing, warehousing, shipping, trucking, hauling, maintenance, repair, janitorial and guard services, product development, auxiliary production for plant's own use (e.g., power plant), recordkeeping, and other services closely associated with the above production operations.

Average number employed may be computed by adding the number of employees, both full time and part time, for the 12 pay periods ending closest to the 15th of the month and divide that total by 12. For the January to June periods, calculate similarly and divide by 6.

If your firm had the same number of PRWs in all calendar years and had not experienced any changes in PRWs in the most recent interim period, you would have the same number of PRWs for the interim periods, regardless of whether the interim periods are Jan-Mar (Q1), Jan-June (Q1+Q2), or Jan-Sept (Q1+Q2+Q3)."

"Hours worked" includes time paid for sick leave, holidays, and vacation time. Include overtime hours actually worked; do not convert overtime pay to its equivalent in straight time hours.

"Wages paid" – Total wages paid before deductions of any kind (e.g., withholding taxes, old-age and unemployment insurance, group insurance, union dues, bonds, etc.). Include wages paid directly by your firm for overtime, holidays, vacations, and sick leave.

| | Calendar years | | January-June | | |
|---------------------------------|----------------|------|--------------|------|------|
| Item | 2015 | 2016 | 2017 | 2017 | 2018 |
| Average number of PRWs (number) | | | | | |
| Hours worked by PRWs (hours) | | | | | |
| Wages paid to PRWs (dollars) | | | | | |

| the wh ma | ated firmsIf your firm reported transfers to related firms in question II-7, please indicated nature of the relationship between your firm and the related firms (e.g., joint venture, colly owned subsidiary), whether the transfers were priced at market value or by a non-rivet formula, whether your firm retained marketing rights to all transfers, and whether the ated firms also processed inputs from sources other than your firm. |
|-----------------|--|
|-----------------|--|

| U.S. Pro | ducers' Qu | uestionnai | ire - F | Rubber Bands | (Final) | | | Page 15 | | | |
|--|--|--------------|----------------|------------------|-------------------|--------------|---|----------------------------|--|--|--|
| II-13. | I-13. Purchases of in-scope rubber bandsOther than imports, has your firm otherwise purchased in-scope rubber bands since January 1, 2015? | | | | | | | | | | |
| | "Purchase" – A transaction to buy product from a U.S. corporate entity such as another U.S. producer, a U.S. distributor, or a U.S. firm that has directly imported the product. | | | | | | | | | | |
| | "Import" –A transaction to buy from a foreign supplier where your firm is the importer of record. | | | | | | | | | | |
| | If yes Report such purchases below and explain the reasons for No Yes firms' purchases: | | | | | | | ons for your | | | |
| | | | | | | | | | | | |
| | | | | (Quai | ntity in pound | ds) | 1 | | | | |
| | | | | С | alendar years | 5 T | January-June | | | | |
| | Item | | | 2015 | 2016 | 2017 | 2017 | 2018 | | | |
| Purchases from U.S. importers¹ of in-scope rubber bands from— China | | | | | | | | | | | |
| | and—Lian g/Hah Shur | _ | | | | | | | | | |
| Thail | and—Oth | er Thai firr | ms | | | | | | | | |
| | ther source | | | | | | | | | | |
| Purcha produc | ses from d ers² | lomestic | | | | | | | | | |
| Purcha source: | ses from o s ² | other | | | | | | | | | |
| supplier | s differ by | source, plea | ase id | lentify the sour | ce for each liste | ed supplier: | s product. If you ur firm purchase | | | | |
| II-14. | - | | | | | • | nported in-sco e 2) from any co | pe rubber bands ountry? | | | |

| No | Yes | |
|----|-----|---|
| | | If yes <u>COMPLETE AND RETURN A U.S. IMPORTERS' QUESTIONNAIRE</u> |

II-15. Other explanations.--If your firm would like to further explain a response to a question in Part II that did not provide a narrative box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

| J.S. Pr | oducers' Questi | onnaire - Rubber Bands (Final) | Page 16 |
|---|--|---|---|
| | | | |
| PART III.—FINANCIAL INFORMATION Address questions on this part of the questionnaire to Samuel Varela-Molina (202-205-3429, samuel.Varela-Molina@usitc.gov). III-1. Contact information.—Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part III. Name | | | |
| | • | · | 5-3429, |
| II-1. | Commission st | · | • |
| | Name | | |
| | | | |
| | | | |
| | Telephone | | |
| I-2. | Accounting sys | stemBriefly describe your firm's financial accounting system. | |
| | A. | If your firm's fiscal year changed during the data-collection p | eriod, explain |
| | | | |
| | | · · · · · · · · · · · · · · · · · · · | heir financial data |
| | B.1. | | , , |
| | 2. | | ıbber bands: |
| | 3. | How often did your firm (or parent company) prepare financi (including annual reports, 10Ks)? Please check relevant item | s b <u>elo</u> w. |
| | 4. | ☐ Monthly, ☐ quarterly, ☐ semi-annually, ☐ annua Accounting basis: ☐ GAAP, ☐ cash, ☐ tax, or ☐ ot | lly |
| | used in regardi submit profit-a | the preparation of the financial data, as Commission staff may contoing questions on the financial data. The Commission may also request copies of the supporting documents/records (financial statements, in ind-loss statements for the division or product group that includes in- | act your firm t that your company ocluding internal |
| II-3. | Cost accounting cost, job order | ng systemBriefly describe your firm's cost accounting system cost, etc.). | (e.g., standard |
| | | | |

| U.S. Producers | ' Questionnaire - Rubber Bands (Final) | |
|----------------|--|--|
|----------------|--|--|

| Product listing Please list the products y produced in-scope rubber bands, and property products in your firm's most recent fiscal y | vide the share of net sales accounted | • |
|--|---------------------------------------|---------|
| Products | Share of sal | es |
| In-scope rubber bands | | % |
| | | % |
| | | % |
| | | % |
| | | % |
| nputs from related suppliersDoes your any services) used in the production of in- nclusive of transactions between related same company)? | scope rubber bands from any related | supplie |
| | | _ |
| YesContinue to question III-7 | NoContinue to question III-9 | a. |

| 115 | Producers' | Questionnaire | - Ruhher | Rands | (Fina | ľ |
|------|------------|---------------|----------|--------|-------|---|
| U.S. | ribuuceis | Questionnane | - nubbei | Dallus | lгіна | |

| III-7. | Inputs from related suppliers detailedPlease identify the inputs used in the production of in- |
|--------|---|
| | scope rubber bands that your firm purchases from related suppliers and that are reflected in |
| | question III-9a. For "Share of total COGS" please report this information by relevant input on |
| | the basis of your most recently completed fiscal year. For "Input valuation" please describe the |
| | basis, as recorded in your company's own accounting system, of the purchase cost from the |
| | related supplier; e.g., the related supplier's actual cost, cost plus, negotiated transfer price to |
| | approximate fair market value. |

| | (percent) |
|--------------------------------|--------------------------------|
| | |
| | |
| | |
| ed in the firm's accounting bo | oks and records |
| _ _ | ed in the firm's accounting bo |

III-8. <u>Inputs purchased from related suppliers.</u>--Please confirm that the inputs purchased from related suppliers, as identified in III-7, were reported in III-9a (financial results on in-scope rubber bands) in a manner consistent with your firm's accounting books and records.

| Yes | If no In the space below, please report the valuation basis of inputs purchased from related suppliers as reported in question III-9a.: |
|-----|---|
| | |

III-9a. Operations on in-scope rubber bands.--Report the revenue and related cost information requested below on the in-scope rubber bands operations of your firm's U.S. establishment(s). Do not report resales of products. Note that internal consumption and transfers to related firms must be valued at fair market value. Input purchases from related suppliers should be consistent with and based on information in the firm's accounting books and records. Provide data for your firm's three most recently completed calendar years, and for the specified interim periods.

| Quan | tity (in pounds) and | d value (in dolla | rs) | | | |
|--|----------------------|-------------------|------|----------|-------|--|
| | C | alendar years | | January- | -June | |
| ltem | 2015 | 2016 | 2017 | 2017 | 2018 | |
| Net sales quantities: ² Commercial sales ("CS") | | | | | | |
| Internal consumption ("IC") | | | | | | |
| Transfers to related firms ("Transfers") | | | | | | |
| Total net sales quantities | 0 | 0 | 0 | 0 | С | |
| Net sales values: ² Commercial sales | | | | | | |
| Internal consumption | | | | | | |
| Transfers to related firms | | | | | | |
| Total net sales values | 0 | 0 | 0 | 0 | 0 | |
| Cost of goods sold (COGS): ³ Raw materials | | | | | | |
| Direct labor | | | | | | |
| Other factory costs | | | | | | |
| Total COGS | 0 | 0 | 0 | 0 | O | |
| Gross profit or (loss) | 0 | 0 | 0 | 0 | O | |
| Selling, general, and administrative (SG&A) expenses: Selling expenses | | | | | | |
| General and administrative expenses | | | | | | |
| Total SG&A expenses | 0 | 0 | 0 | 0 | 0 | |
| Operating income (loss) | 0 | 0 | 0 | 0 | 0 | |
| Other expenses and income: Interest expense | | | | | | |
| All other expense items | | | | | | |
| All other income items | | | | | | |
| Net income or (loss) before income taxes | 0 | 0 | 0 | 0 | С | |
| Depreciation/amortization included above | | | | | | |

¹ Include only sales (whether <u>domestic or export</u>) and costs related to your <u>U.S. manufacturing operations</u>.

Note -- The table above contains calculations that will appear when you have entered data in the MS Word form fields.

² Less discounts, returns, allowances, and prepaid freight. The quantities and values should correspond to the shipment quantities and values reported in Part II of this questionnaire.

³ COGS (whether for domestic or export sales) should include costs associated with CS, IC, and Transfers.

III-9b. Raw materials for in-scope rubber bands.--Please indicate the share of total raw material costs reported in III-9a in 2017 for the following raw material inputs:

| Input costs | Share of 2017 total raw material costs (percent) |
|---|--|
| Natural rubber | % |
| Synthetic rubber | % |
| Other input costs (e.g., pigments, dyes) ¹ | % |
| Total (should sum to 100 percent) | 0.0 % |

¹ Please indicate any notable "other" raw materials not expressly identified above and provide the share of the 2017 total raw material costs that they account for:

III-9c. <u>Financial data reconciliation</u>.--The calculable line items from question III-9a (i.e., total net sales quantities and values, total COGS, gross profit (or loss), total SG&A, and net income (or loss)) have been calculated from the data submitted in the other line items. Do the calculated fields return the correct data according to your firm's financial records ignoring non-material differences that may arise due to rounding?

| Yes | No | If no If the calculated fields do not show the correct data, please double check the feeder data for data entry errors and revise. Also, check signs accorded to the post operating income line items; the two expense line items should report positive numbers (i.e., expenses are positive and incomes or reversals are negativeinstances of the latter should be rare in those lines) while the income line item also in most instances should have its value be a positive number (i.e., income is positive, expenses or reversals are negative). If after reviewing and potentially revising the feeder data your firm has provided, the differences between your records and the calculated fields persist please identify and discuss the differences in the space below. |
|-----|----|---|
| | | |

III-10. Nonrecurring items (charges and gains) included in the subject product financial results.--For each annual and interim period for which financial results are reported in question III-9a, please specify all material (significant) nonrecurring items (charges and gains) in the schedule below, the specific question III-9a line item where the nonrecurring items are included, a brief description of the relevant nonrecurring items, and the associated values (in \$1,000), as reflected in question III-9a; i.e., if an aggregate nonrecurring item has been allocated to question III-9a, only the allocated value amount included in question III-9a should be reported in the schedule below. Note: The Commission's objective here is to gather information only on material (significant) nonrecurring items which impacted the reported financial results of the subject product in question III-9a.

| | | Calendar years | | | y-June |
|---------------------|------|----------------|--------------------|------|--------|
| | 2015 | 2016 | 2017 | 2017 | 2018 |
| Item | | | Value (in dollars) | | |
| Nonrecurring item 1 | | | | | |
| Nonrecurring item 2 | | | | | |
| Nonrecurring item 3 | | | | | |
| Nonrecurring item 4 | | | | | |
| Nonrecurring item 5 | | | | | |
| Nonrecurring item 6 | | | | | |
| Nonrecurring item 7 | | | | | |

Nonrecurring item: In this table please provide a brief description of each nonrecurring item reported above and indicate the specific line item in table III-9a where the nonrecurring item is classified.

| | Description of the nonrecurring item | Income statement classification of the nonrecurring item |
|---------------------|--------------------------------------|--|
| Nonrecurring item 1 | | |
| Nonrecurring item 2 | | |
| Nonrecurring item 3 | | |
| Nonrecurring item 4 | | |
| Nonrecurring item 5 | | |
| Nonrecurring item 6 | | |
| Nonrecurring item 7 | | |

| III-11. | Classification of identified nonrecurring items (charges and gains) in the accounting books and |
|---------|---|
| | <u>records of the company</u> If non-recurring items were reported in question III-10 above, please |
| | identify where your company recorded these items in your accounting books and records in the normal course of business; i.e., just as responses to question III-10 identify where these items |
| | are reported in question III-9a. |

III-12. <u>Asset values</u>.--Report the <u>total</u> assets (i.e., both current and long-term assets) associated with the production, warehousing, and sale of in-scope rubber bands. If your firm does not maintain some or all of the specific asset information necessary to calculate total assets for in-scope rubber bands in the normal course of business, please estimate this information based upon a method (such as production, sales, or costs) that is consistent with relevant cost allocations in question III-9a. Provide data as of the end of your firm's three most recently completed fiscal years.

Note: Total assets should reflect <u>net assets</u> after any accumulated depreciation and allowances deducted.

Total assets should be allocated to the subject products if these assets are also related to other products. Please provide a brief explanation if there are any substantial changes in total asset value during the period; e.g., due to asset write-offs, revaluation, and major purchases.

| Value (in dollars) | | | |
|-----------------------|----------------|------|------|
| | Calendar years | | |
| Item | 2015 | 2016 | 2017 |
| Total assets (net) 1 | | | |
| ¹ Describe | | | |

III-13. Capital expenditures and research and development expenses.—Report your firm's capital expenditures and research and development expenses for in-scope rubber bands. Provide data for your firm's three most recently completed fiscal years, and for the specified interim periods.

| Value (in dollars) | | | | | |
|--|----------------------------|------|--------|------|------|
| | Calendar years January-Jun | | y-June | | |
| ltem | 2015 | 2016 | 2017 | 2017 | 2018 |
| Capital expenditures ¹ | | | | | |
| Research and development expenses ² | | | | | |

¹ Please describe the nature, focus, and significance of your firm's capital expenditures on the subject product.

² Please describe the nature, focus, and significance of your firm's R&D expenses related to subject product.

III-14. <u>Data consistency and reconciliation</u>.--Please note the quantities and values reported in question III-9a should reconcile with the data reported in question II-7 (including export shipments).

<u>RECONCILIATION OF TRADE VS FINANCIAL DATA</u>.--Please ensure that the quantities and values reported for total shipments in part II equal the quantities and values reported for total net sales in part III of this questionnaire in each time period. If the calculated fields below return values other than zero (i.e., "0"), please revise the data prior to submitting to the Commission.

| | | Calendar years | | Januar | y-June |
|---|------|----------------|------|--------|--------|
| Reconciliation | 2015 | 2016 | 2017 | 2017 | 2018 |
| Quantity: Trade data from question II-7 (lines D, F, H, and J) less financial total net sales quantity data from question III-9a, = zero ("0"). | 0 | 0 | 0 | 0 | 0 |
| Value: Trade data from question II-7 (lines E, G, I, and K) less financial total net sales value data from question III-9a, = zero ("0"). | 0 | 0 | 0 | 0 | 0 |

Do these data in question III-9a reconcile with data in question II-7?

| Yes | No | If no, please explain. |
|-----|----|------------------------|
| | | |

If your responses to any of the items in questions III-15, III-16, and III-17 differ by country, please describe these differences and, as applicable, indicate which country or countries your response refers to in the relevant form fields.

III-15. <u>Effects of imports on investment</u>.--Since January 1, 2015, has your firm experienced any actual negative effects on its return on investment or the scale of capital investments as a result of imports of in-scope rubber bands from China and Thailand?

| No | Yes | | |
|----|--------|--|--|
| | | If yes, my firm has experie | nced actual negative effects as follows. |
| | | | |
| | (checi | k as many as appropriate) | (please describe) |
| | | Cancellation, postponement, or rejection of expansion projects | |
| | | Denial or rejection of investment proposal | |
| | | Reduction in the size of capital investments | |
| | | Return on specific investments negatively impacted | |
| | | Other | |

| III-16. | Effects of imports on growth and development Since January 1, 2015, has your firm |
|---------|--|
| | experienced any actual negative effects on its growth, ability to raise capital, or existing |
| | development and production efforts (including efforts to develop a derivative or more advanced |
| | version of the product) as a result of imports of in-scope rubber bands from China and Thailand? |

| | - | | · |
|----|-------|------------------------------|--|
| No | Yes | | |
| | | If yes, my firm has experien | nced actual negative effects as follows. |
| | | | |
| | (chec | k as many as appropriate) | (please describe) |
| | | Rejection of bank loans | |
| | | | |
| | | Lowering of credit rating | |
| | | | |
| | | Problem related to the | |
| | | issue of stocks or bonds | |
| | | Ability to service debt | |
| | | | |
| | | Other | |

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|----------------|-----------------|--------|----------|-------|
|----------------|-----------------|--------|----------|-------|

| III-17. | Anticipated effects of importsDoes your firm anticipate any negative effects due to imports of |
|---------|--|
| | in-scope rubber bands from China and Thailand? |

| No | Yes | If yes, my firm anticipates negative effects as follows: |
|----|-----|--|
| | | |

| III-18. | Other explanationsIf your firm would like to further explain a response to a question in Part III that did not provide a narrative box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire. |
|---------|--|
| | |

PART IV.--PRICING AND MARKET FACTORS

Further information on this part of the questionnaire can be obtained from Amelia Preece (202-205-3250, amelia.preece@usitc.gov).

IV-1. <u>Contact information</u>.--Please identify the individual that Commission staff may contact regarding the confidential information submitted in part IV.

| Name | |
|-----------|--|
| Title | |
| Email | |
| Telephone | |

PRICE DATA

- IV-2. This question requests quarterly quantity and value data for your firm's <u>non-retail</u> commercial shipments to <u>unrelated</u> U.S. firms since January 1, 2015 of the following products produced by your firm.
 - **Product 1.--**Size #32 rubber bands (3" x 1/8"), with a natural rubber/latex content >=65% and <80%, sold in 1 lb. poly bags.
 - <u>Product 2</u>.--Size #33 rubber bands (3 1/2" x 1/8"), with a natural rubber/latex content >=65% and <80%, sold in 1 lb. poly bags.
 - <u>Product 3.--Size #64 rubber bands (3 1/2" x 1/4")</u>, with a natural rubber/latex content >=65% and <80%, sold in 1 lb. poly bags.</p>
 - **Product 4.**--Size #18 rubber bands (3" x 1/16"), newspaper size, with a natural rubber/latex content >=65% and <80%, sold in 1 lb. poly bags.
 - **Product 5.**--Size #14 rubber bands (2" x 1/16"), agricultural size, with a natural rubber/latex content >=65% and <80%, sold in 1 lb. poly bags.
 - <u>Product 6.--</u> Size #18 rubber bands (3" x 1/16"), with a natural rubber/latex content >=65% and <80%, sold in 3.5 ounce bags.

Please note that values should be <u>f.o.b.</u>, <u>U.S.</u> <u>point of shipment</u> and should not include U.S.-inland transportation costs. Values should reflect the *final net* amount paid to your firm (i.e., should be net of all deductions for discounts or rebates). Please do not include retail sales.

IV-2 (a). During January 2015-June 2018, did your firm produce and sell at the wholesale or non-retail level to <u>unrelated</u> U.S. firms any of the above listed products (or any products that were competitive with these products)?

| YesPlease complete the following pricing data table(s) as appropriate. |
|--|
| NoSkip to question IV-3. |

IV-2(b). <u>Price data</u>.--Report below the quarterly price data¹ for pricing products² produced and sold by your firm (not including retail sales).

Report data in pounds and dollars.

| | | (Quantity in po | ounds, value in dolla | ırs) | | |
|--|-------------------------------|---------------------|-----------------------|------|-----------|-------|
| | Product 1 | | Product 2 | | Product 3 | |
| Period of shipment | Quantity Value | | Quantity Value | | Quantity | Value |
| 2015: | | | | | | |
| January-March | | | | | | |
| April-June | | | | | | |
| July-September | | | | | | |
| October-December | | | | | | |
| 2016: | | | | | | |
| January-March | | | | | | |
| April-June | | | | | | |
| July-September | | | | | | |
| October-December | | | | | | |
| 2017: | | | | | | |
| January-March | | | | | | |
| April-June | | | | | | |
| July-September | | | | | | |
| October-December | | | | | | |
| 2018: | | | | | | |
| January-March | | | | | | |
| April-June | | | | | | |
| ¹ Net values (i.e., gross your firm's U.S. point of ship ² Pricing product defini NoteIf your firm's prince | pment. itions are provided | d on the first page | of Part IV. | - ' | | • " |
| provide a description of you | | | | | | |
| Product 1: | | | | | | |

Table continued on next page.

Product 2: Product 3:

IV-2(b). Price data.—Continued.

Report data in pounds and dollars.

| | | (Quantity in po | unds, value in dolla | ars) | | |
|---|--------------|-----------------|----------------------|----------------------|--------------------|-------------|
| | Prod | uct 4 | Prod | uct 5 | Product 6 | |
| Period of shipment | Quantity | Value | Quantity | Value | Quantity | Value |
| 2015: | | | | | | |
| January-March | | | | | | |
| April-June | | | | | | |
| July-September | | | | | | |
| October-December | | | | | | |
| 2016: January-March | | | | | | |
| April-June | | | | | | |
| July-September | | | | | | |
| October-December | | | | | | |
| 2017: January-March | | | | | | |
| April-June | | | | | | |
| July-September | | | | | | |
| October-December | | | | | | |
| 2018: January-March | | | | | | |
| April-June | | | | | | |
| ¹ Net values (i.e., gross f.o.b. your firm's U.S. point ² Pricing product defin | of shipment. | | | epaid freight, and t | he value of returr | ned goods), |
| Note -If your firm's pr provide a description of you | | | | | | d product, |
| Product 4: | | | | | | |
| Product 5: | | | | | | |
| Product 6: | | | | | | |
| | | | | | | |

IV-2 (c). <u>Price data checklist.</u>--Please check that the pricing data in question IV-2(b) has been correctly reported.

| Is the price data reported above: | √ if Yes |
|--|----------|
| F.o.b. U.S. point of shipment (i.e., does not include U.S. transport costs)? | |
| Net of all discounts and rebates? | |
| Have returns credited to the quarter in which the sale occurred? | |
| Less than reported commercial shipments in question II-7 in each year? | |

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|----------------------|----------------------------------|--|--------------------------|--------------------------|--------|--|
| | _ | methodology ed to compile | | | ie me | thod and the kinds of documents/records |
| | | | | | | |
| the prep price do | paration of the Ita. The Comm | e price data, a nission may al | is Commiss so request | ion staff i that your | nay c | o all supporting documents/records used in ontact your firm regarding questions on the pany submit copies of the supporting d to compile these data. |
| IV-3. | | • | | | • | ices that it charges for sales of rubber bands please submit sample pages of a recent list. |
| | Transaction | ı | Set | | | |
| | by transaction | Contracts | price lists | Other | | If other, describe |
| | | | | | | |
| IV-4. | Discount poli | icyPlease in | dicate and | describe | your f | Firm's discount policies (check all that apply). |
| | Quantity discounts | Annual total volume discounts | No discount policy | Other | | Describe |
| | | | | | | |
| IV-5. | Pricing terms (check one)? | On what ba | isis are you | ır firm's p | rices | of domestic rubber bands usually quoted |
| | Delivered | F.o.b. | If f.o.b., | specify po | oint | |
| | | | | | | |
| | | | | | | |

IV-6. <u>Contract versus spot.</u>--Approximately what share of your firm's sales of its U.S.-produced rubber bands in 2017 was on a (1) short-term contract basis, (2) annual contract basis, (3) long-term contract basis, and (4) spot sales basis?

| | | Type of sale | | | | |
|---------------------|--|--|---|--|------------------------------------|---------|
| Item | Short-term contracts (multiple deliveries for more than 12 months) | Annual contracts (multiple deliveries for 12 months) | Long-term contracts (multiple deliveries for less than 12 months) | Spot sales (for a single delivery) | Total (shoul sum t 100.0% | ld o |
| Share of 2017 sales | % | % | % | % | 0.0 | % |

IV-7. <u>Contract provisions.</u>--Please fill out the table regarding your firm's typical sales contracts for U.S.-produced rubber bands (or check "not applicable" if your firm does not sell on a short-term, annual and/or long-term contract basis).

| Typical sales contract provisions | ltem | Short-term contracts (multiple deliveries for less than 12 months) | Annual contracts (multiple deliveries for 12 months) | Long-term contracts (multiple deliveries for more than 12 months) |
|--|----------------|---|---|--|
| Average contract duration | No. of days | | 365 | |
| Price renegotiation | Yes | | | |
| (during contract period) | No | | | |
| _ | Quantity | | | |
| Fixed quantity and/or price | Price | | | |
| aa, e. pee | Both | | | |
| Indexed to raw | Yes | | | |
| material costs ¹ | No | | | |
| Not applicable | | | | |
| ¹ Please identify the indexes used: | | | | |

IV-8. <u>Lead times.--</u>What is your firm's share of sales from inventory and produced to order and what is the typical lead time between a customer's order and the date of delivery for your firm's sales of its U.S.-produced rubber bands?

| Source | Share of 2017 sales | Lead time (Average number of days) |
|------------------------------|---------------------|------------------------------------|
| From inventory | % | |
| Produced to order | % | |
| Total (should sum to 100.0%) | 0.0 % | |

Your firm Purchaser (check one)

| IV-9. | Shipping | information |
|-------|-----------------|-------------|
| | | |

| (a) | What is the approximate percentage of the cost of U.Sproduced rubber bands that is accounted for by U.S. inland transportation costs? percent |
|-----|---|
| (b) | Who generally arranges the transportation to your firm's customers' locations? |

(c) Indicate the approximate percentage of your firm's sales of rubber bands that are delivered the following distances from its production facility.

| Distance from production facility | Share |
|-----------------------------------|-------|
| Within 100 miles | % |
| 101 to 1,000 miles | % |
| Over 1,000 miles | % |
| Total (should sum to 100.0%) | 0.0 % |

IV-10. <u>Geographical shipments.--</u> In which U.S. geographic market area(s) has your firm sold its U.S.-produced rubber bands since January 1, 2015 (check all that apply)?

| Geographic area | √ if applicable |
|--|-----------------|
| Northeast.–CT, ME, MA, NH, NJ, NY, PA, RI, and VT. | |
| MidwestIL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI. | |
| Southeast.—AL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV. | |
| Central Southwest.—AR, LA, OK, and TX. | |
| Mountains.—AZ, CO, ID, MT, NV, NM, UT, and WY. | |
| Pacific Coast.—CA, OR, and WA. | |
| Other.—All other markets in the United States not previously listed, including AK, HI, PR, and VI. | |

IV-11. <u>End uses.--</u>List the end uses of the rubber bands that your firm manufactures. For each end-use product, what percentage of the <u>total cost</u> is accounted for by rubber bands and other inputs?

| | Share of total cost of end use product accounted for by | | Total |
|-----------------|---|---|-------------------------------|
| End use product | Rubber bands Other inputs | | (should sum to 100.0% across) |
| | % | % | 0.0 % |
| | % | % | 0.0 % |
| | % | % | 0.0 % |

| | | E | nd use in v | which this | На | | anges in the price | |
|--------------------|-------------------------------|----------------------------|---------------------------------|--------------------------------------|--|-------------------------------|---|------------------|
| | Substitute | | substitute | e is used | No | Yes | Expla | anation |
| 1. | | | | | | | | |
| | | | | | | | | |
| 2. | | | | | | | | |
| 2. 3. IV-13. | States (if kn | own) for rub | ber bands | has change | ed since | Janua | States and outside ary 1, 2015. Explain nges in demand. | |
| 3. | States (if kn | own) for rube principal fa | bber bands | has change have affect | ed since ed these Fluctu | Janua e char uate | ary 1, 2015. Explaii | |
| 3. | States (if known describe the | own) for rub | ber bands | has change | ed since ed these | Janua e char uate no | ary 1, 2015. Explainges in demand. | |
| 3. IV-13. | States (if known describe the | own) for rube principal fa | ober bands actors that No | has change have affect Overall | ed since ed these Fluctu with | Janua e char uate no | ary 1, 2015. Explainges in demand. | n any trends and |
| 3. IV-13. Mark | States (if kn describe the | own) for rube principal fa | ober bands actors that No | has change have affect Overall | ed since ed these Fluctu with | Janua e char uate no | ary 1, 2015. Explainges in demand. | n any trends and |

| IV-15. | Conditions | of com | petition |
|--------|-------------------|--------|----------|
| | | | |

| (a) | Is the rubber bands market subject to business cycles (other than general economy-wide |
|-----|---|
| | conditions) and/or other conditions of competition distinctive to rubber bands? If yes, |
| | describe. |

| Check all that apply. | | | Please describe. | | |
|--|-----|------------------------------------|---|--|--|
| ☐ No | | | Skip to question IV-16. | | |
| Yes-Business cycles (e.g. seasonal business) | | | | | |
| | | r distinctive ns of competition | | | |
| (b) If yes, have there been any changes rubber bands since January 1, 2015 | | | in the business cycles or conditions of competition for | | |
| No | Yes | If yes, describe. | | | |
| | | | | | |
| Supply constraintsHas your firm refused, declined, or been unable to supply rubber bands | | | | | |

IV-16. <u>Supply constraints.</u>—Has your firm refused, declined, or been unable to supply rubber bands since January 1, 2015 (examples include placing customers on allocation or "controlled order entry," declining to accept new customers or renew existing customers, delivering less than the quantity promised, being unable to meet timely shipment commitments, etc.)?

| No | Yes | If yes, please describe. |
|----|-----|--------------------------|
| | | |

IV-17. Raw materials.--How have rubber bands raw material prices changed since January 1, 2015?

| Overall increase | No change | Overall decrease | Fluctuate with no clear trend | Explain, noting how raw material price changes have affected your firm's selling prices for rubber bands. |
|---------------------|--------------|------------------|-------------------------------------|---|
| | | | | |

IV-18. <u>Interchangeability.</u>--Is rubber bands produced in the United States and in other countries interchangeable (i.e., can they physically be used in the same applications)?

Please indicate A, F, S, N, or 0 in the table below:

- A = the products from a specified country-pair are *always* interchangeable
- F = the products are *frequently* interchangeable
- S = the products are *sometimes* interchangeable
- N = the products are *never* interchangeable
- 0 = *no familiarity* with products from a specified country-pair

| Country-pair | China | Thailand | Other countries |
|---------------|-------|----------|-----------------|
| United States | | | |
| China | | | |
| Thailand | | | |

For any country-pair producing rubber bands which is *sometimes* or *never* interchangeable, please identify the country-pair and explain the factors that limit or preclude interchangeable use:

IV-19. Factors other than price.--Are differences other than price (e.g., quality, availability, transportation network, product range, technical support, etc.) between rubber bands produced in the United States and in other countries a significant factor in your firm's sales of the products?

Please indicate A, F, S, N, or 0 in the table below:

A = such differences are *always* significant

F = such differences are *frequently* significant

S = such differences are *sometimes* significant

N = such differences are *never* significant

0 = *no familiarity* with products from a specified country-pair

| Country-pair | China | Thailand | Other countries |
|---------------|-------|----------|-----------------|
| United States | | | |
| China | | | |
| Thailand | | | |

For any country-pair for which factors other than price *always* or *frequently* are a significant factor in your firm's purchases of rubber bands, identify the country-pair and report the advantages or disadvantages imparted by such factors:

IV-20. <u>Customer identification</u>.--List the names and contact information for your firm's 10 largest U.S. customers for rubber bands since January 1, 2015. Indicate the share of the quantity of your firm's total shipments of rubber bands that each of these customers accounted for in 2017.

| Customer's name | | City | State | Share of 2017 sales (%) |
|-----------------|--|------|-------|-------------------------|
| 1 | | | | |
| 2 | | | | |
| 3 | | | | |
| 4 | | | | |
| 5 | | | | |
| 6 | | | | |
| 7 | | | | |
| 8 | | | | |
| 9 | | | | |
| 10 | | | | |

IV-21. Competition from imports

(a) <u>Lost revenue</u>.--Since January 1, 2015: To avoid losing sales to competitors selling rubber bands from China and/or Thailand, did your firm:

| Item | No | Yes |
|-------------------------------------|----|-----|
| Reduce prices | | |
| Roll back announced price increases | | |

(b) <u>Lost sales.</u>--Since January 1, 2015: Did your firm lose sales of rubber bands to imports of this product from China and/or Thailand?

| No | Yes |
|----|-----|
| | |

| IV-22. | Other explanations If your firm would like to further explain a response to a question in | | | | |
|--------|--|--|--|--|--|
| | IV for which a narrative response box was not provided, please note the question number and | | | | |
| | the explanation in the space provided below. Please also use this space to highlight any issues | | | | |
| | your firm had in providing the data in this section, including but not limited to technical issues | | | | |
| | with the MS Word questionnaire. | | | | |

HOW TO FILE YOUR QUESTIONNAIRE RESPONSE

This questionnaire is available as a "fillable" form in MS Word format on the Commission's website at:

https://usitc.gov/investigations/701731/2018/rubber_bands_china_and_thailand/final.htm

Please do not attempt to modify the format or permissions of the questionnaire document. Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

• <u>Upload via Secure Drop Box</u>.—Upload the MS Word questionnaire along with a scanned copy of the signed certification page (page 1) through the Commission's secure upload facility:

Web address: https://dropbox.usitc.gov/oinv/ Pin: BAND

• E-mail.—E-mail the MS Word questionnaire to chris.robinson@usitc.gov; include a scanned copy of the signed certification page (page 1). Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure drop-box system and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm's nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.

If your firm <u>does not</u> **produce this product**, please fill out page 1, print, sign, and submit a scanned copy to the Commission.

<u>Parties to this proceeding</u>.—If your firm is a party to this proceeding, it is required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission's Secretary (202-205-1803). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR § 207.7). Service of the questionnaire must be made in paper form.