

## U.S. IMPORTERS' QUESTIONNAIRE

### COMMON ALLOY ALUMINUM SHEET FROM CHINA

This questionnaire must be received by the Commission by **December 14, 2017**  
*See last page for filing instructions.*

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its countervailing duty and antidumping duty investigations concerning common alloy aluminum sheet from China (Inv. Nos. 701-TA-591 and 731-TA-1399 (Preliminary)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. **This report is mandatory** and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your firm's possession (19 U.S.C. § 1333(a)).

Name of firm _____		
Address _____		
City _____	State _____	Zip Code _____
Website _____		
Has your firm imported <b>common alloy aluminum sheet ("CAAS")</b> (as defined on next page) from any country at any time since January 1, 2014?		
<input type="checkbox"/> <b>NO</b>	(Sign the certification below and promptly return <b>only</b> this page of the questionnaire to the Commission)	
<input type="checkbox"/> <b>YES</b>	(Complete all parts of the questionnaire, and return the entire questionnaire to the Commission)	
Return questionnaire via the U.S. International Trade Commission <i>Drop Box</i> by clicking on the following link: <a href="https://dropbox.usitc.gov/oinv/">https://dropbox.usitc.gov/oinv/</a> . (PIN: <b>CAAS</b> )		

#### CERTIFICATION

*I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission. By means of this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this proceeding in any other import-injury proceedings conducted by the Commission on the same or similar merchandise.*

*I, the undersigned, acknowledge that information submitted in response to this request for information and throughout this proceeding or other proceedings may be disclosed to and used: (i) by the Commission, its employees and Offices, and contract personnel (a) for developing or maintaining the records of this or a related proceeding, or (b) in internal investigations, audits, reviews, and evaluations relating to the programs, personnel, and operations of the Commission including under 5 U.S.C. Appendix 3; or (ii) by U.S. government employees and contract personnel, solely for cybersecurity purposes. I understand that all contract personnel will sign appropriate nondisclosure agreements.*

Name of Authorized Official	Title of Authorized Official	Date
Signature	Phone: _____	Email address
	Fax: _____	

**PART I.--GENERAL INFORMATION**

***Background.***--This proceeding was instituted in response to a notification of investigations self-initiated by the U.S. Department of Commerce ("Commerce"). That notification was deemed by the Commission as having been filed on December 1, 2017. Countervailing and antidumping duties may be assessed on the subject imports as a result of these proceedings if the Commission makes an affirmative determination of injury, threat, or material retardation, and if Commerce makes an affirmative determination of subsidization and dumping. Questionnaires and other information pertinent to this proceeding are available at [https://www.usitc.gov/investigations/title\\_7/2017/common\\_aluminum\\_sheet\\_china/preliminary.htm](https://www.usitc.gov/investigations/title_7/2017/common_aluminum_sheet_china/preliminary.htm).

***Common alloy aluminum sheet ("CAAS").***-- The merchandise covered by these investigations is common alloy aluminum sheet ("CAAS"), which is a flat-rolled aluminum product having a thickness of 6.3 mm or less, but greater than 0.2 mm, in coils or cut-to-length, regardless of width. CAAS within the scope of this proceeding includes both not clad aluminum sheet, as well as multi-alloy, clad aluminum sheet. With respect to not clad aluminum sheet, CAAS is manufactured from a 1XXX-, 3XXX-, or 5XXX-series alloy as designated by the Aluminum Association. With respect to multi-alloy, clad aluminum sheet, CAAS is produced from a 3XXX-series core, to which cladding layers are applied to either one or both sides of the core.

CAAS may be made to ASTM specification B209-14, but can also be made to other specifications. Regardless of specification, however, all CAAS meeting the scope description is included in the scope. Subject merchandise includes CAAS that has been further processed in a third country, including but not limited to annealing, tempering, painting, varnishing, trimming, cutting, punching, and/or slitting, or any other processing that would not otherwise remove the merchandise from the scope of the investigations if performed in the country of manufacture of the CAAS.

Excluded from the scope of these investigations is aluminum can stock (as defined below).

Where the nominal and actual measurements vary, a product is within the scope if application of either the nominal or actual measurement would place it within the scope based on the definitions set for the above.

CAAS is currently classifiable under Harmonized Tariff Schedule of the United States ("HTSUS") statistical reporting numbers 7606.11.3060, 7606.11.6000, 7606.12.3090, 7606.12.6000, 7606.91.3090, 7606.91.6080, 7606.92.3090, and 7606.92.6080. Further, merchandise that falls within the scope of these investigations may also be entered into the United States under HTSUS statistical reporting numbers 7606.11.3030, 7606.12.3030, 7606.91.3060, 7606.91.6040, 7606.92.3060, 7606.92.6040, 7607.11.9090. Although the HTSUS statistical reporting numbers are provided for convenience and customs purposes, the written description of the scope of these investigations is dispositive.

***Aluminum can stock.***--Aluminum can stock is suitable for use in the manufacture of aluminum beverage cans, lids of such cans, or tabs used to open such cans. Aluminum can stock is produced to gauges that range from 0.200 mm to 0.292 mm, and has an H-19, H-41, H-48, or H-391 temper. In addition, aluminum can stock has a lubricant applied to the flat surfaces of the can stock to facilitate its movement through machines used in the manufacture of beverage cans. Aluminum can stock is properly classified under HTSUS statistical reporting numbers 7606.12.3045 and 7606.12.3055.

**Aluminum foil.**--Aluminum foil is defined as aluminum processed with a thickness of 0.2 mm or less.

**Aluminum plate.**--Aluminum plate is defined as aluminum processed with a thickness of greater than 6.3 mm.

**Importer.**--Any person or firm engaged, either directly or through a parent company or subsidiary, in importing CAAS (as defined above) into the United States from a foreign manufacturer or through its selling agent.

**Reporting of information.**--If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, and/or purchaser questionnaire), you need not respond to duplicated questions.

**Confidentiality.**--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

**Verification.**--The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

**Release of information.**--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

**Valid number error messages.**--If you are completing this form in a country that uses periods (".") to delineate multiples of 1000 (e.g., one million would appear as \$1.000.000 rather than \$1,000,000), you may be unable to enter in numbers greater than 999 in numeric form fields. The solution to this data entry issue is to temporarily change your operating system's number formatting to be consistent with the U.S. number formatting system while you complete this form. Detailed instructions on how to resolve this issue is provided at the end of this questionnaire and is available upon request from **Nathanael Comly (202-205-3174, [nathanael.comly@usitc.gov](mailto:nathanael.comly@usitc.gov))**.

I-1. **OMB statistics.**--Please report below the actual number of hours required and the cost to your firm of completing this questionnaire.

Hours	Dollars

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 40 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please attach such comments to your response or send to the Office of Investigations, USITC, 500 E St. SW, Washington, DC 20436.

I-2. **Establishments covered.**--Provide the name and address of establishment(s) covered by this questionnaire. If your firm is publicly traded, please specify the stock exchange and trading symbol.

**"Establishment"** – Each facility of a firm involved in the importation of CAAS, including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities.

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I-3. **Ownership.**--Is your firm owned, in whole or in part, by any other firm?

No       Yes--List the following information

Firm name	Address	Extent of ownership (percent)

I-4. **Related importers/exporters.**--Does your firm have any related firms, either domestic or foreign, that are engaged in importing CAAS from China into the United States or that are engaged in exporting CAAS from China to the United States?

No             Yes--List the following information.

<b>Firm name</b>	<b>Country</b>	<b>Affiliation</b>

I-5. **Related producers.**--Does your firm have any related firms, either domestic or foreign, that are engaged in the production of CAAS?

No             Yes--List the following information.

<b>Firm name</b>	<b>Country</b>	<b>Affiliation</b>

I-6. **Importing operations.**--Please indicate the nature of your firm's importing operations on CAAS. More than one answer may be applicable.

<b>Importer of record</b>	<b>Takes title to the imported product(s)</b>	<b>Consignee of the imported products(s)</b>	<b>Customs broker or freight forwarder</b>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

I-7. **Consignee.**--If your firm is an importer of record of CAAS but is not the consignee, please list the consignees below (firm name, address, telephone number, and individual to contact).

Firm name	Address	Contact person and phone number

I-8. **FTZ, TIB, or bonded warehouses.**--Please indicate whether your firm enters CAAS into, or withdraws such merchandise from, foreign trade zones or bonded warehouses. Also indicate whether your firm imports CAAS under the TIB (temporary importation under bond) program.

**“Foreign trade zone”** – a designated location in the United States where firms utilize special procedures that allow delayed or reduced customs duty payments on foreign merchandise, as well as other savings. A foreign trade zone must be designed as such pursuant to the rules and procedures set forth in the Foreign-Trade Zones Act.

**“Bonded warehouse”** – a secured facility supervised by U.S. customs, where dutiable landed imports are stored pending their re-export, or release after payment of import duties, taxes, and other charges. A bonded warehouse must be designed as such pursuant to the rules and procedures set forth in 19 U.S.C. § 1555.

**“Temporary Importation under Bond (“TIB”) program”** – a procedure whereby imported merchandise may be entered under certain conditions for a limited time into the United States free of duty. Under the program, an importer posts a bond for twice the amount of duty, taxes, etc. that would otherwise be owed on the importation and agrees to export or destroy the merchandise within a specified time or pay liquidated damages. This program is restricted to certain categories of merchandise listed in subheadings 9813.00.05 through 9813.00.75 of the HTSUS.

Item	No	Yes
Foreign trade zones	<input type="checkbox"/>	<input type="checkbox"/>
Bonded warehouses	<input type="checkbox"/>	<input type="checkbox"/>
Temporary importation under bond	<input type="checkbox"/>	<input type="checkbox"/>

I-9. **Other trade actions.**--To your knowledge, have the products subject to this proceeding been the subject of any other import relief proceedings in the United States or in any other countries?

No             Yes--Please specify.

**PART II.--TRADE AND RELATED INFORMATION**

Further information on this part of the questionnaire can be obtained from **Nathanael Comly** (202-205-3174, [nathanael.comly@usitc.gov](mailto:nathanael.comly@usitc.gov)). Supply all annual data requested on a calendar-year basis.

II-1. **Contact information.**--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part II.

Name	
Title	
Email	
Telephone	
Fax	

II-2. **Changes in operations.**--Please indicate whether your firm has experienced any of the following changes in relation to the importation of CAAS since January 1, 2014.

<i>(check as many as appropriate)</i>		<i>(If checked, please describe; leave blank if not applicable)</i>
<input type="checkbox"/>	Office/warehouse openings	
<input type="checkbox"/>	Office/warehouse closings	
<input type="checkbox"/>	Relocations	
<input type="checkbox"/>	Expansions	
<input type="checkbox"/>	Acquisitions	
<input type="checkbox"/>	Consolidations	
<input type="checkbox"/>	Prolonged shutdowns or importation curtailments	
<input type="checkbox"/>	Revised labor agreements	
<input type="checkbox"/>	Other (e.g., technology)	

II-3a. **Arranged imports.**--Has your firm imported or arranged for the importation of CAAS for delivery after **September 30, 2017**?

**“Arranged imports”** – imports for which your firm has placed an order with a foreign supplier for subject merchandise, but delivery of those imports is not scheduled to occur until after the date listed above.

No                       Yes--Fill out the table below.

<b>Quantity (in short tons)</b>				
<b>Period/Source</b>	<b>Oct-Dec 2017</b>	<b>Jan-Mar 2018</b>	<b>Apr-Jun 2018</b>	<b>July-Sept 2018</b>
China				
Other sources				

II-3b. **Imports in the 12 month period preceding Commerce’s notification.**--Has your firm imported CAAS from any source between November 1, 2016 and October 30, 2017? (i.e., the last two months in 2016 and first ten months in 2017 combined)

No                       Yes--Report the quantity of such imports below by source.

<b>Quantity (in short tons)</b>	
<b>Source</b>	<b>November 2016 through October 2017</b>
China	
Other sources	

II-4. **Reasons for importing if producer.**--If your firm also produces CAAS in the United States, please indicate the reasons for importing this product. If your firm’s reasons differ by source, please elaborate.



**Definitions**

***“Imports”*** – Those products identified for Customs purposes as imports for consumption for which your firm was the importer of record (i.e., was responsible for paying any import duty) or consignee (i.e., to which the merchandise was first delivered).

***“Import quantities”*** – Quantities reported should be net of returns.

***“Import values”*** – Values reported should be landed, duty-paid values at the U.S. port of entry, including ocean freight and insurance costs, brokerage charges, and import duties (i.e., all charges except inland freight in the United States).

***“U.S. commercial shipments”*** – Shipments made within the United States as a result of an arm's length commercial transaction in the ordinary course of business. Report net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment.

***“Internal consumption”*** – Product consumed internally by your firm. Such transactions are valued at fair market value.

***“Transfers to related firms”*** – Shipments made to related domestic firms. Such transactions are valued at fair market value.

***“Related firm”*** – A firm that your firm solely or jointly owns, manages, or otherwise controls.

***“Export shipments”*** – Shipments to destinations outside the United States, including shipments to related firms.

***“Inventories”*** – Finished goods inventory, not raw materials or work in progress.

*Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.*

II-5a. **U.S. imports from China.**--Report your firm's imports and your firm's shipments and inventories of CAAS imported from China by your firm during the specified periods.

## CHINA

Quantity (in short tons), value (in \$1,000)					
Item	Calendar years			January-September	
	2014	2015	2016	2016	2017
<b>Beginning-of-period inventories</b> (quantity) (A)					
<b>Imports:</b> <sup>1</sup> Quantity (B)					
Value (C)					
<b>U.S. shipments:</b> <b>Commercial shipments:</b> Quantity (D)					
Value (E)					
<b>Internal consumption/ company transfers:</b> <sup>2</sup> Quantity (F)					
Value <sup>2</sup> (G)					
<b>Export shipments:</b> <sup>3</sup> Quantity (H)					
Value (I)					
<b>End-of-period inventories</b> (quantity) (J)					
<b>Channels of distribution:</b> <b>Commercial U.S. shipments:</b> To distributors (quantity) (K)					
To converters (quantity) (L)					
To end users (quantity) (M)					
<sup>1</sup> Please identify the foreign producers, if known: _____. <sup>2</sup> Sales to related firms (including internal consumption) must be valued at fair market value. In the event that your firm uses a different basis for valuing these sales within your company, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for each of the periods noted above: _____. <sup>3</sup> Identify your firm's principal export markets: _____.					

II-5a. **U.S. imports from China.**--*Continued*

**RECONCILIATION OF SHIPMENTS, IMPORTS, AND INVENTORIES.**--Generally, the data reported for the end-of-period inventories (i.e., line J) should be equal to the beginning-of-period inventories (i.e., line A), plus imports (i.e., line B), less total shipments (i.e., lines D, F, and H). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

Reconciliation	Calendar years			January-September	
	2014	2015	2016	2016	2017
A + B – D – F – H – J = should equal zero ("0") or provide an explanation. <sup>1</sup>	0	0	0	0	0
<sup>1</sup> Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: _____.					

**RECONCILIATION OF CHANNELS.**--Please ensure that the quantities reported for channels of distribution (i.e., lines K, L, and M) in each time period equal the quantity reported for commercial U.S. shipments (i.e., line D) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation item	Calendar years			January-September	
	2014	2015	2016	2016	2017
K + L + M – D = zero ("0"), if not revise.	0	0	0	0	0

II-5b. **U.S. shipments by product type: China.**--Report your firm's U.S. shipments (i.e. inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of imports of CAAS from China.

## CHINA

<b>Quantity (in short tons), value (in \$1,000)</b>	
<b>Item</b>	<b>Calendar year 2016</b>
<b>U.S. shipments.--</b>	
Non-clad 1XXX series aluminum sheet <i>Quantity (N)</i>	
<i>Value (O)</i>	
Non-clad 3XXX series aluminum sheet <i>Quantity (P)</i>	
<i>Value (Q)</i>	
Non-clad 5XXX series aluminum sheet <i>Quantity (R)</i>	
<i>Value (S)</i>	
Clad or multi-alloy aluminum sheet <i>Quantity (T)</i>	
<i>Value (U)</i>	
All other in-scope products <i>Quantity (V)</i>	
<i>Value (W)</i>	

***RECONCILIATION OF U.S. SHIPMENTS.**--Please ensure that the quantities and values reported for US shipments by product type (i.e., lines N through W) in 2016 equal the quantity and value reported for U.S. shipments (i.e., lines D through G) in 2016 in part "a" of this question. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.*

<b>Reconciliation</b>	<b>Calendar year 2016</b>
<b>Quantity:</b> $N + P + R + T + V - D - F = \text{zero ("0")}$ , if not revise.	0
<b>Value:</b> $O + Q + S + U + W - E - G = \text{zero ("0")}$ , if not revise.	0

II-5c. **U.S. imports of aluminum can stock: China.**--Has your firm imported any aluminum can stock (as defined on page 2) from China at any time since January 1, 2014?

- No                       Yes--Report the following data related to your firm's imports of aluminum can stock.

Note.--Aluminum can stock is not covered by these investigations and should not be included in the data provided in questions II-5a and II-5b, and II-6a and II-6b.

## CHINA

Quantity ( <i>in short tons</i> ) and value ( <i>in \$1,000</i> )					
Item	Calendar years			January-September	
	2014	2015	2016	2016	2017
<b>U.S. imports of aluminum can stock from China.--</b>					
<i>Quantity (X)</i>					
<i>Value (Y)</i>					

II-5d. **Imports by specification: China.**--Please report your firm's U.S. imports from China of in-scope CAAS and aluminum can stock (as defined on page 2) to the following specifications.

## CHINA

Quantity ( <i>in short tons</i> )		
Specification	2016	
	In-scope CAAS	Aluminum can stock
<b>U.S. imports from China.--</b> Greater than 0.200 mm but less than or equal to 0.292 mm, in coils ( <i>quantity</i> ) (Z)		
Greater than 0.200 mm but less than or equal to 0.292 mm, not in coils ( <i>quantity</i> ) (AA)		
Greater than 0.292 mm but less than or equal to 6.3 mm, in coils ( <i>quantity</i> ) (AB)		X
Greater than 0.292 mm but less than or equal to 6.3 mm, not in coils ( <i>quantity</i> ) (AC)		X

**RECONCILIATION OF SPECIFICATIONS.**--Please ensure that the quantities reported for imports by specification (i.e., lines Z, AA, AB, and AC) in 2016 equal the quantity reported for imports (i.e., line B) in 2016. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation	2016	
	In-scope CAAS	Aluminum can stock
<b>CAAS:</b> Z + AA + AB + AC – B = zero ("0"), if not revise. <b>Aluminum can stock:</b> Z + AA – X = zero ("0"), if not revise.	0	0

II-5e. **Import characteristics: China.**--Please indicate whether your firm's U.S. imports from China of any in-scope CAAS and/or aluminum can stock (as defined on page 2) have any of the following characteristics (check all that apply).

## CHINA

Characteristic	In-scope CAAS	Aluminum can stock
H-19 temper	<input type="checkbox"/>	<input type="checkbox"/>
H-41 temper	<input type="checkbox"/>	<input type="checkbox"/>
H-48 temper	<input type="checkbox"/>	<input type="checkbox"/>
H-391 temper	<input type="checkbox"/>	<input type="checkbox"/>
Lubricant applied to the flat surface to facilitate movement through machines used in manufacturing	<input type="checkbox"/>	<input type="checkbox"/>

II-6a. **U.S. imports from all other sources.**--Report your firm's imports and your firm's shipments and inventories of CAAS imported from **all other sources** (i.e., all sources except China) by your firm during the specified periods.

## ALL OTHER SOURCES

(list sources: \_\_\_\_\_)

Quantity (in short tons), value (in \$1,000)					
Item	Calendar years			January-September	
	2014	2015	2016	2016	2017
<b>Beginning-of-period inventories</b> (quantity) (A)					
<b>Imports:</b> <sup>1</sup>					
Quantity (B)					
Value (C)					
<b>U.S. shipments:</b>					
<b>Commercial shipments:</b>					
Quantity (D)					
Value (E)					
<b>Internal consumption/     company transfers:</b>					
Quantity (F)					
Value <sup>2</sup> (G)					
<b>Export shipments:</b> <sup>3</sup>					
Quantity (H)					
Value (I)					
<b>End-of-period inventories</b> (quantity) (J)					
<b>Channels of distribution:</b>					
<b>Commercial U.S. shipments:</b>					
To distributors (quantity) (K)					
To converters (quantity) (L)					
To end users (quantity) (M)					

<sup>1</sup> Please identify the foreign producers, if known: \_\_\_\_\_.

<sup>2</sup> Sales to related firms (including internal consumption) must be valued at fair market value. In the event that your firm uses a different basis for valuing these sales within your company, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for each of the periods noted above: \_\_\_\_\_.

<sup>3</sup> Identify your firm's principal export markets: \_\_\_\_\_.



II-6a. **U.S. imports from all other sources.--Continued**

***RECONCILIATION OF SHIPMENTS, IMPORTS, AND INVENTORIES.--Generally, the data reported for the end-of-period inventories (i.e., line J) should be equal to the beginning-of-period inventories (i.e., line A), plus imports (i.e., line B), less total shipments (i.e., lines D, F, and H). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.***

Reconciliation	Calendar years			January-September	
	2014	2015	2016	2016	2017
A + B – D – F – H – J = should equal zero ("0") or provide an explanation. <sup>1</sup>	0	0	0	0	0

<sup>1</sup> Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: \_\_\_\_\_.

***RECONCILIATION OF CHANNELS.--Please ensure that the quantities reported for channels of distribution (i.e., lines K, L, and M) in each time period equal the quantity reported for commercial U.S. shipments (i.e., line D) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.***

Reconciliation item	Calendar years			January-September	
	2014	2015	2016	2016	2017
K + L + M – D = zero ("0"), if not revise.	0	0	0	0	0

II-6b. **U.S. shipments by product type: All other sources.**--Report your firm's U.S. shipments (i.e. inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of imports of CAAS from all other sources (i.e., sources other than China).

## ALL OTHER SOURCES

<b>Quantity (in short tons), value (in \$1,000)</b>	
<b>Item</b>	<b>Calendar year 2016</b>
<b>U.S. shipments.--</b>	
Non-clad 1XXX series aluminum sheet <i>Quantity (N)</i>	
<i>Value (O)</i>	
Non-clad 3XXX series aluminum sheet <i>Quantity (P)</i>	
<i>Value (Q)</i>	
Non-clad 5XXX series aluminum sheet <i>Quantity (R)</i>	
<i>Value (S)</i>	
Clad or multi-alloy aluminum sheet <i>Quantity (T)</i>	
<i>Value (U)</i>	
All other in-scope products <i>Quantity (V)</i>	
<i>Value (W)</i>	

**RECONCILIATION OF U.S. SHIPMENTS.**--Please ensure that the quantities and values reported for US shipments by product type (i.e., lines N through W) in 2016 period equal the quantity and value reported for U.S. shipments (i.e., lines D through G) in 2016 in part "a" of this question. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

<b>Reconciliation</b>	<b>Calendar year 2016</b>
<b>Quantity:</b> $N + P + R + T + V - D - F = \text{zero ("0")}$ , if not revise.	0
<b>Value:</b> $O + Q + S + U + W - E - G = \text{zero ("0")}$ , if not revise.	0

II-6c. **U.S. imports of aluminum can stock: All other sources.**--Has your firm imported any aluminum can stock (as defined on page 2) from all other sources at any time since January 1, 2014?

- No                       Yes--Report the following data related to your firm's imports of aluminum can stock.

Note.--Aluminum can stock is not covered by these investigations and should not be included in the data provided in question II-5 through II-6.

<b>Quantity (in short tons) and value (in \$1,000)</b>					
<b>Item</b>	<b>Calendar years</b>			<b>January-September</b>	
	<b>2014</b>	<b>2015</b>	<b>2016</b>	<b>2016</b>	<b>2017</b>
<b>U.S. imports of aluminum can stock from all other sources.--</b>					
<i>Quantity (X)</i>					
<i>Value (Y)</i>					

II-6d. **Imports by specification: All other sources.**--Please report your firm's U.S. imports from all other sources of in-scope CAAS and aluminum can stock (as defined on page 2) to the following specifications.

## ALL OTHER SOURCES

Quantity (in short tons)		
Specification	2016	
	In-scope CAAS	Aluminum can stock
<b>U.S. imports from China.--</b> Greater than 0.200 mm but less than or equal to 0.292 mm, in coils (quantity) (Z)		
Greater than 0.200 mm but less than or equal to 0.292 mm, not in coils (quantity) (AA)		
Greater than 0.292 mm but less than or equal to 6.3 mm, in coils (quantity) (AB)		X
Greater than 0.292 mm but less than or equal to 6.3 mm, not in coils (quantity) (AC)		X

**RECONCILIATION OF SPECIFICATIONS.**--Please ensure that the quantities reported for imports by specification (i.e., lines Z, AA, AB, and AC) in 2016 equal the quantity reported for imports (i.e., line B) in 2016. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation	2016	
	In-scope CAAS	Aluminum can stock
<b>CAAS:</b> Z + AA + AB + AC – B = zero ("0"), if not revise. <b>Aluminum can stock:</b> Z + AA – X = zero ("0"), if not revise.	0	0

II-6e. **Import characteristics: All other sources.**--Please indicate whether your firm's U.S. imports from all other sources of any in-scope CAAS and/or aluminum can stock (as defined on page 2) have any of the following characteristics (check all that apply).

## ALL OTHER SOURCES

Characteristic	In-scope CAAS	Aluminum can stock
H-19 temper	<input type="checkbox"/>	<input type="checkbox"/>
H-41 temper	<input type="checkbox"/>	<input type="checkbox"/>
H-48 temper	<input type="checkbox"/>	<input type="checkbox"/>
H-391 temper	<input type="checkbox"/>	<input type="checkbox"/>
Lubricant applied to the flat surface to facilitate movement through machines used in manufacturing	<input type="checkbox"/>	<input type="checkbox"/>

II-7. **Interchangeability.**--Are in-scope CAAS and aluminum can stock (as defined on page 2) interchangeable (i.e. are the products able to be substituted in the same application)?

<b>√ if Yes</b>	
<input type="checkbox"/>	Always
<input type="checkbox"/>	Frequently
<input type="checkbox"/>	Sometimes
<input type="checkbox"/>	Never

II-8. **Other explanations.**--If your firm would like to further explain a response to a question in Part II that did not provide a narrative response box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

**PART III.--PRICING AND MARKET FACTORS**

Further information on this part of the questionnaire can be obtained from **Craig Thomsen (202-205-3226, craig.thomsen@usitc.gov)**.

III-1. **Contact information.**--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part III.

Name	
Title	
Email	
Telephone	
Fax	

**PRICE DATA**

III-2. This question requests quarterly quantity and value data for your firm's commercial shipments to unrelated U.S. customers since January 1, 2014 of the following products your firm imported from China:

**Product 1.**--Alloy 5052, H-32 temper, 0.063 inch thickness, 48 inches wide.

**Product 2.**--Alloy 5052, H-32 temper, 0.080 inch thickness, 48 inches wide.

**Product 3.**--Alloy 5052, H-32 temper, 0.125 inch thickness, 48 inches wide.

**Product 4.**--Alloy 5052, H-32 temper, 0.125 inch thickness, 60 inches wide.

**Product 5.**--Alloy 3003, H-14 temper, 0.090 inch thickness, 48 inches wide.

**Product 6.**--Alloy 3003, H-14 temper, 0.125 inch thickness, 48 inches wide.

**Product 7.**--Alloy 3003, H-14 temper, 0.125 inch thickness, 60 inches wide.

**Please note that values should be f.o.b., U.S. point of shipment and should not include U.S.-inland transportation costs. Values should reflect the *final net* amount paid to your firm (i.e., should be net of all deductions for discounts or rebates).**

During January 2014-September 2017, did your firm import from China and sell to unrelated U.S. customers any of the above listed products (or any products that were competitive with these products)?

<input type="checkbox"/>	<b>Yes.</b> --Please complete the following pricing data tables as appropriate.
<input type="checkbox"/>	<b>No.</b> --Skip to question III-3.

III-2(a). **Price data.**--Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> imported from China and sold by your firm.

**CHINA**

Report data in **pounds** (not short tons) and actual **dollars** (not 1,000s).

(Quantity in pounds, value in dollars)								
Period of shipment	Product 1		Product 2		Product 3		Product 4	
	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
<b>2014:</b>								
January-March								
April-June								
July-September								
October-December								
<b>2015:</b>								
January-March								
April-June								
July-September								
October-December								
<b>2016:</b>								
January-March								
April-June								
July-September								
October-December								
<b>2017:</b>								
January-March								
April-June								
July-September								

<sup>1</sup> Net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment.

<sup>2</sup> Pricing product definitions are provided on the first page of Part III.

**Note.**--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 1:

Product 2:

Product 3:

Product 4:

III-2(a). **Price data.**-- (continued).

## CHINA

Report data in **pounds** (not short tons) and actual **dollars** (not 1,000s).

(Quantity in pounds, value in dollars)						
Period of shipment	Product 5		Product 6		Product 7	
	Quantity	Value	Quantity	Value	Quantity	Value
<b>2014:</b>						
January-March						
April-June						
July-September						
October-December						
<b>2015:</b>						
January-March						
April-June						
July-September						
October-December						
<b>2016:</b>						
January-March						
April-June						
July-September						
October-December						
<b>2017:</b>						
January-March						
April-June						
July-September						

<sup>1</sup> Net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment.

<sup>2</sup> Pricing product definitions are provided on the first page of Part IV.

**Note.**--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 5:

Product 6:

Product 7:



III-2 (b). **Price data checklist.**--Please check that the pricing data in question III-2(a) has been correctly reported.

Is the price data reported above:	✓ if Yes
Value data In actual dollars ( <b>not</b> \$1,000) and	<input type="checkbox"/>
Quantity in pounds ( <b>not</b> short tons)?	<input type="checkbox"/>
F.o.b. U.S. point of shipment (i.e., does not include U.S. transport costs)?	<input type="checkbox"/>
Net of all discounts and rebates?	<input type="checkbox"/>
Have returns credited to the quarter in which the sale occurred?	<input type="checkbox"/>
Less than reported commercial shipments in question II-5 in each year?	<input type="checkbox"/>

III-2(c). **Pricing data methodology.**--Please describe the method and the kinds of documents/records that were used to compile your price data.

*Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the price data, as Commission staff may contact your firm regarding questions on the price data. The Commission may also request that your company submit copies of the supporting documents/records (such as sales journal, invoices, etc.) used to compile these data.*

III-3. **Price setting.**--How does your firm determine the prices that it charges for sales of CAAS (*check all that apply*)? If your firm issues price lists, please submit sample pages of a recent list.

Transaction by transaction	Contracts	Set price lists	Other	If other, describe
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

III-4. **Discount policy.**--Please indicate and describe your firm's discount policies (*check all that apply*).

Quantity discounts	Sales volume rebates	Other	No discounts or rebates	Describe discounts or rebates offered
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

III-5. **Pricing terms.**--

(a) What are your firm's typical sales terms for CAAS imported from China?

Net 30 days	Net 60 days	2/10 net 30 days	Other	Other (specify)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

(b) On what basis are your firm's prices of imported CAAS from China usually quoted (*check one*)?

Delivered	F.o.b.	If f.o.b., specify point
<input type="checkbox"/>	<input type="checkbox"/>	

III-6. **Contract versus spot.**--Approximately what share of your firm's sales of CAAS imported from China in 2016 was on a (1) long-term contract basis, (2) annual contract basis, (3) short-term contract basis, and (4) spot sales basis?

	Type of sale				Total (should sum to 100.0%)
	Long-term contracts (multiple deliveries for more than 12 months)	Annual contracts (multiple deliveries for 12 months)	Short-term contracts (multiple deliveries for less than 12 months)	Spot sales (for a single delivery)	
<b>Share of 2016 sales</b>	%	%	%	%	0.0 %

III-7. **Contract provisions.**--Please fill out the table regarding your firm's typical sales contracts for CAAS from China (or check "not applicable" if your firm does not sell on a long-term, short-term and/or annual contract basis).

Typical sales contract provisions	Item	Short-term contracts (multiple deliveries for less than 12 months)	Annual contracts (multiple deliveries for 12 months)	Long-term contracts (multiple deliveries for more than 12 months)
Average contract duration	<i>No. of days</i>		365	
Price renegotiation (during contract period)	<i>Yes</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>No</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Fixed quantity and/or price	<i>Quantity</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>Price</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>Both</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Meet or release provision	<i>Yes</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>No</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Not applicable		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

III-8. **Lead times.**--What is your firm's share of sales of CAAS imported from China from inventory and produced to order and what is the typical lead time between a customer's order and the date of delivery for your firm's sales of CAAS?

Source	Share of 2016 sales	Lead time (Average number of days)
From your firm's U.S. inventory	%	
From foreign manufacturers' inventory	%	
Produced to order	%	
<b>Total</b> (should sum to 100.0%)	0.0 %	

III-9. **Shipping information.**--

- (a) What is the approximate percentage of the cost of CAAS imported from China that is accounted for by U.S. inland transportation costs?          percent.
- (b) Who generally arranges the transportation to your firm's customers' locations?  
 Your firm     Purchaser (*check one*)
- (c) When your firm sells CAAS imported from China, from where is it shipped?  
 Point of importation     Storage facility (*check one*)
- (d) Indicate the approximate percentage of your firm's sales of CAAS imported from China that are delivered the following distances from your firm's U.S. point of shipment.

Distance from your firm's U.S. point of shipment	Share
Within 100 miles	%
101 to 1,000 miles	%
Over 1,000 miles	%
<b>Total</b> (should sum to 100.0%)	0.0 %

III-10. **Geographical shipments.**--In which U.S. geographic market area(s) has your firm sold CAAS imported from China since January 1, 2014 (check all that apply)?

Geographic area	China
<b>Northeast.</b> --CT, ME, MA, NH, NJ, NY, PA, RI, and VT.	<input type="checkbox"/>
<b>Midwest.</b> --IL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI.	<input type="checkbox"/>
<b>Southeast.</b> --AL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV.	<input type="checkbox"/>
<b>Central Southwest.</b> --AR, LA, OK, and TX.	<input type="checkbox"/>
<b>Mountains.</b> --AZ, CO, ID, MT, NV, NM, UT, and WY.	<input type="checkbox"/>
<b>Pacific Coast.</b> --CA, OR, and WA.	<input type="checkbox"/>
<b>Other.</b> --All other markets in the United States not previously listed, including AK, HI, PR, and VI.	<input type="checkbox"/>

III-11. **End uses.**--List the end uses of the CAAS that your firm imports. For each end-use product, what percentage of the total cost is accounted for by CAAS and other inputs?

End use product	Share of total cost of end-use product accounted for by		Total (should sum to 100.0% across)
	CAAS	Other inputs	
	%	%	0.0 %
	%	%	0.0 %
	%	%	0.0 %

III-12. **Substitutes.**--Can other products be substituted for CAAS?

No                       Yes--Please fill out the table.

Substitute	End use in which this substitute is used	Have changes in the price of this substitute affected the price for CAAS?		
		No	Yes	Explanation
1.		<input type="checkbox"/>	<input type="checkbox"/>	
2.		<input type="checkbox"/>	<input type="checkbox"/>	
3.		<input type="checkbox"/>	<input type="checkbox"/>	

III-13. **Demand trends.**--Indicate how demand within the United States and outside of the United States (if known) for CAAS has changed since January 1, 2014. Explain any trends and describe the principal factors that have affected these changes in demand.

Market	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explanation and factors
Within the United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Outside the United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

III-14. **Product changes.**--Have there been any significant changes in the product range, product mix or marketing of CAAS since January 1, 2014?

No	Yes	If yes, please describe.
<input type="checkbox"/>	<input type="checkbox"/>	

III-15. **Conditions of competition.**--

(a) Is the CAAS market subject to business cycles (other than general economy-wide conditions) and/or other conditions of competition distinctive to CAAS?

Check all that apply.	Please describe.
<input type="checkbox"/> <b>No</b>	Skip to question III-16.
<input type="checkbox"/> <b>Yes-Business cycles (e.g. seasonal business)</b>	
<input type="checkbox"/> <b>Yes-Other distinctive conditions of competition</b>	

(b) If yes, have there been any changes in the business cycles or conditions of competition for CAAS since January 1, 2014?

No	Yes	If yes, describe.
<input type="checkbox"/>	<input type="checkbox"/>	

III-16. **Supply constraints.**--Has your firm refused, declined, or been unable to supply CAAS since January 1, 2014 (examples include placing customers on allocation or "controlled order entry," declining to accept new customers or renew existing customers, delivering less than the quantity promised, being unable to meet timely shipment commitments, etc.)?

No	Yes	If yes, please describe.
<input type="checkbox"/>	<input type="checkbox"/>	

III-17. **Raw materials.**--How have CAAS raw material prices changed since January 1, 2014?

Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explain, noting how raw material price changes have affected your firm's selling prices for CAAS.
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

III-18. **Interchangeability.**--Is CAAS produced in the United States and in other countries interchangeable (i.e., can they physically be used in the same applications)?

Please indicate A, F, S, N, or O in the table below:

A = the products from a specified country-pair are *always* interchangeable

F = the products are *frequently* interchangeable

S = the products are *sometimes* interchangeable

N = the products are *never* interchangeable

O = *no familiarity* with products from a specified country-pair

Country-pair	China	Other countries
United States		
China		
For any country-pair producing CAAS that is <i>sometimes</i> or <i>never</i> interchangeable, identify the country-pair and explain the factors that limit or preclude interchangeable use:		

III-19. **Factors other than price.**--Are differences other than price (e.g., quality, availability, transportation network, product range, technical support, *etc.*) between CAAS produced in the United States and in other countries a significant factor in your firm's sales of the products?

Please indicate A, F, S, N, or O in the table below:

A = such differences are *always* significant

F = such differences are *frequently* significant

S = such differences are *sometimes* significant

N = such differences are *never* significant

O = *no familiarity* with products from a specified country-pair

Country-pair	China	Other countries
United States		
China	X	
For any country-pair for which factors other than price <i>always</i> or <i>frequently</i> are a significant factor in your firm's sales of CAAS, identify the country-pair and report the advantages or disadvantages imparted by such factors:		



III-20. **Customer identification.**--List the names and contact information for your firm's 10 largest U.S. customers for CAAS since January 1, 2014. Indicate the share of the quantity of your firm's total shipments of CAAS that each of these customers accounted for in 2016.

	Customer's name	Contact person	Email	Telephone	City	State	Share of 2016 sales (%)
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							

III-21. **Other explanations.**--If your firm would like to further explain a response to a question in Part III that did not provide a narrative response box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

--

**Correcting Valid number error messages.**--If you are completing a Commission questionnaire in a country that uses periods (".") to delineate multiples of 1000 (e.g., one million would appear as \$1.000.000 instead of as \$1,000,000), you may be unable to enter in numbers greater than 999 in numeric form fields. This issues stem from your computer number formatting setting (e.g., not the MS Word document itself, but the computer from which you are opening up the document). In the United States commas (,) delineate multiples of 1000 and periods (.) delineate fractions less than one. Many EU countries use the reverse where multiples of 1000 are delineated with periods (.) and fractions less than one are delineated with commas (,). The US International Trade Commission's questionnaires are set-up in the United States with the U.S. number formatting. When this formatting interacts with a computer set to EU number formatting, we believe this may cause this issue.

The solution to this data entry issue is to temporarily change your operating system's number formatting to be consistent with the U.S. number formatting system while you complete the questionnaire.

To temporarily change your computer's number settings to U.S. settings, please do the following (for Microsoft Windows Operating system):

- START
- Control Panel
- Region and Language (under Clock, Language, and Region category)
- Format tab
- Change the Format from your existing one (e.g. "Italian (Italy)") to "English (United States)" (see screen shots below)

When you do this the number "twelve million dollars and thirty five cents" would change from \$12.000.000,35 (Italy format) to \$12,000,000.35 (U.S. format), and then there will be no conflict with the questionnaire. When you finish reporting the data then you can close the questionnaire and switch back to Italy settings.

The screenshot shows the 'Region and Language' dialog box with the 'Administrative' tab selected. The 'Format' dropdown is set to 'Italian (Italy)'. Under 'Date and time formats', the settings are: Short date: dd/MM/yyyy, Long date: dddd d MMMM yyyy, Short time: HH:mm, Long time: HH:mm:ss, and First day of week: lunedì. The 'Examples' section shows: Short date: 03/11/2015, Long date: martedì 3 novembre 2015, Short time: 10:35, and Long time: 10:35:44. At the bottom, there are 'OK', 'Cancel', and 'Apply' buttons, along with a link to 'Go online to learn about changing languages and regional formats' and an 'Additional settings...' button.

The screenshot shows the 'Region and Language' dialog box with the 'Administrative' tab selected. The 'Format' dropdown is set to 'English (United States)'. Under 'Date and time formats', the settings are: Short date: M/d/yyyy, Long date: dddd, MMMM dd, yyyy, Short time: h:mm tt, Long time: h:mm:ss tt, and First day of week: Sunday. The 'Examples' section shows: Short date: 8/6/2015, Long date: Thursday, August 06, 2015, Short time: 2:47 PM, and Long time: 2:47:25 PM. At the bottom, there are 'OK', 'Cancel', and 'Apply' buttons, along with a link to 'Go online to learn about changing languages and regional formats' and an 'Additional settings...' button.

## HOW TO FILE YOUR QUESTIONNAIRE RESPONSE

This questionnaire is available as a “fillable” form in MS Word format on the Commission’s website at:

[https://www.usitc.gov/investigations/title\\_7/2017/common\\_alloy\\_aluminum\\_sheet\\_china/preliminary.htm\\_0](https://www.usitc.gov/investigations/title_7/2017/common_alloy_aluminum_sheet_china/preliminary.htm_0).

***Please do not attempt to modify the format or permissions of the questionnaire document.*** Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

- **Upload via Secure Drop Box.**--Upload the MS Word questionnaire along with a scanned copy of the signed certification page (page 1) through the Commission’s secure upload facility:

**Web address:** <https://dropbox.usitc.gov/oinv/>      **Pin:** CAAS

- **E-mail.**--E-mail the MS Word questionnaire to [nathanael.comly@usitc.gov](mailto:nathanael.comly@usitc.gov); include a scanned copy of the signed certification page (page 1). *Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure drop-box system and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm’s nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.*

**If your firm does not produce this product**, please fill out page 1, print, sign, and submit a scanned copy to the Commission.

***Parties to this proceeding.***--If your firm is a party to this proceeding, it is required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission’s Secretary (202-205-1803). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR § 207.7). Service of the questionnaire must be made in paper form.