

## LOST SALES AND LOST REVENUE SURVEY

### COMMON ALLOY ALUMINUM SHEET FROM CHINA

This questionnaire must be received by the Commission by **December 14, 2017**  
*See last page for filing instructions.*

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its countervailing duty and antidumping duty investigations concerning common alloy aluminum sheet from China (Inv. Nos. 701-TA-591 and 731-TA-1399 (Preliminary)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your firm's possession (19 U.S.C. § 1333(a)). Further information on this survey can be obtained from **Craig Thomsen (202-205-3226, [craig.thomsen@usitc.gov](mailto:craig.thomsen@usitc.gov))**.

<b>Name of firm</b> _____		
<b>Address</b> _____		
<b>City</b> _____	<b>State</b> _____	<b>Zip Code</b> _____
<b>Website</b> _____		
Has your firm purchased <b>common alloy aluminum sheet ("CAAS")</b> (as defined on next page) at any time since January 1, 2014?		
<input type="checkbox"/> <b>NO</b>	(Sign the certification below and promptly return <b>only</b> this page of the survey to the Commission)	
<input type="checkbox"/> <b>YES</b>	(Complete all parts of the survey, and return the entire survey to the Commission)	
<b>Return questionnaire via the U.S. International Trade Commission <i>Drop Box</i> by clicking on the following link: <a href="https://dropbox.usitc.gov/oinv/">https://dropbox.usitc.gov/oinv/</a>. (PIN: <b>CAAS</b>)</b>		

#### CERTIFICATION

*I certify that the information herein supplied in this survey is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission. By submitting this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this survey and throughout this proceeding in any other import-injury proceedings conducted by the Commission on the same or similar merchandise.*

*I, the undersigned, acknowledge that information submitted in response to this request for information and throughout this investigation or other proceeding may be disclosed to and used: (i) by the Commission, its employees and Offices, and contract personnel (a) for developing or maintaining the records of this or a related proceeding, or (b) in internal investigations, audits, reviews, and evaluations relating to the programs, personnel, and operations of the Commission including under 5 U.S.C. Appendix 3; or (ii) by U.S. government employees and contract personnel, solely for cybersecurity purposes. I understand that all contract personnel will sign appropriate nondisclosure agreements.*

\_\_\_\_\_  
*Name of Authorized Official*

\_\_\_\_\_  
*Title of Authorized Official*

\_\_\_\_\_  
*Date*

\_\_\_\_\_  
*Signature*

\_\_\_\_\_  
*Phone*

\_\_\_\_\_  
*Email address*

**GENERAL INFORMATION**

***Background.***-- This proceeding was instituted in response to a notification of investigations self-initiated by the U.S. Department of Commerce ("Commerce"). That notification was deemed by the Commission as having been filed on December 1, 2017. Countervailing and antidumping duties may be assessed on the subject imports as a result of these proceedings if the Commission makes an affirmative determination of injury, threat, or material retardation, and if Commerce makes an affirmative determination of subsidization and dumping. Questionnaires and other information pertinent to this proceeding are available at [https://www.usitc.gov/investigations/title\\_7/2017/common\\_aluminum\\_sheet\\_china/preliminary.htm\\_0](https://www.usitc.gov/investigations/title_7/2017/common_aluminum_sheet_china/preliminary.htm_0).

***Common Alloy Aluminum Sheet ("CAAS").***-- The merchandise covered by these investigations is common alloy aluminum sheet ("CAAS"), which is a flat-rolled aluminum product having a thickness of 6.3 mm or less, but greater than 0.2 mm, in coils or cut-to-length, regardless of width. CAAS within the scope of this proceeding includes both not clad aluminum sheet, as well as multi-alloy, clad aluminum sheet. With respect to not clad aluminum sheet, CAAS is manufactured from a 1XXX-, 3XXX-, or 5XXX-series alloy as designated by the Aluminum Association. With respect to multi-alloy, clad aluminum sheet, CAAS is produced from a 3XXX-series core, to which cladding layers are applied to either one or both sides of the core.

CAAS may be made to ASTM specification B209-14, but can also be made to other specifications. Regardless of specification, however, all CAAS meeting the scope description is included in the scope. Subject merchandise includes CAAS that has been further processed in a third country, including but not limited to annealing, tempering, painting, varnishing, trimming, cutting, punching, and/or slitting, or any other processing that would not otherwise remove the merchandise from the scope of the investigation if performed in the country of manufacture of the CAAS.

Excluded from the scope of these investigations is aluminum can stock (as defined below).

Where the nominal and actual measurements vary, a product is within the scope if application of either the nominal or actual measurement would place it within the scope based on the definitions set for the above.

CAAS is currently classifiable under Harmonized Tariff Schedule of the United States ("HTSUS") statistical reporting numbers 7606.11.3060, 7606.11.6000, 7606.12.3090, 7606.12.6000, 7606.91.3090, 7606.91.6080, 7606.92.3090, and 7606.92.6080. Further, merchandise that falls within the scope of these investigations may also be entered into the United States under HTSUS statistical reporting numbers 7606.11.3030, 7606.12.3030, 7606.91.3060, 7606.91.6040, 7606.92.3060, 7606.92.6040, 7607.11.9090. Although the HTSUS statistical reporting numbers are provided for convenience and customs purposes, the written description of the scope of these investigations is dispositive.

***Aluminum can stock.***-- Aluminum can stock is suitable for use in the manufacture of aluminum beverage cans, lids of such cans, or tabs used to open such cans. Aluminum can stock is produced to gauges that range from 0.200 mm to 0.292 mm, and has an H-19, H-41, H-48, or H-391 temper. In addition, aluminum can stock has a lubricant applied to the flat surfaces of the can stock to facilitate its movement through machines used in the manufacture of beverage cans. Aluminum can stock is properly classified under HTSUS statistical reporting numbers 7606.12.3045 and 7606.12.3055.

**Aluminum foil.**--Aluminum foil is defined as aluminum processed with a thickness of 0.2 mm or less.

**Aluminum plate.**—Aluminum plate is defined as aluminum processed with a thickness of greater than 6.3 mm.

**Reporting of information.**-- If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, and/or purchaser questionnaire), you need not respond to duplicated questions.

**Confidentiality.**--The data furnished in response to this survey that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (*see* 19 U.S.C. 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

**Release of information.**--The information provided by your firm in response to this survey, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals. Please also retain a copy of the final document that you submit.

**Contact information.**--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in this survey. This may or may not be the person whose signature is at the bottom of page 1.

Name	
Title	
Email	
Telephone	

**Firms operating more than one establishment should combine the data for all establishments into a single response.**

**PURCHASE INFORMATION**

1. **Purchases and imports.**--Report your firm’s total domestic purchases and direct imports of CAAS.

Please report **separately** your domestic purchases and your direct imports (where your firm was the importer of record).

**“Domestic Purchase”** – Purchase from a U.S. entity such as a U.S. producer, a U.S. distributor, or a U.S. firm.

**“Direct Import”** – Purchase directly from a foreign supplier and your firm is the importer of record.

Item	2014	2015	2016
	Quantity (in short tons)		
<b>Purchases of CAAS produced in—</b> United States			
China			
All other countries <sup>1</sup>			
Sources unknown			
Total purchases	0	0	0
<b>Imports of CAAS from—</b> China			
All other countries <sup>1</sup>			
Total imports	0	0	0
<sup>1</sup> Please identify these countries:			

2. **Changes in purchasing patterns.**--Please indicate how the shares of your firm’s purchases of CAAS from different sources have changed since January 1, 2014.

Source of purchases	Did not purchase	Decreased	Increased	Constant	Fluctuated	Explanation for trend
United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
China	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
All other countries	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Sources unknown	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

3. **Major purchasing factors.**--Please list, in order of their importance, the main factors your firm considers in deciding from whom to purchase CAAS.

1.	
2.	
3.	
Please list any other factors that are very important in your purchase decisions:	

4. **Purchasing subject imports rather than domestic products.**—

(a) Since January 1, 2014, did your firm purchase imports of CAAS from China instead of U.S.-produced CAAS?

Yes (also respond to parts (b) and (c))	No (If "No", skip to next question)
<input type="checkbox"/>	<input type="checkbox"/>

(b) If you responded "Yes" to part (a), was the imported product priced lower than the domestic product?

Yes	No
<input type="checkbox"/>	<input type="checkbox"/>

(c) If you responded "Yes" to part (a), was price a primary reason for purchasing subject imports rather than domestic product?

Yes	If Yes, estimate the quantity of imports purchased instead of domestic product since January 2014 (in short tons)	No	If No, please indicate the reason your firm purchased imports instead of domestic product
<input type="checkbox"/>		<input type="checkbox"/>	

5. **U.S. producers and import competition.**—

- (a) Since January 1, 2014, in connection with a sale or offer to sell CAAS to your firm, did U.S. producers reduce their prices of domestically produced CAAS in order to compete with lower-priced imports of CAAS from China?

Yes (also respond to question part (b))	No (If “No”, skip to next question)	Don’t know
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

- (b) If your firm responded “yes” to any of the above countries, please provide an estimate of the reduction in U.S. producers’ prices, and any additional explanations, such as timing (e.g., months/years), frequency of price reductions, or other market/competitive factors.

Estimated reduction in U.S. prices (percent)	Additional explanation, including such information as timing (e.g., months/years), frequency of price reductions, or other market/competitive factors
%	

6. **Method of purchase**--Please provide a general description of your firm’s method(s) of purchase (e.g., individual purchase, contract, bids, Internet purchases, etc.) for CAAS.

7. **Other explanations**--Please provide any additional comments in this box.

**OMB INFORMATION**

8. **OMB statistics.**--Please report the actual number of hours required and the cost to your firm of completing this survey.

Hours	Dollars

The questions in this survey have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this survey is estimated to average 4 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the survey.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this survey. Please attach such comments to your response or send to the Office of Investigations, USITC, 500 E St. SW, Washington, DC 20436.

## **HOW TO FILE YOUR SURVEY RESPONSE**

***Please do not attempt to modify the format or permissions of the survey document.***

Please submit the completed survey using one of the methods noted below. If your firm is unable to complete the MS Word survey or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

- **Upload via Secure Drop Box.**—Upload the MS Word survey along with a scanned copy of the signed certification page (page 1) through the Commission’s secure upload facility:

- **Web address:** <https://dropbox.usitc.gov/oinv/>
- **Enter Investigation:** Select “**Common Alloy Aluminum Sheet**” in the drop down menu
- **Pin:** **CAAS**

- **E-mail.**—E-mail the MS Word survey to [craig.thomsen@usitc.gov](mailto:craig.thomsen@usitc.gov); include a scanned copy of the signed certification page (page 1). *Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure drop-box system and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm’s nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.*

**If your firm did not purchase this product**, please fill out page 1, print, sign, and submit a scanned copy to the Commission.