

# REPLACEMENT DOCUMENT (CITES/ESA/MMPA/WBCA/LACEY ACT)

U.S.
FISH & WILLLIFE
SEMVIZE

OF THE

□New □Reissue/Renew □Amendment

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C.\*\*

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A. Complete if applying as an ind	ividual						
1.a. Last name		1.b. First name		1.c. Middle	e name or initial	1.d. Suffix	
2 Date of birth 5.a. Telephone num	hor E h	Alternate talanhana	I6 ⊑ mail ad	drage			
2 Date of birth (mm/dd/yyyy) 5.a. Telephone num	Alternate telephone ber	6. E-mail add	aress				
B. Complete if applying on behalf	of a business, c	orporation, public a	gency, Tribe, o	or institution			
1.a. Name of business, agency, Tribe	, or institution		1.b. Doing bus	iness as (dba)			
Tax identification no.	3 a Description	of business, agency, <sup>-</sup>	Trihe or instituti	ion	3 h Wehsite I	URL (if applicable)	
2. Tax lucinincation no.		or basiness, agency,	Tribe, or moutau	iso, or monador		(,	
4.a. Principal officer (P.O.) last name	4.b. P.O. first na	me	4.c. P.O. middle initial		4.b. P.O. Title		
						ı	
5. Primary contact name		6. Primary e-mail address					
7.a. Business telephone number	Alternate phone no.		8.a. Prim	8.a. Primary contact telephone no.			
·							
C. All applicants complete addres	ss information						
1.a. Physical address (Street address	; Apartment #, Sui	ite #, or Room #; no P	O. Boxes)				
1. 0.		In al. 7 in and a / Doctor Local and a		In a Count //Drawin	Int Country		
1.b. City 1.c. State		1.d. Zip code/Postal code		1.e. County/Province	.ie   1.1.	Country	
2.a. Mailing Address (include if differe	nt than physical a	.ddress; include name	of contact pers	on if applicable)			
•	, ,	•	·	, ,			
2.b. City	2.c. State	2.c. State 2.d. Zip code/		Postal code 2.e. County/Province		Country	
D. All applicants MUST complete	e						
Include a check or money							
<b>schedule)</b> . Federal, Triba processing fee – <b>attach d</b> e						are exempt from the	
, ,		<u> </u>					
2. If you are requesting a reis	ssue/renew/amend	dment, what is your pe	ermit/file numbe	er?			
<ol> <li>Certification: I hereby cert Regulations and the other</li> </ol>							
application for a permit is	complete and acc	curate to the best of m					
may subject me to the crin	ninal penalties of 1	l8 U.S.C. 1001.					
The individual/principal officer of the	business must pri	int and sign the applic	ation. (No photo	ocopied or stamped	signatures)	Date (mm/dd/yyyy)	

<sup>\*\*</sup> Click here for additional instructions on completing the above form (link to instruction page). See the last page for information on the Privacy Act, Paperwork Reduction Act, Estimated Burden, and Freedom of Information Act aspects of this application form.

OMB Control No. 1018-0093 Expires ##/##/2023

Mail your application(s) to Division of Management Authority, Branch of Permits, MS:IA 5275 Leesburg Pike, Falls Church, VA 22041-3803.

#### REPLACEMENT DOCUMENT (CITES/ESA/MMPA/WBCA/LACEY ACT) E.

NOTE 1: Use this application to request a replacement document if your currently valid permit has been lost or misplaced (for this application, all permits, registrations, and certificates are referred to as a permit).

NOTE 2: The application must be submitted to the office that issued the initial permit.

lical	the following information. Complete all questions on the application. Mark questions that are not ble with "N/A". If needed, use a separate sheet of paper. On all attachments or separate sheets you indicate the application question number you are addressing.
1.	Name and address where you wish the permit to be mailed, <b>if different from page 1</b> . If you would like expedited shipping, please enclose a self-addressed, pre-paid, computer-generated, courier service airway bill. If unspecified, all documents will be mailed via regular mail through the U.S. Postal Service.
2.	Who should we contact if we have questions about the application (name, phone number, and e-mail)?
3.	Have you or any of the owners of the business (if applying as a business, corporation, or institution), been assessed a civil penalty or convicted of any criminal provision of any statute or regulation relating to the activity for which the application is filed; been convicted, or entered a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act; forfeited collateral; OR are currently under charges for any violation of the laws mentioned above?
	No Yes
	If you answered "Yes" to Question 3, provide: a) the individual's name; b) date of charge; c) charge(s); d) location of incident; e) court, and f) action taken for each violation. Please be aware that a "Yes" response does not automatically disqualify you from getting a permit.
4.	Identify the permit number (found in the upper right corner) of the permit needing replacement, if known:
5.	Current location of specimen (include address and country):

- 6. If the shipment has already occurred (i.e., the document was lost or damaged in transit), provide copies of:
  - Cancelled CITES export or re-export document validated by OLE; a.
  - b. For wildlife, cleared Declaration for Importation or Exportation of Fish or Wildlife (Form 3-177); AND

FWS Form 3-200-66 (Rev. 07/2020) U.S. Department of Interior

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- c. Any correspondence you had with the shipper or importing country's Management Authority concerning the shipment.
- \*Please be aware that if the permit was lost in transit, the issued permit will be forwarded to the Management Authority of the foreign country.
- 7. If the shipment has **not** occurred and the original permit no longer exists, submit a signed, dated, and notarized statement that:
  - a. Refers to the permit number and describes the circumstances that resulted in the loss or destruction of the original permit;
  - b. Includes a statement that affirms the shipment did not occur;
  - c. You are requesting a replacement U.S. CITES permit;
  - d. If found, the original permit will be returned to the Division of Management Authority.
- 8. If the shipment has **not** occurred and the original permit exists but has been damaged, submit the original damaged permit and a signed, dated, and notarized statement that:
  - a. Describes the circumstances that resulted in the permit being damaged;
  - b. Includes a statement that affirms the shipment did not occur;
  - c. You are requesting a replacement U.S. CITES permit.

All international shipment(s) must be through a designated port. A list of designated ports (where an inspector is posted) is available. If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2).

### **CITES Permit Offices**

**NOTE:** The application **must** be submitted to Division of Management Authority <u>or</u> to the Office of Law Enforcement in the region where the applicant is located.

## **Division of Management Authority (DMA)**

5275 Leesburg Pike, MS-IA Falls Church, VA 22041-3803

### Office of Law Enforcement (OLE)

#### **Anchorage**

U.S. Fish & Wildlife Service Office of Law Enforcement P.O. Box 190045 4600 Postmark Drive, Suite NB207 Anchorage, AK 99519 Phone: (907) 271-6198 Fax: (907) 271-6199

#### Atlanta

U.S. Fish & Wildlife Service Office of Law Enforcement 4341 International Parkway, Suite #104 Atlanta, GA 30354

Phone: (404) 763-7959 Fax: (404) 366-7031

### Blaine, WA

U.S. Fish & Wildlife Service Office of Law Enforcement 9925 Pacific Highway, Room 136 Blaine, WA 98230 Phone: (360) 332-5388 Fax: (360) 332-3010

### Chandler, AZ

U.S. Fish & Wildlife Service Office of Law Enforcement 2545 W. Frye Road, Suite 8 Chandler, AZ 85224-6273 Phone: (480) 967-2007 Fax: (480) 966-9766

### Denver, CO

U.S. Fish & Wildlife Service Office of Law Enforcement Denver International Airport P.O. Box 492098 Denver, CO 80249 Phone: (303) 342-7430 Fax: (303) 342-7433

## Fairbanks

U.S. Fish & Wildlife Service Office of Law Enforcement 1412 Airport Way Fairbanks, AK 99701 Phone: (907) 456-2335 Fax: (907) 456-2356

#### Guam

U.S. Fish & Wildlife Service Office of Law Enforcement 415 Chalan San Antonio Road, Suite 209

Tamuning, Guam 96913-3620 Phone: (671) 647-6064 Fax: (671) 647-6068

#### Honolulu, HI

U.S. Fish & Wildlife Service Office of Law Enforcement 3375 Koapaka St., #B296 Honolulu, HI 96819-1867 Phone: (808) 861-8525 Fax: (808) 861-8515

### Los Angeles

U.S. Fish & Wildlife Service Office of Law Enforcement 370 Amapola Ave., #114 Torrance, CA 90501 Phone: (310) 328-6307 Fax: (310) 328-6399

#### Miam

U.S. Fish & Wildlife Service Office of Law Enforcement 3701 NW 82nd Avenue, Ste #1 Doral, Florida 33166 Phone: (305) 526-2994 or 2620 Fax: (305) 526-7480

#### **New Orleans**

Mail: U.S. Fish & Wildlife Service Office of Law Enforcement 61387 Hwy 434

61387 Hwy 434 Lacombe, LA 70445

### Physical:

U.S. Fish & Wildlife Service Office of Law Enforcement 2424 Edenborn, Room 100 Metairie, LA 70001 Phone: (504) 219-8870 Fax: (504) 219-8868

#### Portland, OR

U.S. Fish & Wildlife Service Office of Law Enforcement P.O. Box 55206 Portland, OR 97238 Phone: (503) 231-6135 Fax: (503) 231-6133

### Rosemont, IL

U.S. Fish & Wildlife Service Office of Law Enforcement 10600 Higgins Road, Suite 200 Rosemont, IL 60018 Phone: (847) 298-3250 Ext. 110

Fax: (847) 298-7669

### San Francisco

1633 Old Bayshore Highway, Suite 248

Burlingame, CA 94010 Phone: (650) 876-9078 Fax: (650) 876-9701

### Seattle

U.S. Fish and Wildlife Service Office of Law Enforcement 17930 International Blvd. Suite 500 Seatac WA 98188

### Valley Stream, NY

U.S. Fish & Wildlife Service Office of Law Enforcement 70 E. Sunrise Hwy, Suite 419 Valley Stream, NY 11580 Phone: (516) 825-3950 Fax: (516) 825-3597

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### **NOTICES**

### PRIVACY ACT STATEMENT

**Authority:** The information requested is authorized by the following: the Bald and Golden Eagle Protection Act (16 U.S.C. 668), 50 CFR 22; the Endangered Species Act (16 U.S.C. 1531-1544), 50 CFR 17; the Migratory Bird Treaty Act (16 U.S.C. 703-712), 50 CFR 21; the Marine Mammal Protection Act (16 U.S.C. 1361, et seq.), 50 CFR 18; the Wild Bird Conservation Act (16 U.S.C. 4901-4916), 50 CFR 15; the Lacey Act: Injurious Wildlife (18 U.S.C. 42), 50 CFR 16; Convention on International Trade in Endangered Species of Wild Fauna and Flora (TIAS 8249), 50 CFR 23; General Provisions, 50 CFR 10; General Permit Procedures, 50 CFR 13; and Wildlife Provisions (Import/export/transport), 50 CFR 14.

**Purpose:** The collection of contact information is to verify the individual has an eligible permit to conduct activities which affect protected species. This helps FWS monitor and report on protected species and assess the impact of permitted activities on the conservation and management of species and their habitats.

**Routine Uses:** The collected information may be used to verify an applicant's eligibility for a permit to conduct activities with protected wildlife; to provide the public and the permittees with permit related information; to monitor activities under a permit; to analyze data and produce reports to monitor the use of protected wildlife; to assess the impact of permitted activities on the conservation and management of protected species and their habitats; and to evaluate the effectiveness of the permit programs. More information about routine uses can be found in the System of Records Notice, Permits System, FWS-21.

**Disclosure:** The information requested in this form is voluntary. However, submission of requested information is required to process applications for permits authorized under the listed authorities. Failure to provide the requested information may be sufficient cause for the U.S. Fish & Wildlife Service to deny the request.

### PAPERWORK REDUCTION ACT STATEMENT

We are collecting this information subject to the Paperwork Reduction Act (44 U.S.C. 3501) in order provide the U.S. Fish and Wildlife Service the information necessary, under the applicable laws governing the requested activity, for which a permit is requested. Information requested in this form is purely voluntary. However, submission of requested information is required in order to process applications for permits authorized under the applicable laws. Failure to provide all requested information may be sufficient cause for the U.S. Fish and Wildlife Service to deny the request. According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. OMB has approved this collection of information and assigned Control No. 1018-0093.

### **ESTIMATED BURDEN STATEMENT**

We estimate public reporting for this collection of information to average 15 minutes, including time for reviewing instructions, gathering and maintaining data and completing and reviewing the form. Direct comments regarding the burden estimate or any other aspect of the form to the Service Information Clearance Officer, Fish and Wildlife Service, U.S. Department of the Interior, 5275 Leesburg Pike, MS: PRB (JAO/3W), Falls Church, VA 22041-3803, or via email at Info Coll@fws.gov. Please do not send your completed form to this address.