

EXPORT OF CAVIAR OR MEAT OF PADDLEFISH OR STURGEON REMOVED FROM THE WILD (CITES)



□New	☐Reissue/Renew	□Amendment
□ IAEM	□ L/CI220C/L/CI1CM	

Complete Sections **A or B**, and C. D. and E of this application, U.S. address may be required in Section C. *

Complete Seci	tions A or B , and C	, D, and E C	n trus ap	pilcation. U.S.	address may	be requi	ilea in Sec	cuon C.		
A. Complete if	applying as an indi	vidual								
1.a. Last name		1.b. First name				1.c. Middle name or initia		nitial	1.d. Suffix	
		5.b. Alternate telephone number		6. E-mail add	dress					
B. Complete if	annlying on habalf	of a busines	0 00 50 5	ration nublic a	ganay Triba a	r inotituti	ion			
Name of business, agency, Tribe, or institution			s, corporation, public agency, Tribe, or institution 1.b. Doing business as (db)							
2. Tax identification	Tax identification no. 3.a. Descrip		otion of business, agency, T		Tribe, or institution			3.b. Website URL (if applicable)		
4.a. Principal offic	cer (P.O.) last name	4.b. P.O. first	name		4.c. P.O. middle initial			4.b. P.O. Title		
5. Primary contact name				6. Primary e-mail address		SS				
7.a. Business telephone number			7.b. Alternate phone no.		•	8.a. Prin		mary contact telephone no.		
	nts complete address ress (Street address;			or Room #; no P	P.O. Boxes)					
1.b. City 1.c. State		1.c. State	1.d. Zip code/Pos		Postal code	1.e. County/Provin		e	1.f. Country	
2.a. Mailing Addr	ess (include if differer	nt than physic	al address	s; include name	of contact person	on if appli	cable)			
2.b. City 2.c. Sta		2.c. State	2.d. Zip code/F		Postal code 2.e. County		nty/Province		2.f. Country	
D. All applica	ints MUST complete	1								
1. Includ	e a check or money dule). Federal, Triba ssing fee – attach do	order, payabl I, State, and	local gove	ernment agenci	es, and those a	cting on I	behalf of si	uch agenci	es, are ex	
	are requesting a reis									
3. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.										
The individual/principal officer of the business must print and sign the application. (No photocopied or stamped signatures) Date (mm/dd/yyyy)										

Mail your application(s) to Division of Management Authority, Branch of Permits, MS:IA 5275 Leesburg Pike, Falls Church, VA 22041-3803.

^{**} Click here for additional instructions on completing the above form (link to instruction page). See the last page for information on the Privacy Act, Paperwork Reduction Act, Estimated Burden, and Freedom of Information Act aspects of this application form.

ii.

E. Export of Caviar or Meat of Paddlefish or Sturgeon Removed from the Wild (CITES)

NOTE: To ensure the most relevant application is being submitted, please review the following before completing this form. To request export of live specimens removed from the wild submit form 3-200-27; export of aquacultured caviar or meat submit form 3-200-80; export of aquacultured specimens submit form 3-200-24; re-export of specimens submit form 3-200-73; or export or re-export of species listed under the U.S. Endangered Species Act submit form 3-200-37.

Electronic submission of inventories, photographs, and receipts/invoices: If you wish to provide information electronically, please

		rive containing your information with your physical application.
1.	expedited s	address where you wish the permit to be mailed, if different from page 1 . If you would like hipping, please enclose a self-addressed, pre-paid, computer-generated, courier service airway ecified, all documents will be mailed via regular mail through the U.S. Postal Service.
2.	Who should	I we contact if we have questions about the application (name, phone number, and e-mail)?
3.	assessed a activity for vifelony violation	r any of the owners of the business (if applying as a business, corporation, or institution), been civil penalty or convicted of any criminal provision of any statute or regulation relating to the which the application is filed; been convicted, or entered a plea of guilty or nolo contendere, for a tion of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act; llateral; OR are currently under charges for any violation of the laws mentioned above?
	No	Yes
	location of i	ered "Yes" to Question 3, provide: a) the individual's name; b) date of charge; c) charge(s); d) ncident; e) court, and f) action taken for each violation. Please be aware that a "Yes" response tomatically disqualify you from getting a permit.
sep	parate sheets	estions on the application. Mark questions that are not applicable with "N/A". If needed, use sof paper. On all attachments or separate sheets you submit, indicate the application question addressing.
4.	This applica	ation can be used to apply for:
	A single	shipment for export. If approved, a single permit for a specific amount of caviar will be issued.
	ablish a mas	shipments of identical amounts. For multiple shipments of identical amounts, the Service can ster file. If the master file is approved, you can obtain a number of single-use permits, valid for 6 or ander 50 CFR 13.11.
То	obtain single	e use permits from the master file, complete below:
	i. Total w	eight of caviar you are requesting to be authorized:

How many permits do you anticipate using in the next 6 months? _____

month permit). Additional permits may be requested by submitting form 3-200-74.

___. (Provide \$5 for each 6-

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iii.	Quantity and units you are requesting on each permit (must be identical for each permit):
	(Example: Total Amount = 100 kg; 4 permits; 25 kg each.)
	equesting a single-use permit or master file with only one recipient , provide the name and address of eign recipient (if shipping to yourself, include your foreign address):
	NOTE: Providing a foreign recipient is <i>not</i> required if establishing a master file.
Name: Business N Address: Address: City: State/Provir	
Country, Po	
6. For	each product to be exported, provide:
a.	Scientific name (genus, species, and if applicable, subspecies) and common name of the fish:
b.	State(s) and water body of harvest of source specimens, if not found on State harvest report:
C.	Product description (caviar or meat):
0.	Troduct decomplian (carrier of meat).
d.	Weight (in metric and standard units):
e.	Shipping containers to be used (e.g., jars, tins, buckets) and sizes (provide units):
	11 5 (

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	f.	Shipment will be fresh, frozen, pasteurized, or other (describe):	
	g.	. If caviar, provide list of labels (see enclosed <u>caviar</u> labeling requirements).	
	h.	. Current location of specimen(s):	
;		Does this application request authorization to export a portion of a product(s) that previous permit?	ıt was authorized under a
		No. If no, continue to question 8.	
		Yes. If yes, complete a. below and continue to questions 8-13.	
	i	a. Provide a copy of the original CITES export permit and cleared 3-177 declars exported a portion of the previously authorized product, and complete and si statement: I hereby certify that the product pertaining to this current applicati previously authorized for export under permit number	gn (in ink) the following
9	Siar	nature Date	
application	on,	B through 13 must be answered for the entire quantity of product(s) listed in your a you are certifying that all the information provided in support of this application is my and all information provided about suppliers, dealers, and fishers.	
8		List ALL intermediary suppliers' and/or dealers' names, addresses, State permit (i.e., meat or caviar), and weight of product obtained from each supplier (attach a necessary):	
	•	Name: Address: City: State/Province: County, Postal Code: State permit number:	
		Total weight of product supplied:	
!	9.		ot obtained from each (attach

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NOTE for questions 10-13: If you obtained product(s) from a supplier or dealer, you must supply all of the following information from the supplier. All supporting documentation must be submitted in an organized format to reduce processing time. We request that documentation is organized first by State of harvest (*i.e.*, all documentation for fish harvested from a particular state are grouped together). Within each State packet, the documentation should be grouped by fisher (*i.e.*, all invoices for a particular fisher are grouped together).

- 10. Provide a copy of the appropriate commercial buyer's license(s).
- 11. For each fisher listed in number 9, provide a copy of the current license(s), permit(s), or other documents issued by the State or local wildlife authorities approving the commercial fishing activities.
- 12. Provide copies of each fisher's State harvest report for the product(s) to be exported. The harvest report should include, at a minimum, the following information. If any of this information is not listed on the fisher's harvest report, the information must be provided separately for each fisher on an attached sheet of paper and signed by the fisher.
 - a. Dates the fish were harvested;
 - b. Harvest location(s), including the State, county(ies), body of water, AND the nearest mile marker or boat ramp to each harvest location; and
 - Gear used to harvest the fish.
- 13. Provide copies of documentation showing the transfer of ownership (e.g., dated sales receipts/invoices) of the product from each fisher or supplier to you. If you received the product from a supplier(s) or source other than the harvesters, provide documentation demonstrating the history of transactions (chain of ownership of the product) from the fisher(s) to you. You must be able to provide documentation for EACH change in ownership from the time of harvest until the time you purchased the product.

All international shipment(s) must be through a designated port. A <u>list of designated ports</u> (where an inspector is posted) is available. If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2).

NOTICES

PRIVACY ACT STATEMENT

Authority: The information requested is authorized by the following: the Bald and Golden Eagle Protection Act (16 U.S.C. 668), 50 CFR 22; the Endangered Species Act (16 U.S.C. 1531-1544), 50 CFR 17; the Migratory Bird Treaty Act (16 U.S.C. 703-712), 50 CFR 21; the Marine Mammal Protection Act (16 U.S.C. 1361, et seq.), 50 CFR 18; the Wild Bird Conservation Act (16 U.S.C. 4901-4916), 50 CFR 15; the Lacey Act: Injurious Wildlife (18 U.S.C. 42), 50 CFR 16; Convention on International Trade in Endangered Species of Wild Fauna and Flora (TIAS 8249), 50 CFR 23; General Provisions, 50 CFR 10; General Permit Procedures, 50 CFR 13; and Wildlife Provisions (Import/export/transport), 50 CFR 14.

Purpose: The collection of contact information is to verify the individual has an eligible permit to conduct activities which affect protected species. This helps FWS monitor and report on protected species and assess the impact of permitted activities on the conservation and management of species and their habitats.

Routine Uses: The collected information may be used to verify an applicant's eligibility for a permit to conduct activities with protected wildlife; to provide the public and the permittees with permit related information; to monitor activities under a permit; to analyze data and produce reports to monitor the use of protected wildlife; to assess the impact of permitted activities on the conservation and management of protected species and their habitats; and to evaluate the effectiveness of the permit programs. More information about routine uses can be found in the System of Records Notice, Permits System, FWS-21.

Disclosure: The information requested in this form is voluntary. However, submission of requested information is required to process applications for permits authorized under the listed authorities. Failure to provide the requested information may be sufficient cause for the U.S. Fish & Wildlife Service to deny the request.

PAPERWORK REDUCTION ACT STATEMENT

We are collecting this information subject to the Paperwork Reduction Act (44 U.S.C. 3501) in order provide the U.S. Fish and Wildlife Service the information necessary, under the applicable laws governing the requested activity, for which a permit is requested. Information requested in this form is purely voluntary. However, submission of requested information is required in order to process applications for permits authorized under the applicable laws. Failure to provide all requested information may be sufficient cause for the U.S. Fish and Wildlife Service to deny the request. According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. OMB has approved this collection of information and assigned Control No. 1018-0093.

ESTIMATED BURDEN STATEMENT

We estimate public reporting for this collection of information averages:

Original submission - paper-based: 3 hours

Amended submission - paper-based: 2 hours 30 minutes Original submission - electronic: 2 hours 30 minutes Amended submission - electronic: 2 hours 15 minutes

These estimates include time for reviewing instructions, gathering and maintaining data and completing and reviewing the form. Direct comments regarding the burden estimate or any other aspect of the form to the Service Information Clearance Officer, Fish and Wildlife Service, U.S. Department of the Interior, 5275 Leesburg Pike, MS: PRB/PERMA (JAO), Falls Church, VA 22041-3803, or via email at Info_Coll@fws.gov. Please do not send your completed form to this address.