

U.S. Department of Commerce  
 NOAA/National Marine Fisheries  
 Service  
 Greater Atlantic Region Permit  
 Office  
 55 Great Republic Drive  
 Gloucester, MA 01930  
 Tel: (978) 282-8438



**Initial Application for a Federal  
 Limited Access Tier 3  
 Longfin Squid Permit**

**Section 1 - Write in Owner, Contact, and Vessel Information**

Owner name	Name of Vessel
Mailing address	Vessel Permit Number
City, State	USCG Documentation / State Registration
Zip Code	Phone Number

**Section 2A - Limited Access Tier 3 Longfin Squid Permit Category Description (SMB1C)**

A vessel can be issued only one limited access category for longfin squid.

Limited Access Tier 3 longfin Squid Permit (SMB1C) criteria are that you must have at least 5,000 lb best year landings during 1997-2013. If you meet this criteria you will be issued a Limited Access Tier 3 longfin Squid Permit (SMB1C).

Please read the enclosed permit holder letter for more information regarding eligibility criteria for each category.

**Section 2B - Check off one or more gears to be used with the longfin squid permit**

<input type="checkbox"/>	120 -Purse Seine	<input type="checkbox"/>	210 - Beach Seine	<input type="checkbox"/>	220 - Boat Seine	<input type="checkbox"/>	310 - Bottom Trawl
<input type="checkbox"/>	320 -Midwater Trawl	<input type="checkbox"/>	330 - Other Trawls	<input type="checkbox"/>	410 - Dredge	<input type="checkbox"/>	700 - Gill/Entangling Nets
<input type="checkbox"/>	800 -Pots and Traps	<input type="checkbox"/>	911 - Handlines	<input type="checkbox"/>	912 - Rod and Reel	<input type="checkbox"/>	913 - Tub Trawl
<input type="checkbox"/>	920 - Longlines/Setlines	<input type="checkbox"/>	1010 - Harpoons	<input type="checkbox"/>	1200 - Other Gears	<input type="checkbox"/>	1400 - Diving Gear

**Please sign and date the back of this form.**

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**If you have already renewed the vessel's permit(s) for the 2018 permit year:**

1. Complete all sections and return this application to the address on the front of this form.

**If your vessel has not been issued a permit for the 2018 permit year or your vessel is replacing another qualifying vessel, you must:**

1. Complete all sections and return this application to the address on the front of this form.
2. Complete the Application for Vessel Upgrade, Replacement, and Confirmation of Permit History form and return it along with your application.
3. Complete the vessel ownership form and return it along with your application.
4. Include a copy of the vessel's unexpired Coast Guard Documentation or State Registration.

**Confirmation of Permit History (CPH) -** If your vessel has sunk, been destroyed, or sold to another person without its permit history, you may apply for a CPH using the following instructions:

1. Complete all sections and return this application to the address on the front of this form.
2. Complete the vessel ownership form and return it along with your application.
3. To verify that you have retained the permit history of a vessel you no longer own, please provide one of the following:
  - a. A bill of sale or document stating that the permit history has been retained by you (the seller) and signed by you and the buyer; or
  - b. A copy of the confirmation of permit history.
4. To verify that the vessel has been destroyed or is no longer seaworthy, please provide one of the following:
  - a. Coast Guard accident report;
  - b. Insurance adjustor's report; or
  - c. Verification from certified marine surveyor that vessel is no longer seaworthy.

### Section 3 - Applicant's Name and Signature

<input type="checkbox"/> Applying using retained history  <input type="checkbox"/> No Longer Own Vessel  <input type="checkbox"/> Vessel Sold  <input type="checkbox"/> Vessel Destroyed  See CPH instructions above for more information	I, the undersigned, am the owner or legally authorized agent of the owner of the vessel named in Section 1 above. I affirm, subject to the penalties provided in 18 USC 1001, that all information that I have given in obtaining this permit is true and correct.  Print Name: _____  Signature: _____ Date: _____
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**PAPERWORK REDUCTION ACT STATEMENT:** Public reporting burden for this collection of information is estimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to the Assistant Regional Administrator, Sustainable Fisheries Division, NOAA National Marine Fisheries Service, 55 Great Republic Drive, Gloucester, MA 01930. All landings and catch data, and any other information protected under federal law, will be kept confidential. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

Any information submitted on this NOAA approved permit application form, which is not otherwise protected under federal law, may be disclosed upon request. To avoid a delay in processing, please verify and complete all sections and include all information requested on this form.

**OMB CONTROL NO.:** 0648-0679 Expires: XX/XX/XXXX

**Privacy Act Statement**

**Authority:** The collection of this information is authorized under the Magnuson-Stevens Fishery Conservation and Management Act, 16 U.S.C 1801 et seq., the High Seas Fishing Compliance Act, the Tuna Conventions Act of 1950, the Antarctic Marine Living Resources Convention Act, the Western and Central Pacific Fisheries Convention Implementation Act (WCPFCIA; 16 U.S.C. 6901 et seq), the Marine Mammal Protection Act, the Endangered Species Act and the Fur Seal Act.

**Purpose:** In order to manage U.S. fisheries, the NOAA National Marine Fisheries Service (NMFS) requires the use of permits or registrations by participants in the United States. Information on NOAA Fisheries permit applicants and renewing holders includes vessel owner contact information and vessel descriptive information. Permit holder information may be used as sampling frames for surveys, as part of Fishery Management Council (FMC) analysis to support FMC decisions.

**Routine Uses:** The Department will use this information to determine permit eligibility and to identify fishery participants. Disclosure of this information is permitted under the Privacy Act of 1974 (5 U.S.C. Section 552a), to be shared within NMFS offices, in order to coordinate monitoring and management of sustainability of fisheries and protected resources, as well as with the applicable State or Regional Marine Fisheries Commissions and International Organizations. Disclosure of this information is also subject to all of the published routine uses as identified in the Privacy Act System of Records Notice [COMMERCE/NOAA-19](#), Permits and Registrations for the United States Federally Regulated Fisheries.

**Disclosure:** Furnishing this information is voluntary; however, failure to provide complete and accurate information will prevent the determination of eligibility for a permit.