

# Privacy Impact Assessment Form

v 1.21

Status  Form Number  Form Date

Question

Answer

1 OPDIV:

CDC

2 PIA Unique Identifier:

TBD

2a Name:

Asthma Information Reporting System (AIRS)

3 The subject of this PIA is which of the following?

- General Support System (GSS)  
 Major Application  
 Minor Application (stand-alone)  
 Minor Application (child)  
 Electronic Information Collection  
 Unknown

3a Identify the Enterprise Performance Lifecycle Phase of the system.

Planning

3b Is this a FISMA-Reportable system?

- Yes  
 No

4 Does the system include a Website or online application available to and for the use of the general public?

- Yes  
 No

5 Identify the operator.

- Agency  
 Contractor

6 Point of Contact (POC):

POC Title   
 POC Name   
 POC Organization   
 POC Email   
 POC Phone

7 Is this a new or existing system?

- New  
 Existing

8 Does the system have Security Authorization (SA)?

- Yes  
 No

8b Planned Date of Security Authorization

 Not Applicable

8c	Briefly explain why security authorization is not required	The study will use multiple CDC authorized systems to collect, store, and analyze data.
10	Describe in further detail any changes to the system that have occurred since the last PIA.	N/A
11	Describe the purpose of the system.	The National Center for Environmental Health's (NCEH) National Asthma Control Program (NACP) supports state, local and territorial health departments to better understand the impact of asthma and to encourage a comprehensive public health approach to asthma control. The purpose of this information collection is to monitor recipient programs' planning and delivery of public health activities and level of collaboration with health care systems under a new cooperative agreement.
12	Describe the type of information the system will collect, maintain (store), or share. (Subsequent questions will identify if this information is PII and ask about the specific data elements.)	<p>The system will collect and maintain the following types of information:</p> <ul style="list-style-type: none"> <li>Recipient Program Contact Information (program name, address, phone number) *phone number is for the program and not an individual.</li> <li>Patient Demographics (age range)</li> <li>Location of Treatment (facility name, facility type, county, state, zip)</li> <li>Performance Measures (asthma control status, interventions)</li> <li>Hospitalizations (number of visits per age range)</li> <li>Emergency Department Visits (number of visits per age range)</li> <li>Recipient Program Surveys (compliance, evaluations, outcome linkage, quality improvement processes)</li> </ul> <p>Aggregate, de-identified performance measures data will be shared with the public (posted on CDC webpage) and presented at the American Evaluation Association conference.</p> <p>Data regarding the hospitalizations and emergency rooms visits is publicly available data that has been previously collected by the recipient, not at the request of CDC. This de-identified data is shared with the public on the CDC website.</p> <p>Authentication will be handled by NCEZID's RedCap system via CDC's Secure Access Management System (SAMS). CDC's Active Directory (AD) will also be used for internal authentication. Both SAMS and AD are separate systems with their own PIAs.</p>

13 Provide an overview of the system and describe the information it will collect, maintain (store), or share, either permanently or temporarily.

The system will collect and maintain the following types of information:

- Recipient Program Contact Information (program name, address, phone number)  
\*phone number is for the program and not an individual.
- Patient Demographics (age range)
- Location of Treatment (facility name, facility type, county, state, zip)
- Performance Measures (asthma control status, interventions)
- Hospitalizations (number of visits per age range)
- Emergency Department Visits (number of visits per age range)
- Recipient Program Surveys (compliance, evaluations, outcome linkage, quality improvement processes)

The data collected from funded recipients will be used to monitor state and national progress toward achieving the outcomes identified in the National Asthma Control Program's logic model; facilitate aggregate reporting of outcomes to state and national stakeholders; and identify and respond to technical assistance needs from recipients. Recipient program information is needed to contact the recipient programs and to link data to health outcomes and program recipient surveys.

All other data collected from the funded recipients is used to evaluate the effectiveness of the funded programs. This data can be divided into program specific data and health outcomes. Program specific data is about the program and its activities, and is collected from the program itself. Health outcome data is about members of the general public with asthma who were provided care in the geographic location served by the recipients. This data is already collected by the recipient programs for other purposes and is provided to CDC de-identified.

Aggregate, de-identified performance measures data will be shared with the public (posted on CDC webpage) and presented at the American Evaluation Association conference.

Data regarding the hospitalizations and emergency rooms visits is publicly available data that has been previously collected by the recipient, not at the request of CDC. This de-identified data is shared with the public on the CDC website.

Authentication will be handled by NCEZID's RedCap system via CDC's Secure Access Management System (SAMS). CDC's Active Directory (AD) will also be used for internal authentication. Both SAMS and AD are separate systems with their own PIAs.

14 Does the system collect, maintain, use or share PII?

Yes  
 No

**REVIEWER QUESTIONS:** The following section contains Reviewer Questions which are not to be filled out unless the user is an OPDIV Senior Officer for Privacy.

Reviewer Questions		Answer
1	Are the questions on the PIA answered correctly, accurately, and completely?	<input type="radio"/> Yes <input type="radio"/> No
Reviewer Notes	<input type="text"/>	
2	Does the PIA appropriately communicate the purpose of PII in the system and is the purpose justified by appropriate legal authorities?	<input type="radio"/> Yes <input type="radio"/> No
Reviewer Notes	<input type="text"/>	
3	Do system owners demonstrate appropriate understanding of the impact of the PII in the system and provide sufficient oversight to employees and contractors?	<input type="radio"/> Yes <input type="radio"/> No
Reviewer Notes	<input type="text"/>	
4	Does the PIA appropriately describe the PII quality and integrity of the data?	<input type="radio"/> Yes <input type="radio"/> No
Reviewer Notes	<input type="text"/>	
5	Is this a candidate for PII minimization?	<input type="radio"/> Yes <input type="radio"/> No
Reviewer Notes	<input type="text"/>	
6	Does the PIA accurately identify data retention procedures and records retention schedules?	<input type="radio"/> Yes <input type="radio"/> No
Reviewer Notes	<input type="text"/>	
7	Are the individuals whose PII is in the system provided appropriate participation?	<input type="radio"/> Yes <input type="radio"/> No
Reviewer Notes	<input type="text"/>	
8	Does the PIA raise any concerns about the security of the PII?	<input type="radio"/> Yes <input type="radio"/> No
Reviewer Notes	<input type="text"/>	
9	Is applicability of the Privacy Act captured correctly and is a SORN published or does it need to be?	<input type="radio"/> Yes <input type="radio"/> No
Reviewer Notes	<input type="text"/>	
10	Is the PII appropriately limited for use internally and with third parties?	<input type="radio"/> Yes <input type="radio"/> No
Reviewer Notes	<input type="text"/>	
11	Does the PIA demonstrate compliance with all Web privacy requirements?	<input type="radio"/> Yes <input type="radio"/> No

Reviewer Questions		Answer	
<i>Reviewer Notes</i>	<input type="text"/>		
12	Were any changes made to the system because of the completion of this PIA?	<input type="radio"/> Yes <input type="radio"/> No	
<i>Reviewer Notes</i>	<input type="text"/>		
General Comments	<input type="text"/>		
OPDIV Senior Official for Privacy Signature	<input type="text"/>	HHS Senior Agency Official for Privacy	<input type="text"/>