

**ANNUAL REPORT OF CHILDREN IN INSTITUTIONS FOR NEGLECTED
OR DELINQUENT CHILDREN, ADULT CORRECTIONAL INSTITUTIONS,
AND
COMMUNITY DAY PROGRAMS FOR NEGLECTED OR
DELINQUENT CHILDREN**

FORM APPROVED:
OMB NO.
EXPIRATION DATE:

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a currently valid OMB control number. The valid OMB control number for this collection is 1810-0060. Public reporting burden for this collection of information is estimated to average 42 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. The obligation to respond to this collection is required to obtain or retain a benefit under Title I, Part A and Title I, Part D, Subparts 1 and 2 of the Elementary and Secondary Education Act of 1965, as amended by the Every Student Succeeds Act (ESEA). If you have comments or concerns regarding the status of your individual submission of this form, please contact the program office address, Office of School Support and Accountability, U.S. Department of Education, 400 Maryland Avenue, SW (Room 3C156, LBJ), Washington, DC 20202-6100, Todd.Stephenson@ed.gov.

PURPOSE: The purpose of this annual report is to provide the Department with data required by Title I, Parts A and D, of the Elementary and Secondary Education Act, as amended by the Every Student Succeeds Act (ESEA), for use in the computation of grants to local educational agencies (LEAs) and State agencies responsible for providing free public education for children in institutions or community day programs for neglected or delinquent children.

REQUIREMENTS: This report is required annually for all State educational agencies. No sampling or estimating is to be used in preparing this report. Since these data will generate Federal funds, they are subject to audit and must be supportable from documented records.

INSTRUCTIONS

SECTION A - FORMULA DATA AND REPORTING FORMAT SHEET

State educational agency - Enter name.

PART I INSTRUCTIONS (LOCAL INSTITUTIONS): REPORTING THE NUMBER OF NEGLECTED OR DELINQUENT CHILDREN IN LOCAL INSTITUTIONS AND ADULT CORRECTIONAL INSTITUTIONS BY LOCAL EDUCATIONAL AGENCY (LEA)

1. State and LEA codes - Identify the State code and LEA code. This information is required for data processing purposes. A spreadsheet that lists the State code and LEA codes is attached.
2. October 2020 caseload data - Enter separately the number of children, ages 5-17, inclusive, who live in (1) local institutions for neglected children and (2) local institutions for delinquent children, including children in local adult correctional institutions, for at least one day during a 30 consecutive day period, at least one day of which falls within the month of October 2020.

Please furnish a total for each LEA only and provide a grand total for the State. It is not necessary to furnish data for each individual institution.

PART II INSTRUCTIONS (STATE-OPERATED OR STATE SUPPORTED INSTITUTIONS): REPORTING THE ENROLLMENT OF CHILDREN IN A REGULAR PROGRAM OF INSTRUCTION IN STATE-OPERATED INSTITUTIONS FOR NEGLECTED OR DELINQUENT CHILDREN, COMMUNITY DAY PROGRAMS FOR NEGLECTED OR DELINQUENT CHILDREN, OR ADULT CORRECTIONAL INSTITUTIONS

1. State Agency - Furnish the name of the State agency eligible to receive a Title I, Part D, Subpart 1 (Title I, Part D) subgrant. "State agency" means an agency of State government responsible for the free public education of children in institutions for neglected or delinquent children, attending community day programs for neglected or delinquent children, or in adult correctional institutions.
2. Enrollment - Report the number of children enrolled in the educational programs of State-operated or

supported institutions for neglected or delinquent children, community day programs for neglected or delinquent children, or adult correctional institutions on a date during calendar year 2020 selected by the State agency.

Provide only a total for each State agency. It is not necessary to furnish data for each institution or community day program or to separate the data by category of children.

PART II INSTRUCTIONS (STATE-OPERATED OR STATE SUPPORTED INSTITUTIONS) CONTINUED

To be eligible to be counted as enrolled, a child must be:

- (1) Under 21 years of age;
- (2) One for whom a State agency is providing a free public education; and
- (3) Enrolled in a regular program of instruction supported by non-Federal funds for at least:
 - 20 hours per week if in an institution for neglected or delinquent children or a community day program for neglected or delinquent children; or
 - 15 hours per week if in an adult correctional institution.

A "**regular program of instruction**" means an educational program (not beyond grade 12) in an institution or a community day program for neglected or delinquent children that consists of classroom instruction in basic school subjects such as reading, mathematics, and vocationally oriented subjects, and that is supported by non-Federal funds. Neither the manufacture of goods within the institution nor activities related to institutional maintenance are considered classroom instruction.

Title I, Part D funds generated by eligible children in an institution or community day program for neglected or delinquent children or in an adult correctional institution must supplement the number of hours of instruction they receive from State resources. If these children participate in other programs funded from Federal sources, Title I, Part D funds must be accounted for separately but should be coordinated with other Federal funds to avoid duplication. If an institution operates an institutionwide project, however, Title I, Part D funds may be used to upgrade the entire educational program of the institution.

3. Adjustment in enrollment to reflect the relative length of the State agency's annual program - For each institution, multiply the enrollment of children eligible to be counted by the number of days in the year the institution operates an educational program and divide that number by 180.
4. State total - Provide a State total for all State agencies.

SECTION B - CERTIFICATION BY STATE EDUCATIONAL AGENCY

Complete the certification portion of the report. This report must be signed by the appropriate official in the State educational agency (SEA) to certify that the information reported is complete and accurate. The information provided is subject to audit, and the SEA should maintain documentation.

SUBMITTAL PROCEDURE

Please submit the information, including a signed certification page, electronically to: NorD-DataFY2021@ed.gov. **The information for this survey is due by January 15, 2021.**

If submitting a paper version of this form, please send a signed original of the certification page and the other

requested information provided in accordance with the above instructions to:

Patrick Rooney
 Director, School Support and Accountability
 U.S. Department of Education
 400 Maryland Avenue, SW
 Washington, DC 20202-6132

Attention: Todd Stephenson (LBJ, Room 3C156)

Annual Report of Children In Institutions for Neglected or Delinquent Children, Adult Correctional Institutions, and Community Day Programs for Neglected or Delinquent Children

NOTICE: This report is required by sections 1124, 1402, 1411, and 1412 of the ESEA. The completion of this report is required to obtain or retain benefits. Failure to submit the report will result in the inability of the U.S. Department of Education to carry out legislative requirements and the loss of funds to State and local educational agencies to provide compensatory education services for children in institutions and community day programs for neglected or delinquent children.

SECTION A - FORMULA DATA AND REPORTING FORMAT SHEET

Children in Locally Operated Institutions for Neglected or Delinquent Children and Adult Correctional Institutions,
 Children in State-Operated Institutions for Neglected or Delinquent Children, and Community Day Programs for Neglected or Delinquent Children, and Adult Correctional Institutions

State educational agency _____
 (Name)

PART I -- Number of neglected or delinquent children, ages 5 - 17, inclusive, in local institutions by LEA

State Code	LEA Code	Name of Local Educational Agency (LEA)	Neglected Caseload Count for October 2020 *	Delinquent Caseload Count for October 2020 **	Total

Total for the State					

- * Count children residing in institutions for neglected children in this column.
- ** Count children residing in institutions for delinquent children and adult correctional institutions in this column.

PART II -- Number of children under 21 years enrolled in a regular program of instruction in State-operated or supported institutions for neglected or delinquent children, community day programs for neglected or delinquent children, or adult correctional institutions. Enrollments must be adjusted to reflect the relative length of the State agency's annual program.

Name of State Agency	2020 Enrollment In A Regular Program of Instruction (Adjusted to Reflect the Relative Length of the State Agency's Annual Educational Program)
Total for the State	

SECTION B - CERTIFICATION BY STATE EDUCATIONAL AGENCY

I certify that the State educational agency has implemented a system of internal controls and taken the steps necessary to ensure that the data provided in Parts I and II meet the requirements of Title I of the ESEA and are, to the best of my knowledge, valid and reliable. I understand that any knowingly and willingly submitted false statement provided herein that I have made is subject to fine or imprisonment pursuant to 18 U.S.C. 1001.

 Signature

 Date Signed

 Typed name and title