United States Department of Education Institute of Education Sciences National Center for Education Statistics

DATE: March 23, 2020

TO: Robert Sivinski, OMB

THROUGH: Carrie Clarady, Avar Consulting, in contract to NCES

FROM: Maura Spiegelman, NCES

SUBJECT: 2020-21 National Teacher and Principal Survey (NTPS 2020-21) Change Request (OMB# 1850-0598 v.29)

The National Teacher and Principal Survey (NTPS), conducted every two to three years by the National Center for Education Statistics (NCES), is a system of related questionnaires that provides descriptive data on the context of elementary and secondary education. Redesigned from the Schools and Staffing Survey (SASS) with a focus on flexibility, timeliness, and integration with other ED data, the NTPS system allows for school, principal, and teacher characteristics to be analyzed in relation to one another. NTPS is an in-depth, nationally representative survey of first through twelfth grade public and private school teachers, principals, and schools. Kindergarten teachers in schools with at least a first grade are also surveyed. NTPS utilizes core content and a series of rotating modules to allow timely collection of important education trends as well as trend analysis. Topics covered include characteristics of teachers, principals, schools, teacher training opportunities, retention, retirement, hiring, and shortages. The NTPS 2019-20 preliminary activities were approved in July 2019, with a change request approved in November 2019 (OMB# 1850-0598 v.26-27). This request is to update the details of the NTPS 2019-20 sampling plan. This request does not introduce changes to the estimated respondent burden or the costs to the federal government. OMB approved the main study for 2020-21 in March 2020(OMB# 1850-0598 v.28).

The current request is to correct errors in the recently approved NTPS 2020-21 v.28 package. These errors were introduced in the process of document upload and affect burden.

The revisions made to the last approved NTPS 2020-21 clearance documents (OMB# 1850-0598 v.28) are reflected below. Blue text indicates the document in which a change was made, followed by notes regarding the edits. Black font demarks the text that remains unchanged from the last approved, while text in red font shows the revisions that were made.

Part A

A.1.4 NTPS Study Design (p. 4)

The sample for NTPS 2020-21 will include approximately 10,600 9,920 public schools and principals and 53,400 49,250 public school teachers. The respondent universe for public schools will continue to consist of all public schools in the 50 U.S. states and the District of Columbia (DC) that offer instruction in any of grades 1-12. The NTPS 2020-21 will also include a private school sample, as was done in 2017-18, with approximately 3,000 private schools and principals and approximately 6,800 6,300 private school teachers.

A.12 Estimated Response Burden (p. 14-16)

The already approved total response burden estimate for special district IRB approvals is based on 360 minutes for IRB review by one staff member, and 60 minutes per member for special district IRB panel review, assuming each panel would on average be composed of six panel members. The burden per school for reading and potentially following up on the NTPS notification letter is estimated to average about 3 minutes. Based on the estimated hourly rates for principals/administrators of \$46.85[‡], and based on 3,330 total burden hours for NTPS 2020-21 preliminary activities, the total estimated burden time cost to respondents is \$156,011.

¹ The average hourly earnings of principals/education administrators in the May 2017 National Occupational and Employment Wage Estimates sponsored by the Bureau of Labor Statistics (BLS) is \$46.85. Source: BLS Occupation Employment Statistics, http://data.bls.gov/oes/ data type: Occupation code: Education Administrators, Elementary and Secondary Schools (11-9032); Annual Mean Wage \$97,440/2080 hours. Accessed on March 21, 2019.

The standard procedure for estimating burden time response cost is to multiply the estimated average length of time it takes to complete the survey by the average salary. The estimated average hourly earnings of teachers is \$30.38², and of principals/administrators is \$47.47³ in elementary and secondary schools in the May 2017 National Occupational and Employment Wage Estimates sponsored by the Bureau of Labor Statistics (BLS). Therefore, based on 3,330 total burden hours for the already approved NTPS 2020-21 preliminary activities, the associated total estimated burden time cost to respondents is \$158,075, and based on an additional 42,328 total burden hours for the rest of NTPS 2020-21 activities, the total estimated burden time is 45,658 hours and the associated total estimated burden time cost to respondents is \$1,714,620.

Table 1. Estimates of respondent burden for the 2020-21 NTPS

Activity	Sample Size	Estimated Response Rate	Estimated Number of Respondents	Estimated Number of Responses	Average Burden Time per Respondent (Minutes)	Total Burden Hours
Preliminary Activities (already	approved)					
District IRB Staff Review	300	80%	240	240	360	1,440
District IRB Panel Review	300*6	80%	1,440	1,440	60	1,440
Notification letter – public schools	10,600	65%	6,890	6,890	3	344.5 345
Notification letter – private schools	3,000	70%	2,100	2,100	3	105
Total Preliminary Activities			10,670	10,670		3,330
Main Data Collection Activitie	es ·					
Public School Staff						
Electronic Teacher listing form	10,600 9,920	5%	530 496	530 496	30	265 248
Electronic Teacher listing form (pre-populated)	10,600 9,920	31%	3,286 3,075	3,286 3,075	15	822 769
Paper Teacher Listing form (pre-populated)	10,600 9,920	25%	2,650 2,480	2,650 2,480	15	663 620
Paper Teacher Listing form (blank)	10,600 9,920	4%	424 397	424 397	30	212 198
Coordinator duties	10,600 9,920	60%	6,360 5,952	6,360 5,952	22	2,332 2,182
School questionnaire	10,600 9,920	72%	7,632 7,142	7,632 7,142	35	4,452 4,166
Public School Principals				,		
Screener interview	10,600 9,920	60%	6,360 5,952	6,360 5,952	5	530 496
Principal questionnaire	10,600 9,920	0.70 68%	7,420 6,700	7,420 6,700	30	3,710 3,350
Public School Teachers	-,==	-2.0	-,	-71 4		2,223

² The average hourly earnings of primary and secondary teachers in the May 2018 National Occupational and Employment Wage Estimates sponsored by the Bureau of Labor Statistics (BLS) is \$30.38. Source: BLS Occupation Employment Statistics, http://data.bls.gov/oes/ data type: Occupation code: Elementary and Middle School Teachers (25-2020) and Secondary School Teachers (25-2030); accessed on September 4, 2019.

³ The average hourly earnings of principals/education administrators in the May 2018 National Occupational and Employment Wage Estimates sponsored by the Bureau of Labor Statistics (BLS) is \$47.47. Source: BLS Occupation Employment Statistics, http://data.bls.gov/oes/ data type: Occupation code: Education Administrators, Elementary and Secondary Schools (11-9032); accessed on September 4, 2019.

Teacher Questionnaire	53,400	0.81	43,254	43,254	40	28,836
Total Public Schools	49,250	70%	34,700 77,916 66,894	34,700 77,916 66,894		23,133 41,822 35,163
Private School Staff			00,034	00,034		33,103
Electronic Teacher listing form	3,000	20%	600	600	30	300
Electronic Teacher listing form (pre-populated)	3,000	13%	390	390	15	98
Paper Teacher Listing form (pre-populated)	3,000	11%	330	330	15	83
Paper Teacher Listing form (blank)	3,000	16%	480	480	30	240
Coordinator duties	3,000	60%	1,800	1,800	22	660
School questionnaire	3,000	65%	1,950	1,950	40	1,300
Private School Principals						
Screener interview	3,000	60%	1,800	1,800	5	150
Principal questionnaire	3,000	65%	1,950	1,950	30	975
Private School Teachers						
Teacher Questionnaire	6,800 6,300	80%	5,440 5,040	5,440 5,040	40	3,627 3,360
Total Private Schools			14,740 14,340	14,740 14,340		7,433 7,165
TOTAL			14,340 103,326 91,904	14,340 103,326 91,904		52,585 45,658

^{*} Duplicative counts of individuals not included in respondent totals. Due to rounding, numbers presented in this table may not add up precisely to the totals provided.

Therefore, based on 3,330 total burden hours for the already approved NTPS 2020-21 preliminary activities, the associated total estimated burden time cost to respondents is \$158,075, and based on 52,585 total burden hours for the rest of NTPS 2020-21 activities; the associated total estimated burden time cost to respondents is \$1,783,342.

Part B

B.1.2 Precision Requirements and Sample Sizes (p. 3)

This section details the school sample sizes and precision requirements for the NTPS 2020-21 public and private school samples.

The final NTPS 2020-21 public school sample will include approximately:

- 10,600 9,920 schools and school principals (9,300 8,660 traditional public and 1,300-1,260 public charter), with the goal of at least 6,700 interviews for each; and
- 53,400 49,250 teachers (46,900 43,460 traditional public and 6,500 5,790 public charter), with the goal of at least 34,700 interviews.

The final NTPS 2020-21 private school sample will include approximately:

- 3,000 schools and school principals, with the goal of at least 1,750 interviews for each; and
- 6,800 6,300 teachers, with the goal of at least 4,500 interviews.

Domain	Frame Schools	Expected Sample Size (completed interviews)	Expected Standard Error	Design Effect
All	93,634		0.63%	1.68
Charter	6,819		1.71%	1.41
Non-charter	86,815		0.67%	1.66
Primary	51,470		0.88%	1.49
Middle	14,177		1.43%	1.42
High	20,406		1.42%	2.17
Combined	7,581		1.90%	1.83
City	26,085		1.17%	1.67
Suburban	30,305		1.13%	1.58
Town	12,630		1.61%	1.69
Rural	24,614		1.29%	1.79
Enrollment < 100	7,946		3.54%	2.45
100 <= Enrollment < 300	7,341		2.36%	1.60
300 <= Enrollment < 500	36,097		1.00%	1.49
500 <= Enrollment < 750	23,395		1.15%	1.38
750 <= Enrollment < 1,000	9,447		1.64%	1.34
1,000 <= Enrollment	9,408		1.36%	1.26
Percent FRPL < 35%	26,848 27,165		1.21%	1.86 1.89
35% <= Percent FRPL < 50%	15,724 15,870		1.44% 1.43%	1.58
50% <= Percent FRPL < 75%	26,327 26,578		1.18%	1.60
75% <= Percent FRPL	23,900 24,021		1.28%	1.59
Not Participating FRPL	835		8.30%	3.26

Table 4. NTPS 2020-21 public school expected interviews, standard errors, and design effects by state with state oversampling to achieve 25% CV or less

State	Frame Schools	Expected Completed Interviews	Expected Standard Error	Design Effect	
All	93,634		0.63%	1.68	
AK	510	1	4.96%	1.65	
WY	339		4.99%	1.30	
DC	219		4.98%	0.95	
VT	315		4.99%	1.11	
SD	496	1	4.98%	1.52	
MT	563	1	4.99%	1.54	
DE	218		4.98%	0.98	
RI	297		4.98%	1.04	
ND	421		4.98%	1.24	
HI	292		4.99%	1.08	
NH	455		5.00%	1.20	
ID	706	4	4.98%	1.38	
ME	613		5.00%	1.23	
NV	666	4	5.00%	1.47	
WV	740		4.98%	1.26	
NM	835	1	4.98%	1.42	
NE	870	1	4.99%	1.45	
CT	1,209	1	4.99%	1.88	
UT	993	1	4.97%	1.38	
OR	1,227	1	4.99%	1.42	
MS	1,071		4.99%	1.34	
IA	1,186	1	5.00%	1.37	
AR	960		4.99%	1.32	
KS	1,257		4.99%	1.35	
KY	1,462	1	5.00%	1.44	
MN	2,066	4	4.97%	2.07	
SC	1,231		4.92%	1.28	
AL	1,508	1	5.00%	1.42	
AZ	2,306	1	4.99%	2.26	
MD	1,421		4.98%	1.35	
OK	1,441	1	4.99%	1.44	
LA	1,349	1	4.99%	1.39	
CO	1,671	1	4.78%	1.47	
WA	2,257	1	4.77%	1.54	
MA	1,786	4	4.66%	1.43	
IN	1,881	1	4.54%	1.35	
WI	1,943	1	4.52%	1.40	
TN	1,787	4	4.46%	1.32	
MO	2,008	1	4.27%	1.42	
VA	2,072	1	4.01%	1.32	
NJ	2,474	1	3.90%	1.43	
GA	2,303	<u>1</u>	3.72%	1.34	
MI	3,302	2	3.72%	1.59	
NC	2,638	1	3.58%	1.33	
PA	3,029	2	3.41%	1.37	
OH	3,357		3.37%	1.37	
IL	3,920	2 (38 3.30%	1.42	
FL	4,047	3	26 2.95%	1.56	
NY	4,780	3	2.67%	1.39	
TX	8,880	6	39 2.28%	1.82	
CA	10,257	6	99 2.21%	1.63	

Table 5 provides the analogous precision analysis for public school teachers. The expected standard errors were calculated based on analyses using the NTPS 2017-18 final response rates and CV of 25%.

Table 5. NTPS 2020-21 major domain public teacher expected teacher interviews, standard errors, and design effects with state oversampling to achieve 25% CV or less

Domain	Frame Full-Time Equivalent Teachers (in 1000s)	Expected Teacher Completed Interviews	Expected Standard Error	Design Effect	
All	3,13	43,254	0.38% 0.36%	3.08 2.74	
Charter	160.4	4,328	1.07% 1.02%	2.48 2.35	
Non-charter	2977.2	38,925	0.39% 0.38%	3.01 2.77	
Primary	1487.2	16,999	0.57% 0.53%	2.80 2.45	
Middle	548.3	7,899	0.86% 0.82%	2.95 2.68	
High	912.8	13,725	0.70% 0.66%	3.34 3.04	
Combined	189.3	4,629	1.07% 1.03%	2.67 2.46	
City	928.7	12,939	0.69% 0.64%	3.08 2.68	
Suburban	1214.0	14,301	0.65% 0.61%	3.02 2.69	
Town	365.0	6,326	0.96% 0.91%	2.89 2.63	
Rural	629.8	9,688	0.79% 0.75%	3.01 2.73	
Enrollment < 100	38.4	816 1	2.29% 1.93%	2.15 2.37	
100 <= Enrollment < 300	90.3	1,766	1.67% 1.52%	2.46 2.38	
300 <= Enrollment < 500	863.4	12,461	0.66% 0.64%	2.76 2.42	
500 <= Enrollment < 750	864.9	11,868	0.72% 0.67%	3.09 2.71	
750 <= Enrollment < 1,000	475.8	6,150	1.01% 0.95%	3.18 2.80	
1,000 <= Enrollment	804.7	10,194	0.81% 0.78%	3.38 3.07	
Percent FRPL < 35%	969.0 9	13,704	0.69% 0.65%	3.24 2.91	
35% <= Percent FRPL < 50%	541.1 !	7,905	0.89% 0.84%	3.15 2.86	
50% <= Percent FRPL < 75%	861.7 8	11,631	0.71% 0.67%	2.97 2.68	
75% <= Percent FRPL	737.8 1	9,487	0.79% 0.73%	2.94 2.52	
Not Participating FRPL	28.0	526	3.64%	3.49	

Sampling – Private Schools

The NTPS private school sample will be roughly the same as the private school survey from Schools and Staffing Survey (SASS) 2011-12: a school sample size of 3,000 and about 1,750 completed school interviews. For the 2017-18 NTPS, the sample size was 4,000 (expecting 2,266 completed school interviews) to achieve sufficient power for an embedded experiment.

The sampling plan oversamples as follows:

- Elementary schools are sampled at a rate proportional to the measure of size;
- Secondary schools are sampled at a rate proportional to 3 times the measure of size;
- Combined schools are sampled at a rate proportional to 1.2 times the measure of size;
- The oversampling rates for Nonsectarian schools are increased by an additional factor of 1.25 (e.g. the oversampling rate for Nonsectarian secondary schools is $3 \times 1.25 = 3.75$); and
- The oversampling rates for Baptist schools are increased by an additional factor of 1.1 (e.g. the oversampling rate for Baptist combined schools is $1.2 \times 1.1 = 1.32$).

For teachers, the expected number of completed interviews is estimated to be proportional to the product of the final school sampling factor and the number of full time equivalent (FTE) teachers over schools in the domain. The overall target number of completed interviews is 4,500. Assuming the attrition rate for the 2020-21 NTPS will be similar to the rate for 2017-18, the sample size needs to be 6,800 6,300 in order to yield the expected number of completed teacher interviews. The teacher sample size for a sampled school should be proportional to the product of the final teacher multiplier (based on the expected attrition adjustment factors), final school oversampling factor, and measure of size for the school.

Tables 6 and 7 show expected sample sizes, standard errors, and CVs for population percentages of 20% by key domains of school type, grade level, and region.

Table 6 presents a portion of the analysis for private schools by affiliation, grade level, and region.

Table 6. School-domain expected interviews, standard errors, and design effects for the NTPS 2020-21 private school sample

School	Frame	Expected		For 20	Min non 0/ for			
domain	schools	completed school interviews		Expected standard error	95% CI half-width	Design effect	CV	Min pop % for CV <30%
All	24,984		1,950 1,7	50 1.24%	2.44%	1.70	6.22%	1.06%
Catholic	6,428	598 -	537	2.13%	4.18%	1.53	10.66%	3.06%
Other religious	12,006	740	664	2.00%	3.92%	1.66	10.01%	2.71%
Nonsectarian	6,550	612	549	2.17%	4.25%	1.62	10.84%	3.16%
Elementary	14,030	702	630	1.86%	3.64%	1.36	9.29%	2.34%
Secondary	2,609	558	501	1.96%	3.85%	1.21	9.81%	2.61%
Combined	8,345	691	620	1.94%	3.80%	1.45	9.69%	2.54%
Northeast	6,018	540	485	2.57%	5.04%	2.00	12.86%	4.39%
Midwest	6,024	416	373	2.66%	5.21%	1.65	13.30%	4.68%
South	8,081	626	562	2.10%	4.11%	1.55	10.48%	2.96%
West	4,861	368	330	2.79%	5.47%	1.61	13.96%	5.13%

Table 7 provides the analogous precision analysis for private school teachers.

Table 7. Major domain expected teacher interviews for the NTPS 2020-21 private school sample

	Frame Expected For 20% population percentage								
School domain	teachers (FTE)	t	mpleted eacher terviews	Expected standard error	95% CI half- width	Design effect	CV	Min pop % for CV <30%	
All	449	,441	5,440	1.02%	2.00%	2.91 2.93	5.09% 5.10%	0.71% 0.72%	
Catholic	136	,810	1,812	1, 522% 1.80%	3.61% 3.53%	3.17 3.10	9.20% 9.01%	2.30% 2.21%	
Other religious	183	,015	1,896	1, 545% 1.68%	3.23% 3.29%	2.67 2.72	8.25% 8.39%	1.86% 1.92%	
Nonsectarian	129	,616	1,732	1, 1126 % 1.82%	3.54% 3.56%	2.92 2.94	9.03% 9.09%	2.21% 2.24%	
Elementary	174	,278	1,433	1, 246% 1.71%	3.42% 3.36%	2.25 2.28	8.72% 8.56%	2.07% 1.99%	
Secondary	67	,254	1,822	1, 1179% 1.72%	3.30% 3.37%	2.68 2.73	8.43% 8.59%	1.93% 2.01%	
Combined	207	,910	2,186	1, 7.74 % 1.58%	3.04% 3.10%	2.72 2.78	7.76% 7.91%	1.64% 1.71%	
Northeast	128	,835	1,630	1, 895% 1.96%	3.81% 3.85%	3.19 3.27	9.73% 9.81%	2.56% 2.61%	
Midwest	88	,259	1,092	1 ,887 % 2.25%	4.39% 4.40%	2.83 2.80	11.20% 11.23%	3.37% 3.39%	
South	154	,954	1,749	1,459 1.75%	3.43% 3.42%	2.77 2.78	8.76% 8.74%	2.09% 2.08%	
West	77	,393	970 79	2.38%	4.66% 3.67%	2.83 2.82	11.88% 11.91%	3.77% 3.79%	