

Application for Temporary Transfer of Halibut/Sablefish Individual Fishing Quota (IFQ)

U.S. Dept. of Commerce/NOAA
National Marine Fisheries Service
Restricted Access Management
P.O. Box 21668
Juneau, AK 99802-1668
800-304-4846 toll free
907-586-7202 in Juneau
907 586-7354 fax



BLOCK A – TYPE OF TEMPORARY IFQ TRANSFER REQUESTED

Generally, IFQ may only be transferred if the Quota Share (QS) from which it is derived is also transferred. There are limited exceptions for temporary transfers of IFQ for Category A IFQ, qualified military service, surviving beneficiaries, and for CDQ groups receiving IFQ by transfer during years of low halibut abundance. There are specific regulatory criteria that must be met before any of these transfers will be approved. **A temporary IFQ transfer is valid only for the calendar year in which it is approved.** Please review the application instructions carefully before applying for a temporary transfer of your annual IFQ. You will need a complete signed and notarized application, a copy of the IFQ permit to be transferred, and documentation for agent authorization. Please indicate the type of temporary IFQ transfer requested:

- Category A IFQ Transfer
- Surviving Beneficiary
- Temporary Military Transfer (A complete application includes Block G. This is only available to individuals undergoing military mobilization, or with orders to report for military service, or in active duty military service.)
- IFQ transfer to CDQ groups during year of low halibut abundance

Note: For emergency medical transfers, please use the Application for Medical Transfer of IFQ available on-line at https://alaskafisheries.noaa.gov/sites/default/files/mt_app.pdf

BLOCK B – TRANSFEROR INFORMATION

| | | | |
|--|----------------------|--|--|
| 1. Name: | | 2. NMFS Person ID: | |
| | | 3. Date of Birth: | |
| 4. Permanent Business Mailing Address: | | 5. Temporary Business Mailing Address (<i>see instructions</i>): | |
| 6. Business Telephone No.: | 7. Business Fax No.: | 8. E-mail Address (if any): | |

BLOCK C – TRANSFEREE INFORMATION

This individual must be eligible to receive IFQ by transfer

Does the Transferee (Buyer) hold a Transfer Eligibility Certificate (TEC)? YES NO

| | | | |
|--|----------------------|--|--|
| 1. Name: | | 2. NMFS Person ID: | |
| | | 3. Date of Birth: | |
| 4. Permanent Business Mailing Address: | | 5. Temporary Business Mailing Address (<i>see instructions</i>): | |
| 6. Business Telephone No.: | 7. Business Fax No.: | 8. E-mail Address (if any) | |

BLOCK D – IDENTIFICATION OF IFQ TO BE TRANSFERRED

| | | |
|---|-------------------------|-------------------------|
| 1. Halibut <input type="checkbox"/> or Sablefish <input type="checkbox"/> | 2. IFQ Regulatory Area: | 3. Number of IFQ Pounds |
| 4. From IFQ Permit Number: | 5. For Fishing Year: | |

REQUIRED SUPPLEMENTAL INFORMATION

Your Application Will Not Be Processed Unless You Provide The Following Information

BLOCK E – TRANSFEROR SUPPLEMENTAL INFORMATION

| |
|---|
| 1. Give the price per pound (including leases) \$ _____/pound of IFQ <i>(price divided by IFQ pounds including fees)</i> |
| 2. What is the total amount being paid for the IFQ in this transaction, including all fees? _____ |

BLOCK F – TRANSFEREE SUPPLEMENTAL INFORMATION

| |
|--|
| 1. What is the primary source of financing for this transfer (<i>check one</i>)? <input type="checkbox"/> Received as a Gift Personal <input type="checkbox"/> Resources (cash) <input type="checkbox"/> AK Com. Fish & Ag. Bank <input type="checkbox"/> NMFS Loan Program <input type="checkbox"/> Private Bank/Credit Union <input type="checkbox"/> Transferor/Seller <input type="checkbox"/> Processor/Fishing Company <input type="checkbox"/> Alaska Dept. of Commerce <input type="checkbox"/> Other (explain): _____ _____ |
| 2. How was the IFQ located (<i>check all that apply</i>)? <input type="checkbox"/> Relative <input type="checkbox"/> Advertisement/Public Notice <input type="checkbox"/> Broker <input type="checkbox"/> Personal Friend <input type="checkbox"/> Casual Acquaintance <input type="checkbox"/> Other (<i>explain</i>) _____ |
| 3. What is the Transferee's relationship to the IFQ Holder (<i>check all that apply</i>)? <input type="checkbox"/> Unrelated <input type="checkbox"/> Family Member <input type="checkbox"/> Business Partner <input type="checkbox"/> Friend <input type="checkbox"/> Other (explain): _____ |

BLOCK G – TEMPORARY MILITARY TRANSFER

(to be completed by Transferor only)

To be eligible to receive a temporary military transfer, a QS holder must meet all of the following requirements:

- (i) Be a member of a branch of the National Guard or a member of a reserve component;
- (ii) Possess one or more catcher vessel IFQ permits;
- (iii) Not qualify for a hired master exception under 50 CFR 679.42(i)(1); and
- (iv) Be in active duty military service as that term is defined at 10 U.S.C. 101(d)(1), be under a call to active service authorized by the President or the Secretary for a period of more than 30 consecutive days under 32 U.S.C. 502(f), or in the case of a member of a reserve component, have been ordered to report for military service beginning on the date of the member's receipt of the order and ending on the date on which the member reports for active duty military service.

Does the Transferor qualify to hire a master under regulatory provisions at 50 CFR 679.42(i)(1)? See instructions for more information.

YES NO

Is the Transferor a member of a branch of the National Guard or a member of a reserve component under a call to active service or, in the case of a member of the reserve component, been ordered to report for military service beginning on the date of the member's receipt of the order and ending on the date on which the member reports for active duty military service?

YES NO

If YES, you must provide documentation of active military mobilization or deployment. This documentation must include the following:

- A copy of official documentation such as valid military orders or call that direct the transferor to report to active duty military service, to mobilize for a military deployment, or to report to active service. **AND**
- A concise description of the nature of the military deployment or active duty military service, including verification that the applicant is unable to participate in the IFQ fishery for which he or she holds IFQ permits during the IFQ season because of his or her active duty military service.

| BLOCK H – CERTIFICATION OF TRANSFEROR | |
|---|-------------------------------------|
| Under penalties of perjury, I declare that I have examined this application, and to the best of my knowledge and belief, the information presented here is true, correct, and complete. | |
| 1. Signature of Transferor or Authorized Representative: | 2. Date: |
| 3. Printed Name Transferor or Authorized Representative <i>Note: If representative, attach authorization</i> | |
| 4. Notary Public Signature: ATTEST | 5. Affix Notary Stamp or Seal Here: |
| 6. Commission Expires: | |

| BLOCK I – CERTIFICATION OF TRANSFEEE | |
|---|-------------------------------------|
| Under penalties of perjury, I declare that I have examined this application, and to the best of my knowledge and belief, the information presented here is true, correct, and complete. | |
| 1. Signature of Transferee or Authorized Representative: | 2. Date: |
| 3. Printed Name Transferee or Authorized Representative <i>Note: If representative, attach authorization</i> | |
| 4. Notary Public Signature: ATTEST | 5. Affix Notary Stamp or Seal Here: |
| 6. Commission Expires: | |

REPORTING BURDEN STATEMENT

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing the instructions, searching the existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to Assistant Regional Administrator, Sustainable Fisheries Division, NOAA National Marine Fisheries Service, P.O. Box 21668, Juneau, AK 99802-1668.

ADDITIONAL INFORMATION

Before completing this form please note the following: 1) Notwithstanding any other provision of law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information, subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number; 2) This information is mandatory and is required to manage commercial fishing efforts under 50 CFR part 679 and under section 402(a) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et seq.*); 3) Responses to this information request are confidential under section 402(b) of the Magnuson-Stevens Act). They are also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect confidentiality of fishery statistics.

PRIVACY ACT STATEMENT

Authority: The collection of this information is authorized under the Magnuson-Stevens Fishery Conservation and Management Act, 16 U.S.C 1801 et seq.

Purpose: This information is used to accurately retrieve confidential records related to federal permits, including individual fishing quota and quota share records specific to the Halibut and Sablefish Individual Fishing Quota Program. This program requires private information collections that were used in quota transactions under in this program.

Routine Uses: The Department will use this information to identify fishery participants in order to retrieve confidential records related to IFQ permits. Disclosure of this information is permitted under the Privacy Act of 1974 (5 U.S.C. Section 552a), to be shared within NMFS offices, in order to coordinate monitoring and management of sustainability of fisheries and protected resources, as well as with the applicable State or Regional Marine Fisheries Commissions and International Organizations. Disclosure of this information is also subject to all of the published routine uses as identified in the [Privacy Act System of Records Notice COMMERCE/NOAA-19](#), Permits and Registrations for the United States Federally Regulated Fisheries.

Disclosure: Furnishing this information is required to retain the benefit of participation in the Halibut and Sablefish Individual Fishing Quota Program.

INSTRUCTIONS:
Application for
Temporary Transfer of IFQ (Lease)

The requirement at 50 CFR part 679.41(c) for an individual fishing quota (IFQ) permit holder to be aboard the vessel during fishing operations and to sign the IFQ landing report may be waived as described at 50 CFR part 679.41(d).

Please allow at least ten working days for your application to be processed. Items will be sent by first class mail, unless you provide alternate instructions *and* include a prepaid mailer with appropriate postage or corporate account number for express delivery.

If you need assistance in completing this application or need additional information, call Restricted Access Management at (800) 304-4846 (#2) or (907) 586-7202 (#2).

When completed, submit the application:

By mail to **NMFS Alaska Region**
Restricted Access Management (RAM)
P.O. Box 21668
Juneau, AK 99802-1668

By delivery to **709 West 9th Street, Room 713**

Note: It is important that all blocks are completed and all necessary documents are attached. Failure to answer any of the questions, provide attachments, or to have signatures notarized could delay processing of your application.

COMPLETING THE APPLICATION

Please review the application instructions carefully before applying for a temporary transfer of your annual IFQ. You will need a complete signed and notarized application, a copy of the IFQ permit to be transferred, and documentation for agent authorization.

BLOCK A – TYPE OF TEMPORARY IFQ TRANSFER REQUESTED

Please indicate the type of temporary IFQ transfer requested (Category A IFQ Transfer, Surviving Beneficiary, Temporary Military Transfer, and IFQ transfer to CDQ groups during year of low halibut abundance).

BLOCK B -- TRANSFEROR (LESSOR)

1. Name: Full name as it appears on Transfer Eligibility Certificate (TEC).
2. NMFS Person ID: As found on TEC.
3. Date of Birth: Birth date of the transferor.
4. Permanent Business Mailing Address: Include street or P.O. box number, city, state, and zip code.
5. Temporary Business Mailing Address: Address you want the transfer documentation sent if other than to the permanent address. Include street or P.O. box number, city, state, and zip code.
- 6-8. Business Telephone and Fax Numbers (Include the area codes), and E-mail Address (if any).

BLOCK C -- TRANSFEREE (LESSEE)

Please indicate if the Transferee hold a Transfer Eligibility Certificate.

1. Name: Full name as it appears on Transfer Eligibility Certificate (TEC).
2. NMFS Person ID: As found on TEC.
3. Date of Birth: Birth date of the transferee.
4. Permanent Business Mailing Address: Include street or P.O. box number, city, state, and zip code.
5. Temporary Business Mailing Address: Address you want the transfer documentation sent if other than to the permanent address. Include street or P.O. box number, city, state, and zip code.
- 6-8. Business Telephone and Fax Numbers (Include the area codes), and E-mail Address (if any).

BLOCK D – IDENTIFICATION OF IFQ TO BE TRANSFERRED

1. Indicate whether halibut or sablefish IFQ.
2. IFQ Regulatory Area
3. Number of pounds
4. IFQ Permit Number
5. Indicate Fishing Year

BLOCK E – TRANSFEROR SUPPLEMENTAL INFORMATION

1. The price per pound of IFQ must be entered for IFQs that are being transferred. (To derive the number of dollars per unit of QS or pound of IFQ, divide the total amount paid, including fees, by the number of QS units or the number of IFQ pounds being transferred.)
2. The total amount entered should include **any and all** monies collected on behalf of the seller for the shares involved, including any fees that will be paid out to other parties for the expenses of brokering or assisting in the sale of these shares.

BLOCK F – TRANSFEREE SUPPLEMENTAL INFORMATION

1. Indicate the primary source of financing for this transfer (check one).
2. Indicate how the IFQ was located (check all that apply).
3. Indicate Buyer's relationship to the IFQ Holder (check all that apply).

BLOCK G TEMPORARY MILITARY TRANSFER (To be completed by Transferor [LESSOR] only)

BLOCK H – CERTIFICATION OF TRANSFEROR

Printed name and signature of Transferor and date signed. If authorized representative, attach authorization Signature, commission expiration date, and stamp of notary.

BLOCK I – CERTIFICATION OF TRANSFEREE Printed name and signature of Transferor and date signed
If authorized representative, attach authorization Signature, commission expiration date, and stamp of notary.