Web-based Data Entry

***SUPPORTING STATEMENT:*** *PART A*

**The National Violent Death Reporting System**

OMB# 0920-0607

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3. Public Comment (3a and 3b)

4. Privacy Impact Assessment (PIA)

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6. NVDRS Web-based Data Entry Screenshots

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* Goal of the project: This is a revision request for the National Violent Death Reporting System (NVDRS - OMB# 0920-0607). The purpose of this revision is two-fold: 1) implement updates to the web-based system to improve performance, functionality, and accessibility, 2) add new data elements to the system and minimal revisions to the NVDRS coding manual. In 2018, the NVDRS expanded by adding 10 new states and now all 50 states, the District of Columbia, and Puerto Rico participate in the system. The National Violent Death Reporting System (NVDRS), implemented by the Centers for Disease Control and Prevention (CDC), is a state-based surveillance system developed to monitor the occurrence of violent deaths (i.e., homicide, suicide, undetermined deaths, and unintentional firearm deaths) in the United States (U.S.) by collecting comprehensive, detailed, useful, and timely data from multiple sources (e.g., death certificates, coroner/medical examiner reports, law enforcement reports) into a useable, anonymous database.
* Intended Use of the Resulting Data: Comprehensive surveillance data on violent deaths are needed to describe and characterize such incidents, describe the associated risk factors and circumstances that precipitated the incident, and inform prevention programs, policies, and practices at the local, state, and national levels.
* Methods to be Used to Collect: Each state, District of Columbia, and U.S. territory (referred to hereinafter as “states”) is funded to abstract standard data elements from three primary data sources: death certificates, coroner/medical examiner records, and law enforcement records into a web-based data entry system, supplied by CDC.
* The subpopulation to be studied: Individuals who die from a violent death.

* How data will be analyzed: This is an ongoing surveillance system that captures annual violent death counts and circumstances that precipitate each violent incident. CDC aggregates de-identified data from each state into one national database that is analyzed and released in annual reports and other publications. Descriptive analyses such as frequencies and rates will be employed. A restricted access database is available for researchers to request access to NVDRS data for analysis and a web-based query system is open for public use that allows for electronic querying of data.
1. **JUSTIFICATION**

This is a revision request for the currently approved National Violent Death Reporting System (NVDRS) - OMB# 0920-0607, expiration date 11/30/2020. With this revision, CDC is requesting OMB approval for an additional 3 years to continue data collection efforts. Extensions and revisions have been requested in the past; CDC received initial OMB approval in November 2004 and renewals in January 2007, November 2009, September 2012, June 2013, October 2014, and November 2017.

The purpose of this revision is two-fold: 1) implement updates to the web-based system to improve performance, functionality, and accessibility, 2) add new data elements to the system and minimal revisions to the NVDRS coding manual. In 2018, the NVDRS expanded by adding 10 new states and now all 50 states, the District of Columbia, and Puerto Rico participate in the system.

1. **Circumstances Making the Collection of Information Necessary**

Background

Violence is a major public health problem. The World Health Organization has estimated that 804,000 suicides and 475,000 homicides occurred in the year 2012 worldwidei, ii. Violence against others or oneself is a major public health problem in the United States and is a particular problem for the young: suicide and homicide were among the top 5 leading causes of death for Americans 10-34 and 1-34 years of age in 2017, respectivelyiii. A key to preventing these violent deaths is to understand and target their circumstances (the “who”, “when”, “where”, and “how”).

Given the magnitude of the problem, it is noteworthy that no national surveillance system for violent deaths existed in the U.S. until the NVDRS was developed. In contrast, the federal government supported extensive data collection efforts for several decades to record information about other leading causes of death. For example, the National Highway Traffic Safety Administration has recorded the critical details of fatal motor vehicle crashes, which result in about 40,000 deaths among U.S. residents annually. That system, called the Fatality Analysis Reporting System (FARS), has existed since 1975. The result of this investment has been a better understanding of the risk factors for motor vehicle deaths, information that has helped to target safety improvements that have led to a significant decline in motor vehicle fatalities since the 1970siv.

Aware of the longstanding gap in information about violence, public health leaders and others have been pressing the need for a national surveillance system for violent deaths since 1989. In 1999, the Institute of Medicine recommended that CDC develop a fatal intentional injury surveillance system modeled after FARSv. That same year, six private foundations pooled their funds to demonstrate that data collection about violent deaths was feasible and useful. They established the National Violent Injury Statistics System (NVISS). NVISS was administered by the Harvard Injury Control Research Center and included 12 participating universities, health departments, and medical centers.

In 2000, dozens of medical associations, suicide prevention groups, child protection advocates, and family violence prevention organizations joined a coalition whose purpose was to secure federal funding to extend NVISS-like surveillance nationwide. In fiscal year 2002, the first appropriation from Congress was approved for $1.5 million to start the new system, called the National Violent Death Reporting System (NVDRS)vi.

NVDRS is coordinated and funded at the federal level but is dependent on separate data collection efforts in each state managed by the state health departments or their bona fide agent. NVDRS collects data on violent death, defined as a death resulting from the intentional use of physical force or power (e.g., threats or intimidation) against oneself, another person, or against a group or community. This includes all homicides, suicides, and deaths occurring when law enforcement exerts deadly force in the line of duty. In addition, NVDRS states are required to collect information about unintentional firearm injury deaths (i.e., incidents in which the person causing the injury did not intend to discharge the firearm) and on deaths where the intent cannot be determined ("undetermined deaths") but where there is evidence that force was used. Although these deaths are not considered violent deaths by the above definition, information is collected on these types of death because some of these deaths may have been violent. The collection of this data comes from three primary data sources: death certificates, coroner or medical examiner reports (some states have coroner systems while others have medical examiner or combined systems), and law enforcement records. Most states find it easiest to begin data collection with death certificates because the state health department itself collects death certificates. An average of 250 data elements are collected on each incident. If all optional modules are used, up to 600 data elements (Att. 8) could be collected per incident.

This program is authorized under section 301 (a) [42 U.S.C. 241(a)] of the Public Health Service Act and section 391 (a) [42 U.S.C. 280(b)] of the Public Service Health Act (Att. 1).

1. **Purpose and Use of Information Collection**

The purpose of the program is to continue establishing and maintaining state violent death information collection systems that form the basis of NVDRS. The purpose of NVDRS is to generate public health surveillance information at the national, state, and local levels that is more detailed, useful, and timely than is currently available. It is not enough to know the magnitude of violence. It is also important to understand what factors protect people or put them at risk for experiencing violence. The collection of such information will help identify where prevention efforts need to be focused. Without this information, violence prevention efforts are often based on anecdotal, nonscientific information. This program addresses the Healthy People 2020 focus area of Injury and Violence Prevention**.**

We need to continue this surveillance system to allow our knowledge regarding events that surround the occurrence of a violent death to increase. States that currently collect this data are just beginning to experience the value of such a system. Violent death data gathered by states is being used to guide the development of reports, modify annual prevention plans, and inform prevention strategies. The system is helping states to collaborate with data partners that have not existed in the past.

Publications that have used NVDRS data both at the state and national level include:

*Morbidity and Mortality Weekly Reports* *(MMWRs)* xiv–

* Suicide Rates by Major Occupational Group — 17 States, 2012 and 2015
* *Vital Signs*: Trends in State Suicide Rates — United States, 1999–2016 and Circumstances Contributing to Suicide — 27 States, 2015.
* Suicides among American Indian/Alaska Natives—National Violent Death Reporting System, 18 States, 2003-2014.
* Racial and ethnic differences in homicides of adult women and the role of intimate partner violence - United States, 2003-2014.
* Gang Homicides ─Five U.S. Cities, 2003-2008
* Homicides─ United States, 1999-2007
* Alcohol and Suicide Among Racial/Ethnic Populations ─ 17 States, 2005-2006
* Toxicology Testing and Results for Suicide Victims ─13 States, 2004
* Homicide and Suicides─ NVDRS, United States, 2003-2004
* Homicides and Suicide Rates – NVDRS, Six States, 2003
* Surveillance Summaries – *Surveillance for Violent Deaths* – *NVDRS*, published Sept 2018, Feb 2018, Aug 2016, Jul 2016, Jan 2014, Sept 2012, Aug 2011, May 2010, Mar 2009, Apr 2008

*State annual reports*xv –

* AK, CO, CT, GA, KY, MD, MA, NJ, NM, NC, OH, OK, OR, RI, SC, UT, VA, WI

*Supplements*– Two scientific journal supplements dedicated to NVDRS:

* *American Journal of Preventive Medicine* ─ *National Violent Death Reporting System: Analyses and Commentary*, November 2016
* *Injury Prevention* ─ *Deaths from Violence: A Look at 17 States* – December 2008

*Peer-reviewed publications* – Over 80 peer-reviewed reports published between 2003 and 2016 by numerous researchers in the field of violence prevention, including most recently:

*Peer review publications -*

* Lyons, BH et al., (2019). Suicides Among Lesbian and Gay Male Individuals: Findings from the National Violent Death Reporting System. American Journal of Preventive Medicine, 2019; 56(4), 512-521.
* Petrosky E et al., (2018). Chronic Pain Among Suicide Decedents, 2003 to 2014: Findings from the National Violent Death Reporting System. Annals of Internal Medicine 2018; 169(7):448-455.
* Barber, C et al., (2016). Homicides by Police: Comparing Counts from the National Violent Death Reporting System, Vital Statistics and Supplementary Homicide Reports *American Journal of Public Health, 106(5).* doi: 10.2105/AJPH.2016.303074
* Schiff, et al., (2015). Acute and Chronic Risk Preceding Suicidal Crises Among Middle-Aged Men Without Known Mental Health and/or Substance Abuse Problems: An Exploratory Mixed-Methods Analysis. *Crisis: The Journal of Crisis Intervention and Suicide Prevention*. http://dx.doi.org/10.1027/0227-5910/a000329.
* Fowler et al., (2015). Increase in Suicides Associated with Home Eviction and Foreclosure During the US Housing Crisis: Findings from 16 National Violent Death Reporting System States, 2005-2010. *Am Journal of Public Health, 105:311–316.* doi:10.2105/AJPH.2014.301945.
1. **Use of Improved Information Technology and Burden Reduction**

The system transitioned in 2013 from a distributed software system with data entry housed in each state health department to a web-based data entry system that uses a streamlined coding system to facilitate data abstraction efficiency.

Data entry is accomplished in health department offices or in the field in the offices of coroners, medical examiners and law enforcement via a secure internet platform. States have the option of electronically importing death certificate and coroner/medical examiner (CME) data into the system. The import function reduces the burden for manual entry and paper copies. Law enforcement data are manually entered from the records into the NVDRS web system. Usually states manually enter CME data into the system. The data collection interface includes internal validation checks and other quality control measures. To help increase data quality, state project personnel are provided coding training through a detailed coding manual (Att. 7), online help functions, webinars, monthly coding workgroup calls, the NVDRS Coding Help Desk. Software questions are addressed via monthly state calls and the NVDRS Software Help Desk. Data are transmitted real time via the web to the CDC-based server.

1. **Efforts to Identify Duplication and Use of Similar Information**

Continuous review of data collected and disseminated by private and public agencies indicates that there is no similar ongoing surveillance system in existence.

* The National Violent Injury Statistics System was a privately-funded data collection system that was expressly designed as a pilot test for NVDRS. The system ceased to collect data from its twelve local sites in 2004.
* Death Certificates from the National Vital Statistics System records mainly counts deaths, including homicide and suicide. The system only provides decedent demographics, incident location, and method of death and does not provide information on risk factors for violent deaths, such as mental health and criminal history.
* Local and Federal criminal justice agencies such as the Federal Bureau of Investigations provide slightly more information about homicides, but they do not routinely collect standardized information about suicides, which occur more frequently than homicides. The FBI’s Supplemental Homicide Report (SHR) collects basic information about victim-suspect relationship and circumstances related to the homicide, however SHR does not link violent deaths that are part of one incident such as homicides-suicides. SHR is also a voluntary system in which very few departments nationwide participate. The FBI’s National Incident Based Reporting System (NIBRS) provides slightly more information than SHR but covers less of the country that SHR. NIBRS also only provides data on homicides.
* Other morbidity and mortality data systems only collect information on select outcomes in select populations. The Department of Defense Suicide Event Report (DoDSER) collects data on suicides and suicidal behaviors among US military personnel. The Department of Justice’s Data collection systems such as the Deaths in Custody Reporting Program and the National Corrections Reporting Program all have the general purpose to report on health conditions and outcomes of persons in various correctional institutions or under the jurisdiction of law enforcement agencies. The National Intimate Partner and Sexual Violence Survey (NISVS) collects self-report data specifically on sexual violence, stalking, and intimate partner violence.

CDC’s State Unintentional Drug Overdose Reporting System (SUDORS) is a state-based surveillance system developed to provide more timely data on fatal opioid overdoses and in-depth information on risk factors. NVDRS does not collect information on unintentional drug overdoses. Although SUDORS added overdose-specific variable fields to the NVDRS web-based system, the collection of this data is not part of NVDRS. NVDRS and SUDORS collect information on drug-related deaths of undetermined intent, although SUDORS does not collect law enforcement data on these incidents. SUDORS has a shorter period for data collection than does NVDRS. NVDRS has established regular meetings with colleagues working on SUDORS and is actively communicating with SUDORS colleagues.

Furthermore, no system to date has attempted to combine information on violent deaths from such a variety of sources on such a scale. Prior to NVDRS’ launch, information on violent deaths (i.e., homicides, suicides, legal interventions (excluding legal executions), and unintentional firearm deaths) was fragmented across a variety of databases and data sources and collected in a non-standardized manner. NVDRS solved this problem by allowing participating states to combine data from law enforcement reports, coroner/medical examiner reports, and death certificates into a useable anonymous surveillance database. NVDRS provides a complete picture by 1) linking multiple deaths (e.g., multiple homicides, suicide pacts, and cases of homicide followed by the suicide of the suspect) into a single record, and 2) collecting information on who dies violently, where victims are killed, and when and how they are killed. NVDRS also collects information on the suspect and the relationship of the victim to the suspect to better characterize homicides. Finally, NVDRS is the first system to collect brief narratives that provide what factors contribute or precipitate the death, including victim-suspect relationship, mental health history, and personal stressors.

Currently, in efforts to comply with OMB requirements, NCIPC/NVDRS is engaged in ongoing dialogue with the National Center for Health Statistics (NCHS) concerning joint efforts to continue to work toward incremental improvement of timeliness and quality of death certificate data. Scientists from NCHS have presented updates on the National Vital Statistics System (NVSS) at NVDRS Reverse Site Visits, in December 2014, May 2016, December 2016, May 2017, December 2018, and May 2019. During these NVDRS reverse site visits, NVDRS management staff also interfaced with NCHS for discussions about NVDRS. Reports of these efforts were provided (Att. 9). NVDRS will continue to invite NCHS to interface with NVDRS states to discuss any recent developments or issues with obtaining timely and accurate death certificate records. In May 2015, NVDRS staff met with NCHS and the National Association of Public Health Statistics and Information System (NAPHSIS) to discuss timeliness and quality of mortality data. In October 2015, NVDRS management staff met with NCHS management to discuss the NVSS and NVDRS and opportunities for collaboration. NVDRS management staff also participates in monthly conference calls organized by NCHS regarding the electronic death registration system. In May 2018, Dr. Robert Anderson from NCHS gave an update on Electronic Death Registration Systems at the 2018 NVDRS Reverse Site Visit in New Orleans, Louisiana. In May 2019, Dr. Margaret Warner from NCHS gave an update on Electronic Death Registration Systems at the 2019 NVDRS Reverse Site Visit in Denver, Colorado. In June 2019, NVDRS participated in a meet and greet with staff from the Division of Vital Statistics at NCHS (Dr. Paul Sutton and Dr. Steven Schwartz). The Team Lead gave an overview of NVDRS and future directions.

NCIPC/NVDRS has continued to collaborate with NCHS on data integration. In December 2016, NCHS briefed the CDC NCIPC Director on a project to integrate data from CME case management systems with other related public health reporting systems. In November 2017, NVDRS took part in an initial conceptual discussion with NCHS about their plans to implement electronic interoperability of CME case management systems and public health reporting systems, such as electronic death registration systems and death reporting systems (e.g. NVDRS, SUDORS).

Further engagement between NCHS and NVDRS occurred in May 2018, September 2018, and February 2019 with NVDRS staff actively participating as members of the ongoing NCHS Data Implementers' Workgroup led by NCHS. The current goals of this project for NCHS are to: 1) identify the data elements that that CME offices are most frequently asked to report to multiple stakeholders and 2) begin to develop/test more modern, application programming interfaces (API)-driven approaches to exchanging common data elements with public health and public safety partners.

The group brings together a motivated group of Vital Registrars, coroners and medical examiners (CMEs) as well as Federal/State/Local/Tribal stakeholders to learn from each other, test new approaches to interoperability of systems, and demonstrate how standards-based technologies and techniques can be reused across the country to maximize benefit. The group hopes to adopt approaches that create value and reduce burden for data providers as well as data requestors (e.g., making CME and electronic death registration system [EDRS] systems more interconnected to support the secure flow of real-time mortality data). We are interested in learning more about opportunities to help ensure efforts are aligned.

NVDRS staff participated in the Implementer’s Workgroup meetings on interoperability as part of the June 2018 and June 2019 NAPHSIS annual meetings.

1. **Impact on Small Businesses or Other Small Entities**

This study does not impact small businesses or other small entities. It impacts public agencies such as health departments, police departments, sheriffs’ offices, crime labs, and medical examiner/coroner offices, whose records are accessed in the course of data collection. Several data items have been flagged as optional items to allow these agencies to reduce the amount of data they collect at their discretion.

1. **Consequences of Collecting the Information Less Frequently**

Continual public health surveillance of violent deaths is required to obtain the detail necessary for prevention at the state level. Data collection must be continuous to monitor epidemics of violence, target violence prevention efforts, and to evaluate the impact of prevention programs. The new web-based data entry system allows states to see any trends much quicker than previously available, as data are continuously updated and accessible.

1. **Special Circumstances Relating to the Guidelines of 5 CFR 1320.5**

This data collection complies fully with the guidelines in 5 CFR 1320.5.

1. **Comments in Response to the Federal Register Notice and Efforts to Consult Outside the Agency**
2. Federal Register Notice

A 60-day Federal Register Notice was published in the Federal Register on October 22, 2019, vol. 84, No. 204, pp. 56457(Att. 2). CDC received two anonymous non-substantive comments (Att. 3a and 3b).

1. Efforts to Consult Outside the Agency

NCIPC maintains a partnership with the national organizations that represent the major data sources used by NVDRS. The organizations include the National Association of Medical Examiners (NAME), the National Association of Public Health Statistics and Information System (NAPHSIS), the International Association of Police Chiefs (IACP), and the National Sheriff’s Association (NSA). NVDRS also has close partnerships with the American Public Health Association (APHA), Council of State and Territorial Epidemiologists (CSTE), Safe States Alliance, and the American College of Preventive Medicine (ACPM), all of which comprise national injury and violence experts who can provide feedback regarding the content of this system. In 2018, NVDRS participated in a meeting with the IACP, NSA, APHA, and law enforcement stakeholders from several agencies. With this meeting, future goals included strategizing ways to increase awareness of NVDRS with law enforcement.

1. **Explanation of Any Payment or Gift to Respondents**

Public agencies (i.e., the respondents) will not receive payments or gifts for providing information. The CDC funds state health departments or their bona fide agents to participate in NVDRS through cooperative agreements. State health departments have formed interagency agreements with police departments, other law enforcement agencies, and medical examiner/coroner offices to share their data.

1. **Protection of the Privacy and Confidentiality of Information Provided by Respondents**

NCIPC’s Information Systems Security Office has determined that the Privacy Act does not apply for NVDRS. The Privacy Impact Assessment (PIA) is attached (Att. 4).

Sensitive information is collected by state health departments from the vital statistics (death certificates), coroner/medical examiner records and law enforcement records, however all personally identifying information is stripped from the files before the case-level data is sent to CDC. Only selected staff working in the state NVDRS program will have access to state information.

Some states may abstract information onto worksheets as an intermediate step prior to data entry into a computer. These worksheets contain personal identifiers. They will be stored in locked file cabinets to which only state NVDRS staff will have access. Such worksheets will never be sent from the state to the CDC or to a CDC contractor. Thus, data collection will have little or no effect on the respondent’s privacy. States treat their data in a secure manner and protect it with all applicable state laws for the protection of public health surveillance information.

CDC and state health departments will conduct analyses of the data and share aggregate results with the public through a public use dataset.

To ensure privacy and anonymity, several procedures will be implemented:

* Data is maintained securely throughout the data collection and data processing phases.
* Data is primarily stored on a secure CDC-based server accessed via a secure web platform. Supplemental data may be stored at the state level in secured computers that reside within state health department firewalls.
* The CDC system does not store personal identifying information such as names, address, SSN, date of birth, etc.,
* NVDRS follows NCHS guidelines on suppression of small sample sizes in data tabulations to prevent the inadvertent identification of an individual through the combination of various demographic characteristics, (e.g., a 98-year-old man from Pawtucket County in Massachusetts might be readily identifiable).
1. **Institutional Review Board (IRB) and Justification for Sensitive Questions**

**IRB Approval**

The CDC National Center for Injury Prevention and Control’s OMB and Human Subjects Liaison has determined that IRB approval is not needed for this non-research surveillance work. No personal information will be collected, and human participants will not be used (Att. 5)

**Sensitive Questions**

No sensitive questions are asked directly to individuals involved in violent incidents or their next of kin. Information on sensitive issues (e.g., mental illness and substance abuse), are collected about the deceased victims from the records of public agencies. Such information is critical for the identification of preventive measures.

1. **A. Estimates of Annualized Burden Hours and Costs**

There are no standard paper data collection forms to be used by states because states will be abstracting information from electronic or paper vital statistics, coroner/medical examiner and law enforcement records into the CDC web-based data system (Att. 6). We are using our over 10 years of experience working with states to estimate the annualized burden hours and costs.

The burden was estimated as follows:

* In the last OMB package, the burden was calculated for 56 states (respondents) reporting 56,000 violent deaths which averages to 1,000 deaths per state. The burden estimate includes projected hours for 56 states. There is an increase in burden of 2,290 hours due to a significant increase in violent deaths across the U.S. According to CDC’s WISQARS, between 2003 (the inception of this surveillance system) and 2017, violent deaths. Consequently, this increase impacts the number of responses per respondent, increasing it from 1,000 (as written in previous OMB requests) to 1,305.
* The number of violent deaths per year in an average state we estimated by dividing the total number of deaths nationwide (≈ 73,000) by 56. In 2017, 73,098 deaths were classified as either homicides, legal intervention, suicides, or undetermined deathsiii. There are no national estimates of unintentional firearm deaths, however, data from 27 NVDRS states showed that these deaths accounted for less than 1% of violent deaths recorded in these states in 2015xvi.
* The number of hours per death required for the public agencies working with NVDRS states to retrieve and then refile their records was estimated at 0.5 hours per death.

The total estimated annualized burden hours are summarized in Table A.12-A.

Table A.12-An Estimated Annualized Respondent BurdenHours

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Type of Respondent | Form Name | No. of Respondents | No. Responses per Respondent | Average Burden per Response (in hours) | Total Burden Hours |
| Public Agencies | Web-based Data Entry (Att. 6) | 56  | 1,305 | 30/60 | 36,540 |
| Total |  | 36,540 |

**B. Estimated Annualized Respondent Burden Costs:**

There are no direct costs to public agencies; the data is routinely available in each reporting office as a by-product of their on-going activities. The staff who are retrieving records will vary across agencies. Therefore, we used the average hourly salary of office and administrative support staff of $18.75xvii. Public agencies who retrieve and refile records estimate costs at [36,540 burden hours x $18.75/hour] = $685,125. In some cases, state health departments may subcontract with the public agencies or otherwise find a way to defray these costs.

Table A.12-B. Estimated Annualized Burden Costs

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Type of Respondent | No. of Respondents | No. Responses per Respondent | Average Burden per Response (in hours) | Total Burden Hours | Hourly Wage Rate | Total Respondent Cost |
| Public Agencies | 56 | 1,305 | 30/60 | 36,540 | $18.75 | $685,125 |
| Total |  | $685,125 |

1. **Estimates of Other Total Annual Cost Burden to Respondents or Record Keepers**

Respondents will incur no capital or maintenance costs.

1. **Annualized Cost to the Government**

These costs fall into several categories, listed below.

### Contractor phases, tasks, and estimated costs

|  |  |
| --- | --- |
| **Labor** | **COST** |
| MISO contract for maintenance of the data collection software | $300,000 |
| Contracts and cooperative agreements with national partners | $750,000 |
| **Total Estimated Contract Costs** | **$1,050,000** |

**Government costs**

|  |  |  |
| --- | --- | --- |
| **Personnel** | **Tasks** | **Avg. cost/yr.** |
| Senior Scientist | Program oversight | $160,000 |
| 6 Epidemiologists | Technical assistance and data usage | $849,000 |
| 7 Public Health Advisors | Programmatic, budgetary, administrative management & oversight | $780,000 |
| Computer Informatics Specialist | Database design | $100,000 |
| Computer Scientist | Data quality assurance | $155,000 |
| Statistician | Data analysis | $137,000 |
| **Sub-total** |  |  **$2,181,000** |

Total annual contractual and government staff costs are approximately $ 3,231,000.

This is a multi-year project, with most initial cooperative agreements spanning five years. The total cost over five years for contractual and government staff will be approximately five times the annual cost-plus two percent (2%) cost of living.

1. **Explanation for Program Changes or Adjustments**

There are minimal changes to the coding manual (Att. 7) in this revision; however, there have been updates to the web-based system to improve performance, functionality, and accessibility for funded states. Recent changes include updates to the Incident Type and the addition of a system-generated variable called Incident Category. Additionally, a Related Incidents field has been created that allows users to create links between incidents. Each link has a description field and allows users to click through to see the related incident. An Incident Validation feature has been added that pulls together all the validation rules on the various data elements into a single screen. The users will be able click the “validate” button next to the “save” button and receive a report on all validation rules for the incident. Lastly, changes have been made to the toxicology lookup table to reduce the size of the table and make it easier to code toxicology information.

These changes do not increase burden hours or costs to public agencies. States will request the same records from the same public agencies that they are currently requesting. The added overdose module to the system are not required variables for NVDRS data collection, and thus does not increase burden or costs the public agencies.

After review of most recent published data, there has been a significant increase in violent deaths across the U.S. According to CDC’s WISQARS, between 2003 (the inception of this surveillance system) and 2017, violent deaths (i.e., homicides, suicides and undetermined deaths) have increased by 34%. Consequently, this increase impacts the number of responses per respondent, increasing it from 1,000 (as written in previous OMB requests) to 1,305 and increases the total burden hours for public agencies to retrieve these records from 34,250 to 36,540.

NVDRS has always had the goal to be a nationally representative surveillance system, operating in all 50 states, the District of Columbia, and U.S. territories. In the previous OMB package, we calculated the number of respondents to be 56, which included 50 states, the District of Columbia, and 5 U.S. territory health departments (Puerto Rico, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands (Northern Marianas, U.S. Virgin Islands). Our request is to continue with the number of respondents at 56, continuing to exclude large local health departments as an independent respondent in NVDRS.

1. **Plans for Tabulation and Publication and Project Time Schedule**

Data aggregated across states will be presented in tabulations of outcomes such as homicide rates and suicide rates by age group. These will be released in CDC publications such as the Morbidity and Mortality Weekly Report *(MMWR)* or in other peer-reviewed publications. A web-based query system to allow electronic querying of the information has been developed and available to the public since November 2008.

Time Schedule

|  |  |
| --- | --- |
| **Task** | **Time Period** |
| Final analysis files | 19 months after the data year |
| Restricted Access Data files | 19 months after the data year |
| MMWR | At least one article per year |
| NVDRS data query system | Updated annually |

Annual reports will include crude and age-adjusted rates for suicide, homicide, undetermined cause of death, legal intervention, unintentional firearm injury, and terrorism. Sex, race, and age-specific rates is also presented. The percent of different types of violent deaths associated with specific circumstances, eg, a history of substance abuse, will be presented. Time trends will also be shown. No sophisticated statistical techniques (e.g. weighting) will be required to display this surveillance data.

1. **Reason(s) Display of OMB Expiration Date Is Inappropriate**

There are no standard paper data collection forms to be used by states. Data is entered into the web-based system either manually or electronically by importing death certificate and/or coroner/medical examiner (CME) data into the system (Att. 6). The OMB expiration date can be displayed on the opening screen of the software if required.

1. **Exceptions to Certification for Paperwork Reduction Act Submissions**

This collection of information involves no exception to the Certification for Paperwork Reduction Act Submissions.

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xiii US Department of Health and Human Services. Healthy People 2020. Washington, DC: CDC; 2013. <https://www.healthypeople.gov/2020/topicsobjectives2020/default.aspx>

xiv Reports can be found here <https://www.cdc.gov/violenceprevention/nvdrs/publications.html>

xv Webpage address for each state health department, where the NVDRS reports can be found are located here <https://www.cdc.gov/violenceprevention/nvdrs/stateprofiles.html>

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