Study Record: PHS Human Subjects and Clinical Trials Information

OMB Number: 0925-0001 and 0925-0002

| * Always Required field | Expira | ation Date: 03/31/202 |
|---|--------|-----------------------|
| Section 1 - Basic Information | | |
| 1.1 * Study Title (each study title must be unique) | | |
| | | |
| I.2 * Is this Study Exempt from Federal Regulations? ☐ Yes ☐ No | | |
| 1.3 Exemption Number | | |
| .4 *Clinical Trial Questionnaire | | |
| If the answers to all four questions are yes, this study meets the definition of a Clinical Trial. | | |
| 1.4.a. Does the study involve human participants? | ☐Yes | □No |
| 1.4.b. Are the participants prospectively assigned to an intervention? | ☐Yes | □No |
| 1.4.c. Is the study designed to evaluate the effectiveness of the intervention on the participants? | ☐Yes | □No |
| 1.4.d. Is the effect that will be evaluated a health-related biomedical or behavioral outcome? | ☐Yes | □No |
| 1.5 Provide the Clinical Trials.gov Identifier (eg. NCT87654321) for this trial, if applicable | | |
| Section 2 - Study Population Characteristics | | |
| 2.1 Conditions or Focus of Study X 2.2 Eligibility Criteria | | |
| 2.3 Age Limits Minimum Age Maximim Age | | * |
| 2.3.a. Inclusion of Individuals Across the Lifespan Add Attachment Delete Attach | nment | View Attachment |
| 2.4 Inclusion of Women and Minorities Add Attachment Delete Attach | nment | View Attachment |
| 2.5 Recruitment and Retention Plan Add Attachment Delete Attach | nment | View Attachment |
| 2.6. Recruitment Status | | |
| 2.7. Study Timeline Add Attachment Delete Attach | nment | View Attachment |
| 2.8. Enrollment of First Participant | | |
| 2.9. Inclusion Enrollment Report(s) Add Inclusion Enrollment Report | | |
| Inclusion Enrollment Report | | |
| 1. * Inclusion Enrollment Report Title | | |
| 2. * Using an Existing Dataset or Resource | | |
| 2 * Enrallment Location Type | | |

4. Enrollment Country(ies) Χ Add New Country 5. Enrollment Location(s) 6. Comments **Planned Ethnic Categories Racial Categories** Not Hispanic or Latino Hispanic or Latino Total Female Male Female Male American Indian / Alaska Native 0 0 0 0 0 0 Asian 0 0 0 0 Native Hawaiian or Other Pacific 0 0 0 0 0 Islander 0 0 0 0 Black or African American 0 0 White 0 0 0 0 More than one Race 0 0 0 0 0 Total 0 0 0 0 0 Cumulative (Actual) **Ethnic Categories** Unknown/ **Racial Categories** Not Hispanic or Latino Hispanic or Latino Total Not Reported Ethnicity Unknown / Unknown / Unknown / Female Male Female Male Female Male Not Reported Not Reported Not Reported American Indian/ 0 0 0 0 0 0 0 0 0 0 Alaska Native 0 0 0 0 0 0 0 0 0 0 Native Hawaiian or Other Pacific Islander 0 0 0 0 0 0 0 0 Black or African American 0 0 0 0 0 0 0 0 0 0 White 0 0 0 0 0 0 0 0 0 0 More than One Race 0 0 0 0 0 0 0 0 0 Section 3 - Protection and Monitoring Plans 3.1. Protection of Human Subjects Add Attachment **Delete Attachment** View Attachment 3.2. is this a multi-site study that will use the same protocol to conduct non-exempt human subjects research at more than one domestic site? Yes □No □ N/A If yes, describe single IRB plan Add Attachment **Delete Attachment** View Attachment

Add Attachment

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3.3. Data and Safety Monitoring Plan

| . Overall structure of the St | tudy Team | | | Add Attachment | t [| Delete Attachment | View Attachment |
|---|---|--------------------|-------|----------------|-------|---|-----------------|
| | | | | | | | |
| ction 4 - Protocol Synop | sis | | | | | | |
| . Study Design | | | | | | | |
| 4.1.a. Detailed Description | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| b. Primary Purpose | | | | | | | • |
| .c. Interventions | | | | | | | |
| χ Intervention Type | | | | | | • | |
| Name | | | | | | | |
| Description | | | | | | | |
| Add New Intervention | | | | | | | |
| d. Study Phase | | | | | | • | |
| | | | | | | | |
| | | | | | | | |
| ls this an NIH- | defined Phase III | clinical trial? | □Yes | □No | | | |
| Is this an NIH- | defined Phase III (| clinical trial? | □Yes | □No | | | |
| | defined Phase III (| clinical trial? | □Yes | □No | | ▼ | |
| e. Intervention Model | defined Phase III d | clinical trial? | Yes | □No | | | |
| .e. Intervention Model | | | | □ No | □ Out | | |
| e. Intervention Model f. Masking | □Yes | □No | | | □ Out | ▼ | |
| e. Intervention Model f. Masking | □Yes | □No | | | □ Out | ▼ | |
| e. Intervention Model f. Masking l.g. Allocation | □Yes | □No | | | □ Out | comes Assessor | |
| e. Intervention Model f. Masking l.g. Allocation 2. Outcome Measures X Name | □Yes | □No | | | Out | comes Assessor | |
| e. Intervention Model f. Masking I.g. Allocation C. Outcome Measures X Name Type | □Yes | □No | | | Out | comes Assessor | |
| i.e. Intervention Model if. Masking i.g. Allocation c. Outcome Measures X Name Type Timeframe | □Yes | □No | | | Out | comes Assessor | |
| i.e. Intervention Model i.f. Masking i.g. Allocation 2. Outcome Measures X Name Type Timeframe Brief Description | □Yes | □No | | | Out | comes Assessor | |
| i.e. Intervention Model i.f. Masking i.g. Allocation c. Outcome Measures X Name Type Timeframe | □Yes | □No | | | Out | comes Assessor | |
| a.e. Intervention Model a.f. Masking a.g. Allocation b.c. Outcome Measures b.c. Name b.c. Type b.c. Timeframe b.c. Brief Description b.c. Add New Outcome | ☐ Yes ☐ Participant | □No | der (| | | comes Assessor | View Attachment |
| a.e. Intervention Model a.f. Masking a.g. Allocation b.c. Outcome Measures b.c. Name b.c. Type b.c. Timeframe b.c. Brief Description b.c. Add New Outcome | ☐ Yes ☐ Participant | □No | der (| □ Investigator | | comes Assessor | View Attachment |
| .e. Intervention Model .f. Masking 1.g. Allocation 2. Outcome Measures X Name Type Timeframe Brief Description | ☐ Yes ☐ Participant ☐ wer | □No | der (| □ Investigator | | comes Assessor | View Attachment |
| i.e. Intervention Model i.f. Masking i.g. Allocation c. Outcome Measures X Name Type Timeframe Brief Description Add New Outcome 3. Statistical Design and Po | ☐ Yes ☐ Participant ☐ Partici | □ No □ Care Provid | der (| ☐ Investigator | | comes Assessor | View Attachment |
| i.e. Intervention Model i.f. Masking i.g. Allocation i.g. Outcome Measures X Name Type Timeframe Brief Description Add New Outcome i. Statistical Design and Po | ☐ Yes ☐ Participant ☐ Partici | □ No □ Care Provid | ler (| Add Attachment | | comes Assessor • • • • • • • • • • • • • | View Attachment |
| i.e. Intervention Model i.f. Masking i.g. Allocation c. Outcome Measures X Name Type Timeframe Brief Description Add New Outcome 3. Statistical Design and Po | ☐ Yes☐ Participant☐ ☐ Participant☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ | □ No □ Care Provid | ler (| Add Attachment | | comes Assessor • • • • • • • • • • • • • | View Attachment |

| 4.7. Dissemination Plan | | | Add Attachment | Delete Attachment | View Attachment |
|--------------------------------|-----------------|--------|----------------|-------------------|-----------------|
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| ection 5 - Other Clinical Tria | I-related Attac | hments | | | |
| ection 5 - Other Clinical Tria | I-related Attac | hments | | | |

SECTION 6 - Clinical Trial Milestone Plan 6.1. Study Primary O Anticipated O Actual **Completion Date** 6.2. Study Final Completion O Anticipated O Actual 6.3. Enrollment and randomization Enrollment of the O Anticipated O Actual first subject (Study Start Date) 25% of planned O Anticipated O Actual enrollment recruited by 50% of planned O Anticipated O Actual enrollment recruited by 75% of planned O Anticipated O Actual enrollment recruited by 100% of planned O Anticipated O Actual enrollment recruited by 6.4. Completion of primary O Anticipated O Actual endpoint data analyses 6.5. Reporting of results in O Anticipated O Actual ClinicalTrials.gov 6.6. Is this an applicable clinical trial under FDAAA? O Yes O No