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American Apprenticeship Grants

Individual Record File: Data Dictionary and

March 2019 - Version 3.1

Instructions

| Data Element Name | Data Definition and Instruction | Code Value |
|-------------------|---------------------------------|------------|
|-------------------|---------------------------------|------------|

| | | |
|--------------|--|--|
| Grant Number | Grant number as it appears on the appropriate Notice of Obligation (NOO) or equivalent official document from the U.S. Department of Labor | |
|--------------|--|--|

| | | |
|--------------|--|--|
| Project Name | Grant number as it appears on the appropriate Notice of Obligation (NOO) or equivalent official document from the U.S. Department of Labor | |
|--------------|--|--|

**Required
(Yes/No)**

Y

Y

| Data Element Name | Data Definition and Instruction | Code Value | Required (Yes/No) |
|-------------------------------------|--|------------|-------------------|
| Grantee Street Address | Street address as it appears on the appropriate NOO or equivalent official document from the U.S. Department of Labor | | Y |
| Grantee City | City mailing address as it appears on the appropriate NOO or equivalent official document from the U.S. Department of Labor | | Y |
| Grantee State | State mailing address as it appears on the appropriate NOO or equivalent official document from the U.S. Department of Labor | | Y |
| Grantee 5-Digit ZIP Code | ZIP code as it appears on the appropriate NOO or equivalent official document from the U.S. Department of Labor | | Y |
| Contact_Type | Valid Values: Grantee, ProgramSponsor, Employer1....20, Pre-Apprentice Provider, RtiProvider | | Y |
| Grantee Contact Name | Enter the name the grantee official that is certifying submission of the report to the Department. This contact is usually the Grant Signatory. This person must be available at the time of report submission and available to re-validate data that may be returned with errors. This available time-frame may include up-to three weeks after initial report submission. | | Y |
| Grantee Contact Title | Enter the title of the grantee official that is certifying submission of the report to the Department . Contact Title refers to the business title such as "Chief Financial Officer" | | Y |
| Grantee Contact Telephone Number | Enter the area code (999) and telephone number (999-9999) of the authorized official | | Y |
| Grantee Contact Telephone Extension | If Applicable, enter the authorized official's telephone extension | | N |
| Grantee Contact Fax Number | Enter the area code (999) and telephone number (999-9999) | | N |
| Grantee Contact Email Address | Enter the email address of the authorized official | | Y |
| Grantee Contact Street Address | Enter the Grantee Contact's street address. This may or may not differ from the Grantee address. | | Y |
| Grantee Contact City | Enter the Grantee Contact's city. This may or may not differ from the Grantee address. | | Y |
| Grantee Contact State | Enter the Grantee Contact's state. This may or may not differ from the Grantee address. | | Y |

Grantee Contact 5-Digit Zip Code

Enter the Grantee Contact's 5-digit zip code. This may or may not differ from the Grantee address.

Y

Grantee: Last Event Date

The date that the Grantee information was last modified

DATE
MM/DD/YYYY

Y

| Data Element Number | Data Element Name | Data Definition and Instruction |
|---------------------|-------------------|---------------------------------|
|---------------------|-------------------|---------------------------------|

Program Sponsor Information

| | | |
|----|------------------------------------|---|
| 3 | Program Sponsor Number | <p>A unique identifier for the Program Sponsor *A program must have only one program sponsor number that associates all employers/occupations *The same program sponsor number cannot be registered by another program simultaneously</p> |
| 4 | Program Sponsor 6-digit NAICS Code | <p>Enter the 6-digit North American Industrial Classification System (NAICS) Code (http://www.census.gov/eos/www/naics/)</p> |
| 5 | Program Sponsor Name | <p>This may or may not be the same of the Grantee name</p> |
| 6 | Program Sponsor Street Address | <p>Enter the Program Sponsor's street address. This may or may not differ from the Grantee address.</p> |
| 7 | Program Sponsor City | <p>Enter the Program Sponsor's city. This may or may not differ from the Grantee address.</p> |
| 8 | Program Sponsor State | <p>Enter the Program Sponsor's state. This may or may not differ from the Grantee address.</p> |
| 9 | Program Sponsor 5-Digit Zip Code | <p>Enter the Program Sponsor's 5-digit zip code. This may or may not differ from the Grantee address.</p> |
| 10 | Registration Date | <p>Date the program was officially registered by the Office of Apprenticeship or State Apprenticeship Agency.</p> |

Program Information

| | | |
|----|-----------------------------------|--|
| 11 | Program Type | <p>bargaining agreement Group, joint = multi employer association, covered by a collective bargaining agreement Group, non joint = multi employer association <u>not</u> covered by a collective bargaining agreement</p> |
| 12 | Number of Participating Employers | <p>Number of employers who are signatories to the standards of apprenticeship for training of apprentices. Default value = 1 employer</p> |
| 13 | Employer: Name | <p>Enter the name of the employer</p> |

14 Employer: Street Address

Enter the employer's street address.

15 Employer: City

Enter the employer's city.

16 Employer: State

Enter the employer's state.

17 Employer: 5-Digit Zip Code

Enter the employer's 5-digit zip code.

18 Employer: Status

Choose the employer's status

19 Bargaining Agency Name

If applicable, name of local union

20 National Union Affiliation

If applicable, Union acronym, example: IBEW, SMWIA, etc.

Occupation Information

21 Occupation: Apprentice Occupation 8-digit O*NET Code

Enter the 8-digit O*NET code that best fits the apprenticeable occupation (www.onetonline.org).

22 Occupation: Occupational Title

Specific title of an occupation that may or may not be the same as that designated by the O*NET data system (www.onetonline.org).

23 Occupation: RAPIDS/State Occupation Code

Enter RAPIDS occupation code if known

Occupation: Training Approach

Time-Based Approach = apprentice required to complete a specific number of hours of on-the-job learning (OJL) and related training instruction (RTI)

Competency-Based Approach = apprentice required to demonstrate competency in defined subject areas and does not require any specific hours of OJL or RTI

Hybrid Approach = apprentice required to complete a minimum number of OJL and RTI hours and demonstrate competency in the defined subject areas

24

Occupation: Primary RTI Type of Instruction

25

26 Occupation: Primary RTI Provider Name

27 Occupation: Secondary RTI Provider Name

Occupation: Secondary RTI Type of Instruction

28

1= Community college
2= Adult education
3= Sponsor
4 = Private colleges
9 = Other

Enter the name of college, adult education, or sponsor

Enter the name of college, adult education, or sponsor

1= Community college
2= Adult education
3= Sponsor
4 = Private colleges
9 = Other

| Code Value | Required (Yes/No) |
|------------|----------------------|
|------------|----------------------|

N

Y

Y

Y

Y

Y

Y

Y

Non Joint
2 = Independent,
Joint
3 = Group, Joint

Y

Y

Y

Y

Y

Y

Y

1 = Active
2 = Cancelled

y

Y

Y

Y

Y

N

1 = Time-Based
2 = Competency-
Based
3= Hybrid

Y

Y

Y

N

N

| Data Element Number | Data Element Name | Data Definition and Instruction | Code Value | Required (Yes/No) |
|---------------------|-------------------|---------------------------------|------------|-------------------|
|---------------------|-------------------|---------------------------------|------------|-------------------|

Apprentice Program Sponsor

| | | | | |
|---|--|---|--|---|
| 1 | Program Sponsor Associated with Apprentice | This may or may not be the same of the Grantee name | | Y |
|---|--|---|--|---|

Apprentice Information

| | | | | |
|---|-----------------------|--|--|---|
| 2 | Apprentice First Name | Enter the first name of the apprentice | | Y |
|---|-----------------------|--|--|---|

| | | | | |
|---|------------------------|---|--|---|
| 3 | Apprentice Middle Name | Enter the middle name of the apprentice | | N |
|---|------------------------|---|--|---|

| | | | | |
|---|----------------------|---------------------------------------|--|---|
| 4 | Apprentice Last Name | Enter the last name of the apprentice | | Y |
|---|----------------------|---------------------------------------|--|---|

| | | | | |
|---|-------------------|---|----------------|---|
| 5 | Apprentice Suffix | This is the suffix to the apprentice name. Values include I, II, III, Jr., Sr. Default is Null. | I II III Jr Sr | N |
|---|-------------------|---|----------------|---|

| | | | | |
|---|---------------|---|--|---|
| 6 | Apprentice ID | <p>A unique identifier for the apprentice</p> <p>*An apprentice must have only one apprentice id that associates all programs/occupations that the apprentice has been registered in.</p> <p>*The same apprentice cannot be registered in another program and/or occupation simultaneously.</p> | | N |
|---|---------------|---|--|---|

| | | | | |
|---|-----------------------------------|--|---|---|
| 7 | Apprentice Social Security Number | Enter the apprentice social security number. The value is 999999999 if the user does not supply a value it is set to 999999999 and the SSN Identifier is set to 'N' This value is to be encrypted. | XXXXXXXX 999999999 = individual did not disclose | N |
|---|-----------------------------------|--|---|---|

| | | | | |
|---|---------------|--|----------|---|
| 8 | Date of Birth | Month value greater than 12 is not allowed. The largest date for each month is validated. Value larger than the largest date in each month is not allowed. January, March, May, July, August, October and December have 31 days. February has 28. April, June, and | YYYYMMDD | Y |
|---|---------------|--|----------|---|

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|---|--------|--|--|---|
| 9 | Gender | <p>Record 1 if the participant indicates that he is male</p> <p>Record 2 if the participant indicate that she is female</p> <p>Record 9 if the participant does not self-identify sex</p> <p>Leave blank if this data element does not apply to the person</p> | <p>1 = Male</p> <p>2 = Female</p> <p>9 = Participant did not self-identify</p> | Y |
|---|--------|--|--|---|

| | | | | |
|----|-------------------|--|---|---|
| 10 | Employment Status | Enter participant's employment status. Incumbent workers are defined as existing employees of the company. | <p>1 = New Employee</p> <p>2 = Existing Employee (Incumbent Worker)</p> | Y |
|----|-------------------|--|---|---|

| | | | |
|----------------------------|-----------------------------|---|---|
| 11 | Date Apprenticeship Begins | Enter the date the apprentice was registered | Y |
| 12 | Start Date | Enter the date that the apprentice began their apprenticeship. This date may be the same as the registration date, but cannot be prior to the registration date. | Y |
| Contact Information | | | |
| 13 | Apprentice Street Address | Enter the apprentice's street address. | Y |
| 14 | Apprentice City | Enter the apprentice's city. | Y |
| 15 | Apprentice State | Enter the apprentice's state. | Y |
| 16 | Apprentice 5-Digit Zip Code | Enter the apprentice's 5-digit zip code. | Y |
| 17 | Primary Telephone | Enter the area code (999) and telephone number (999-9999) of the apprentice | Y |
| 18 | Other Phone | Enter the area code (999) and telephone number (999-9999) of the apprentice | N |
| 19 | Email | Enter the email address of the apprentice | Y |
| Demographics | | | |
| 20 | Ethnicity Hispanic / Latino | Record 1 if the participant indicates that he/she is a person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture in origin, regardless of race. Record 0 if the participant indicates that he/she does not meet any of these conditions. Record 9 if the participant does not self-identify his/her ethnicity. Leave blank if this data element does not apply to the person (covered entrants, TAA non participants) and the data is not available. | 1 = Yes 0 = No 9 = Participant did not self-identify Y |

| | | | |
|------|--|--|---|
| Race | <p>maintains cultural identification through tribal affiliation or community recognition.</p> <p>Record 2 if the participant indicates that he/she is a person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian Subcontinent (e.g., India, Pakistan, Bangladesh, Sri Lanka, Nepal, Sikkim, and Bhutan). This area includes, for example, Cambodia, China, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.</p> <p>Record 3 if the participant indicates that he/she is a person having origins in any of the black racial groups of Africa.</p> <p>Record 4 if the participant indicates that he/she is a person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands.</p> <p>Record 5 if the participant indicates that he/she is a person having origins in any of the of the original peoples of Europe, the Middle East, or North Africa.</p> <p>Record 6 if the participant selects more than one race.</p> | <p>1 = American Indian or Alaska Native 2 = Asian 3 = Black or African American 4 = Native Hawaiian or Other Pacific Islander 5 = White 6 = More than one race 7 = Do not wish to answer</p> | Y |
| 21 | <p>Record 1 if the participant indicates that he/she has any "disability," as defined in Section 3(2)(a) of the Americans with Disabilities Act of 1990 (42 U.S.C. 12102). Under that definition, a "disability" is a physical or mental impairment that substantially limits one or more of the person's major life activities. (For definitions and examples of "physical or mental impairment" and "major life activities," see paragraphs (1) and (2) of the definition of the term "disability" in 29 CFR 37.4, the definition section of the WIA non-discrimination regulations.) If the response is yes, answer data element #109.</p> <p>Record 0 if the participant indicates that he/she does not have a disability that meets the definition.</p> <p>Record 9 if the participant does not wish to disclose his/her disability status.</p> <p>Leave blank if this data element does not apply to the person and the data is not available.</p> | <p>1 = Yes 0 = No 9 = Participant did not self-identify</p> | N |
| 22 | | | |

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|--|---|--|--|---|
| 23 | Veteran Status | <p>Record 1 if the participant is a person who served on active duty in the armed forces and who was discharged or released from such service under conditions other than dishonorable. Record 0 if the participant does not meet the condition described above. Record 9 if participant does not disclose veteran status.</p> <p>Leave blank if this data element does not apply to the person and the data is not available.</p> | <p>1 = Yes 0 = No 9 = Participant did not self-identify</p> | Y |
| 24 | Education | <p>Enter the apprentice's education status. Default Value = 5 for unknown/not provided.</p> | <p>1 = 8th grade or less 2 = 9th to 12th grade 3 = GED 4 = high school diploma 5 = unknown 6 = Post Secondary or Technical Training</p> | N |
| Apprentice Occupation Information | | | | |
| 25 | Occupation 8-digit O*NET Code | <p>Enter the 8-digit O*NET code that best fits the apprenticeable occupation (www.onetonline.org). NOTE: This code must match an occupation code registered by the program sponsor.</p> | | Y |
| 26 | Entry Wage | <p>Enter the apprentices hourly starting wage. This wage cannot be less than the apprentice hourly entry wage for the occupation as established by the program sponsor.</p> | | Y |
| 27 | Expected Completion Date | <p>This date is derived by adding the term length to the apprentice start date and subtracting any OJT and RTI credit the apprentice has gained prior to entering the program. The expected completion date cannot be prior to the registration or start date.</p> | | Y |
| Employment History | | | | |
| 28 | Weeks worked in 12 month period prior to Apprenticeship | <p>How many weeks did the participant work in the prior 12 months?</p> | | N |

| | | | |
|--|---|--|----------|
| <p>Apprentice Earnings in the prior 12 months</p> <p>29</p> | <p>Numeric field. Approximate total earnings for individual apprentice from work, including tips and overtime pay during the past 12 months.</p> | <p>\$0 \$1 to \$9,999 \$10,000 to \$19,999 \$20,000 to \$29,999 \$30,000 to \$39,999 \$40,000 to \$49,999 \$50,000 or over</p> | <p>N</p> |
| <p>Employment status at participation</p> <p>30</p> | <p>Enter the 8-digit O*NET code that best fits the apprenticeable occupation to which the individual trained (www.onetonline.org). NOTE: This code must match an Pre-Apprentice Provider occupation code.</p> | <p>1 = Employed 2 = Underemployed 3 = Long-term unemployed (27 weeks or more) 0 = Unemployed 9 = Unknown</p> | <p>Y</p> |
| <p>Occupational Code of Most Recent Employment Prior to Participation (if available)</p> <p>31</p> | <p>These are credentials issued by the Registration Agency, upon request of the appropriate sponsor, as certification of competency attainment by an apprentice. Issued in the form of certificates, interim credentials provide portable recognition of an apprentice's accomplishments. Interim credentials can only be issued for recognized components of an apprenticeable occupation.</p> | <p>1 = Complete 2 = Cancelled 3 = Registered</p> | <p>N</p> |
| <p>Credentials Received</p> <p>32</p> | <p>The highest academic degree level achieved.</p> | <p>1= Associate 2= Undergraduate 3= Graduate 4= Doctorate 0 = None</p> | <p>Y</p> |
| <p>Apprentice Exit Form</p> | <p>33 Exit status</p> | <p>0 = None</p> | <p>Y</p> |
| <p>34 Degree Attainment During Apprenticeship</p> | <p>The highest academic degree level achieved.</p> | <p>0 = None</p> | <p>Y</p> |

| | | | |
|--|--|---|---|
| 35 Received Interim Credential | These are credentials issued by the Registration Agency, upon request of the appropriate sponsor, as certification of competency attainment by an apprentice. Issued in the form of certificates, interim credentials provide portable recognition of an apprentice's accomplishments. Interim credentials can only be issued for recognized components of an apprenticeable occupation. | 1 = Yes 0 = No | Y |
| 36 Exit Wage | Enter the actual hourly wage paid to the participant at the time they either leave or complete the program. | | Y |
| 37 Exit Wage Date | Enter the date associated with the exit wage. This date can be less than or equal to the last event date. | | Y |
| 38 Employment Outcomes | Enter the employment outcome of the participant | 1 = Remain with current employer 2= Move to another employer | Y |
| 39 Incumbent Worker Employment Outcome | As an Incumbent worker, enter whether or not the participant advanced into higher-skilled position | 1 = Yes 0 = No 9 = Unknown | N |

| Data Element Number | Data Element Name | Data Definition and Instruction | Code Value |
|---------------------|-------------------|---------------------------------|------------|
|---------------------|-------------------|---------------------------------|------------|

Pre-Apprentice Provider Information

| | | | |
|---|--|--|--|
| 1 | Pre-Apprentice Provider Name | This may or may not be the same of the Grantee name | |
| 2 | Pre-Apprentice Provider Street Address | Enter the Pre-Apprentice Provider's street address. This may or may not differ from the Grantee address. | |
| 3 | Pre-Apprentice Provider City | Enter the Pre-Apprentice Provider's city. This may or may not differ from the Grantee address. | |
| 4 | Pre-Apprentice Provider State | Enter the Pre-Apprentice Provider's state. This may or may not differ from the Grantee address. | |
| 5 | Pre-Apprentice Provider 5-Digit Zip Code | Enter the Pre-Apprentice Provider's 5-digit zip code. This may or may not differ from the Grantee address. | |

| | | | |
|---|---|--|--|
| 6 | Direct Linkage to Registered Apprenticeship | Enter if the program has a direct link to Registered Apprenticeship? | |
|---|---|--|--|

| | | | |
|--|--|--|--|
| | | | 1 = No pre-apprentices go to registered apprenticeship 2 = Some pre-apprentices go to registered apprenticeship 3 = Most pre-apprentices go to registered apprenticeship |
|--|--|--|--|

| | | | |
|---|--------------|-------------------------|--|
| 7 | Program Type | Enter the program type. | 1 = For-Profit 2 = Not-for-Profit 3 = Others |
|---|--------------|-------------------------|--|

| | | | |
|--|------------|--|--|
| | Start Date | Enter the Pre-Apprentice provider start date with the grant. | |
|--|------------|--|--|

Contact Information

| | | | |
|---|---------------------------------------|---|--|
| 8 | Pre-Apprentice Provider Contact Title | Enter the title of the Pre-Apprentice Provider official that is certifying the apprentice data reported to the Department. Contact Title refers to the business title such as "Chief Financial Officer" | |
|---|---------------------------------------|---|--|

| | | | |
|---|--------------------------------------|---|--|
| 9 | Pre-Apprentice Provider Contact Name | Enter the name there-Apprentice Provider Contact. This contact may or may not be the Grant Signatory/Program Sponsor. This person must be available at the time of report submission and available to re-validate data that may be returned with errors. This available time-frame may include up-to three weeks after initial report submission. | |
|---|--------------------------------------|---|--|

| | | | |
|----|--|--|--|
| 10 | Pre-Apprentice Provider Contact Street Address | Enter the Pre-Apprentice Provider Contact's street address. This may or may not differ from the Pre-Apprentice Provider address. | |
|----|--|--|--|

| | | |
|----|---|--|
| 11 | Pre-Apprentice Provider Contact City | Enter the Pre-Apprentice Provider Contact's city. This may or may not differ from the Pre-Apprentice Provider address. |
| 12 | Pre-Apprentice Provider Contact State | Enter the Pre-Apprentice Provider Contact's state. This may or may not differ from the Pre-Apprentice Provider address. |
| 13 | Pre-Apprentice Provider Contact 5-Digit Zip Code | Enter the Pre-Apprentice Provider Contact's 5-digit zip code. This may or may not differ from the Pre-Apprentice Provider address. |
| 14 | Pre-Apprentice Provider primary Telephone Number | Enter the area code (999) and telephone number (999-9999) of the authorized official |
| 15 | Pre-Apprentice Provider primary Telephone Extension | If Applicable, enter the authorized official's telephone extension |
| | Pre-Apprentice Provider Fax | If applicable, enter the provider fax number |
| 16 | Pre-Apprentice Provider Contact Other Phone Number | Enter the area code (999) and telephone number (999-9999) |
| 17 | Pre-Apprentice Provider Contact Email Address | Enter the email address of the authorized official |

1 = Mobile
2 = Home
3 = Work

Pre-Apprentice Provider Type

Pre-Apprentice Provider Type

Type(s) of Pre-Apprentice organization(s) providing service to participants. Check all that apply.

- 1 -Apprenticeship sponsor
- 2 Postsecondary educational institution, non-profit
- 3 Business
- 4 Consortium of business
- 5 Postsecondary educational institution, for-profit
- 6 Community-based organization
- 7 Business-Related Nonprofit Organization
- 8 School district
- 9 Local workforce investment Board
- 10 Workforce intermediary
- 11 Tribal organization

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Screening Information

Drug/Alcohol Screening

Record 1 if the participant received drug/alcohol test at any time during participation in the pre-apprenticeship program
Record 0 if the participant did not received drug/alcohol test at any time during participation in the pre-apprenticeship program
Record 9 if information is missing or unknown.

1 = Yes
0 = No
9 = Unknown

20

| | | |
|--|---|---|
| Criminal Background Check | <p>Record 1 if the a criminal background check was conducted on the participant at any time during participation in the pre-apprenticeship program Record 0 if the participant did not received a criminal background check at any time during participation in the pre-apprenticeship program Record 9 if information is missing or unknown.</p> | <p>1 = Yes 0 = No 9 = Unknown</p> |
| 21 | <p>time during participation in the pre-apprenticeship program Record 0 if the participant did not received physical examination at any time during participation in the pre-apprenticeship program Record 9 if information missing or unknown.</p> | <p>1 = Yes 0 = No 9 = Unknown</p> |
| Physical Examination | | |
| 22 | | |
| Target Population | | |
| Target Population(s) Served | Enter the target population(s) served. Check all that apply. | <p>1 = Women 2 = Men 3 = Minorities 4 = Youth 5 = People with Disabilities 6 = Ex-Offenders 7 = Veterans 8 = Other</p> |
| 23 24 Describe "Other" category above | Describe the Target Population if "Other" is selected. | |
| Average Age | Enter the average age of the population served. | <p>1 = 16-19 2 = 20-24 3 = 25-34 4 = 35+</p> |
| 25 | | |

| | | |
|--|--|---|
| <p>Supportive Services</p> | <p>Does your program provide supportive services? Such support services may include transportation, child care, dependent care, emergency housing, work clothing or uniforms, licensing or testing fees, medical and healthcare supportive services.</p> | <p>1 = Yes 2 = No</p> |
| <p>26 Referral Protocol 27</p> | <p>Does your program have a referral protocol in place to build basic skills and conduct remedial training for participants who did not score well on an assessment?</p> | <p>1 = Yes 2 = No</p> |
| <p>Skills Assessments</p> <p>28</p> | <p>Does your program conduct skills assessments and Adult Basic Education tests to determine eligibility of participants?</p> | <p>1 = Yes 2 = No</p> |
| <p>Case Manager</p> <p>29</p> | <p>Does your program have a case manager on staff to refer participants to support programs?</p> | <p>1 = Yes 2 = No</p> |
| <p>Readiness Checklists</p> <p>30</p> | <p>Does your program use participant readiness checklists or standards?</p> | <p>1 = Yes 2 = No</p> |
| <p>Primary recruitment sources</p> <p>31</p> | <p>What are the primary recruitment sources? Check all that apply.</p> | <p>1 = High schools 2 = American Job Centers (One Stops) 3 = Community colleges 4 = Community-based organizations 5 = Word of mouth 6 = Other</p> |

| | | | |
|----|--|---|--|
| 32 | Average Frequency of Case Management Contact | Enter the average frequency of case management for participants | 1 Weekly 2 Bi-weekly 3 Monthly 4 Bi-monthly 5 Less often than bi-monthly 6 Not applicable |
|----|--|---|--|

| | | | |
|----|----------------------------------|--|--|
| 33 | Case Management Average Caseload | Enter the average caseload for case managers | 1 = 0 2 = 1-25 3 = 26-49 4 = 50-74 5 = 75-99 6 = 100-124 7 = More than 125 |
|----|----------------------------------|--|--|

| | | |
|----|---|---|
| 34 | Target apprenticeship occupation 1 (8-digit O*NET Code) | Enter the 8-digit O*NET code (www.onetonline.org) that best fits the primary apprenticeable occupation of the pre-apprenticeship training. NOTE: This code must match an occupation code registered by the program sponsor. |
|----|---|---|

Program Services

| | | | |
|----|-----------------------------------|--|--|
| 37 | Work-Based Learning Opportunities | Type(s) of work-based learning opportunity(ies). Check all that apply. | 1 = Job Shadowing 2 = Clinical as a Part of Training 3 = Unpaid Internship or Externship 4 = Paid Internship or Externship 5 = On-the-job training 6 = Not applicable |
|----|-----------------------------------|--|--|

Program Services

Type(s) of skill(s) and service(s) provided by the Pre-Apprentice provider. Check all that apply.

- 1 = Adult Basic Education
- 2 = Basic Skills Instruction
- 3 = Study Skills or Test Preparation Skills Instruction
- 4 = Work-Based Learning Experience
- 5 = Pre-Occupational Skills Instruction
- 6 = Occupational Skills Instruction (and check one of following)
 - i. Courses for credit
 - ii. Courses not for credit
 - iii. Courses leading to non-degree certifications
- 7 = GED Instruction
- 8 = High school completion
- 9 = English as a Second Language
- 10 = Life Skills/ Job Readiness Instruction
- 11 = Work-Based Learning Experience
- 12 = Type of case management
 - i. Academic advising
 - ii. Career counseling/job development
 - iii. Advising on personal issues
 - iv. No case management provided

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40 Occupational Training Providers

Type(s) of entity(ies) that will provide occupational training. Check all that apply.

- 1 = pre-apprenticeship provider
- 2 = community college
- 3 = employment setting
- 4 = community-based organization
- 5 = private college
- 6 = other private training provider
- 7 = Not Applicable

41 Type of Case Management

Type(s) of Case Management provided to participant

1 = Academic Advising
2 = Career Counselling/Job
Development
3 = Advising on Personal Issues
4 = No Case Management
Provided

**Required
(Yes/No)**

Y

Y

Y

Y

Y

Y

Y

Y

Y

Y

Y

Y

Y

Y

Y

N

N

N

Y

Y

Y

Y

Y

Y

Y

Y

Y

Y

Y

Y

Y

y

Y

Y

Y

Y

Y

Y

| Data Element Number | Data Element Name | Data Definition and Instruction |
|---------------------|-------------------|---------------------------------|
|---------------------|-------------------|---------------------------------|

Select Pre-Apprentice Provider

Pre-Apprentice Provider
1 Name This may or may not be the same as the Grantee name

Pre-Apprentice Information

2 Pre-Apprentice First Name Enter the first name of the pre-apprentice

Pre-Apprentice Middle
3 Name Enter the middle name of the pre-apprentice

4 Pre-Apprentice Last Name Enter the last name of the pre-apprentice

Pre-Apprentice Suffix
5 This is the suffix to the pre-apprentice name. Values include I, II, III, Jr., Sr. Default is Null.

Pre-Apprentice Social
Security Number
6 Enter the pre-apprentice social security number. The value is 999999999 if the user does not supply a value it is set to 999999999 and the SSN Identifier is set to 'N' This value is to be encrypted.

Record the participant's date of birth

Leave blank if this data element does not apply to the person

Date of Birth
7 Month value greater than 12 is not allowed. The largest date for each month is validated. Value larger than the largest date in each month is not allowed. January, March, May, July, August, October and December have 31 days. February has 29. April, June, and November have 30 days.

Sex
8 Record 1 if the participant indicates that he is male
Record 2 if the participant indicate that she is female
Record 9 if the participant does not self-identify gender

Leave blank if this data element does not apply to the person

9 Pre-Apprentice Start Date Enter the date that the participant began their pre-apprenticeship.

Contact Information

Pre-Apprentice Street
10 Address Enter the pre-apprentice's street address.

11 Pre-Apprentice City Enter the pre-apprentice's city.

12 Pre-Apprentice State Enter the pre-apprentice's state.

Pre-Apprentice 5-Digit Zip
13 Code Enter the pre-apprentice's 5-digit zip code.

| | | |
|----|---|---|
| 14 | Pre-Apprentice Primary Telephone Number | Enter the area code (999) and telephone number (999-9999) of the pre-apprentice |
| 15 | Pre-Apprentice Other Phone Number | Enter the area code (999) and telephone number (999-9999) |
| 16 | Email Address of Pre-Apprentice | Enter the email address of the pre-apprentice |
| 17 | Pre-Apprentice AI Contact First Name | Enter the first name of the pre-apprentice secondary contact |
| 18 | Pre-Apprentice Secondary Contact Last Name | Enter the last name of the pre-apprentice secondary contact |
| 19 | Email Address of Pre-Apprentice Secondary Contact | Enter the email address of the pre-apprentice secondary contact |
| 20 | Pre-Apprentice Secondary Contact Telephone Number | Enter the telephone number of the pre-apprentice secondary contact |

Characteristics

| | | |
|----|------------|--|
| 21 | Disability | <p>Record 1 if the participant indicates that he/she has any "disability," as defined in Section 3(2)(a) of the Americans with Disabilities Act of 1990 (42 U.S.C. 12102). Under that definition, a "disability" is a physical or mental impairment that substantially limits one or more of the person's major life activities. (For definitions and examples of "physical or mental impairment" and "major life activities," see paragraphs (1) and (2) of the definition of the term "disability" in 29 CFR 37.4, the definition section of the WIA non-discrimination regulations.) If the response is yes, answer data element #109.</p> <p>Record 0 if the participant indicates that he/she does not have a disability that meets the definition.</p> <p>Record 9 if the participant does not wish to disclose his/her disability status.</p> <p>Leave blank if this data element does not apply to the person and the data is not available.</p> |
| 22 | Offender | <p>committing a status offense or delinquent act, or (b) requires assistance in overcoming barriers to employment resulting from a record of arrest or conviction for committing delinquent acts, such as crimes against persons, crimes against property, status offenses, or other crimes.</p> <p>Record 0 if the participant does not meet</p> |

| | |
|--------------------------------------|--|
| Marital status | Check applicable marital status |
| 23 | |
| Low Income | percent of the lower living standard income level, for an equivalent period; or (C) is a member of a household that receives (or has been determined within the 6-month period prior to program participation) Food Stamps under the Food Stamp Act of 1977 (7 U.S.C. 2011 et seq.); or |
| 24 | (D) qualifies as a homeless individual, as defined in 24 C.F.R. 57.503, and (a) has limited ability in speaking, reading, writing or understanding the English language and (a) whose native language is a language other than English, or (b) who lives in a family or community environment where a language other than English is the dominant language. |
| Limited English Language Proficiency | Record 0 if the participant does not meet the conditions described above. |
| 25 | Record 1 if the participant does not speak English at a level necessary to function on the job, in the individual's family, or in society. In addition, states and grantees have the option of establishing their own definition, which must include the above language. In cases where states or grantees establish such a definition, that definition will be used for basic literacy skills determination. |
| Basic Literacy Skills Deficiency | |
| 26 | |
| 27 | Enter number of children |
| Number of children | |
| Ethnicity Hispanic / Latino | Record 1 if the participant indicates that he/she is a person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture in origin, regardless of race. Record 0 if the participant indicates that he/she does not meet any of these conditions. Record 9 if the participant does not self-identify his/her ethnicity. |
| 28 | |
| Race | southeast Asia, or the Indian subcontinent (e.g., India, Pakistan, Bangladesh, Sri Lanka, Nepal, Sikkim, and Bhutan). This area includes, for example, Cambodia, China, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam. Record 3 if the participant indicates that he/she is a person having origins in any of the black racial groups of Africa. Record 4 if the participant indicates that he/she is a person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands. |
| 29 | |

30
Veteran Status
Record 1 if the participant is a person who served on active duty in the armed forces and who was discharged or released from such service under conditions other than dishonorable.
Record 0 if the participant does not meet the condition described above.
Record 9 if participant does not self-identify veteran status.

31
Education
Enter the pre-apprentice's education status.

Public Program Participation

32
Temporary Assistance to Needy Families (TANF)
Record 1 if the participant is a person who is listed on the welfare grant or has received cash assistance or other support services from the TANF program in the last twelve months prior to participation in the program.
Record 0 if the participant does not meet the condition described above.
Leave blank if this data element does not apply to the individual.

33
Supplemental Nutrition Assistance Program (SNAP)
Record 1 if the participant is a person who is listed on the welfare grant or has received cash assistance or other support services from the SNAP agency in the last twelve months prior to participation in the program.
Record 0 if the participant does not meet the condition described above.
Leave blank if this data element does not apply to the individual.

34
Housing Assistance
Record 1 if the participant is a person who is listed on the welfare grant or has received housing assistance in the last twelve months prior to participation in the program.
Record 0 if the participant does not meet the condition described above.
Leave blank if this data element does not apply to the individual.

Participation in other youth training programs

Record 1 if the participant is a person who has participated in other youth training programs such as YouthBuild or Job Corps in the last twelve months prior to participation in the program.
Record 0 if the participant does not meet the condition described above.
Leave blank if this data element does not apply to the individual.

35

Supplemental Security Income (SSI) / Social Security Disability Insurance (SSDI)

Record 1 if the individual is a person who is receiving or has received SSI under Title XVI of the Social Security Act in the last twelve months prior to participation in the program.
Record 2 if the individual is a person who is receiving or has received SSDI benefit payments under Title XIX of the Social Security Act in the last six months prior to participation in the program.
Record 3 if the individual is receiving or has received both SSI and SSDI in the last six months prior to participation in the program.
Record 0 if the individual does not meet any of the conditions described above.

36

WIA/WIOA Participant (During Enrollment in Pre-Apprenticeship Program)

Record 1 if the participant received services financially assisted under WIA/WIOA.
Record 0 or leave Blank if the participant did not receive services under the condition described above.
Record 9 if grantee is unable to track enrollment in the program.

37

Wagner-Peyser Act

Record 1 if the participant received services financially assisted under the Wagner-Peyser Act (29 USC 49 et seq.) WIA section 121 (b)(1)(B)(ii).
Record 0 if the participant did not receive services financially assisted under the Wagner-Peyser Act.
Record 9 if grantee is unable to track enrollment in the program.

38

Employment History

Pre-Apprentice weeks worked in prior 12 months

How many weeks did the Pre-Apprentice work in the prior 12 months?

39

| | | |
|----|---|--|
| 40 | Pre-Apprentice Earnings in the prior 12 months | Numeric field. Approximate total earnings for individual apprentice from work, including tips and overtime pay during the past 12 months. |
| 41 | Employment status at participation | |
| 42 | Occupational Code of Most Recent Employment Prior to Participation (if available) | Enter the 8-digit O*NET code that best fits the apprenticeable occupation to which the individual trained (www.onetonline.org). NOTE: This code must match an Pre-Apprentice Provider occupation code. |

Exit Form

| | | |
|-----------------------------|--|--|
| Pre-Apprentice Final Status | | |
| 43 | | |
| 44 | Pre-Apprenticeship End Date | Enter date the pre-apprentice completed/cancelled from the pre-apprenticeship program |
| 45 | Program Outcome | Choose only one |
| | | If apprenticeship/employment is chosen, is the program related to training? |
| 46 | Occupation 8-digit O*NET Code for Occupational Specific Training | Enter the 8-digit O*NET code that best fits the apprenticeable occupation to which the individual trained (www.onetonline.org). NOTE: This code must match an Pre-Apprentice Provider occupation code. |

| | |
|--|--|
| Type of Recognized Credential Received | Use the appropriate code to record the type of recognized educational or occupational certificate/credential/diploma attained by the individual who received training services. Record 0 if the individual received education or training services but did not receive a recognized credential. Credentials must be attained either during participation or by the end of the third quarter after the quarter of exit from services (other than follow up services). |
| 47 Receipt of Adult Basic Education (ABE) | participation in the pre-apprenticeship program Record 0 if the participant did not received Adult Basic Education at any time during participation in the pre-apprenticeship program |
| 48 | Record 9 if information missing or unknown |
| Number of Certificate/Credential Received | Enter certificate/Credential participant received upon completion of classroom and hands-on instruction |
| 49 Receipt of Foundational Skills Instruction | Record 1 if the participant received Foundational Skills Instruction at any time during participation in the pre-apprenticeship program Record 0 if the participant did not received Foundational Skills Instruction at any time during participation in the pre-apprenticeship program Record 9 if information missing or unknown. |
| 50 Receipt of Study Skills or Test Preparation Skills Instruction | participation in the pre-apprenticeship program Record 0 if the participant did not received study skills or test preparation at any time during participation in the pre-apprenticeship program |
| 51 Receipt of Work-Based Learning Experience | Work experience, job shadowing Record 0 if the participant did not receive work-based learning experience Record 9 if information missing or unknown. |
| 52 Work-Based Learning Experience - Hours Received | Record the number of hours of work-based learning experience completed by the individual. |
| 53 Receipt of English as a Second Language (ESL) | apprenticeship program Record 0 if the participant did not received English as a Second Language instruction at any time during participation in the pre-apprenticeship program Record 9 if information missing or |
| 54 | |

| | | |
|----|---|--|
| | Receipt of Life Skills/Job Readiness Instruction | Record 1 if the participant received job readiness or life skills instruction Record 0 if the participant did not received job readiness/life skills instruction Record 9 if information missing or unknown. |
| 55 | | |
| | Receipt of Pre-Occupational Skills or Basic Occupational Skills Instruction | entry into an apprenticeship program Record 0 if the participant did not received classroom instruction and/or hands-on experience to prepare the individual for entry into an apprenticeship program Record 9 if information missing or unknown |
| 56 | | |
| | GED Instruction | Record 0 if the participant did not received GED instruction at any time during participation in the pre-apprenticeship program Record 9 if information missing or unknown |
| 57 | | |
| | Hours of Classroom and Hands-On Instruction received | Enter number of hours of classroom and hands-on instruction participant received |
| 58 | | |

Support Services

| | | |
|----|---------------------------------------|---|
| | Receipt of Case Management Assistance | management assistance Record 0 if the participant did not receive case management assistance Record 9 if information missing or unknown. |
| 59 | | |
| | Child Care Assistance | grant funds Record 0 if the participant did not received assistance with childcare paid for with All grant funds Record 9 if information missing or unknown. |
| 60 | | |
| | Transportation Assistance | Record 1 if the participant received transportation assistance (e.g, bus passes, gas reimbursement) paid for with All grant funds Record 0 if the participant did not received assistance with childcarepaid for with All grant funds Record 9 if information missing or unknown. |
| 61 | | |
| | Work Clothes/Tools/Equipment | equipment paid for with All grant funds Record 0 if the participant did not received assistance with childcarepaid for with All grant funds Record 9 if information missing or unknown. |
| 62 | | |
| | Other Supportive Services | Record 0 if the participant did not received assistance with childcarepaid for with All grant funds Record 9 if information missing or unknown. |
| 63 | | |

| Code Value | Required (Yes/No) |
|------------|-------------------|
|------------|-------------------|

Y

Y

N

Y

N

XXXXXXXXX
 999999999 = individual did not
 disclose

N

YYYYMMDD

Y

1 = Male
 2 = Female
 9 = Participant did not self-identify

Y

Y

Y

Y

Y

Y

Mobile
Home
Work

Y

N

Y

N

N

N

N

1 = Yes
0 = No
9 = Participant did not self-identify

Y

1 = Yes
0 = No
9 = Unkown

Y

1 = currently married
2 = widowed
3 = divorced
4 = separated
5 = never married

Y

1 = Yes
0 = No
9 = Unkown

Y

1 = Yes
0 = No
9 = Unkown

Y

1 = Yes
0 = No
9 = Unkown

Y

#####

N

1 = Yes
0 = No
9 = Participant did not self-identify

Y

1 = American Indian or Alaska Native
2 = Asian
3 = Black or African American
4 = Native Hawaiian or Other Pacific
Islander
5 = White
6 = More than one race
7 = Do not wish to answer

Y

1 = Yes
0 = No
9 = Participant did not self-identify

Y

5 = Unknown
1 = 8th Grade or Less
2 = 9th to 12th Grade
3 = GED
4 = High School Diploma
6 = Post Secondary or Technical

Y

1 = Currently receiving
2 = Received in last 12 months
9 = None

Y

1 = Yes
0 = No
9 = Unknown

Y

1 = Yes
0 = No
9 = Unknown

Y

1 = Yes
0 = No
9 = Unknown

Y

1 = SSI
2 = SSDI
3 = Both
0 = No
9 = Unknown

Y

1 = Yes
0 = No
9 = Unknown

Y

1 = Yes
0 = No
9 = Unknown

Y

N

\$0
\$1 to \$9,999
\$10,000 to \$19,999
\$20,000 to \$29,999
\$30,000 to \$39,999
\$40,000 to \$49,999
\$50,000 or over

N

1 = Employed
0 = Unemployed
9 = Unknown

Y

N

CA = Cancelled
CO = Completed

Y

YYYYMMDD

Y

1 = entering registered
apprenticeship program
2 = entering employment (not an
apprenticeship)
3 = entering military
4 = entering further education
9 = Unknown

1 = Yes
0 = No
9 = Unknown

Y

XX-XXXX.XX

Y

1 = High School Diploma/GED or other alternative HS credential
2 = Occupational Skills Licensure
3 = Occupational Skills Certificate/Credential
4 = Other Recognized Educational or Occupational Skills Certificate/Credential
0 = No Recognized Credential

Y

1 = Yes
0 = No
9 = Unknown

Y

Y

1 = Yes
0 = No
9 = Unknown

Y

1 = Yes
0 = No
9 = Unknown

Y

1 = Yes
0 = No
9 = Unknown

Y

Y

1 = Yes
0 = No
9 = Unknown

Y

1 = Yes
0 = No
9 = Unknown

Y

1 = Yes
0 = No
9 = Unknown

Y

1 = Yes
0 = No
9 = Unknown

Y

####

Y

1 = Yes
0 = No
9 = Unknown

Y

1 = Yes
0 = No
9 = Unknown

Y

1 = Yes
0 = No
9 = Unknown

Y

1 = Yes
0 = No
9 = Unknown

Y

1 = Yes
0 = No
9 = Unknown

Y