

Integrated Postsecondary Education Data System (IPEDS) 2019-20 to 2021-22

Appendix C

IPEDS Keyholder Handbook 2019-20

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Submitted by:

National Center for Education Statistics (NCES)
Institute of Education Sciences
U.S. Department of Education

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IPEDS Keyholder Handbook 2019-20

National Center for Education Statistics

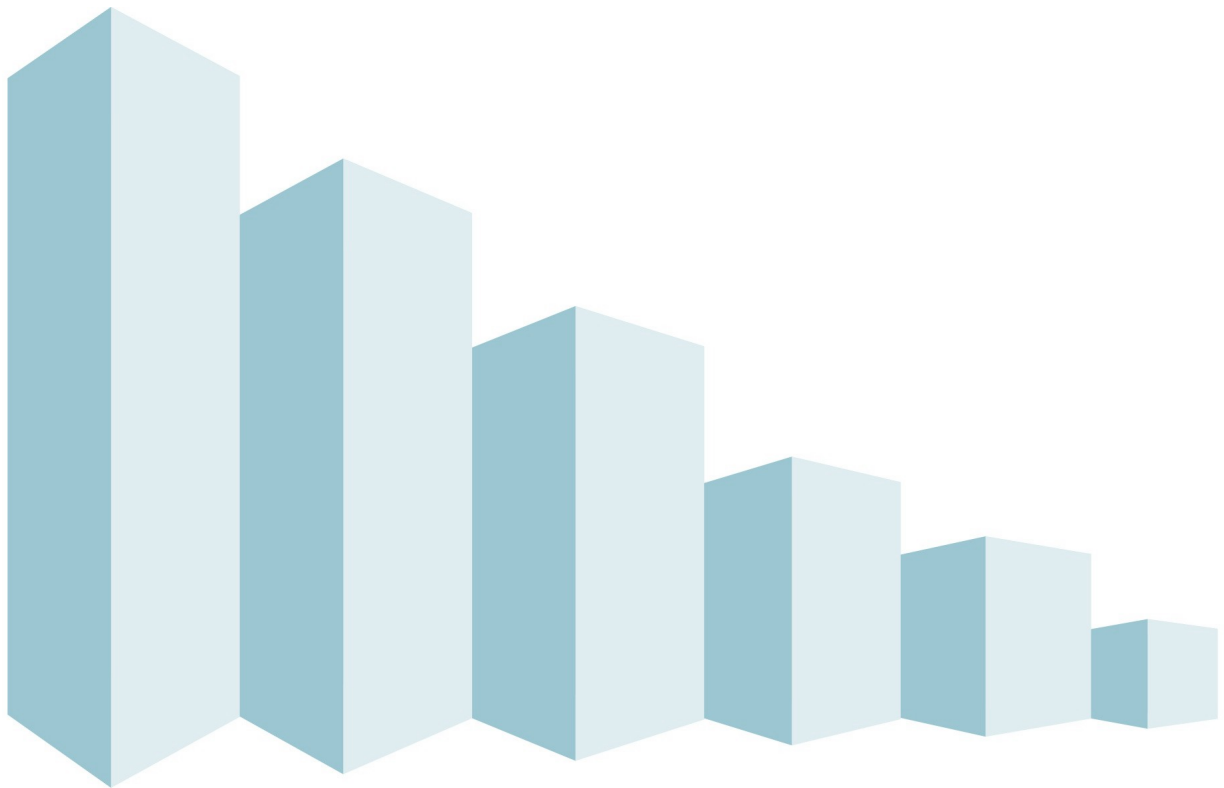


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Overview of IPEDS

WHAT IS IPEDS?

IPEDS is the Integrated Postsecondary Education Data System. It is a system of interrelated surveys conducted annually by the U.S. Department of Education's National Center for Education Statistics (NCES). IPEDS gathers information from every college, university, and technical and vocational institution that participates in Title IV federal student financial aid programs. The Higher Education Act of 1965 (HEA), as amended, requires that institutions that participate in federal student aid programs report data on enrollments and admissions, program completions, graduation rates and outcome measures, faculty and staff, finances, institutional costs, student financial aid, and academic libraries. These data are made available to students and parents through the [IPEDS Find Your College page](#) and the [College Affordability and Transparency Center](#) website, and to researchers and others through the [IPEDS Use the Data page](#).

HOW IS IPEDS USED?



IPEDS provides basic data needed to describe — and analyze trends in — postsecondary education in the United States, in terms of the numbers of students enrolled, financial aid used, staff employed, dollars expended, and degrees and certificates earned. Congress, federal agencies, state governments, education providers, professional associations, private businesses, media, students, parents, and others rely on IPEDS data for this basic information on postsecondary institutions.

WHICH INSTITUTIONS REPORT TO IPEDS?



The completion of all IPEDS surveys is mandatory for institutions that participate in or are applicants for participation in any federal student financial aid program (such as Pell grants and federal student loans) authorized by Title IV of the Higher Education Act of 1965, as amended (20 USC 1094, Section 487(a)(17) and 34 CFR 668.14(b)(19)).

More than 6,000 institutions complete IPEDS surveys each year. These include research universities, state colleges and universities, private religious and liberal arts colleges, for-profit institutions, community and technical colleges, non-degree-granting institutions such as beauty colleges, and others.

Importance of the Keyholder's Job

As an IPEDS keyholder, responsible for the submission of all IPEDS data for your institution, you have an important job. The quality and accuracy of your institution's IPEDS data depend on you.



The completion of all IPEDS surveys, in a timely and accurate manner, is mandatory for all institutions that participate in or are applicants for participation in any Federal financial assistance program authorized by Title IV of the Higher

Education Act of 1965. Submitting IPEDS data accurately and on time ensures that your institution will meet its Program Participation Agreement for participation in Title IV federal student financial aid programs with the U.S. Department of Education. In this way, your institution will avoid fines and other possible penalties for noncompliance with IPEDS reporting requirements.

IPEDS data are a public face of your institution. They are used by students and parents, through the College Navigator and College Affordability and Transparency websites, to aid in the college search process; at the federal, state, and local level for policy analysis and development; by institutions for benchmarking and peer analysis; and by professional associations, private businesses, and the media.



[College Navigator](#) is a consumer information and college search tool. It was designed to help college students, prospective students, and their parents understand the differences between colleges and how much it costs to attend college. Most of the data displayed on College Navigator is submitted through IPEDS. Users can select colleges based on

location, programs, degree offerings, and a number of other characteristics, and obtain information on admissions, estimated student expenses, student financial aid awarded, retention and graduation rates, enrollment, completions, accreditation status, campus security, varsity athletic teams, and military students. It allows users to designate favorite institutions, compare up to four institutions side-by-side, save sessions, and download and print out information on institutions.



The [College Affordability and Transparency Center](#) houses the **College Scorecard**, which displays information about tuition and net prices at postsecondary institutions. The site

highlights institutions with high and low tuition and fees as well as high and low net prices (the price of attendance minus grant and scholarship aid). It also shows institutions where tuition and fees and net prices are increasing at the highest rates. The College Scorecard and lists are both based on the IPEDS data you submit.



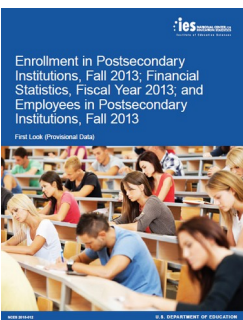
As potential students apply for financial aid, they can see tuition and fees, average net price, graduation rates, retention rates, and transfer rates for schools they're interested in attending.



IPEDS data are used at the Federal, state, and local levels for **policy analysis and development**.



Each winter, you and your institution's Chief Executive Officer receive a copy of your institution's personalized **IPEDS Data Feedback Report**. This report uses graphs to compare your institution's data for selected items to data reported by a group of comparison institutions. The result is an annual report that is hopefully useful to institutional executives and institutions for benchmarking and peer analysis, and that can help improve the quality and comparability of IPEDS data. PDF versions of the reports are available to institutions and the public from the IPEDS Data Center.



IPEDS data are **published by NCES** in First Look publications, Web Tables state and sector reports, and are used in the annual Digest of Education Statistics and The Condition of Education reports.



Finally, IPEDS data are used by institutions, researchers, education providers, professional associations, private businesses, the media, and many others through the [IPEDS Use the Data](#) portal.

AM I A KEYHOLDER?



Keyholders have a lot of responsibility – so make sure that if you are a keyholder you know it! Keyholders have been assigned by the Chief Executive of their institution as the point of contact for IPEDS. Keyholder UnitIDs start with P or 88G. If you remain confused as to whether or not you are the Keyholder – contact the IPEDS Help Desk (ipedshelp@rti.org; 877.225.2568)

Description of IPEDS Survey Components and Data

The following pages list the IPEDS survey components and the data collected by each.

Institutions are required to submit data for all survey components, unless the data do not apply to them (for example, if an institution admits only graduate students, and therefore has no full-time, first-time degree/certificate-seeking undergraduate students, the Graduation Rates components would not apply). The Data Collection System will determine whether a survey component is applicable or not. If you have questions about this, contact the IPEDS Help Desk at 1-877-225-2568, or ipedshelp@rti.org.

Survey components are customized for each institution, based on institutional characteristics such as levels of program offerings (undergraduate, graduate) and institutional control (public, private not-for-profit, and private for-profit), and on answers provided by the keyholder to screening questions (for example, Does your institution employ part-time staff?).

IPEDS SURVEY COMPONENTS

Component	
Registration	
IC Header (IC-H) and Institutional Identification	<ul style="list-style-type: none"> ▪ Address; telephone number; websites ▪ Control and affiliation ▪ Calendar System ▪ Levels of awards offered ▪ Open admission policy ▪ Library expenditures (degree-granting institutions only)
Fall Data Collection	
12-month Enrollment (E12)	<p>12-month enrollment data are collected for students enrolled in credit-bearing courses at the undergraduate and graduate levels. The 12-month reporting period is July 1-June 30. Data collected/calculated include:</p> <ul style="list-style-type: none"> ▪ Unduplicated headcounts by level of student and by race/ethnicity and gender; ▪ Instructional activity (contact or credit hours); and ▪ Full-time equivalent (FTE) enrollment (calculated based on instructional activity). <p>FTE is used in computing expenses by function per FTE and revenues per FTE, which are reported on the IPEDS Data Feedback Report.</p>
Completions (C)	<p>Completions data are collected for award levels ranging from postsecondary certificates of less than 1 year to doctoral degrees. Data collected include:</p> <ul style="list-style-type: none"> ▪ Degree completions by level and other formal awards by length of program, by race/ethnicity and gender of recipient, and by program (6-digit CIP code). ▪ The number of completers at an institution by gender, by race and ethnicity, and by age. These data are collected at the total as well as by award level. ▪ Programs that are offered completely via distance education. <p>IPEDS also collects information on the number of students receiving degrees with double majors by 6-digit CIP code (for the second major) and by race/ethnicity and gender of recipient.</p>
Institutional Characteristics (IC)	<p>Data collected in the Institutional Characteristics survey provide general information about the institution. Data collected include:</p> <ul style="list-style-type: none"> ▪ Institution address, telephone number, and website; ▪ Educational offerings and mission statements; ▪ Control/affiliation, award levels, and calendar system; and ▪ Student charges, including cost of attendance data (tuition and required fees and room and board charges for institutions with full-time, first-time degree/certificate-seeking undergraduate students), and ▪ Student services and programs (e.g., Servicemembers and veterans services, distance education, disability services, etc.)

Winter Data Collection

Admissions (ADM)	<p>The admissions component collects basic information on the selection process including:</p> <ul style="list-style-type: none"> ▪ Requirements for admission; ▪ Admissions yields; and ▪ Test scores (for institutions where test scores are required).
Graduation Rates (GR)	<p>Graduation rates data are collected for full-time, first-time degree/certificate-seeking undergraduate students. Data collected include:</p> <ul style="list-style-type: none"> ▪ Number of students entering the institution as full-time, first-time degree or certificate-seeking students in a particular year (cohort), by race/ethnicity and gender; ▪ Number of students completing their program within a time period equal to one and a half times (150%) the normal period of time; and ▪ Number of students who transferred to other institutions. <p>This survey was developed to help institutions comply with requirements of Student Right-to-Know.</p>
Graduation Rates 200 (GR200)	<p>Additional graduation rates data are collected for full-time, first-time degree/ certificate-seeking undergraduate students at less than 4-year institutions and full-time, first-time bachelor's or equivalent degree-seeking undergraduate students at 4-year institutions. The GR200 component further tracks the status of students who were reported in GR at 200% of normal time of completion. This survey was developed to fulfill requirements in the Higher Education Act, as amended.</p>
Outcome Measures (OM)	<p>Outcome data are collected from degree-granting institutions on 4 degree/certificate-seeking undergraduate student cohorts: (1) full-time, first-time; (2) part-time, first-time; (3) full-time, non-first-time entering; and (4) part-time, non-first-time entering students. These cohorts are further broken down into 8 subcohorts of Pell Grant recipients and non Pell Grant recipients. For all cohorts, the component collects the number and type of awards conferred (e.g., certificate, associate's or bachelor's) at 3 time points: 4-, 6- and 8-years. Also, at 8 years after the cohort enters the institution, OM collects on the following categories:</p> <ul style="list-style-type: none"> ▪ Received award; ▪ Did not receive award, still enrolled at reporting institution; ▪ Did not receive award, has left the institution and enrolled at another institution; and ▪ Did not receive award, subsequent enrollment status unknown <p>A total of students who did not receive an award will be calculated.</p>
Student Financial Aid (SFA)	<p>Financial aid and military benefits are collected for students.</p> <ul style="list-style-type: none"> ▪ Section 1 of SFA collects financial aid data for undergraduate students. Additional, data are collected for full-time, first-time degree/certificate-seeking undergraduate students to calculate the annual average net price of college attendance in accordance with the Higher Education Act of 1965, as amended. ▪ Section 2 of SFA collects military service members and veteran's benefits (DoD Tuition Assistance Program and VA Post 9/11 GI Bill) for undergraduate and graduate students.

Spring Data Collection

<p>Academic Libraries (AL)</p>	<p>The Academic Libraries component collects basic information on library collections/circulation, expenditures, and services for degree-granting postsecondary institutions. Data collected include:</p> <ul style="list-style-type: none"> ▪ Counts of books, media, serials, and database collections/circulation held in the physical and digital/electronic form; and ▪ Library expenditures on salaries/wages, materials/services, and operations/maintenance. <p>Institutions with annual library expenditures less than \$100,000 are only required to report collections information.</p>
<p>Fall Enrollment (EF)</p>	<p>Fall enrollment data are collected for all students enrolled in credit-bearing courses/programs which could potentially lead to awards ranging from postsecondary certificates of less than 1 year to doctoral degrees. Data collected include:</p> <ul style="list-style-type: none"> ▪ The number of full and part-time students enrolled in the fall by level, race/ethnicity, and gender; ▪ Residence and high school graduation status of first time, first-year students (in even years); ▪ Age of students (in odd years); ▪ Cohort numbers to compute retention rates; ▪ Student-to-faculty ratio; and ▪ Distance education. <p>In even-numbered years, four-year institutions are also required to provide enrollment data by level, race/ethnicity, and gender for 9 selected fields of study for the Office for Civil Rights.</p>
<p>Finance (F)</p>	<p>This component collects data related to the financial condition of the institution. Data collected include:</p> <ul style="list-style-type: none"> ▪ Revenues by source (e.g., tuition and fees, government grants and contracts, private gifts); ▪ Expenses by function (e.g., instruction, research, academic support, institutional support); ▪ Assets and liabilities; and ▪ Scholarships and fellowships. <p>Different formats are used based on the institution's accounting standards (GASB or FASB).</p>
<p>Human Resources (HR)</p>	<ul style="list-style-type: none"> ▪ Employees by primary occupational activity, faculty status, full and part time (collected separately for medical schools) ▪ Full-time instructional staff by academic rank, gender, and contract length/teaching period ▪ Total salary outlay and number of months covered, by academic rank and gender ▪ Full-time and part-time employees by primary occupational activity, race/ethnicity and gender ▪ New hires by primary occupational activity, race/ethnicity and gender

Keyholder Responsibilities

SUBMIT ACCURATE DATA ON TIME

The primary responsibility of an IPEDS keyholder is to make sure all applicable survey components are submitted accurately and on time. This includes entering data into the web-based IPEDS Data Collection System, running the edits and resolving all edit issues and errors, and locking each component. All this must be completed by the time the data collection closes to keyholders.

MANAGE ALL ASPECTS OF DATA SUBMISSION

In order to submit accurate data on time, you must manage all aspects of data submission for your institution, even if others on campus actually complete some components/ assist by extracting the data from information systems. As keyholder, you are responsible for making sure the other offices have all the information and materials they need (blank forms, survey instructions, upload specifications, access to the glossary, etc.). You may also need to arrange for others to have access to the IPEDS Data Collection System, by generating and issuing UserIDs and passwords.

BE THE INSTITUTIONAL POINT OF CONTACT

You must register in the IPEDS Data Collection System and keep your contact information current at all times. As the keyholder, you are the institutional point of contact with NCES, and you will be contacted if the Help Desk or survey directors have questions about your institution's data. You will also receive all prompting emails and other important notices sent out during the data collection periods.

WORK WITH YOUR STATE AND SYSTEM

Many states/systems have IPEDS coordinators (this is a separate role with a later deadline – keyholders at institutions must ALWAYS report by the keyholder deadline) who are responsible for state- or system-level coordination of IPEDS submissions. Coordinator roles vary from state to state and system to system. If your institution is coordinated at one of these levels, it is your responsibility to know how your role intersects with the coordinator's role in the data submission process. Also, it is your responsibility to communicate with state/system coordinators concerning shared responsibilities for data submission and timing of data submission; some states/systems submit data for institutions from their own information systems, relieving institutions of some of the burden for reporting directly to IPEDS. Coordinators may have different due dates for data submission that are not reflected in the federal IPEDS due dates.

STAY INFORMED

NCES sends out information regularly through "This Week in IPEDS" and through the Message Center in the Data Collection System. These updates include important and useful information, including information on training opportunities. And consider signing up for the IPEDS Listserv, to learn from colleagues as they discuss issues surrounding IPEDS reporting, and follow IPEDS on Twitter (@IPEDS_NCES)!

CONTACT THE HELP DESK

Since you are responsible for making sure all IPEDS data are submitted for your institution, please remember to contact the Help Desk in the following situations:

- If you do not receive a UserID and password every August;
- If the list of applicable surveys in the Data Collection System is not correct;
- If a survey status is not correct;
- If you have questions about survey instructions, glossary definitions, time periods for reporting;
- If you have problems entering data;
- If you have problems resolving edit failures;
- If you have problems locking your data;
- If you have questions concerning ANY followup email;
- If you have any other questions about IPEDS data submission.

The IPEDS Help Desk receives consistently high ratings from keyholders and coordinators for customer service, helpfulness, and knowledge of IPEDS. ***They are there to help you get your IPEDS data submitted accurately and on time.***

The Help Desk contact information is at the top of each screen in the Data Collection System:

 **IPEDS 2018-19**
Data Collection System

IPEDS Help Desk
(877) 225-2568
ipedshelp@rti.org




IPEDS Help Desk
1-877-225-2568
ipedshelp@rti.org

Getting Ready for Data Submission

1 The first thing we suggest you do is to familiarize yourself with the data collection schedule for the year. The 2018-19 submission schedule is shown below. An electronic version is available on the IPEDS 'Report Your Data' website.

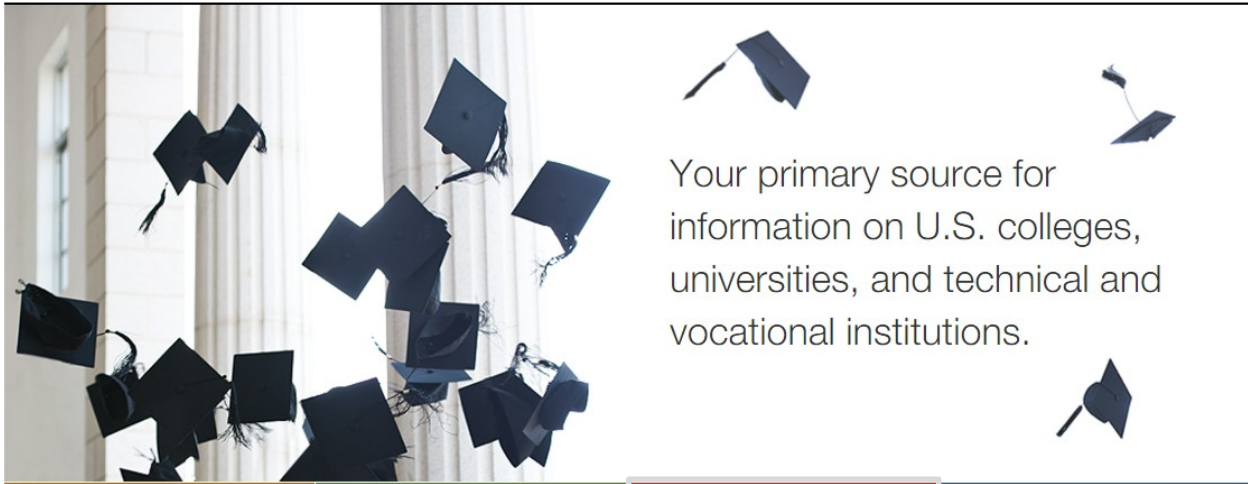
DATA SUBMISSION SCHEDULE

 IPEDS 2019-20 Data Collection Schedule http://nces.ed.gov/ipeds/Home/ReportYourData (Keyholder UserIDs start with P or 88G)			
	Opens	Keyholder Close	Coordinator Close
Registration Registration* Report Mapping Institution Identification IC-Header	August 7, 2019		August 28, 2019 (register by date)
Fall Collection 12-Month Enrollment (E12) Completions (C) Institutional Characteristics (IC)	September 4, 2019	October 16, 2019	October 30, 2019
Winter Collection Admissions (ADM) Graduation Rates (GR) Graduation Rates 200 (GR200) Outcome Measures (OM) Student Financial Aid (SFA)	December 11, 2019	February 12, 2020	February 26, 2020
Spring Collection Academic Libraries (AL) Fall Enrollment (EF) Finance (F) Human Resources (HR)	December 11, 2019	April 8, 2020	April 22, 2020
* Registration contact information can be updated starting 8/7/2019 through 7/11/2020 ** Institution Identification information can be updated starting 8/7/2019 through 5/29/2020			

PRIOR YEAR REVISION SYSTEM CALENDAR

Data submitted by your institution last year (that is, during the 2018-19 data collection) can be revised as needed through the IPEDS Prior Year Revision System. Components will be open for revision during their regular collection period except Fall components will open on 9/11 instead of 9/4. For example, revisions to the Completions component submitted last year can be made during the current year Fall collection period, but one week after the Fall collection period opens. The Prior Year Revision system can be found on Report Your Data website.

2 Next, you'll probably want to familiarize yourself with the survey reporting requirements by **reviewing the survey materials** for the year, and by **reviewing the posted changes** for the collection. You can access the Login Page for the Data Collection System from the IPEDS home page by clicking on Report Your Data.



Your primary source for information on U.S. colleges, universities, and technical and vocational institutions.

[Find Your College](#) [Use the Data](#) [Report Your Data](#) [Join In](#)

- ✓ Choose 'Report Your Data' to see lots of helpful information, including the link to the Data Collection System (**Answer the Current Survey**), **Survey materials and Schedule**, and **Updates** to the data collection (also available from the Help menu once you log into the collection system).



Make sure you are aware of changes!

Report Your Data

Report your institution's data and access resources that will help with successful submission



[Answer the Current Survey](#)

Log in to report your institutional data to the IPEDS Data Collection System.



[Revise the Prior Year Survey](#)

The Prior Year Revision System is only open for revision during the current collection period, with the exception of the fall, which opens one week after the current fall collection opens.



[Survey Materials and Schedule](#)

COLLECTION SCHEDULE	SURVEY MATERIALS
View Now	Current
Printer Friendly	Archived



[Updates to the Data Collection](#)

Find out about new, modified, or deleted items for the survey components.

ANNUAL
[Current Changes](#)
[Archived Changes](#)

PERIODIC
[This Week in IPEDS](#)



[About the Data Collection](#)

Learn about IPEDS: what postsecondary data are reported, how to report the data, and when the data are collected, disseminated and used.



[Request to be an IPEDS Institution](#)

Submit your request before September 15 of the collection year.



[Reporting Guides](#)



[Reporting Tools](#)

Access tools that aid in the data reporting process, including tutorials and crosswalks for CIP and SOC.



[Survey Materials and Schedule](#)

COLLECTION SCHEDULE	SURVEY MATERIALS
View Now	Current
Printer Friendly	Archived

When you click the **Current Survey Materials** link, answer a few questions on the screen to get a short list of surveys to choose from. Once you've completed your IC Header component, you can search for your institution's customized survey materials by entering your UnitID or institution name. Or, click **View All** at the bottom of the page to get the complete list of all survey component versions for all types of institutions.

Using the Survey Materials option, you can download and print blank survey forms, instructions, FAQs, and edit and import specifications, so that you can prepare your data for submission, and alert others at your institution to the data you'll need them to provide to you for submission. The Package option puts all survey materials together in a pdf file for downloading.

NCES National Center for Education Statistics

2018-19 Survey Materials Print

Form, Instructions, FAQs, and Import Specifications

PLEASE NOTE: You MUST enter your data into the data entry screens in the data collection system in order to submit your data. Your data are NOT saved on these blank forms.

[IPEDS Website Trainings & Outreach](#)

A maximum of 3 forms can be selected to view and print at one time. (Not Printed Printed) [View/Print Selected Forms](#)

IC Header	Package	Form	Instructions	FAQ	Import Spec.	2019-20 Changes	2021-22 Changes
IC Header for 4-year degree-granting institutions							
IC Header for 4-year non-degree-granting institutions							
IC Header for public 2-year degree-granting institutions							
IC Header for public 2-year non-degree-granting institutions					N/A		
IC Header for private 2-year degree-granting institutions							
IC Header for private 2-year non-degree-granting institutions							
IC Header for less-than-2-year institutions							

Institutional Characteristics	Package	Form	Instructions	FAQ	Import Spec.	2019-20 Changes	2021-22 Changes
Institutional Characteristics for 4-year academic year tuition reporters							
Institutional Characteristics for 4-year program tuition reporters					N/A		
Institutional Characteristics for degree-granting 2-year academic year tuition reporters							
Institutional Characteristics for degree-granting 2-year program tuition reporters					N/A		
Institutional Characteristics for non-degree-granting 2-year academic year tuition reporters							
Institutional Characteristics for non-degree-granting 2-year program tuition						

Seasoned keyholders will tell you that it's best to start early so that you aren't trying to find and report all the data in a last-minute crunch. Also, if you submit all of your required data prior to the last three weeks before data collection closes, we'll send an email to your president or CEO alerting them to your accomplishment, and mention you by name in the email.

The 'Report Your Data' page also includes helpful reporting guides and tools, as well as key information about the IPEDS data collection. This is also one place where you can find the IPEDS glossary.



About the Data Collection

Learn about IPEDS: what postsecondary data are reported, how to report the data, and when the data are collected, disseminated and used.

Select a data collection topic ▼

- Statutory Requirements
- Institutional Burden
- Procedures for Responding
- Participation in the Surveys
- Purposes and Uses of the Survey Data
- Using the Data Collection System



Reporting Guides

Select a reporting guide ▼

- Search IPEDS Glossary
- Download a copy of IPEDS Glossary
- Download the IPEDS New Keyholder Handbook
- Download instructions to create DFR comparison group

Glossary Search

[Download full Glossary PDF file](#)

Search 0-9 A B C D E F G H I J K L M N O P Q R S T U V W X Y Z

Search type: Terms Definitions

Keyword:

Survey:

Search

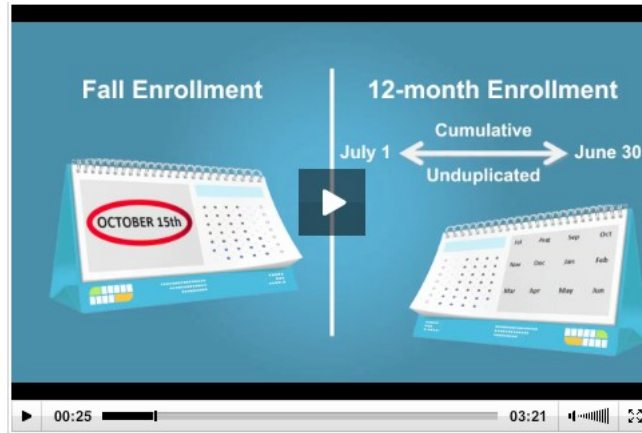
Glossary Results

[Download full Glossary PDF file](#)

Search 0-9 A B C D E F G H I J K L M N O P Q R S T U V W X Y Z

Term	Definition	Related Term
First-time student (undergraduate)	A student who has no prior postsecondary experience (except as noted below) attending any institution for the first time at the undergraduate level . This includes students enrolled in academic or occupational programs . It also includes students enrolled in the fall term who attended college for the first time in the prior summer term, and students who entered with advanced standing (college credits earned before graduation from high school).	
Undergraduate	A student enrolled in a 4- or 5-year bachelor's degree program, an associate's degree program, or a vocational or technical program below the baccalaureate.	
Entering students (undergraduate)	Students at the undergraduate level, both full-time and part-time , coming into the institution for the first time in the fall term (or the prior summer term who returned again in the fall). This includes all first-time undergraduate students, students transferring into the institution at the undergraduate level for the first time, and non-degree/certificate seeking undergraduates entering in the fall.	
Carnegie Classification 2005: Undergraduate	The instructional program classification is based on three pieces of information: the level of undergraduate degrees awarded (associate's or bachelor's), the proportion of bachelor's degree majors in the arts and sciences and in	

3 Identify areas for further training. There are online tutorials available for each survey component and one specifically for new keyholders. These are free and posted on the IPEDS website under Trainings & Outreach on the IPEDS 'Join In' page, so you can view them on *your* schedule, when you have time. The component tutorials are also available from the survey screens, so you can access this “just-in-time” information as you’re submitting your data.



There are also face-to-face workshops around the country every year, which are announced in *This Week in IPEDS* and on Twitter. There are also two online courses for keyholders:

IPEDS Keyholder Essentials: A Beginner’s Guide

Created for data providers with less than 9 months of experience as keyholders, this course covers basic concepts and definitions, such as:

- What IPEDS is
- Components that make up an IPEDS submission
- IPEDS requirements and your responsibilities as a Keyholder
- Tips and tricks on how to complete accurate and timely IPEDS submissions

IPEDS Keyholder Efficiencies: Reducing the Reporting Burden

Created for keyholders with 10-24 months of experience, this course introduces and expands upon concepts including:

- Cleaning data files
- Leveraging IPEDS data at your institution
- Reducing the burden of IPEDS reporting
- Benchmarking data and creating benchmarking reports

See the **Resources** section of this manual (page 35) for more information about training opportunities.

4 You may need others on campus to provide data for IPEDS reporting, particularly for the Academic Libraries, Finance, Human Resources, and Student Financial Aid components. So it's important that you:

✓ **Equip all of your institution's data providers with the resources they need:**

- Survey materials for the appropriate survey component, including screens, instructions for reporting, FAQs, import specifications
- Instructions on how to access the IPEDS Glossary
- Instructions on how to access the web tutorials and other training resources

✓ **Communicate the importance of timely and accurate IPEDS reporting to all data providers**

✓ **Consider developing an internal reporting calendar**

This can help to ensure survey components are locked by the due date. If they have a UserID and password, others on campus may provide data, key enter or upload data to the IPEDS Data Collection System, and edit and clean them, **but only you, as the keyholder, are held responsible for locking the data by the keyholder deadline**. Make sure other data providers allow you the time you need to review all survey components before you lock them.

Key Concepts and Tips

EDITS AND ERRORS

You may receive error messages as you enter your data. Each survey component contains interactive edits that will check for blank fields, invalid data, or data that fall outside expected ranges. Edits are in place to protect the integrity of the data and are only triggered when there is something about the reported data that requires additional attention.

There are three types of edits in the data collection. Confirmation edits require you to confirm the reported data whereas explanation edits require you to provide an explanation for the reported data. Edit explanations should provide adequate and reasonable information to explain anomalies in the data. Finally, fatal edits require you to fix the data or contact the IPEDS Help Desk for help with resolution.

Provide adequate and reasonable information to resolve system edits that require explanations. The IPEDS Help Desk will follow up with institutions that provide inadequate explanations.



Do not attempt to overcome edits by changing data that are correct. If the data you have reported are correct and you need to clear an edit, please contact the Help Desk and, if it is determined that they data are correct, they can provide an override. Remember, compliance for IPEDS reporting is based on timely *and* accurate data.

FIXING ERRORS FROM THE PRIOR YEAR

- The IPEDS Prior Year Revision System is available to allow institutions to correct errors in their prior year data submissions. All survey components are available for revision during their regular collection period, except that Fall survey components open a week later. If, in the prior year, your institution failed to respond to one or more of the surveys, you may also supply your missing data using this system. *The availability of this system DOES NOT INDICATE that institutions are no longer required to report data according to the current year IPEDS collection schedule. This is NOT an extension of your due date and the Office of Federal Student Aid will continue to take appropriate action against schools that do not meet their IPEDS reporting requirements.*
- Login to the Prior Year Revision System using your current year UserID and password.

CONTEXT BOXES

Optional context boxes allow you to provide more information about the data you are reporting. For example, on the Student Financial Aid survey component, because institutions do not report on amounts of grant or scholarship aid from private sources for full-time, first-time students, you may want to note in the context box in SFA, Part C that “*Full-time, first-time undergraduate students are also awarded an average of \$X,XXX from private sources.*”

Information entered in these context boxes may be made public on College Navigator, so make sure that the information you enter can be easily understood by students, parents, and the general public, and is free of grammatical or spelling errors.



Some institutions enter information in the context boxes that does not correspond with the data being reported on the screen or that is not appropriate for public consumption. NCES reviews the information in the context boxes and will not publish information that is not applicable or appropriate for public consumption.

SUMMARY SCREENS

Each survey component has one or more summary screens, which keyholders should review carefully. These screens contain reported values and calculated statistics that will appear on College Navigator, the College Affordability and Transparency Center, and the Data Feedback Reports. Verifying the data before they appear in these very public places may prevent embarrassing mistakes.

REPORT MAPPING

Each August, many keyholders are asked to verify their institution's Report Mapping. An IPEDS Reporting Map describes how the data from the various campuses that are listed on the institution's Program Participation Agreement (PPA) are accounted for in the institution's IPEDS reporting.

If there are differences between how your institution and additional locations are listed in IPEDS and how they are listed in on your PPA, you will need to explain what that means for your IPEDS reporting. You will be presented with screens on which to do this.



Not all PPAs are currently accurate. As a keyholder, you may need to work with your Financial Aid office to reconcile the IPEDS Reporting Map, and your institution may need to contact the office of Federal Student Aid to get PPA inaccuracies corrected. Contact information for each state is available at <https://eligcert.ed.gov/>.

CALENDAR SYSTEM

The calendar system for your institution has major implications for how you will complete the IPEDS survey components. The chart below provides more detailed information about these implications.

Understanding how IPEDS reporting is affected by calendar system			
	Academic Reporter Calendar Systems	Program Reporter Calendar Systems	Hybrid Calendar Systems
<p><u>IC-Header (IC-H)</u></p> <p><i>Institution specifies predominant calendar system. For institutions NOT new to IPEDS, this is preloaded and changes can only be made by Help Desk.</i></p>	<p>Semester Quarter Trimester 4-1-4</p>	<p>Continuous enrollment Differs by program</p>	<p>Other academic</p>
<p><u>Institutional Characteristics (IC)</u></p> <p><i>Cost of attendance for FTFT degree/certificate-seeking undergraduate students</i></p>	<p>Cost of attendance for an academic year</p>	<ul style="list-style-type: none"> Entire cost of the LARGEST program Breakdown of living expense costs per month, for students in the largest program 	<p>Cost of attendance for an academic year</p>
<p><u>Institutional Characteristics (IC)</u></p> <p><i>Average tuition & fees for all undergraduates</i></p>	<p>Average tuition & fees for an academic year for full-time and per credit for part-time</p>	<p>For 2nd – 6th largest programs, total tuition & fees for each program</p>	<p>Average tuition & fees for an academic year for full-time and per credit for part-time</p>
<p><u>Student Financial Aid (SFA) – Section 1</u></p> <p><i>Reporting period</i></p>	<p>Standard academic year</p>	<p>Defined by the institution; must fall within the period of July 1 through June 30</p>	<p>Standard academic year</p>
<p><u>Student Financial Aid (SFA) – Section 1</u></p> <p><i>Student cohort</i></p>	<p>Students enrolled on October 15, or the institution's official fall reporting date</p>	<p>Students enrolled at any time within the institution's academic year</p>	<p>Students enrolled at any time within the period of August 1 through October 31</p>
<p><u>Fall Enrollment (EF)</u></p> <p><i>Students enrolled</i></p>	<p>Students enrolled on October 15, or the institution's official fall reporting date</p>	<p>Students enrolled at any time within the period of August 1 through October 31</p>	
<p><u>Graduation Rates (GR & GR200)</u></p> <p><i>Student cohorts</i></p>	<p>Fall cohort: Entering FTFT degree/certificate-seeking undergraduate students who enrolled on October 15, or the institution's official fall reporting date</p>	<p>Full-year cohort: Entering FTFT degree/certificate-seeking undergraduate students who enrolled at any time within the period of September 1 through August 31</p>	
<p><u>Outcome Measures (OM)</u></p> <p><i>Student cohort</i></p>	<p>Full-year cohort: Entering degree/certificate-seeking undergraduate students who enrolled any time within the period of July 1 through June 30.</p>		

Using the IPEDS Data Collection System

You will use the IPEDS web-based Data Collection System to submit your data. Here are some tips for using it.



IPEDS Help Desk
(877) 225-2568
ipedshelp@rti.org

NCES National Center for Education Statistics

OMB NO. 1850-0582 : Approval Expires 2/29/2020

Fall

COMPONENTS
Institutional Characteristics
Completions
12-month Enrollment

KEYHOLDER:
120 days left
(Closes Oct 17)

COORDINATOR:
134 days left
(Closes Oct 31)

Winter

COMPONENTS
Student Financial Aid
Graduation Rates
200% Graduation Rates
Admissions
Outcome Measures

KEYHOLDER:
Opens Dec 12
(Closes Feb 13)

COORDINATOR:
Opens Dec 12
(Closes Feb 27)

Spring

COMPONENTS
Fall Enrollment
Finance
Human Resources
Academic Libraries

KEYHOLDER:
Opens Dec 12
(Closes Apr 10)

COORDINATOR:
Opens Dec 12
(Closes Apr 24)

[Reporting Resources](#)

Access resources that will help with successful submission.

Registration

The 2018-19 data collection cycle opens August 8, 2018.
The surveys available for completion as of that date include:

- ✓ Registration
- ✓ Institution Identification
- ✓ Report Mapping (if applicable)
- ✓ IC Header

Keyholders should be registered prior to August 31. The CEO of any institution without a registered Keyholder by that date will be contacted by the IPEDS Help Desk so that a one may be appointed. The Keyholder may be changed at any time during the collection cycle.

The IPEDS Help Desk is available to answer any questions. Call (877) 225-2568 or send an email to ipedshelp@rti.org.

Data Submission Requirement

The completion of all IPEDS surveys, in a timely and accurate manner, is mandatory for all institutions that participate or are applicants for participation in any Federal financial assistance program authorized by Title IV of the Higher Education Act of 1965, as amended. The completion of the surveys is mandated by 20 USC 1094, Section 487(a)(17).

The collection and reporting of racial/ethnic data are mandatory for all institutions that receive, are applicants for, or expect to be applicants for Federal financial assistance as defined in the Department of Education (ED) regulations implementing Title VI of the Civil Rights Act of 1964 (34 CFR 100.13), or defined in any ED regulations implementing Title IX of the Education Amendments of 1972. The collection of racial/ethnic data in vocational programs is mandated by Section 421(a)(1) of the Carl D. Perkins Vocational Education Act.

The reporting of racial/ethnic and gender data for institutional staff on the Human Resources component is also mandated by P.L. 88-352, Title VII of the Civil Rights Act of 1964, as amended by the Equal Employment Opportunity Act of 1972 (29 CFR 1602, subparts O, P, and Q), for institutions with fifteen (15) or more full-time employees.

For those institutions not required to complete this survey on the basis of the above requirements, completion is voluntary and authorized by P.L. 103-382, National Education Statistics Act of 1994, Sec. 404(a).

IPEDS data are not collected under a pledge of confidentiality.

The Data Collection System is password protected. You will receive a UserID and password each August when registration opens. You can create up to 16 additional UserIDs and passwords for others on your campus (through the Tools menu).

Data Collection System Features:

- Survey screens are customized for each institution, based on criteria such as institution level, degree-granting status, and responses to screening questions.
- The system calculates totals, differences, percentages, rates, and some derived variables (such as full-time equivalent enrollment and average net price of attendance), based on data entered.
- Built-in interactive edits flag potential data problems, discrepancies, and errors.
- The system pulls data forward from one section to another where totals need to match.
- Prior year reported values are included, in red, on many screens for comparison and editing.

Surveys Reports Tools Help Log Out

Surveys You have 1 unread message(s) Instructions

The status of EACH survey in the current collection must be Locked, Complete, or Not Applicable before the collection closes. Follow the Steps to Locking for EACH survey in the current collection:

1. Enter data
2. Perform edits
3. Resolve errors (enter explanations in edit report, or correct data when appropriate)
4. Perform edits again
5. Repeat steps 3 and 4 as needed, until survey status is Clean
6. Lock survey

The IC Header survey must be locked before starting the Fall collection survey components.

This is the screen you see once you log into the Data Collection System. Across the top is a menu bar; clicking **Surveys** will bring you back to this screen.

Instructions are included on most screens from a link in the upper right-hand corner.

The Message Center will tell you if you have new, unread messages.

On the **Surveys** screen, each survey is listed, along with its due date, status, steps that can be taken in order to get to the point where the survey is ready to be locked, and any options that are available. Click on the underlined links to perform the desired action.

Current Collection Surveys

Survey	Close Date	Status	Steps to Locking	Options
Institution Identification		Complete		Edit Identification
IC Header	10/14/2015	Clean (0/1 locks)	Enter Data	View Data Edit Report Print Data/Get PDF
Institutional Characteristics	10/14/2015	NO_DATA		
Completions	10/14/2015	NO_DATA		
12-month Enrollment	10/14/2015	NO_DATA		
Student Financial Aid	2/10/2018	NO_DATA		
Graduation Rates	2/10/2018	NO_DATA		
Graduation Rates 200	2/10/2018	NO_DATA		
Admissions	2/10/2018	NO_DATA		
Outcome Measures	2/10/2018	NO_DATA		
Human Resources	4/8/2018	NO_DATA		
Fall Enrollment	4/8/2018	NO_DATA		
Finance	4/8/2018	NO_DATA		
Academic Libraries	4/8/2018	NO_DATA		

Options	survey.
	Content is not relevant to the institution.
NO DATA	Screening questions have not been answered. Responses to screening questions are required to generate survey screens.
No Data	Screening questions have been answered but no data have been provided.
Has Data	Some data have been entered, but survey is not complete. Perform edits must be run.
Edited	Edits have been run. Go to Edit Report to resolve any errors.
Clean	All edit errors and issues have been resolved. Can proceed to lock the survey component.
Locked	Data have been successfully submitted by the institution. Final lock must still be applied (by system level or state level coordinator). If there are no "locking" coordinators, the survey status will go straight to Complete.
Complete	All locks have been applied.

ENTERING DATA

There are two options for entering data into the Data Collection System: manual key entry and data upload. You can use different methods for different surveys.

Surveys Reports Tools Help Log Out

IC Header

Part A - Educational Offerings Instructions

Overview
Part A
 Educational Offerings
 System Office
Part B
Summary

Data saved successfully.

1. Which of the following types of instruction/programs are offered by your institution? [Check one or more]

If your institution does not offer occupational, academic or continuing professional programs, you are not expected to complete this or any other IPEDS survey.

<input checked="" type="checkbox"/>	<u>Occupational</u> , may lead to a certificate, degree, or other formal award
<input checked="" type="checkbox"/>	<u>Academic</u> , leading to a certificate, degree, or diploma
<input type="checkbox"/>	<u>Continuing professional</u> (postbaccalaureate only)
<input type="checkbox"/>	Recreational or <u>avocational (leisure) programs</u>
<input type="checkbox"/>	<u>Adult basic</u> or remedial instruction or high school equivalency
<input type="checkbox"/>	Secondary (high school)

Invalid data type Error Confirm or explain Resolved explanation Overridden by Admin

If one of the above icons appears next to a data field or fields above, click on it to view a description of the error.

Previous Save Save and Next Next

When keying data into the system, each screen is saved separately, using the buttons at the bottom of the screen.

Underlined terms that appear on the survey screens are linked to the glossary; mouseover the link for the definition. Instructions for each screen are available by clicking the **Instructions** link in the upper right-hand corner of the screen. Some screens have links to FAQs and/or links to online tutorials applicable to the screen, also at the top of the screen.

Surveys Reports Tools Help Log Out

File Upload

Survey: Institutional Characteristics

File Type: Fixed Length Key Value Pair

File Name: Browse...

Submit

The File Upload option is found under the **Tools**

menu. You'll need to have a file formatted to specifications in order to perform the upload. Upload specifications are part of the survey materials.

IPEDS 2015-16 Import Specifications					
Fall Collection: Institutional Characteristics, Academic Year Charges 2015-16					
Applies to: All Institutions					
File type: Fixed Length/Positional (*.txt)					
Field ID (Key)	Column	Length	Data type	Description	Valid Entries
Part A - General information and application fees					
UNITID	1	6	N	UNITID	Valid UnitID
SURVSECT	7	3	AN	TYPE	"ICA"
PART	10	1	AN	SUBTYPE	"A" = General information record
DA02	11	2	N	Is an application fee for admission required by your institution?	01 = Yes, 02 = No
DA03	13	6	N	Undergraduate application fee for admission	If DA02=01 (Yes), then 1 to 999999. If DA02=2 (No), or no full-time undergraduates, or no undergraduate award levels offered, then -2 or blank (not applicable).
DA04	19	6	N	Graduate application fee for admission	If DA02=01 (Yes), then 1 to 999999. If DA02=2 (No), or no full-time graduates, or no graduate award levels offered, then -2 or blank (not applicable).

There are 3 upload formats:






- Fixed width file
- Key value file
- XML







Keyholders who have done the programming to produce upload files say that it's a real time-saver in the long run.

EDITS AND ERRORS

No matter how you enter your data, you will need to run the interactive edits to see if there are any edit issues or potential errors. Some edits are run automatically when you save data on a screen. Other edits are run when you click the **Perform Edits** link on the Surveys page. Error icons will usually appear on a screen next to a data field; the icons identify the kind of error, and the severity of the problem. If you click on an icon you will get a description of the problem.

The error icon descriptions are displayed on each screen:

 Invalid data type
  Fatal error
  Confirm or explain
  Edit explanation
  Override by Admin
 If one of the above icons appears next to a data field or fields above, click on it to view a description of the error.

Error Types	
Invalid data type 	An alpha character has been entered into a field that is restricted to numeric characters, for example.
Confirmation 	Certain data must be verified to check that the data entered is what is intended. No explanations are necessary.
Explanation  	The data have been flagged because an unexpected value was entered. Check for keying errors. Check to make sure the data are correct. If the data are correct, enter an explanation. The icon turns grey when an explanation has been entered. Explanations may be edited by clicking on the icon.
Fatal 	The data have been flagged for a serious error. This could be due to missing data, because the data violate internal consistency between parts or components, because they violate reporting rules, or because you've entered a value that is not likely for most institutions. Please review the data for accuracy, and correct any incorrect data. Check for keying errors. Do NOT fiddle with the data to try to erase the error. If the data are correct, contact the IPEDS Help Desk for an override. If you do not understand the nature of the error, contact the IPEDS Help Desk.
Override by administrator 	A <i>fatal</i> error has been overridden by an IPEDS Help Desk administrator, because of the explanation provided by the keyholder.

RESOLVING ERRORS

All errors must be resolved before the component can be locked. This means that all confirmation edits need to be confirmed, explanations must be entered for all explanation errors, and all fatal errors must be fixed or overridden.

Once you have clicked **Perform Edits** on the Surveys screen, you will be able to access the Edit Report. This is a concise listing of all edit errors and issues with the data for the component. This report will also tell you the status of each error.

Edit Report ? Instructions				
For further assistance, please contact the IPEDS Help Desk at 1-877-225-2568				
Fall Enrollment				
Source	Description	Severity	Resolved	Options
Screen: Full-time undergraduates				
Screen Entry	Your institution reported that you enroll full-time, first-time degree/certificate-seeking undergraduates on the latest Institutional Characteristics (Part B). However, there are no students of this type reported in the enrollment (Part A) for this collection. If the IC data are correct, you must provide the enrollment counts for these students. If there are no such students enrolled, contact the IPEDS Help Desk.	<u>Fatal</u>	No	Go to error screen
Screen: Part-time undergraduates				
Perform Edits	Number of students with age unknown/unreported should not exceed 20% of the total (taken from part A). Please fix or explain.	<u>Explanation</u>	No	Go to error screen
Related Screens:	Part-time undergraduates , Part-time undergraduates			
Screen: Total Entering Class				
Perform Edits	The data you reported indicates that your entering class is 100% of the GRS cohort. Please confirm that these data are correct.	<u>Confirmation</u>	No	Go to error screen

On this screen, you can see a description of each edit that has flagged out for your review. The **Resolved** column will tell you if action is still required on your part to resolve the error. All edits must show **Yes** in the **Resolved** column before you can lock your survey. Click on the underlined **Explanation** link in the **Severity** column to enter an explanation. Click on the links in the **Options** column to return to the survey screens.

Follow the Steps to Locking for EACH survey:

1. Enter data
2. Perform edits
3. Resolve errors (enter explanations in edit report, or correct data when appropriate)
4. Perform edits again
5. Repeat steps 3 and 4 as needed, until survey status is Clean
6. Lock survey

EXPLANATION EDITS AND CONTEXT BOXES

Explanation edits and context boxes are NOT the same, although they're similar because explanations and additional information are provided in both cases. The Help Desk reviews ALL edit explanations and context box text. Bad Explanations are NOT published, and do not appear on College Navigator.

Explanation edits are requesting **reasons** for changes or other data submitted. If we only need an acknowledgment that data are correct, a confirmation edit will be in place, and you will only need to click a button. But if we ask for an explanation, please enter a reason, not a confirmation.

For further assistance, please contact the IPEDS Help Desk at 1-877-225-2568

Error Description

The average amount of this aid type is outside the expected range when compared to last year's value. Please check the values reported for the number of students and the total amount of aid received, and fix or explain.

Enter explanation below:

Explanations must be entered to resolve some edits. Please provide clear and comprehensive explanations for why the data are correct as entered. This will minimize questions and followup during the NCES data review process; you will be contacted if we cannot understand your explanation, or if the explanation does not speak to the problem.

This is not an explanation and, in fact, is the reason the data were flagged. What caused the large increase in enrollment?

Better #1: Enrollment increased due to increased recruitment efforts.

Better #2: The increase is due to the addition of a nursing program, which has been very popular.

The ratio is correct.

Again, this is not an explanation. How was the ratio calculated?

Better: The ratio provided was calculated based on X, Y, and Z reasons and accurately reflects the ratio at our institution.

IPEDS has last year's number wrong.

Better: The person responsible for reporting last year incorrectly reported the value. This year we are correcting the error and will correct last year's data in the Prior Year revision system.

More explanation edit examples that you can use as a guide:


For large variances from the prior year:

- A popular new program in Massage Therapy fueled enrollment increases for first-time/part-time female students.
- Average institutional grants increased as we sought to emphasize scholarships for meritorious achievement, since Pell was increased for needy students.
- Graduation rates decreased in part because several programs were discontinued and students in those majors transferred elsewhere.
- Average tuition costs rose more than 20% for several reasons. First, the institution had not raised tuition in more than four years. Second, we have implemented more intensive programs that have higher tuition rates, and these programs are popular, which affects the average tuition rate. Third, students are choosing to take more credits per semester than previously, which also affects average tuitions.

For not having additional completers between 151-200%:

- Our accreditation body does not allow students to continue beyond 150%.
- All students who completed did so within 150%.

Context boxes are optional. They give you the opportunity to provide further information or “context” that may help reviewers and data users understand and interpret the data. Please pay special attention to those that will appear on College Navigator, and edit for grammar and punctuation as well as suitability for display on the college search website. Also, note that the text that you enter will appear along with the data on that same screen, so **ONLY** include context notes that are relevant to the displayed data, otherwise the users of Navigator will likely have difficulty understanding the context you provide.

 You may use the space below to provide context for the data you've reported above. These context notes will be posted on the College Navigator website, and should be written to be understood by students and parents.

A large, empty text input area with a vertical scrollbar on the right side and a horizontal scrollbar at the bottom, intended for entering context notes.

Context box text examples

Context box text should be clear and concise, explanatory and factual.

Examples of good context notes:

- Open admission is available only for the College of Professional Studies' non-traditional bachelor of science degree in Management and Organizational Development. All other programs do not have an open admissions policy.
- Tuition and fees for programs offered through the traditional on-campus format are reflected in this tuition and fee section. Tuition and fees for programs offered through the on-line modality or the evening modality are different from those reflected here and are available in the Example College Catalog or by contacting Example College.

Context box text should NOT be a marketing opportunity or an edit explanation.

Examples of what to avoid:

- Example College is one of the nation's most innovative liberal arts colleges. In addition to strong academic majors in the humanities, social and natural sciences, the College features inventive programs of study...
This is marketing and information should be factual, not flowery.
- Our tuition rates are the best public tuition rates in our state. We offer students the most opportunity for their dollar.
This is advertising, and it may or may not be factual.

LOCKING A SURVEY

The status of EACH survey in the current collection must be **Locked**, **Complete**, or **Not Applicable** before the collection closes. Follow the Steps to Locking for EACH survey in the current collection:

1. Enter data
2. Perform edits
3. Resolve errors (enter explanations in edit report, or correct data when appropriate)
4. Perform edits again
5. Repeat steps 3 and 4 as needed, until survey status is Clean
6. Lock survey

The IC Header must be locked before starting the Fall collection survey components.

Survey	Close Date	Status	Steps to Locking	Options
Creating an IPEDS Reporting Map		Complete		View IPEDS Reporting Map
Institution Identification		Complete		Edit Identification
+ IC Header	10/17/2012	Clean (0/1 locks)	Enter Data Lock	

The survey status must be **Clean** before a survey can be locked. To lock a survey, click on the **Lock** link in the **Steps to Locking** column on the **Surveys** screen. Once a survey is locked, the data become “view only.” However, if you discover later that you need to make a correction to the data you’ve submitted, contact the Help Desk and ask them to unlock the survey for you. A confirmation email will be sent to you when you apply the lock; some keyholders print these out for their records.

The status of EACH survey in the current collection must be **Locked**, **Complete**, or **Not Applicable** before the collection closes. Follow the Steps to Locking for EACH survey in the current collection:

1. Enter data
2. Perform edits
3. Resolve errors (enter explanations in edit report, or correct data when appropriate)
4. Perform edits again
5. Repeat steps 3 and 4 as needed, until survey status is Clean
6. Lock survey

The IC Header must be locked before starting the Fall collection survey components.

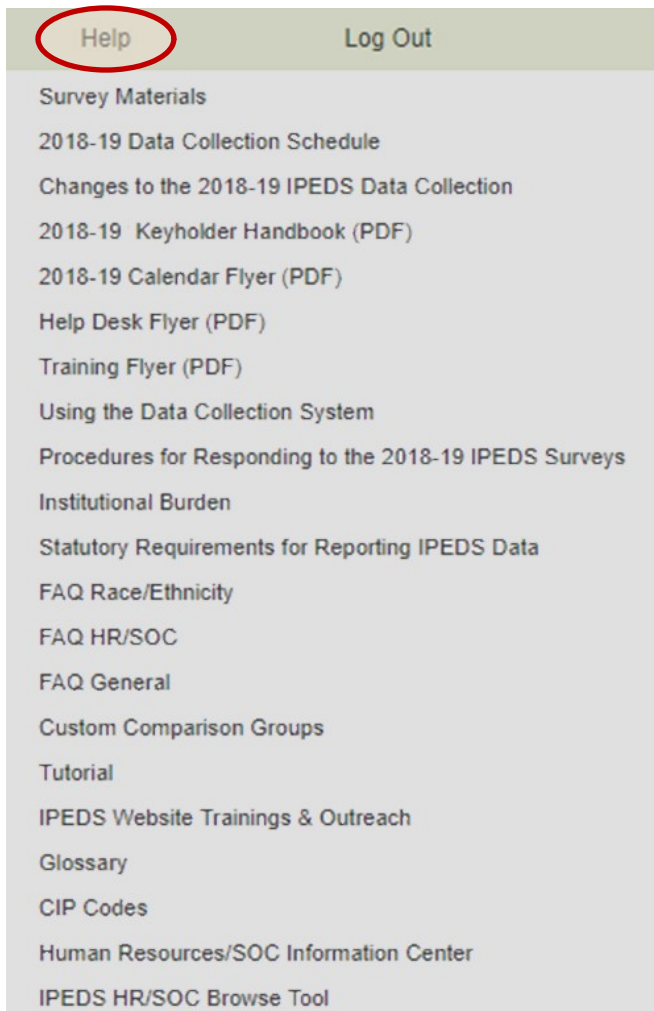
Survey	Close Date	Status	Steps to Locking	Options
Creating an IPEDS Reporting Map		Complete		View IPEDS Reporting Map
Institution Identification		Complete		Edit Identification
+ IC Header	10/17/2012	Complete (1/1 locks)		View Data Edit Report Print Data/Get PDF

Once you’ve locked your survey, you can print out the data for your records and save a PDF to your computer; use the **Print Data/Get PDF** link in the **Options** column.



HELP MENU

There are many resources available under the Help menu in the Data Collection System. Also, please don't forget to contact the IPEDS Help Desk if you have any questions, at 1-877-225-2568, or ipedshelp@rti.org.



The screenshot shows a user interface with a top navigation bar. The 'Help' button is circled in red. Below the navigation bar is a list of help resources.

Help	Log Out
Survey Materials	
2018-19 Data Collection Schedule	
Changes to the 2018-19 IPEDS Data Collection	
2018-19 Keyholder Handbook (PDF)	
2018-19 Calendar Flyer (PDF)	
Help Desk Flyer (PDF)	
Training Flyer (PDF)	
Using the Data Collection System	
Procedures for Responding to the 2018-19 IPEDS Surveys	
Institutional Burden	
Statutory Requirements for Reporting IPEDS Data	
FAQ Race/Ethnicity	
FAQ HR/SOC	
FAQ General	
Custom Comparison Groups	
Tutorial	
IPEDS Website Trainings & Outreach	
Glossary	
CIP Codes	
Human Resources/SOC Information Center	
IPEDS HR/SOC Browse Tool	

Communications from NCES

REMINDER EMAILS AND PHONE CALLS

NCES sends out many followup emails to keyholders, to remind them of survey due dates. Here is the communication schedule for each collection:

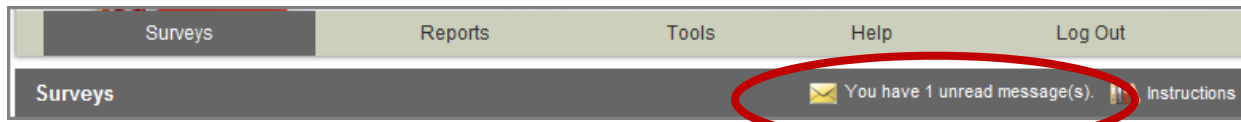
- Email to keyholder that the collection has opened
- Email to keyholder, if no data have been entered by 4 weeks before the collection closes
- Email to new keyholder, if all surveys are not locked by 4 weeks before the collection closes
- Phone call to keyholder or CEO, if no data have been entered by 2 weeks before the collection closes
- Phone call to new keyholder or CEO, if all surveys are not locked by 2 weeks before collection closes
- Email to keyholder if all surveys are not locked by 2 weeks before the collection closes
- Email to keyholder if all surveys are not locked by 1 week before the collection closes

DATA COLLECTION SYSTEM EMAILS

An automatic email is sent to keyholders upon registration, and when contact information is updated. Automatic emails are also sent to keyholders (and coordinators) when each survey is locked.

MESSAGE CENTER

Each time you log into the Data Collection System, check the upper-right-hand corner for new messages. You can re-read messages using the Message Center function under the Tools menu.



THANK-YOU EMAILS

If all survey components for a collection are locked by 3 weeks before the collection closes, a thank-you email will be sent to your institution's CEO. This email will mention the keyholder by name, as the person primarily responsible for this accomplishment.

THIS WEEK IN IPEDS

These emails are sent out whenever there is important information or announcements that need to be communicated to keyholders. This Week in IPEDS can also be found on the IPEDS website, in the Newsroom.

TRAINING OPPORTUNITIES EMAILS

Special emails are sent out periodically to alert keyholders to IPEDS training opportunities. See the Resources chapter of this handbook for more information.

SOME NOTES CONCERNING COMMUNICATIONS FROM THE HELP DESK

If you receive a communication from Help Desk personnel or NCES requesting a response, please do not ignore it. Many times, we are trying to prevent you from having compliance issues or unfavorable (and incorrect) data that will be displayed on College Navigator, the FAFSA website, the College Affordability and Transparency Center, and in the Data Feedback Reports.

Many keyholders have complained about the emails that say that we will contact your CEO if you haven't responded by a particular date. This is not intended to be a threat, but a safeguard, as quite frequently a failure to respond indicates that the keyholder has left the institution and has not been replaced. These calls start approximately two weeks prior to the end of collection, which would still give a replacement keyholder enough time to gather the data and complete the surveys.

At the end of collection, we follow up with keyholders regarding data quality issues. At this point, we typically have either a request for clarification of an explanation or we have identified a problem that needs to be addressed. Frequently, these issues can be resolved in just a minute or two, although sometimes there are deeper problems that do take more time. It's not that we want to bug you or don't understand that you have other things for which you are responsible. We are required to continue calling until the matter is resolved.

Tips from Veteran Keyholders and the IPEDS Help Desk

GETTING READY

- ✓ Start early, allowing yourself sufficient time to address and issues or questions you encounter
- ✓ Develop and communicate a strategy and timeline with all of the departments that will be providing data, and follow up periodically to make sure that all data will be available on that timeline
- ✓ Make sure that other offices understand the data collection system interface, as well as the data requirements and definitions
- ✓ Read all of the IPEDS training materials and watch the IPEDS tutorials, and share them with others providing data
- ✓ Create your own set of audits for each survey to allow a quick check before locking the survey
- ✓ Read the instructions and FAQs for each survey component; don't assume you know what is required if you haven't fully read this information
- ✓ The Overview screen of each survey component shows any major changes for the year, and the summary screen at the end of each survey component gives you a chance to look at much of the data one last time to make sure it looks right – use them both to your advantage
- ✓ Look at all the reporting dates for the various surveys before the reporting year even starts; in some cases one survey may collect data on different years depending on the section of the survey (e.g, Fall Enrollment collects data on the most recent fall cohort and some data on the student cohort you reported on last year)

ENLISTING THE HELP OF OTHERS

- ✓ When communicating with other offices, highlight any changes to the survey that have been made since the last submission
- ✓ As needed, add additional users/passwords so that other offices can better assist you with entering data into the system (you will still need to lock)
- ✓ Provide other offices a deadline that is at least one week prior to the IPEDS deadline, since you will need to verify all their data, run error reports, and lock the survey
- ✓ Create a positive work environment for those who are part of your IPEDS Team - thank folks for taking the time to gather the data you need, be available to answer questions and provide your assistance, and respect the timing of other projects your colleagues may be working on and schedule things accordingly

VERIFYING YOUR DATA

- ✓ Remember that these data will show up in a lot of public places – and you want your institution to be represented honestly
- ✓ Compare each year's new data with as many prior years as possible; this provides a trend and enables you to look at the items in summary form to be sure the data are correct and in line
- ✓ Compare data compiled in IPEDS to other reports (financial statements, CDS, VSA, state reports, etc.) and double check the definitions
- ✓ Compile data from peer institutions and see how your data compare
- ✓ Although adjustments and corrections can be made to data for internal reporting, beyond the Prior Year Revision System, there is no good way to correct inaccurate data that have been previously reported – take the time and make the effort to report data that is clean, complete and accurate

KEEPING RECORDS

- ✓ Document the files you used, queries, and any recoding of data to match IPEDS, as well as questions or issues you ran into and how/why you resolved them the way you did
- ✓ Keep notes on where you pull data from and on what information is duplicated for state and federal reporting
- ✓ Keep both an electronic filing system and hard copies of the IPEDS survey reports in binders – include hard copies of the completed surveys, procedures manual, worksheets, programming scripts, and communication pieces pertaining to the survey
- ✓ Share the final submission with the office that is responsible - this provides quick and easy access to institutional data, and fosters good communication and interoffice support

GETTING ADVICE

- ✓ To improve or to invent your institution's process, consult with keyholders from similar institutions, whether neighbors or others with whom you have a good working relationship

GETTING HELP

- ✓ If you need any help or even have a small question about what you are reporting, call or email the IPEDS Help Desk – preferably early on in the collection cycle

IPEDS Resources – Where to Get Help

IPEDS TRAINING

IPEDS training, in the form of web tutorials and face-to-face workshops, is available to IPEDS keyholders and other data providers as well as IPEDS data users.

ONLINE TUTORIALS

Professionally produced **online tutorials** covering IPEDS data submission and data use are available from the IPEDS website (<http://nces.ed.gov/ipeds/InsidePages/JoinIn?pageid=37>). These are free, and are available 24 hours a day, so you can use them on *your* schedule. There are four types of video tutorials available:

- The **New Keyholder Online Tutorial** is specifically for new keyholders, and provides an overview of keyholder responsibilities and how the IPEDS data submission process works.
- The **IPEDS Annual Update Tutorial** provides an overview of the data collection schedule, as well as changes to the data collection.
- The **survey component web tutorials** will assist you in understanding the key concepts and common pitfalls you need to know in order to accurately submit data for the different survey forms. These tutorials can be accessed from the survey screen, through the Training and Outreach menu on the IPEDS website at <http://nces.ed.gov/ipeds/InsidePages/JoinIn?pageid=37>, the Data Collection System Help menu, and from the log in page of the Data Collection System. The survey component web tutorials are posted by the opening of each data collection period. You will be alerted through a training email.
- Finally **data tools and related tutorials** explain how to utilize the IPEDS data tools (College Navigator, Data Center, Trend Generator, and College & Career Tables Library), as well as other IPEDS related educational topics, such as the Net Price Calculator and IPEDS Data Release Stages, for example.

FACE-TO-FACE WORKSHOPS

IPEDS workshops are held in various locations across the country and there is no charge to attend any of these workshops. Attendance preference is given to keyholders. Two workshops of particular interest to keyholders and others who report IPEDS data are the **New Keyholder Training** and the **Best Practices for Reporting and Using IPEDS Data** workshops:

- The New Keyholder Workshop is designed as entry level training that provides participants with a thorough introduction to the IPEDS data collection cycle and reporting requirements. Created specifically for new IPEDS keyholders, this workshop outlines the roles and responsibilities of a keyholder and the resources available to assist in the IPEDS planning and reporting processes. The workshop also provides participants an opportunity to create an IPEDS planning calendar for the next data collection cycle. Because this workshop is designed for newer keyholders, it also serves as a valuable professional networking opportunity for institutional researchers in their new roles.

- The Best Practices for Reporting and Using IPEDS Data to Improve Office Efficiencies workshop is intermediate level keyholder training that is designed for individuals who lead the IPEDS data submission cycle on their campus and have done so for at least one full reporting cycle. Using IPEDS as a focus, participants will: Learn IR best practices and technical efficiencies in data management through Excel (e.g., pivot tables, merging data, custom formulas, and filters); examine multiple options for IPEDS submission (manual entry, .csv file upload, and XML); and learn how to use benchmarking data to address key institutional questions and needs.

Other workshops are also available that focus on data use, benchmarking, and IPEDS finance data. Training emails sent to keyholders will alert you to these workshops. You can learn more about these opportunities at <http://nces.ed.gov/ipeds/InsidePages/JoinIn?pageid=37>.

ONLINE KEYHOLDER COURSES

Two **online IPEDS keyholder courses** (www.airweb.org/EducationandEvents/IPEDSTraining/Pages/IPEDS-Online-Courses.aspx) provide self-paced, on-demand training for data providers. Courses are mentor supported, include papers, videos, and exercises, and take around 10-12 hours to complete. The first course, focusing on keyholders with 0-9 months of experience, focuses on an overview of IPEDS, accessing IPEDS data, keyholder responsibilities, important IPEDS concepts and definitions, working with cohort data, managing a data collection cycle, and ensuring quality data. The second course, focusing on keyholders with 10-24 months of experience, takes a more in-depth and application based approach to working with IPEDS by discussing nuances of IPEDS data, how IPEDS compares and connects with other institutional and national datasets, improving office efficiencies in project management and data submission, and using IPEDS data to increase institutional effectiveness.

IPEDS LISTSERV

Become a member of the IPEDS Listserv through the **Links to Tools** tab on the Login Page of the Data Collection System and join in on discussions of IPEDS topics of interest to keyholders and others.

IPEDS WEBSITE

The IPEDS website is available at <http://nces.ed.gov/ipeds/>. Once there, you will find a lot of good information.

- **Find Your College:** The student and parent portal leads to College Navigator, Scorecard, and the College Affordability and Transparency Center
- **Use the Data:** The researcher portal allows you to access IPEDS data in different formats, and includes the IPEDS glossary.
- **Report Your Data:** The data provider portal provides you with many resources, including access to the data collection, survey materials and calendars, the prior year revision system, updates to the data collection, reporting guides and reporting tools.
- **Join In:** This portal takes visitors who want to get more involved with IPEDS! Users will find information about training, IPEDS trainings and outreach, IPEDS technical review panel meetings, and IPEDS social media.



Search IPEDS



Your primary source for information on U.S. colleges, universities, and technical and vocational institutions.

[Find Your College](#) [Use the Data](#) [Report Your Data](#) [Join In](#)

DATA COLLECTION SYSTEM TUTORIAL

Surveys Reports Tools **Help** Log Out

Tutorial

Tutorial TOC [View All](#)

Lesson 1: Entering, Navigating, and Exiting
This lesson explains how to enter, navigate, and exit the IPEDS web-based data collection system.

Lesson 2: User Registration
This lesson shows the registration steps.

Lesson 3: Surveys
This lesson explains how to enter, edit, clean, and lock survey data.

Lesson 4: Reports
This lesson shows the reports available to users.

Lesson 5: Tools
This lesson explains how to use the system tools to add users, import and export files, and update registration information.

Lesson 6: Help
This lessons presents the resources contained under the Help menu.

A tutorial that explains how to use the IPEDS Data Collection System can be found under the Help menu.

And, of course,
don't forget the
IPEDS Help Desk:
1-877-225-2568
ipedshelp@rti.org

Using IPEDS Data

Data Entry by Respondents

Editing of Survey Data

Locking of Survey Data

Collection Level Release

Preliminary Public Release (unimputed)

Provisional Public Release (imputed)

Final Release (revised)

DATA AVAILABILITY

Once IPEDS data have been entered, edited, cleaned, and locked, they pass through additional review by the IPEDS Help Desk and NCES. The Help Desk runs additional checks on the data before they are migrated to the dissemination server. At this time, the edit explanations and context notes are reviewed, and the keyholder may be contacted if questions about the data submission arise. The Help Desk begins migrating the data while the data collection is still in progress.

Once the data are migrated, they are available at the collection level in the IPEDS Data Center. Keyholders (and anyone who has a UserID and password for the Data Collection System) can access the collection level data in the Data Center as soon as their own institution's data are migrated; to do this, go through the Tools menu in the Data Collection System. Migration continues after the data collection closes.

Once the data are migrated, NCES does additional Quality Control checks; keyholders may be contacted if questions arise.

Soon after the data collection closes, College Navigator is updated, the Preliminary First Look publication is released, and Preliminary (unimputed) data are made publicly available through the Data Center.

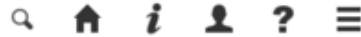
Approximately 2 months after that, the First Look publication is reissued, and Provisional (imputed) data are made publicly available through the Data Center.

Approximately 1 year later, the Final data are made public through the Data Center.

USE THE DATA



Search IPEDS



IPEDS Data Use Help Desk (866) 558-0658 or ipedstools@rti.org

Use the Data

Access IPEDS data submitted to NCES through our data tools or download the data to conduct your research

[Overview of IPEDS Data](#)
Get a bird's eye view of IPEDS data: learn what data are collected and how you can use the various tools on this page to access IPEDS data.

[Data Trends](#)
Use the Trend Generator to view trends on most frequently asked subject areas including: Enrollment, Completions, Graduation Rates, Employees and Staff, Institutional Revenues, and Financial Aid.

[Look Up an Institution](#)
Look up information for one institution at a time. Data can be viewed in two forms: institution profile (similar to College Navigator) and reported data (institution's response to each survey question).

[Data Feedback Report](#)
Download, print, or customize an institution's Data Feedback Report, a report that graphically summarizes selected institutional data and compares the data with peer institutions.

[Statistical Tables](#)
Create simple descriptive statistics (e.g., total, count, average, median, standard deviation, percentiles) on selected IPEDS institutions and variables.

[Summary Tables](#)
Customize a summary table for a select subgroup of institutions on the following popular topics: tuition and fees, room and board, student financial aid, admissions, test scores, student enrollment, degree/certificate awarded, and graduation rates.

[Compare Institutions](#)
Download IPEDS data files for more than 7,000 institutions and up to 250 variables. Data files are provided in comma separated value (*.csv) format.

[Survey Data](#)
Download the complete data file for each survey or create a custom data file across multiple surveys. IPEDS data files and data dictionaries are zipped comma separated value (*.csv) format, including read programs for easily importing data into a statistical software package (SPSS, STATA, and SAS).

Select survey download option

[Shortcuts](#)
Use these shortcuts to expedite the research process if you are a frequent or returning data user.

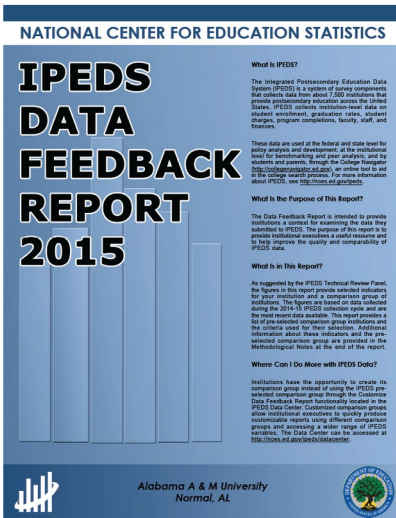
Select your shortcut

[Publications and Products](#)
Review publications using IPEDS data including First Looks, Web Tables, methodology reports, and Digest Tables.

[Resources](#)
[IPEDS Glossary](#)
[Archived Survey Forms](#)
[Delta Cost Project](#)
[IPEDS Data Center User Manual](#)
[IPEDS Data Collection and Release Procedures](#)
[NCES Newsflash](#)

'Use the Data' is the place to go to get IPEDS data. An extensive user manual is available on the main screen.

Please note that the IPEDS Tools Help Desk phone number is on every screen in the data tools.



The **Data Feedback Report (DFR)** provides each institution a context for examining the data they submitted to IPEDS. The goal is to produce an annual report that is useful to institutional executives and institutions for benchmarking and peer analysis, and that can help improve the quality and comparability of IPEDS data.

The report presents selected indicators and data elements for your institution and a comparison group of institutions. If the keyholder did not specify a comparison group to use, NCES selected one for this report. The figures are based on data collected during the most recent completed IPEDS collection cycle. Additional information is provided in the report, along with a list of the institutions used in the comparison group, and the criteria used for their selection.

The report is emailed to Chief Executive Officers and IPEDS keyholders and coordinators each winter. PDF versions of the reports are available to institutions and the public from the Data Center.

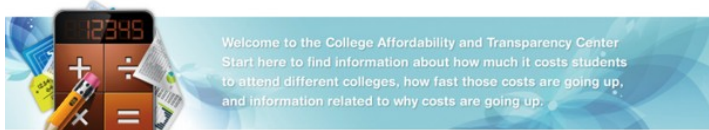
Data Feedback Report tool on the 'Use the Data' page may be used to view printed IPEDS DFRs, create Custom DFRs, and create statistical reports on selected variables. Users can create and download a Custom DFR using different charts or a different comparison group than used in the printed report; create and download a Statistical Analysis Report showing statistics, tables, and graphs for the selected variables; download an institution's IPEDS DFRs for several recent years; download the most recent printed IPEDS DFRs for comparison group institutions; download a data file of DFR variables for the focus and comparison group institutions. This tool has an extensive User Manual, so it's really easy to use.

FIND YOUR COLLEGE

The screenshot shows the College Navigator website interface. On the left, there are search filters for 'Name of School' (Belmont University), 'States' (Alabama, Alaska), 'ZIP Code', 'Miles from', 'Programs/Majors', 'Level of Award' (Certificate, Bachelor's, Advanced), and 'Institution Type' (Public, Private, 4-year, 2-year or less). The main content area displays details for Belmont University, including its address (1900 Belmont Blvd., Nashville, Tennessee 37212-3757), contact information (phone: (615) 460-6000, website: www.belmont.edu), and campus settings (campus housing: Yes, student population: 4,991 (4,174 undergraduate), student-to-faculty ratio: 12 to 1). A map on the right shows the university's location in Nashville, Tennessee. At the bottom, there are navigation links for 'English', 'Español', and 'About', and a footer with 'College Navigator Home | Preparing for your Education | Student Aid on the Web | College Resource Tools'.

College Navigator is a consumer information and college search tool. It was designed to help college students, prospective students, and their parents understand the differences between colleges and how much it costs to attend college. Users can select colleges based on location, programs of study, degree offerings, and a number of other characteristics, and obtain information on admissions, estimated student expenses, student financial aid awarded, retention and graduation rates, enrollment, completions, accreditation status, campus security, and varsity athletic teams. It allows users to designate favorite institutions, compare up to four institutions side-by-side, save sessions, and download

and print out information on institutions. It's always a good idea to look at your own institution's listing on College Navigator periodically, to see the information that prospective students are seeing.



College Scorecard

College Scorecards make it easier for you to search for a college that is a good fit for you. You can use the College Scorecard to find out more about a college's affordability and value so you can make more informed decisions about which college to attend.

[Enter](#)

Net Price Calculator Center

Here you will find links to colleges' net price calculators. Net price calculators help you estimate how much colleges cost after scholarships and grants.

[Enter](#)

College Navigator

Here you can search for and compare colleges on all sorts of criteria including costs, majors offered, size of school, campus safety, and graduation rates.

[Enter](#)

College Affordability and Transparency List

Here you will find information about tuition and net prices at postsecondary institutions. The site highlights institutions with high and low tuition and fees as well as high and low net prices (the price of attendance minus grant and scholarship aid). It also shows institutions where tuition and fees and net prices are increasing at the highest rates.

[Enter](#)

90/10 Information

Here you will find a list of for-profit (proprietary) postsecondary institutions that receive more than 90 percent of their revenues from Title IV Federal Student Aid.

[Enter](#)

State Spending Charts

Here you will find summary information on changes in state appropriations for postsecondary education, state aid for students, and tuition and fees.

[Enter](#)

The **College Affordability and Transparency Center**, available at <http://collegecost.ed.gov/>, includes the **College Scorecard** and other information for students, parents, and policymakers about college costs at America's colleges and universities. The Center includes several lists of institutions based on the tuition and fees and net prices (the price of attendance after considering all grant and scholarship aid) charged to students. These lists meet requirements outlined in the HEA, as amended, and will be updated annually and posted on the College Navigator website by July 1. These lists are generated using the IPEDS data that your institution reports. Since additional reporting requirements are mandated for institutions that appear on some of the lists, it's important to make sure that the data you've reported are accurate. Additional information about how the lists are generated

can be found at <http://collegecost.ed.gov/catc/about.aspx>.

Additional Information

LIST OF ACRONYMS

AIR – Association for Institutional Research, develops and conducts IPEDS training
ADM – Admissions survey component
AL – Academic Libraries survey component
C – Completions survey component
CIP – Classification of Instructional Programs
DFR – Data Feedback Report
E12 – 12-month Enrollment survey component
EF – Fall Enrollment survey component
F – Finance survey component
FAFSA – Free Application for Federal Student Aid
FSA – Office of Federal Student Aid
FTE – Full-time equivalent
GR – Graduation Rates survey component
GR200 – Graduation Rates 200 survey component
HEA – Higher Education Act
HR – Human Resources survey component
IC – Institutional Characteristics survey component
NCES – National Center for Education Statistics
OM – Outcome Measures survey component
OPEid – Office of Postsecondary Education Identification number (for Title IV)
PPA – Program Participation Agreement (for Title IV)
RTI – RTI International, operates IPEDS Help Desk
SFA – Student Financial Aid survey component
SOC – Standard Occupational Classification system (used in IPEDS HR reporting)
SRK – Student Right-to-Know
TRP – Technical Review Panel

STATUTORY REQUIREMENTS FOR REPORTING IPEDS DATA; PENALTIES FOR NONCOMPLIANCE

ORIGINS OF IPEDS SURVEY ITEMS

This document provides basic information about statutory requirements. For more information about the Origins of IPEDS survey items, please view the following report:



<https://nces.ed.gov/pubs2018/NPEC2018023.pdf>

GENERAL MANDATE

NCES is authorized by law under the Section 153 of the Education Sciences Reform Act of 2002 (P.L. 107-279).

Accordingly, NCES "shall collect, report, analyze, and disseminate statistical data related to education in the United States and in other nations, including -

- collecting, acquiring, compiling (where appropriate, on a state by state basis), and disseminating full and complete statistics on the condition and progress of education, at the pre-school, elementary, secondary, and postsecondary levels in the United States, ...;
- conducting and publishing reports and analyses of the meaning and significance of such statistics;
- collecting, analyzing, cross-tabulating, and reporting, to the extent feasible, so as to provide information by gender, race, ethnicity, ... and other population characteristics...; and
- assisting public and private educational agencies, organizations, and institutions in improving and automating statistical and data collection activities..."

MANDATORY REPORTING FOR INSTITUTIONS WITH PROGRAM PARTICIPATION AGREEMENTS

The completion of all IPEDS surveys, in a timely and accurate manner, is mandatory for all institutions that participate in or are applicants for participation in any Federal financial assistance program authorized by Title IV of the Higher Education Act (HEA) of 1965, as amended. The completion of the surveys is mandated by 20 USC 1094, Section 487(a) (17) and 34 CFR 668.14(b)(19).

The Department of Education (ED) relies on postsecondary institutions to accurately report data to IPEDS, and nearly all institutions do. Institutions themselves sometimes identify misreporting issues and work with ED to correct those problems without the need for further action by the Department. The Department is concerned about any instances of intentional or significant misreporting. Under these circumstances, the Office of Federal Student Aid may take administrative action to appropriately address the issue.

Title IV, HEA program regulations 34 CFR 668.84, 668.85, and 668.86 provide that the Department may initiate a fine action or other administrative action, such as a limitation, suspension or termination of eligibility to participate in the Title IV, HEA programs, against institutions that do not comply with the requirement to complete and submit the surveys. The regulations permit a fine for each violation of any provision of Title IV, or any regulation or agreement implementing that Title. These fines are increased on an annual basis based on an inflation index. More information can be found in the Federal Register, 'Adjustment of Civil Monetary Penalties for Inflation (under 20 U.S.C. 1094(c)(3)(B))'. In determining the amount of a fine, the Secretary considers both the gravity of the offense and the size of the institution (34 CFR 668.92(a)).

Each year, the Office of Federal Student Aid issues fine notices to institutions for not completing their IPEDS surveys in a complete and accurate manner within the required timeframes. Other institutions are sent warning letters. According to the Office of Federal Student Aid, an institution's failure to accurately complete and submit these surveys is a serious violation of its obligations under the Higher Education Act, and appropriate action will be taken.

VOCATIONAL EDUCATION DATA

Education Sciences Reform Act (ESRA) of 2002 (P.L. 107-279) directs NCES to collect, report, analyze, and disseminate statistical data related to the condition and progress of postsecondary education, including access to and opportunity for postsecondary education (including data on financial aid to postsecondary students), the financing and management of education (including data on revenues), student participation in and completion of postsecondary vocational and technical education programs by specific program area, and the existence and use of school libraries. The data related to vocational programs and program completions are collected from postsecondary institutions known to provide occupationally specific vocational education.

DATA ON RACE/ETHNICITY AND GENDER OF STUDENTS

The collection and reporting of race/ethnicity and gender data on students and completers are mandatory for all institutions which receive, are applicants for, or expect to be applicants for Federal financial assistance as defined in the Department of Education (ED) regulations implementing Title VI of the Civil Rights Act of 1964 (34 CFR 100), or defined in any ED regulation implementing Title IX of the Education Amendments of 1972 (34 CFR 106). The collection of race/ethnicity and gender data in vocational programs is mandated by Section 421(a)(1) of the Carl D. Perkins Vocational Education Act.

FALL STAFF DATA

The collection and reporting of race/ethnicity and gender data on the Fall Staff (S) section of the Human Resources (HR) component are mandatory for all institutions which receive, are applicants for, or expect to be applicants for Federal financial assistance as defined in the Department of Education (ED) regulations implementing Title VI of the Civil Rights Act of 1964 (34 CFR 100). The collection of data are also mandated by P.L. 88-352, Title VII of the Civil Rights Act of 1964, as amended by the Equal Employment Opportunity Act of 1972 (29 CFR 1602, subparts O, P, and Q). Institutions with 15 or more full-time employees are required to respond to the IPEDS Fall Staff component under this mandate.

STUDENT RIGHT-TO-KNOW AND CAMPUS SECURITY ACT OF 1990 (SRK)

Sections 668.41, 668.45, and 668.48 of the Student Assistance General Provision (34 CFR 668) were amended to implement the SRK, as amended by the Higher Education Amendments of 1991 and further by the Higher Education Technical Amendments of 1993 and 1999. The final regulations require an institution that participates in any student financial assistance program under Title IV of the Higher Education Act of 1965, as amended, to disclose information about graduation or completion rates to current and prospective students. The final regulations also require such institutions that also award athletically related student aid to provide certain types of data regarding the institution's student population, and the graduation or completion rates of categories of student-athletes, to potential athletes, their parents, coaches, and counselors.

CONSUMER INFORMATION

Section 101 of the Higher Education amendments of 1965 (P.L. 105-244) requires that NCES collect the following information about undergraduate students from institutions of higher education: tuition and fees, cost of attendance, the average amount of financial assistance received by type of aid, and the number of students receiving each type.

Section 132 of the Higher Education Opportunity Act (HEOA) of 2008 (P.L. 110-315) requires that NCES make the following consumer information about postsecondary institutions available on the college search web site: the institution's mission statement; a link to the institution's website that provides, in an easily accessible manner, information on student activities, services for individuals with disabilities, career and placement services, and policies on transfer of credit; admissions rates and test scores; enrollment by race and ethnicity, gender, enrollment status, and residency; number of transfer students; students registered with the disability office; retention rates; graduation rates within normal time of program completion and 150% and 200% of normal time; number of certificates and degrees awarded, and programs with the highest number of awards; student-to-faculty ratio and number of faculty and graduate assistants; cost of attendance and availability of alternative tuition plans; average grant aid and loans, and number of students receiving such aid, by type; total grant aid to undergraduates; number of students receiving Pell Grants; three years of tuition and fees and average net price data; three years of average net price disaggregated by income; a multi-year tuition calculator; College Affordability Lists and reports; Title IV cohort default rate; and campus safety information. State spending charts and a link to Bureau of Labor Statistics information on starting salaries are also required.