**SUPPORTING STATEMENT**

**U.S. Department of Commerce**

**National Oceanic & Atmospheric Administration**

**SOUTHEAST REGION INDIVIDUAL FISHING QUOTA PROGRAMS**

**OMB Control No. 0648-0551**

**A. JUSTIFICATION**

This request is for revisions to approved information collections.

**1. Explain the circumstances that make the collection of information necessary.**

**Cite all applicable authorities for this information collection.**

The Magnuson-Stevens Fishery Conservation and Management Act ([Magnuson-Stevens Act](http://www.nmfs.noaa.gov/sfa/laws_policies/msa/documents/msa_amended_2007.pdf)) authorizes each of the regional fishery management councils to prepare and amend fishery management plans (FMPs) for any fishery in federal waters under its jurisdiction. In the U.S. southeast region, the National Oceanic and Atmospheric Administration (NOAA), National Marine Fisheries Service (NMFS) manages three catch share programs for commercial fisheries. In the Gulf of Mexico (Gulf), there are individual fishing quota programs for red snapper, and groupers and tilefishes (Gulf IFQ programs). The Gulf IFQ programs are managed under the FMP for the Reef Fish Resources of the Gulf of Mexico (Gulf Reef Fish FMP), which was developed by the Gulf of Mexico Fishery Management Council. NMFS implemented the Gulf IFQ programs in 2006 and 2009, respectively. The wreckfish individual transferable quota (ITQ) program is managed under the FMP for the Snapper-Grouper Fishery of the South Atlantic Region, which was developed by the South Atlantic Fishery Management Council. NMFS implemented the South Atlantic wreckfish ITQ program in 1992.

At the start of each IFQ and ITQ program, NMFS allocated shares (percentages) of the commercial quota to commercial fishermen. Each year, shares result in annual allocation (pounds of fish) that limits how many pounds of fish that fishermen can harvest annually. Fishermen can transfer shares or allocation to other IFQ and ITQ program participants to increase or decrease the amount they can harvest. Share and allocation transfers, as well as landing transactions and landing notifications are completed electronically through an online web-based accounting system for Gulf red snapper and Gulf groupers and tilefishes, and through the NMFS Sustainable Fisheries Division, Limited-Access Privilege Programs (LAPPs) and Data Management Branch for paper-based share transfer transactions for South Atlantic wreckfish.

Since the IFQ and ITQ programs began, NMFS has been collecting this information to administer and operate these programs, which are intended to address overcapitalization, prevent derby fishery conditions, and prevent overfishing of these fishery resources.

The information collection under OMB Control No. 0648-0551 addresses IFQ shares, ITQ share certificates (for wreckfish ITQ), and allocation debits and transfers, as well as collection of landings information necessary to operate, administer, and review management of commercial red snapper, and groupers and tilefishes in the Gulf, and wreckfish in the South Atlantic.

In 2018, NMFS merged a temporary information collection approved under OMB Control No. 0648-0761, into this information collection, 0648-0551, to streamline administration of the collections. A vessel owner or operator with a federal commercial permit for Gulf reef fish must notify NMFS in advance of landing any commercially harvested reef fish species, regardless if the reef fish species is managed under the IFQ programs (IFQ species). All fishermen on commercial trips with any federally managed reef fish species from the Gulf must land at an approved landing location. NMFS must approve landing locations in advance to ensure the sites actually exist and law enforcement agents can access the site. The purpose of requiring additional notification in advance of landing any federally managed reef fish species from the Gulf at an approved landing location is to help deter fishermen from illegally landing IFQ species or reporting IFQ species as another species (e.g., red snapper reported as vermilion snapper).

NMFS proposes revisions to the Transfer Shares and Transfer Allocation forms within the online IFQ System. The Transfer Shares page allows IFQ shareholders to transfer shares online to other IFQ shareholders. Similarly, the Transfer Allocation page allows IFQ shareholders to transfer allocation online to other IFQ shareholders. Beginning in early 2020, IFQ shareholders can use IFQ shares as collateral in the Federal Fisheries Finance Program to obtain a loan that can be used for fishing related expenses. However, to accommodate the finance program, the Transfer Shares and Transfer Allocation pages must be modified to allow the user to indicate if their shares are being held as part of a lien. The pages will also allow the users to specify that a transfer is being made under the Federal Fisheries Finance Program.

**2. Explain how, by whom, how frequently, and for what purpose the information will be used. If the information collected will be disseminated to the public or used to support information that will be disseminated to the public, then explain how the collection complies with all applicable Information Quality Guidelines.**

*Gulf Red Snapper and Groupers and Tilefishes IFQ Programs*

IFQ share and allocation debits and transfers are tracked using an electronic accounting and reconciliation process developed by NMFS, in which IFQ shareholders or allocation holders, dealers, NMFS personnel, IFQ vessel account holders, and 24-hour customer service personnel participate. The IFQ share or allocation holder and dealer records share, allocation, and landing transactions, as well as landing notifications using the online web-based system ([*https://portal.southeast.fisheries.noaa.gov/cs/*](https://portal.southeast.fisheries.noaa.gov/cs/)). Transactions are completed and tracked in real-time to ensure proper accounting of share and allocation transfers, landing notifications, and landing transactions. Each user of the IFQ online system is assigned a User ID and password for logging in.

Frequency of use of the online system varies greatly among participants, depending on total shareholdings, and numbers of fishing trips and landing transactions completed. Question 12 provides a summary of the number of annual responses NMFS expects to receive for online activities. NMFS and a 24-hour customer call service use the online system daily.

NMFS monitors and audits IFQ transactions to ensure that share caps are not exceeded, landing notifications are made, and landing transactions are completed in a timely fashion. NMFS also monitors quota shares suspended prior to issuance and other legal actions taken against IFQ shareholders in which IFQ is garnished. For enforcement purposes, IFQ landings must occur at pre-approved landing locations, fish must be sold to IFQ permitted dealers, and fish can only be offloaded between 6 a.m. and 6 p.m. daily. Persons landing IFQ species are required to notify NMFS at least 3 hours, but no more than 24 hours, in advance of the time of landing and of the dealer location information where landing will occur.

NMFS also uses the advance landing notifications to alert law enforcement and port agents prior to commercial trips returning to port with non-IFQ species, so they can meet vessels to inspect landings. The owner or operator of a federally permitted Gulf reef fish vessel must notify NMFS at least 3 hours, but no more than 24 hours, in advance of landing non-IFQ Gulf reef fish species or Florida Keys/East Florida hogfish harvested in the Gulf on each trip. Each landing notification report consists of the vessel identification number, the date and time of landing, and the approved landing location. This notification is submitted via the vessel’s existing onboard vessel monitoring system (VMS), but could also be submitted by other NMFS approved methods (e.g., by phone) if they are developed and approved in the future. If any IFQ species were landed with non-IFQ Gulf reef fish species or Florida Keys/East Florida hogfish harvested in the Gulf on the same trip, all regulations under the applicable IFQ program must be followed, including the advance notice of landing required by both IFQ programs. One advance landing notification covering both IFQ species, and non-IFQ Gulf reef fish species or Florida Keys/East Florida hogfish harvested in the Gulf is required on such a trip.

Fishermen on commercial trips with any federally managed reef fish species from the Gulf must land at an approved landing location. If a desired landing location is not already approved by NMFS (see approved landing locations at https://portal.southeast.fisheries.noaa.gov/cs/main.html#), fishermen must submit a Landing Location Request form to NMFS. This form is available electronically or as a paper option for fishermen with or without an IFQ account to submit a request for a new landing location. NMFS accepts the paper version via mail, as a scanned and emailed copy, or fishermen can call NMFS to submit the information over the telephone. Assistance in complying with the requirements is available via IFQ Customer Service at 1-866-425-7627 (option 2), Monday through Friday, from 8 a.m. to 4:30 p.m., eastern time.

During catastrophic conditions only, the IFQ program provides for use of paper-based components for basic required functions as a backup. NMFS determines when catastrophic conditions exist, the duration of the catastrophic conditions, and which participants or geographic areas are deemed affected by the catastrophic conditions. NMFS will provide timely notice to affected participants via publication of notification in the *Federal Register*, as well as other appropriate means, such as fishery bulletins, to authorize the affected participants’ use of paper-based components for the duration of the catastrophic conditions. NMFS will provide each IFQ dealer the necessary paper forms and instructions for submission of the forms to NMFS. The program functions available to participants or geographic areas deemed affected by catastrophic conditions will be limited under the paper-based system. Assistance in complying with the requirements of the paper-based system will be available via IFQ Customer Service 1-866-425-7627, Monday through Friday, from 8 a.m. and 4:30 p.m. eastern time.

NMFS proposes revisions to the Transfer Shares and Transfer Allocation forms. On the electronic version of the Transfer Shares form, NMFS would add a question for the user to indicate if their shares are being held as part of a lien. The question will have “yes” or “no” as optional responses. The Transfer Shares and Transfer Allocation forms also each have a “Transfer Reason” drop down options for the user to indicate the reason they are transferring shares or allocation. NMFS proposes to add an additional “Transfer Reason” to allow the users to specify that a transfer is being made under the Federal Fisheries Finance Program.

In addition to the proposed revisions stated above, NMFS also identified inconsistencies with the estimated time burdens for some information collections in 0648-0551 between documentation sources and revises those estimates accordingly.

*South Atlantic Wreckfish ITQ Program*

Wreckfish ITQ shares transfers are paper-based and are completed through the NMFS’ Sustainable Fisheries Division, LAPPs and Data Management Branch. The transfer form is printed on the back of the percentage shares certificate. With each transfer of ownership, the certificate will be reissued. The certificate identifies the seller, but the buyer must provide their name, address, corporate (employer’s) federal tax identification number, and telephone number. The sale price is necessary for economic analysis. The signatures of buyer, seller, and witness will secure the transaction. If the party purchasing shares is a shareholder, the share certificate must be surrendered so that it can be reissued.

It is anticipated that the information collected will be disseminated to the public or used to support publicly disseminated information. NMFS will retain control over the information and safeguard it from improper access, modification, and destruction, consistent with NOAA standards for confidentiality, privacy, and electronic information. See response to Question 10 of this Supporting Statement for more information on confidentiality and privacy. The information collection is designed to yield data that meet all applicable information quality guidelines. Prior to dissemination, the information will be subjected to quality control measures and a pre-dissemination review pursuant to [Section 515 of Public Law 106-554](http://www.fws.gov/informationquality/section515.html).

**3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological techniques or other forms of information technology.**

*Gulf Red Snapper and Groupers and Tilefishes IFQ Programs*

Information is collected via a web-based system, through satellite VMS units attached to vessels, and through a 24-hour call hotline, with paper submission an option only in catastrophic circumstances.

IFQ share certificate, and allocation debits and transfers are tracked using an electronic accounting and reconciliation process developed by NMFS, in which the IFQ share certificate holder or allocation holder, dealer, NMFS, and a 24-hour call service participates. The following information is currently collected and monitored through the electronic accounting and reconciliation process:

* Sale of IFQ managed species to an IFQ dealer by an IFQ vessel account holder.
* Sale price of IFQ species, IFQ shares, and IFQ allocation.
* The weight of the landed catch and the state trip ticket number for the transaction.
* Cost recovery fees collected by the dealer from the fisherman at the time of the landing transaction (our site links to the page the users complete in order to submit their cost recovery fee payments to the IFQ system, a site maintained by [*pay.gov*](http://pay.gov/))
* To whom the catch was sold and their dealer or permit number.
* To whom shares or allocation were sold and their shareholder or vessel account User ID.
* Whether initial recipients hold enough IFQ allocation.
* IFQ shareholdings, to ensure no one entity holds an excessive amount of shares.
* IFQ landing notifications, including vessel involved in landing, landing location, dealer to whom fish will be sold, time and date of landing, and estimated pounds to be landed.
* Reconciliations of IFQ share certificate holder, or allocation holder and dealer transactions, which would be confirmed and authorized using NMFS approval codes.
* Adding an IFQ landings location.
* Landing approvals.
* Administrative update capabilities to a program user’s account security information and adding a trip ticket number to a previously completed landing transaction form.

*South Atlantic Wreckfish ITQ Program*

The wreckfish share transfer process requires the signatures of witnesses on paper forms. The ITQ program remains paper based until the South Atlantic Fishery Management Council and NMFS consider whether to implement an electronic system.

**4. Describe efforts to identify duplication.**

The Magnuson-Stevens Act's operational guidelines require each FMP and FMP amendment to evaluate existing state and federal laws that govern the fisheries in question, and the findings are made part of each FMP. Each fishery management council is comprised of state and federal officials responsible for resource management in their area. These two circumstances allow identification of other collections that may be gathering the same or similar information. In addition, each FMP undergoes extensive public comment periods where potential respondents review the proposed requirements. Therefore, NMFS is confident it is aware of similar collections if they exist. The information proposed to be collected is not being collected elsewhere; therefore, this data collection would not cause duplication.

**5. If the collection of information involves small businesses or other small entities, describe the methods used to minimize burden.**

Because all applicants are considered small businesses, separate requirements based on size of business have not been developed. Only the minimum data to meet the current and future needs of NMFS fishery managers are requested from participants in the Southeast Region IFQ programs and from holders of a commercial Gulf reef fish permit.

**6. Describe the consequences to the federal program or policy activities if the collection is not conducted or is conducted less frequently.**

NMFS would be unable to manage the Southeast Region IFQ and ITQ programs if this collection were not conducted or were conducted less frequently. The approved participants would be unknown and landing transactions could not be effectively tracked or enforced, which would result in allocations potentially being exceeded. There is concern about the illegal harvest of IFQ managed species that may not be reported or are being reported as another species. Improvements to enforcement of the IFQ programs’ requirements would need to be developed through other means. Requiring every vessel commercially harvesting Gulf reef fish to submit advance landing notifications and land at approved locations for every trip potentially reduces the illegal harvest of IFQ species because of the better potential for interception of these vessels by marine enforcement agents to verify their catch.

If this collection is not conducted and the proposed revisions are not implemented, NMFS would unable to properly track and enforce the liens being held as collateral for the Federal Fisheries Finance Program. This could potentially allow loan recipients to default on a federal loan and NMFS would not have a means to recoup its losses.

**7. Explain any special circumstances that require the collection to be conducted in a manner inconsistent with OMB guidelines.**

No special circumstances are associated with this information collection.

**8. If applicable, provide a copy and identify the date and page number of publication in the Federal Register of the agency's notice, required by 5 CFR 1320.8 (d), soliciting comments on the information collection prior to submission to OMB. Summarize public comments received in response to that notice and describe actions taken by the agency in response to these comments. Specifically address comments received on cost and hour burden. Describe efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported.**

NMFS published a notice in the *Federal Register* on February 24, 2020, and solicited public comment on these proposed revisions (85 FR 10422, February 24, 2020). No comments were received.

NMFS published another notice in the *Federal Register* on May 15, 2020, to solicit public comment 85 FR 29408, May 15, 2020). On October 2, 2020, NMFS received one comment, dated June 12, 2020, in support of the proposed revision.

NMFS operates a customer support phone line in which IFQ participants communicate with NMFS for questions or concerns. Users frequently use this support line to make suggestions for improvements or notify NMFS if anything is not working properly. In addition, because the information collection provides data for FMPs, the fishery management councils’ scientific and statistical committees and constituent advisory committees also review the collection for sufficiency. Furthermore, comments and suggestions from fishermen are routinely solicited and received at public meetings. The IFQ programs, which have been operating for many years, provide a continual feedback mechanism to NMFS on issues and concerns to the applicants, including the information collections.

**9. Explain any decisions to provide payments or gifts to respondents, other than remuneration of contractors or grantees.**

There are no payments or other remunerations to respondents.

**10. Describe any assurance of confidentiality provided to respondents and the basis for assurance in statute, regulation, or agency policy.**

*Gulf Red Snapper and Groupers and Tilefishes IFQ Programs*

As stated on the forms, all data are treated as confidential in accordance with [NOAA Administrative Order 216-100](http://www.corporateservices.noaa.gov/~ames/NAOs/Chap_216/naos_216_100.html), Protection of Confidential Fishery Statistics.

*South Atlantic Wreckfish ITQ Program*

Regulations at 50 CFR 622.172 require NMFS to provide each wreckfish shareholder with a list of all wreckfish shareholders along with the names, addresses, and the percentage of shares for each shareholder on an annual basis. Based on past court decisions, the [Freedom of Information Act](http://www.law.cornell.edu/uscode/text/5/552) serves as the basis for release of confidential name and address information via a NOAA Internet website for informational purposes. All other data submitted will be handled as confidential material in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics.

Disclosure of the information is subject to published routine uses as identified in the Privacy Act System of Records Notice, COMMERCE/NOAA-19.

**11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private.**

The only data that could be deemed sensitive is the value paid for the shares or allocation and ex-vessel prices. Values are confidential and only released in aggregate form. This information is necessary for economic analysis for the purpose of managing the red snapper, and groupers and tilefishes IFQ Programs and the wreckfish ITQ program. No one has ever objected to providing any of the information on the form.

**12. Provide an estimate in hours of the burden of the collection of information. For wage costs: use** [**www.bls.gov/oes**](http://www.bls.gov/oes) **, then click on OES Data in the left-hand column, then National to find Occupational Employment Wage Rates for the current year. Find the appropriate Occupational Title of the Respondent completing the Information Collection and use the mean hourly wage.**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Information Collection** | **Type of Respondent (Occupational Title)** | **# of Respondents**  **(a)** | **Annual # of Responses per Respondent**  **(b)** | **Total # of Annual Responses**  **(c) = (a) x (b)** | **Burden Hr per Response**  **(d)** | **Total Annual Burden Hr**  **(e) = (c) x (d)** | **Mean Hourly Wage Rate (for Type of Respondent)**  **(f)** | **Total Annual Wage Burden Costs**  **(g) = (e) x (f)** |
| Dealer Landing Transaction Report\* | Fishermen | 119 | 84.32 | 10,034 | 6 min | 1,003 | $14.49 | $14,533.47 |
| Cost Recovery Fee Submission by Dealers | Fishermen | 119 | 3.34 | 397 | 3 min | 20 | $14.49 | $289.80 |
| IFQ Notification of Landing | Fishermen | 444 | 16.82 | 7,468 | 5 min | 622 | $14.49 | $9,012.78 |
| Transfer Shares | Fishermen | 139 | 4.03 | 560 | 3 min | 28 | $14.49 | $405.72 |
| Share Receipt | Fishermen | 139 | 4.03 | 560 | 2 min | 19 | $14.49 | $275.31 |
| Transfer Allocation | Fishermen | 604 | 19.7 | 11,899 | 3 min | 595 | $14.49 | $8,621.55 |
| IFQ Online Account Renewal Application | Fishermen | 133 | 1 | 133 | 10 min | 22 | $14.49 | $318.78 |
| Wreckfish Share Transfer | Fishermen | 6 | 0.17 | 1 | 20 min | 1 | $14.49 | $14.49 |
| Landing Transaction Correction Request | Fishermen | 42 | 3.88 | 163 | 5 min | 14 | $14.49 | $202.86 |
| Commercial Reef Fish Landing Location Request | Fishermen | 26 (IFQ only) | 1.27 | 33 | 5 min | 3 | $14.49 | $43.47 |
| Commercial Reef Fish Landing Location Request | Fishermen | 105 (non-IFQ reef fish only) | 0.38 | 40 | 5 min | 3 | $14.49 | $43.47 |
| Account Update | Fishermen | 31 | 1 | 31 | 2 min | 1 | $14.49 | $14.49 |
| Trip Ticket Update | Fishermen | 34 | 11.12 | 378 | 2 min | 13 | $14.49 | $188.37 |
| Gulf Reef Fish Notification of Landing | Fishermen | 105 | 9.83 | 1,033 | 3 min | 52 | $14.49 | 753.48 |
| IFQ Close Account | Fishermen | 9 | 1 | 9 | 3 min | 1 | $14.49 | $14.49 |
| **Totals** |  |  |  | **32,739** |  | **2,397** |  | **$34,732.53** |

\* This information collection form is collected electronically but also has a paper form that may be used during NMFS-declared catastrophic conditions, such as in a localized area negatively affected after a hurricane. The estimated burden for the paper form is 5 minutes per response due to slightly less requested information. NMFS does not estimate the burdens for this paper form separately because its use would be limited both in duration and in numbers of respondents and responses. Further, any responses and corresponding burden for this paper form are accounted for by the provided estimates.

**13. Provide an estimate of the total annual cost burden to the respondents or record-keepers resulting from the collection (excluding the value of the burden hours in Question 12 above).**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Information Collection** | **# of Respondents**  **(a)** | **Annual # of Responses / Respondent**  **(b)** | **Total # of Annual Responses**  **(c)=(a) x (b)** | **Cost Burden / Response**  **(h)** | **Total Annual Cost Burden**  **(i) = (c) x (h)** |
| Dealer Landing Transaction Report | 119 | 84.32 | 10,034 | $ - | $ - |
| Transfer Shares | 139 | 4.03 | 560 | $ - | $ - |
| Share Receipt | 139 | 4.03 | 560 |  |  |
| IFQ Notification of Landing | 444 | 16.82 | 7,468 | $ - | $ - |
| Transfer Allocation | 604 | 19.7 | 11,899 | $ - | $ - |
| IFQ Online Account Renewal Application | 133 | 1 | 133 | $ 1 | $ 133 |
| Landing Transaction Correction Request | 42 | 3.88 | 163 | $ 1 | $ 163 |
| Cost Recovery Fee Submission by Dealers | 119 | 3.34 | 397 | $ - | $ - |
| Commercial Reef Fish Landing Location Request (IFQ) | 26 | 1.27 | 33 | $ - | $ - |
| Wreckfish Quota Share Transfer | 6 | 0.17 | 1 | $ 1 | $ 1 |
| IFQ Close Account | 9 | 1 | 9 | $ 1 | $ 9 |
| Account Update | 31 | 1 | 31 | $ - | $ - |
| Trip Ticket Update | 34 | 11.12 | 378 | $ - | $ - |
| Gulf Reef Fish Notification of Landing | 105 | 9.8 | 1,033 | $0.33 | $341 |
| Commercial Reef Fish Landing Location Request (non-IFQ) | 105 | 0.4 | 40; 4 by mail | $1 | $4 |
| **TOTALS** |  |  | **32,739** |  | **$651** |

**14. Provide estimates of annualized cost to the Federal Government.**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Cost Descriptions** | **Grade/Step** | **Loaded Salary /Cost** | **% of Effort** | **Fringe (if Applicable)** | **Total Cost to Government** |
| **Federal Oversight**  Program Administration |  | 195,000 | 100 |  | 195,000 |
| Web development (1) |  | 160,000 | 100 |  | 160,000 |
| Research and monitoring (3) |  | 136,666 | 100 |  | 410,000 |
| Law enforcement (3) |  | 116,666 | 100 |  | 350,000 |
| 24-hr call service |  |  |  |  | 6,000 |
| Vessel monitoring system updates |  | 125,000 | 100 |  | 125,000 |
| **Travel, Printing, etc.** |  |  |  |  | 15,000 |
| **Other Costs**  Wreckfish coupons |  |  |  |  | 1,000 |
| **TOTAL** |  |  |  |  | **$1,262,000** |

**15. Explain the reasons for any program changes or adjustments.**

The following tables show the changes and in the number of respondents, responses, time estimates, labor costs, and miscellaneous costs; and explain the reasons for these changes.

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Information Collection** | **Respondents** | | **Responses** | | **Burden Hours** | | **Reason for change or adjustment** |
| Current Renewal / Revision | Previous Renewal / Revision | Current Renewal / Revision | Previous Renewal / Revision | Current Renewal / Revision | Previous Renewal / Revision |
| Dealer Landing Transaction Report |  |  |  |  | 1,003 | 836 | Burden hours are revised up to correct inconsistency with estimated burden per response from 5 to 6 minutes. |
| Transfer Shares (formerly Transfer and Receipt of Share by Shareholders or Permit Holders) | 139 | 139 | 560 | 560 | 28 | 28 | No change to respondents. Responses and burden hours are revised because Transfer Shares was combined with Share Receipt in error. No actual change has occurred. Beginning in early 2020, IFQ shareholders can use IFQ shares as collateral in the Federal Fisheries Finance Program to obtain a loan that can be used for fishing related expenses. However, to accommodate the finance program, the Transfer Shares and Transfer Allocation pages must be modified to allow the user to indicate if their shares are being held as part of a lien. The pages will also allow the users to specify that a transfer is being made under the Federal Fisheries Finance Program. |
| Share Receipt | 139 | 139 | 560 | 560 | 19 | 19 | No change to respondents. Responses and burden hours are revised because Transfer Shares was combined with Share Receipt in error. No actual change has occurred. Beginning in early 2020, IFQ shareholders can use IFQ shares as collateral in the Federal Fisheries Finance Program to obtain a loan that can be used for fishing related expenses. However, to accommodate the finance program, the Transfer Shares and Transfer Allocation pages must be modified to allow the user to indicate if their shares are being held as part of a lien. The pages will also allow the users to specify that a transfer is being made under the Federal Fisheries Finance Program. |
| Transfer Allocation | 604 | 604 | 11,899 | 11,899 | 595 | 595 | No change to respondents, responses, or burden hours. Beginning in early 2020, IFQ shareholders can use IFQ shares as collateral in the Federal Fisheries Finance Program to obtain a loan that can be used for fishing related expenses. However, to accommodate the finance program, the Transfer Shares and Transfer Allocation pages must be modified to allow the user to indicate if their shares are being held as part of a lien. The pages will also allow the users to specify that a transfer is being made under the Federal Fisheries Finance Program. |
| IFQ Online Account Renewal Application |  |  |  |  | 22 | 33 | Burden hours are revised down to correct inconsistency with estimated burden per response from 15 to 10 minutes. |
| Wreckfish Share Transfer |  |  |  |  | 1 | 1 | Minor correction to estimated time burden for consistency in all references from 18 to 20 minutes per response. |
| Landing Transaction Correction Request |  |  |  |  | 14 | 8 | Minor correction to estimated time burden for consistency in all references from 3 to 5 minutes per response. |
| Commercial Reef Fish Landing Location Request (IFQ only) |  |  |  |  | 3 | 2 | Minor correction to estimated time burden for consistency in all references from 3 to 5 minutes per response. |
| **Total for Collection** |  |  |  |  | **2,397** | **2,224** |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Information Collection** | **Labor Costs** | | **Miscellaneous Costs** | | **Reason for change or adjustment** |
| Current $ | Previous $ | Current $ | Previous $ |
| Dealer Landing Transaction Report | 14,533.47 | 16,720.00 | - | - | Updated labor costs using BLS Occupational Data |
| Transfer Shares | 405.72 | 740.00 | - | - | Updated labor costs using BLS Occupational Data; previously combined with Share Receipt in error |
| Share Receipt | 275.31 | n/a |  |  | Updated labor costs using BLS Occupational Data; previously combined with Transfer Shares in error |
| IFQ Notification of Landing | 9,012.78 | 12,440.00 | - | - | Updated labor costs using BLS Occupational Data |
| Transfer Allocation | 8,621.55 | 11,900.00 | - | - | Updated labor costs using BLS Occupational Data |
| IFQ Online Account Renewal Application | 318.78 | 660.00 | 133 | 67 | Updated labor costs using BLS Occupational Data; Miscellaneous costs updated to reflect increased postage and envelope |
| Landings Correction Request Form | 202.86 | 160.00 | 163 | 82 | Updated labor costs using BLS Occupational Data; Miscellaneous costs updated to reflect increased postage and envelope |
| Cost Recovery Fee Submission by Dealers | 289.80 | 400.00 | - | - | Updated labor costs using BLS Occupational Data |
| Commercial Reef Fish Landing Location Request (formerly Reef Fish Approved Landing Location and Landing Criteria) (IFQ only) | 43.47 | 40.00 | - | - | Updated labor costs using BLS Occupational Data; corrected collection title |
| Wreckfish Share Transfer | 14.49 | 20.00 | - | - | Updated labor costs using BLS Occupational Data |
| IFQ Close Account | 14.49 | 20.00 | $9 | $5 | Updated labor costs using BLS Occupational Data; Miscellaneous costs updated to reflect increased postage and envelope |
| Account Update | 14.49 | 20.00 | - | - | Updated labor costs using BLS Occupational Data |
| Trip Ticket Update | 188.37 | 260.00 | - | - | Updated labor costs using BLS Occupational Data |
| Gulf Reef Fish Notification of Landing | 753.48 | 1,040 |  |  | Updated labor costs using BLS Occupational Data |
| Commercial Reef Fish Landing Location Request (formerly Gulf Reef Fish Landing Request) (non-IFQ) | 43.47 | 60 | 4 | 7 | Updated labor costs using BLS Occupational Data; Miscellaneous costs updated to reflect increased postage and envelope, and fewer mailed (10%) and more electronic responses (90%); corrected collection title |
| **Total for Collection** | **34,732.53** | **44,480.00** | **651** | **503** |  |

**16. For collections whose results will be published, outline the plans for tabulation and publication.**

The results from this collection are not planned for peer-review publication. IFQ program staff complete a summary report for each program annually. These reports comply with Information Quality Act guidelines. NMFS aggregates collected data as needed to maintain confidentiality when disseminating data.

**17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons why display would be inappropriate.**

Not applicable.

**18. Explain each exception to the certification statement.**

There are no exceptions for compliance with provisions in the certification statement.

**B. COLLECTIONS OF INFORMATION EMPLOYING STATISTICAL METHODS**

The collection does not employ statistical methods.

**FORMS (add rows as necessary to capture all ICs and forms)**

NOTE 1: Each Information Collection must have either a CFR citation OR a form/guide/etc. or the application will not allow the IC to be entered.

NOTE 2: An Information Collection may have multiple forms; please mark this clearly.

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Information Collection (IC) (must match Q12 of Supporting Statement Part A)** | **Is this a New IC (Form)**  **Y / N** | **CFR Citation for IC** | **Full Name of Associated Form(s) (must submit all forms with every ICR renewal / revision pkg)** | **Obligation to Respond: Mandatory / Voluntary / Required to Obtain or Retain Benefits**  **(select one)** | **# of Small Entities Completing Form** | **Can the Form be Submitted Electronically?**  **(Y / N)** | **% of Forms Submitted Electronically** |
| Dealer Landing Transaction Report | N | 50 CFR 622.21, 622.22 |  | Mandatory | Unknown | Y | 100 |
| Transfer Shares | N | 50 CFR 622.21, 622.22 |  | Mandatory | Unknown | Y | 100 |
| Share Receipt | N | 50 CFR 622.21, 622.22 |  | Mandatory | Unknown | Y | 100 |
| Notification of Landing | N | 50 CFR 622.21, 622.22 |  | Mandatory | Unknown | Y | 100 |
| Transfer Allocation | N | 50 CFR 622.21, 622.22 |  | Mandatory | Unknown | Y | 100 |
| IFQ Online Account Renewal Application | N | 50 CFR 622.21, 622.22 |  | Req’d to obtain or retain benefits | Unknown | N | 0 |
| Landings Correction Request Form | N | 50 CFR 622.21, 622.22 |  | Mandatory | Unknown | N | 0 |
| Cost Recovery Fee Submission by Dealers | N | 50 CFR 622.21, 622.22 |  | Required to retain benefits | Unknown | Y | 100 |
| Reef Fish Approved Landing Location and Landing Criteria | N | 50 CFR 622.21, 622.22 |  | Voluntary | Unknown | Y | 10 |
| Wreckfish Quota Share Transfer | N | 50 CFR 622.172 |  | Mandatory | Unknown | N | 0 |
| IFQ Close Account Form | N | 50 CFR 622.21, 622.22 |  | Voluntary | Unknown | N | 0 |
| Account Update | N | 50 CFR 622.21, 622.22 |  | Voluntary | Unknown | Y | 100 |
| Trip Ticket Update | N | 50 CFR 622.21, 622.22 |  | Voluntary | Unknown | Y | 100 |