U.S. DEPARTMENT OF LABOR REEMPLOYMENT SERVICES AND ELIGIBILITY ASSESSMENTS (RESEA) – STATE SURVEY

In the last year, legislative and administrative action has significantly changed the RESEA program. With the FY 2019 RESEA operating guidance (UIPL 07-19), the U.S. Department of Labor (DOL) afforded states greater flexibility in targeting RESEA service delivery to claimant populations. That flexibility was continued under the FY 2020 guidance (UIPL .08-20), along with new discretion on excluding certain populations from participation, requirements to prepare annual state plans, and other provisionsThe Bipartisan Budget Act of 2018 (Public Law 115-123), which amended the Social Security Act, authorized substantially increased funding for RESEA programs, providing states with new opportunities to rethink the types and levels of services provided to claimants through the RESEA program. The statute also introduced a requirement that the funding be used for evidence-based interventions, and requires evaluation of interventions that are not evidence based. DOL released guidance on implementation of those evidence-based requirements in UIPL 01-20.

DOL's Chief Evaluation Office, in close collaboration with the Office of Unemployment Insurance, has contracted with Abt Associates and its partners the Urban Institute, Capital Research Corporation, and NASWA, to help implement these statutory requirements. In order to understand how well existing evidence supports current interventions used by states, this project is conducting an implementation study to give DOL a detailed, systematic understanding of the components of states' current RESEA programs. programs in response to the public health concerns and associated economic slowdown brought on by the COVID-19 pandemic.and UI Moreover, given the timing of this and subsequent waves of the survey, the study will also document states' approaches to adapting their RESEA

We are asking state RESEA program leads to complete the following survey, as part of the implementation study, to help develop that understanding. The survey will ask you to reflect on current RESEA program operations and recent changes you have made to the program's design. It also asks you to identify operational challenges you have faced and recommendations you would make to other RESEA program leads. Last, you will be asked about your state's evaluation experience and capacity, in order to help guide DOL decisions about strategies for developing new evidence.

We value your privacy and will not share any answers related to your personal perceptions, opinions or recommendations. Those answers will be kept private and will not be shared with other staff at your program or organization, with staff from other states, or with DOL. Only the evaluation team will have access to that kind of subjective information you provide through this survey. Objective responses that you provide describing your state's RESEA program may be used in reports that do identify your state. For example, a report may list the states that plan to change how they target claimants for selection for RESEA.

Your name will not be listed in any reports published and no comments will be attributed to you. Your responses to these questions are also completely voluntary. We hope you will choose to complete all of the questions on the survey, but you may choose to skip any question. If you are unable to answer all of these questions on your own, you may request assistance from colleagues as necessary. The email you received included a link to the web-based survey; that link can be used by others to access and complete

all or portions of the survey. If you have questions about completing this survey, you can contact the study team at resea@abtassoc.com. Thank you in advance for your assistance and providing important information to the study.

Section 1. Changes to RESEA Programs

This section asks you to identify any changes your state has made to your RESEA program in that past six months in response to changes in legislation, DOL guidance, or economic or public health conditions related to COVID-19.

Topic 1.a. Changes Made to RESEA

	·
1.a.1	At any point in the past six months has your state needed to temporarily suspend operation of your RESEA program?
(Please	e select one.)
	□Yes 1
	\square No ₂
	□Other (please specify)
1.a.2	Is your RESEA program still temporarily suspended, meaning claimants are not currently being enrolled into the program?
(.)one	Please select
	\square , program is still suspendedYes ₁
	□]4.A[SKIP TO 1.operating, program is currently No ₂
	w soon do you expect to be able to re-start RESEA program operations? 3 Please select
	□]1.C.1[SKIP TO Within 1-2 months ₁
	1.C.1[SKIP TO Within 3-4 months 2
	□]1.C.1[SKIP TO More than 4 months ₃
	the past six months, 4 which aspects of your RESEA program have you changed?
(Select	all that apply.)
	\square_1 Changed approach to targeting RESEA services to claimants
	\square_2 Changed service delivery design (e.g., in-person, virtual, etc.)
	□ ₃ Changed size and/or geographic coverage area of RESEA program
	☐4 Changed RESEA staffing or resources
	□ Changed the approach to UI eligibility and work search review
	Other (please specify)
	\square_7 We have not changed the RESEA program
[IF RE	SPONDENT ANSWERED "1" TO 1.a.4. CONTINUE TO 1.a.5. ELSE SKIP TO 1.a.6

1.a.5 You said your state has changed your approach to targeting RESEA services to claimants. Pleasidentify the changes you have made: (Select all that apply.) □ 1 Changed (because predictors of benefit exhaustion have changed)profiling model □ 2 □ Focused on claimants with a lower range of profiling scores/risk of benefit exhaustion □ 3 Implemented a random selection process □ 4 Changed rules for selection of veteran claimants □ 5 Incorporated local labor market information and economic trends □ 6 Other (please specify)	se
[IF RESPONDENT ANSWERED "1" TO <mark>l.a.5</mark> , CONTINUE TO <mark>l.a.6</mark> , ELSE SKIP TO <mark>l.a.7</mark>]	
1.a.Please describe how your state adjusted your profiling model in response to the pandemic, including any new data you are using in the models. 6 ——————————————————————————————————	3
[IF RESPONDENT ANSWERED "2" TO] <mark>81.a.</mark> , ELSE SKIP TO <mark>71.a.</mark> , CONTINUE TO <mark>41.a.</mark>	
You said your state has changed your RESEA service delivery design. Please identify the change you have made: (Select all that apply.) Offer more individualized reemployment services to claimants who are selected Increased the number of RESEA meetings with claimants who are selected Assign more staff to work on each case Amake more referrals to workshops or other services for claimants who are selected Conduct more follow-up with claimants to monitor progress finding a job such as phone or videoconference meetings Added or expanded virtual services Added or expanded online self-service options Reduced the extent of services provided to each claimant because of resource limitations and the control of	ges
[IF RESPONDENT ANSWERED "3" TO <mark>1.a.4</mark> , CONTINUE TO <mark>1.a.8</mark> , ELSE SKIP TO <mark>1.a.9</mark>]	
1.a.8 You said your state has changed the size and/or geographic scope of your RESEA program. Please identify the changes you have made: (Select all that apply.) □₁ Expanded RESEA to new locations in your state □₂ Increased the number of participants served in existing RESEA locations □₃ Other (please specify)	
IF RESPONDENT ANSWERED "4" TO <mark>La.4</mark> , CONTINUE TO <mark>La.9</mark> , ELSE SKIP TO <mark>L</mark>	
<mark>s.10</mark>]	

19a	You said your state has changed your RESEA program's staffing and/or resources. Please identify the changes you have made:
(Select	t all that apply.)
	\square_1 Hired new RESEA staff
	□₂ Cut RESEA staffing
	□ 3 Allocated more staff time to RESEA
	☐ Allocated less staff time to RESEA ₄
	□ ₅ Purchased new equipment
	Developed or revised data systems for RESEA
	\square_7 Other (please specify)
[IF RE	SPONDENT ANSWERED "] <mark>1.b.1</mark> , ELSE SKIP TO <mark>101.a.</mark> , CONTINUE TO <mark>41.a.</mark> " TO 5
1 selec	t the option that best describes your states' current approach to UI work search requirements.Please approach to UI eligibility and work search review You said your state has changed your RESEA program's 10a
(.)one	
(.,)	☐Claimants are exempt from conducting work search in order to maintain UI eligibility 1
	□Claimants are exempt from conducting work search in order to maintain of engiointy ¹ □Claimants are required to conduct work search, but RESEA is not reviewing claimants' work search ²
	□ RESEA is reviewing claimants' work search but is not disqualifying claimants for any issues identified 3
	☐ Other (please specify)
Topic	1.b. Changes Under Consideration for RESEA
1.b.1	Does your state have definitive, official plans that you have not yet implemented to change your RESEA program in response to COVID-19 or other reasons, such as changes in RESEA program rules and funding?
(Please	e select one.)
(.)one	Please select
	\square_1 Yes
	\square_2 No [SKIP TO $1.7.h$]
1.b.2	Which of the following changes are you considering?
(Select	t all that apply.)
	 □¹ Changing approach to targeting RESEA services to claimants □² Changing service delivery design (e.g., in-person, virtual, etc.) □³ Changing size and/or geographic coverage area of RESEA program □⁴ Changing RESEA staffing or resources □⁵ Other (please specify)
	\square_6 We are not considering changes to the RESEA program
[IF RE	SPONDENT ANSWERED "1" TO <mark>1.b.2</mark> , CONTINUE TO <mark>1.b.3</mark> , ELSE SKIP TO <mark>1.b.4</mark>]

1.b.3	You said your state plans to change your approach to targeting RESEA services to claimants. Please identify the changes you plan to make:
(Select	all that apply.) ☐ Changed profiling model (because predictors of benefit exhaustion have changed) 1 ☐ Pocus on claimants with a lower range of profiling scores/risk of benefit exhaustion ☐ Implement a random selection process ☐ Change rules for selection of veteran claimants ☐ Incorporate local labor market information and economic trends ☐ Other (please specify)
[IF RE	SPONDENT ANSWERED "] <mark>5b.1.</mark> , ELSE SKIP TO <mark>4b.1.</mark> , CONTINUE TO <mark>3b.1.</mark> " TO 1
1.Pleas	se describe how your state plans to adjust your profiling model in response to the pandemic, including any new data you plan to use in the models. 4.b
[IF RE	SPONDENT ANSWERED "2" TO <mark>1.b.2</mark> , CONTINUE TO <mark>1.b.5</mark> , ELSE SKIP TO <mark>1.b.6</mark>]
1.b.5	You said your state plans to change your RESEA service delivery design. Please identify the changes you plan to make:
(Select	all that apply.) □₁ Offer more individualized reemployment services to claimants who are selected □₂ Increase the number of RESEA meetings with claimants who are selected □₃ Assign more staff to work on each case □₄ Make more referrals to workshops or other services for claimants who are selected □₅ Conduct more follow-up with claimants to monitor progress finding a job
	 □ Make more referrals to job openings 6 □ 7 Add or expand virtual services, such as phone or videoconference meetings □ online self-service options or expand Add₈ □ 9 Other (please specify)
[IF RE	SPONDENT ANSWERED "3" TO <mark>1.b.2</mark> , CONTINUE TO <mark>1.b.6</mark> , ELSE SKIP TO <mark>1.b.7</mark>]
1.b.6	You said your state plans to change the size and/or geographic scope of your RESEA program. Please identify the changes you plan to make: all that apply.)
	 □₁ Expand RESEA to new locations in your state □₂ Increase the number of participants served in existing RESEA locations □₃ Other (please specify)
[IF RE	SPONDENT ANSWERED "4" TO <mark>1.b.2</mark> , CONTINUE TO <mark>1.b.7</mark> , ELSE SKIP TO <mark>1.b.8</mark>]
	You said your state plans to change your RESEA program's staffing and/or resources. Please identify the changes you plan to make: all that apply.)
	\square_1 Hire new RESEA staff

	 □₂ Cut RESEA staffing □₃Allocate more staff time to RESEA □ Allocate less staff time to RESEA ₄ □₃ Purchase new equipment □₃ Develop or revise data systems for RESEA □₃ Other (please specify)
1.b.8 (Please	Do you expect the size of your RESEA grant to increase further in the coming two-to-three years? e select one.)
	\square_1 Yes \square_2 No \square_3 I don't know
[IF RE	SPONDENT ANSWERED "Yes" TO <mark>1.b.8</mark> , CONTINUE TO <mark>1.b.9</mark> , ELSE SKIP TO <mark>1.c.1</mark>]
1.b.9 (Select	How do you expect to use any increased RESEA funding in the coming two-to-three years? all that apply.)
	 □₁ Serve more claimants □₂ Expand RESEA to more geographic areas □₃ Hire more staff □₄ Purchase new equipment □₅ Evaluate or conduct other research on the RESEA program □₆ Other (please specify) □ȝ I don't know
The ne	1.to the UI Program Context Changes c. xt several questions ask about ways in which the COVD-19 pandemic has changed the profile of ical RESEA program participant and the local labor markets in which the program operates.
	that are hiringHave you seen a change in the types of industries all that apply.)
	\square Yes $_1$ \square No $_2$ \square I don't know $_3$
[IF RE	SPONDENT ANSWERED "] <mark>1.c.3</mark> , ELSE SKIP TO <mark>c.21.</mark> , CONTINUE TO <mark>1.c1.</mark> " TO Yes
	which of the following industries have hiring trends been MOST negative (or least positive)? fourelect S Agriculture 1 Construction2 Education3 Healthcare4 Manufacturing5 Retail trade6

	□ Professional and Technical ₇ □ Personal services ₈ □ Transportation ₉	
	SEA? claimants participating in types of Have you seen a change in the ct all that apply	3
	 □ have higher levels of education RESEA participants recentYes, 1 □ Yes, recent RESEA participants have more employment experience2 □ Yes, recent RESEA participants have lower levels of education3 □ Yes, recent RESEA participants have less employment experience4 □ backgrounds as pre-pandemic participants educational RESEA participants have similar recentNo, 5 □ as pre-pandemic participantsemployment historiesNo, current RESEA participants have similar 6 □ I don't know 7 □	
[IF RES	SPONDENT ANSWERED "] <mark>.1a.2</mark> TO CONTINUE, ELSE Section 5 TO SKIP, 2.a1." TO Yes, program is still suspended	
This se	on 2. Approach to Selecting Claimants for RESEA and Their Participation ection asks several questions about your state's policies regarding selecting claimants to participate RESEA program. It also includes questions about selection practices at local offices.	ē
2.a.1	Excluding UCX claimants, which of the following <u>most</u> accurately reflect the types of claimants that your state's RESEA selection process currently targets? e select one.)	1
, , , , , ,	 □₁ Claimants who are deemed most likely to exhaust UI benefits □₂ Claimants who are deemed somewhat more likely than average to exhaust UI benefits □₃ Claimants who are deemed somewhat less likely than average to exhaust UI benefits □₄ Claimants who are deemed least likely to exhaust UI benefits □₅ Claimants who have both a high risk of UI benefit exhaustion and other characteristics that make them an appropriate participant □₆ Selection for RESEA depends on other criteria, not on how likely claimants are to exhaust U benefits 	JI
2.a.2	The logic of selecting claimants with high risk of benefit exhaustion is that RESEA can have a larger impact on the outcomes of those claimants than on other claimants. In <u>your</u> opinion, which of the following types of claimants would benefit most—in terms of better employment outcome and reduced UI duration—from being selected for RESEA?	
(Please	e select one.) □ Claimants who are most likely to exhaust UI benefits	
	□₂ Claimants who are somewhat more likely than average to exhaust UI benefits □₃ Claimants who are somewhat less likely than average to exhaust UI benefits	

		nants who are least likely to exhaust UI benefits laimants are similarly likely to benefit, regardless of how likely they are to exhaust UI its
2.a.3	In some sta	tes, AJCs may be referred more claimants than they can serve right away. Does this ur state?
Pleas	select one	
•	\square_1 Yes	
		SKIP TO 3.a.1
2.a.4	-	erience, which of the following most accurately characterizes how AJCs typically characterized claimants to schedule an initial RESEA for?
Pleas	select one	2.)
	\square_2 Local \square_3 Local	l offices schedule those with the highest profiling scores first l offices schedule those with lower profiling scores first l offices choose claimants using some approach that is not related to their background or
		of benefit exhaustion (e.g., in alphabetical order, by last four SSN digits, using a random ber generator in Excel, etc.) 't know
2.a.5		erience, what other factors do AJCs most often use in deciding which referred claimants
	-	an initial RESEA for if they do not have the capacity to schedule all of them?
	all that ap	
,	\square_1 Veter	
		participation in AJC services
		raphic proximity of claimants' residence to the AJC
		nants' prior occupation or industry
		(please specify)
	□ ₆ I am	not aware of any other factors
2.a.6	In your ex	sperience, to what extent do AJCs vary in their approach to selecting participants for
	-	schedule an initial RESEA meeting?
Pleas	select one	2.)
		e is no local variation. All local offices select RESEA participants in the same way. P TO 3.a.1
		e is some local variation. Most local offices follow similar procedures, with slight rences.
		e is substantial variation. Local offices follow a wide range of selection procedures. 't know [SKIP TO <mark>3.a.1</mark>]
2.a.7	In what w	rays do local offices vary in their approach to selecting claimants to participate in
مام	all that ap	nly)
JEIEC	\square_1 Local	l offices set different policies for using likelihood of exhausting UI benefits to select
	clain	nants Loffices set different policies for selection of specific groups (e.g., Veterans)

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\square_3	Some local offices select claimants based on arbitrary factors like alphabetical order or SSN
	while other offices do not
\square_4	Other (please specify)
\square_5	I don't know

Section 3. Implementation of Current RESEA Program

This section asks a series of questions related to the how your RESEA program is currently implemented, including topics related to scheduling claimants, conducting RESEA meetings, and delivering in-person services.

Topic 3.a. Claimant Notificat

3.a.1	How many weeks into the claim does selection for RESEA typically occur?
(Please	e select one.)
	\square_1 Week 2
	\square_2 Week 3
	□ ₃ Week 4
	□ ₄ Week 5
	\square_5 Week 6 or later
3.a.2	Once selected, how are UI claimants notified of that selection and their participation requirements
J.u	for RESEA?
(Select	all that apply.)
(50,000	□₁ Email
	\square_2 Telephone
	□₃ Mailed letter
	Online (UI website, mobile app)
Tonic	3.b. Meeting Scheduling
•	
3.b.1	How soon after notification does the initial meeting occur?
(Please	e select one.)
	\square_1 1 week
	Q ₂ 2 weeks
	□ ₃ 3 weeks
	□ ₄ 4 weeks or more
3.b.2	On average, in which claim week would you estimate that initial RESEA meetings are held?
(Please	e enter an integer.)
•	Week
3.b.3	Which of the following most closely describes your state's method for scheduling the initial
	RESEA meeting?
(Please	e select one.)
	\square_1 State or AJC staff schedule the initial meeting. Claimants can notify staff if there are
	extenuating circumstances that prevent them from attending at that time. Staff then
	reschedule the meeting for a different time. [SKIP to 3.b.5]
	\square_2 State or AJC staff schedule the initial meeting. Claimants can reschedule the meeting for a
	different date/time if there are extenuating circumstances.
	\square_3 State or AJC staff schedule the initial meeting. Claimants are invited to reschedule for a
	different time if another time would be more convenient.
	□ ₄ Claimants self-schedule the meeting, within a window of a week or less provided by the
	state.

	\square_5 Claimants self-schedule the meeting, within a window more than a week provided by the state.
3.b.4	Which of the following options are available to claimants to schedule or reschedule the initial RESEA meeting?
(Select	all that apply.)
	 □₁ Online (e.g., UI website, mobile app) □₂ Telephone □₃ In-person (e.g., at AJC) □₄ Other (please specify)
IF ONI ELSE <mark>3</mark>	LY ONE RESPONSE WAS CHOSEN FOR <mark>3.B.4</mark> THEN SKIP TO <mark>3.C.1</mark> , . <mark>B.5</mark>]
3.b.5	Which of the following options is the method that claimants most commonly use to schedule or reschedule the initial RESEA meeting?
(Please	e select one.)
	□₁ Online (e.g., UI website, mobile app) □₂ Telephone
	\square_3 In-person (e.g., at AJC)
	\square_4 Other (please specify)
Topic	3.c. Initial Meeting Details
3.c.1	Where do initial RESEA meetings typically occur?
(Select	all that apply.)
	\square_1 In-person at an AJC \square_2 In-person at a location other than an AJC (please specify)
	\square_2 in-person at a location other than an ASC (please specify)
	□ ₄ Remotely by videoconference
	\square_5 Other remote option (please specify)
	LY OPTIONS 1 OR 2 ARE SELECTED FOR <mark>3.c.1</mark> , THEN SKIP to <mark>3.c.4</mark> ; CONTINUE TO <mark>3.c.2</mark>]
3.c.2	Is the option to hold the initial RESEA meeting remotely, rather than in-person, an option for all claimants or only some?
(Please	e select one.)
	□₁ All claimants [SKIP to 3.c.4]
	\square_2 Only some claimants
3.c.3 (Please	Which types of clients have the option to hold the initial RESEA meeting remotely? e select one.)
,	\square_1 Claimants who live far from an in-person meeting location
	\square_2 Claimants who live within travelling distance of an in-person location, but who have some
	other type of barrier to attending (please specify acceptable barriers) Other (please specify)

3.c.4 Which of the following types of staff participate in the initial RESEA meeting? (Select all that apply.)	
\square_1 RESEA grant-funded staff	
☐ 2UI staff (e.g., UI adjudicators)	
\square_3 Wagner-Peyser staff	
□ ₄ WIOA staff	
\square_5 Other staff (please specify)	
3.c.5 Which of the following must occur as part of the initial RESEA meeting? (Select all that apply.)	
\square_1 Assess compliance with UI requirements (e.g., work search, able and available)	
\square_2 Schedule (but do not conduct) orientation to AJC services	
\square_3 Conduct orientation to AJC services	
□₄ Register with Employment Service (if claimant has not done so already)	
\square_5 Conduct assessments (of skills, barriers, interests, etc.)	
\square_6 Provide general labor market information \square_7 Provide individualized labor market information	
\square_{8} Create reemployment plan	
\square_9 Review and, if necessary, create or revise a résumé	
\square_{10} Refer to any appropriate employment workshops	
\square_{11} Refer to any appropriate individualized career services	
\square_{12} Make employment matches and job referrals	
\square_{13} Other (please specify)	
[IF RESPONDENT SELECTS ONLY "1" (UI STAFF ONLY), NOT ANY OTHER OPTION FOR 3.	c 1
THEN CONTINUE TO 3.c.6, ELSE SKIP TO 3.d.1]	4
THEN CONTINUE TO D.C.O., LEGE SIXII TO S.O.T.	
3.c.6 How are referrals typically made to staff who provide reemployment services? (Please select one.)	
\square_1 UI staff contact reemployment services staff via an online system. \square_2 UI staff contact reemployment services staff by phone.	
\square_3 UI staff contact reemployment services staff through some other means. (please specify)	
☐4 UI staff do not contact reemployment services staff, but rather tell RESEA participants ho	W
to contact them.	
Topic 3.d. AJC Services	
3.d.1 How are AJC orientations most commonly conducted?	
(Please select one.)	
\square_1 One-on-one	
\Box_1 One-on-one \Box_2 Group, including RESEA claimants only	
□ ₃ Group, including UI claimants, whether RESEA or non-RESEA	
□₄ Group, both UI and general AJC populations	
Independently, via online orientation $_5$ \square	

3.d.2	Beyond the basic information and resources, in which <i>individualized</i> career services does your state make <i>particular efforts</i> to engage RESEA participants?
(Selec	t all that apply.)
	 □₁ Assessments of job-related qualifications and interests □₂ Individualized reemployment plan □₃ Career planning assistance
	☐ Individualized labor market information ☐ Information on occupational training opportunities
	□ ₅ Information on occupational training opportunities □ ₆ Referrals to particular job openings
	□ ₇ Other individualized employment service:
3.d.3	Which RESEA participants typically receive <i>individualized</i> career services?
ricase	\square_1 All RESEA participants
	\square_2 Only RESEA participants identified through assessments as needing individualized services \square_3 Few or no RESEA participants
	□ ₄ I don't know
3.d.4	After helping the claimant create an individual reemployment plan, how often do staff follow up
	with claimants to check on their progress carrying out the plan and provide further help that the
	claimant might need?
(Please	e select one.)
	□ Regularly, every week or two
	\square_2 Regularly, every month or so \square_3 Once or twice, but not on a regular, on-going basis
	\square_4 This type of staff follow-up is not a specific part of RESEA
3.d.5	After helping the claimant create an individual reemployment plan, does your state use any
	automated methods (e.g., automated emails or text messages) to check with claimants about their
/Dlaace	job search progress? e select one.)
(Piease	\square_1 Yes
	\square_2 No
	☐ I don't know 3
3.d.6	If AJC staff who provide employment services become aware of potential claimant non-
oraro	compliance with eligibility requirements, what feedback mechanisms have been set up to
	communicate non-compliance to the UI system?
(Select	all that apply.)
	\square_1 There is an online portal for those AJC staff to report UI compliance issues.
	\square_2 There is a dedicated email address for those AJC staff to use to report UI compliance issues.
	□ ₃ There is a dedicated telephone number for those AJC staff to use to report UI compliance
	issues. • None of the above dedicated mechanisms exist for those AJC staff to report UI compliance
	issues. Communication occurs through less formal channels.

Topic 3.e. Subsequent RESEA Meetings

3.e.1	Are subsequent RESEA meetings a feature of your RESEA program?
(Please	e select one.)
	\square_1 No, we do not conduct subsequent RESEAs [SKIP to 4.a.1] \square_2 Yes, for at least some claimants we conduct a second RESEA, but never a third
	\square_3 Yes, we conduct a third RESEA for at least some claimants
	=3 1 cs, we conduct a unita NEOE/1 for at least some claimants
3.e.2	At what point in the claim does the second RESEA typically occur?
(Please	e select one.)
	\square_1 4 weeks or less
	\square_2 5-6 weeks
	\square_3 7-9 weeks
	□ ₄ 10 weeks or more
3.e.3	Which RESEA participants are required to attend a second RESEA?
(Select	all that apply.)
	\square_1 All RESEA participants
	\square_2 RESEA participants who staff deem to be in need of more help in finding a job (e.g., based
	on assessment results at the first RESEA)
	□ ₃ RESEA participants who have had some non-compliance with eligibility requirements during the claim
	\square_4 RESEA participants who request a follow-up meeting
	\square_5 Other (please specify)

Section 4. Other Features of RESEA Programs

This section asks a variety of questions related to other aspects of your RESEA program, including claimant noncompliance with program requirements and integration with other workforce programs in your state.

Topic 4.a. Failure to Report

4. a.1	Which of the following most accurately reflects what occurs after a claimant fails to report to
	cheduled RESEA meeting?

	1 1 1 1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2
	scheduled RESEA meeting?
(Pleas	e select one.)
	 □₁ Benefits are immediately and indefinitely suspended until the failure to report is remedied or until an adjudication shows that the failure to report was for cause. □₂ Benefits are immediately suspended for a week. □₃ The case is adjudicated. If the adjudication finds that the failure to report was not for cause, then benefits are suspended indefinitely until the failure to report is remedied. □₄ The case is adjudicated. If the adjudication finds that the failure to report was not for cause, then benefits are suspended for a week. □₅ Other (please describe)
4.a.2	What steps has your state taken to reduce rates of failure to report?
(Selec	t all that apply.)
	\square_1 Remind claimants by letter
	\square_2 Remind claimants by phone
	\square_3 Remind claimants by email
	□4 Remind claimants by text
	\square_5 In RESEA notification communications, highlight penalties for failure to report \square_6 Increase the consequences of failure to report (e.g., lengthier suspensions of benefits)
	\square_7 Give claimants greater flexibility to schedule or reschedule meetings
	\square_8 Other (please specify)
	\square_9 No steps have been taken to reduce failure to report
Topic	4.b. Eligibility Enforcement
4.b.1	Which of the following most accurately describes your state's primary motivation when reviewing work search through the RESEA program?
(Pleas	e select one.)
\	\square_1 The work search review is intended to help claimants understand and comply with work
	search requirements.
	☐2 The work search review is intended to identify and penalize those claimants who are not complying with work search requirements.
	Complying with work search requirements. \square_3 The work search review is intended to improve claimants' work search practices and help
	them return to work more quickly.

4.b.2 Which of the following most accurately characterizes the work search review efforts that occur as part of the eligibility assessments?

(Please select one.)

	 Staff review claimants' log of reported work search contacts Staff may ask about how many contacts were made, but do not review specific reported contacts
	\square_3 Other (please specify)
4.b.3	For claimants who, during the initial eligibility assessment, are found to not have met work search or able and available requirements, which of the following is the most common outcome?
(Please	e select one.)
	\square_1 Claimants are found ineligible for benefits for the period(s) covered and benefits are
	suspended indefinitely until the claimant is shown to be in compliance \square_2 Claimants are found ineligible for benefits for the period(s) covered and benefits are
	suspended for a definite period (e.g., a week)
	\square_3 Claimants may be warned, but after adjudication do not lose benefits
	A - WIOA J.W Down J.M
Topic	4.c. WIOA and Wagner-Peyser Integration
4.c.1	Current RESEA program guidance requires enrollment in Wagner-Peyser Act-funded Employment Services as a core component of RESEA. When does your state's program complete enrollment for each claimant?
(Pleas	e select one.)
	 □₁ When claimants are enrolled into the RESEA program □₂ When claimants are notified of the requirement to attend an RESEA meeting □₃ During the initial RESEA meeting
	 □₄ As a follow-up activity after completion of the RESEA meeting □₅ Other (please specify)
	□ ₆ I don't know
4.c.2	How does your state enroll each RESEA claimant into Wagner-Peyser Act-funded Employment Services?
(Please	e select one.)
	 □₁ An automated system conducts Wagner-Peyser enrollment □₂ An RESEA staff member – who does not meet with the claimant – manually completes enrollment
	\square_3 The RESEA staff member who meets with the claimant manually completes enrollment
	\square_4 Other (please specify)
1633	What steps has your state taken to more fully integrate RESEA programs with WIOA and Wagner-
4.0.5	Peyser-funded services?
(Select	all that apply.)
	☐₁ Include WIOA or Wagner-Peyser staff in the RESEA meeting where eligibility assessment occurs
	 □₂ Work with the State Workforce Board to promote integration □₃ Work through the WIOA State Plan to promote RESEA integration
	Integrate data systems to allow staff access to both III and workforce data

	 □₅ Train WIOA or Wagner-Peyser staff on RESEA procedures and requirements □₆ Work with local workforce development boards to create or implement an AJC orientation
	tailored to RESEA claimants
	□ ₇ Align internal RESEA reporting with WIOA performance measures
	\square_8 Other (please specify)
	\square_9 No significant steps have been taken to integrate programs
Topic	c 4.d. Using Behavioral Insights
4.d.1	In which ways, if any, has your state made the following small changes to your program in order
	to alter claimants' behavior?
(Selec	t all that apply.)
	\square_1 Changed a default program requirement
	\square_2 Use positively themed messaging in communications with claimants
	\square_3 Invoke social norms to motivate claimants
	\square_4 Simplified communications with claimants due to limited attention
	□ ₅ Send automated reminders (emails, letters, calls, texts, etc.) to claimants about upcoming meetings
	\square_5 Have staff contact claimants to remind them about upcoming meetings
	\square_6 Other (please specify)
	\square_7 No, we have not explicitly incorporated behavioral insights into our RESEA program

Section 5. Challenges and Promising Practices

The questions in this section ask you to identify challenges your state faces with RESEA program operation and what recommendations you would make to other states to improve operation of their programs.

Topic 5.a. Operational Challenges

5.a Based on your experience, to what extent is each of the following a challenge to your state in operating your RESEA program?

(READ EACH ITEM FOLLOWED BY ANSWER CATEGORIES)

		Major Challenge	Moderate challenge	Slight challenge	Not a challenge
1.	Lack of sufficient funds to provide appropriate reemployment services		\square_2	\square_3	\square_4
2.	Lack of sufficient funds to rigorously verify eligibility for UI	\square_1	\square_2	\square_3	\square_4
3.	Lack of AJC capacity to serve enough RESEA claimants	\square_1	\square_2	\square_3	\square_4
4.	Claimants' failure to report to RESEA meetings	\square_1	\square_2	\square_3	\square_4
5.	Claimants' lack of full engagement with reemployment services	\square_1	\square_2	\square_3	\square_4
6.	Problems coordinating with local workforce development boards and the WIOA system	\square_1	\square_2	\square_3	\square_4
7.	Lack of data systems to fully support appropriate feedback loops	\square_1	\square_2	\square_3	\square_4
8.	Lack of funds to comply with evidence and evaluation requirements	\square_1	\square_2	\square_3	\square_4
9.	Lack of technical expertise to comply with evidence and evaluation requirements	\square_1	\square_2	\square_3	\square_4
10.	Lack of technical expertise to update profiling models	\square_1	\square_2	\square_3	\square_4
11.	Lack of physical or technological capacity to serve claimants in-person or remotely	\square_1	\square_2	\square_3	\square_4

5.a.11 Please list any other challenges to your state in operating an RESEA program, beyo	nd those listed
previously.	

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Topic 5.b. Recommendations

5.b How strongly would you recommend each of the following to other states and DOL as ways to make RESEA programs more effective? Some options may include increasing emphasis on existing program activities.

(READ EACH ITEM FOLLOWED BY ANSWER CATEGORIES)

		Strongly recommen d	Somewhat Recommend	Do not recommen d	Recommend against
1.	Increasing the use of individualized career services		\square_2	\square_3	\square_4
2.	Increasing the provision of customized labor market information to inform claimants' decisions	\square_1	\square_2	\square_3	\square_4
3.	Increasing the use of earn-while-you-learn training (e.g., on-the-job training, apprenticeship)	\square_1	\square_2	\square_3	\square_4
4.	Using a broader range of assessments to identify claimants' reemployment needs	\square_1	\square_2	\square_3	\square_4
5.	Using more intensive case management	\square_1	\square_2	\square_3	\square_4
6.	Increasing the use of behavioral nudges to support behaviors that will help claimants meet employment goals and increase compliance with UI requirements		\square_2	\square_3	\square_4
7.	Selecting claimants other than those who are most likely to exhaust UI benefits	\square_1	\square_2	\square_3	\square_4
8.	More intensively verifying eligibility	\square_1	\square_2	\square_3	\square_4
9.	Strengthening penalties for failure to report or comply with UI eligibility requirements	\square_1	\square_2	\square_3	\square_4
10.	Conducting more RESEA follow-up meetings per claimant	\square_1	\square_2	\square_3	\square_4
11.	Improving the feedback loops between UI and AJC staff	\square_1	\square_2	\square_3	\square_4

5.b.12 What other changes would you recommend to other states and DOL as ways to make RESEA programs more effective in reducing UI duration by improving employment outcomes for program participants?

[IF	5.b.4	= 1 or	5.b.4	= 2,	ASK	<mark>5.b.13</mark> ;
ELS	SE, S	KIP T	O <mark>5.b</mark>	<mark>.14</mark>]		

5.b.13 You said you would recommend using a broader range of assessments to identify claimants' reemployment needs. Which kinds of assessments would you recommend be more commonly used with RESEA claimants?

[IF 5<mark>.b.7</mark> = 1 or 5.b.7 = 2, ASK 5<mark>.b.14</mark> ELSE, SKIP TO 6.a.1]

5.b.14 You said you would recommend selecting claimants other than those who are most likely to exhaust UI benefits. States are no longer required to select RESEA claimants based on profiling scores. Please list any other factors that you personally would recommend using to select claimants. Which claimants would you recommend selecting, rather than focusing solely on those with the highest profiling scores?

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Section 6. Evidence and Evaluation

This section covers a range of topics related to evaluating RESEA programs. It includes questions related to your state's previous experience with program evaluation, plans for future evaluations, and capacity to carry-out evaluations of your RESEA program.

Topic 6.a. RESEA Funding Requirements for Evidence of Effectiveness and Performance

6.a.1	How well do you feel your state understands the new RESEA requirements for evidence of effectiveness and performance?
(Please	e select one.)
	\square_1 Very well
	□₂ Somewhat well
	\square_3 Not well at all
6.a.2 require	What suggestions, if any, do you have to improve support for states implementing these new ements?
Topic	6.b. Previous Evaluation Experience
6.b.1	To your knowledge, has your office participated in an evaluation of any kind in the past five years?
(Please	e select one.)
	\square_1 Yes \square_2 No [skip to 6.c.1] \square_3 I don't know [skip to 6.c.1]
6.b.2 (Select	What kind of evaluations have you participated in?
	 □₁ Impact evaluation using random assignment (aka, an experimental impact evaluation) □₂ Impact study with a comparison group that did not use random assignment (aka, a quasi-experimental impact evaluation) □₃ Outcomes study (e.g., program performance study)
	□₄ Implementation study
	□ ₅ Cost study (i.e., a systematic disaggregation of the costs of different program elements) □ ₆ Other
6.b.3 (Select	How were these evaluations conducted? all that apply.)
	 □₁ Part of larger national or multi-state evaluation □₂ Our office contracted or partnered with a local evaluator □₃ Our office conducted the evaluation using our own staff □₄ I don't know

6.b.4 How would you rate your past experiences participating in evaluations?

(Please	e select one.) \[\begin{align*} \text{\tint{\text{\tinit}\text{\tex{\tex
Topic (6.c. Evaluation Plans
6.c.1 (Select	What has your state done to plan for the new RESEA program evaluation and evidence requirements? all that apply.)
	 □₁ Held internal discussions with RESEA staff □₂ Discussed with State ES, UI, WIOA, or LMI office □₃ Consulted other states one-to-one or through regional, NASWA, or other national meetings □₄ Consulted with outside partners or consultants □₅ Consulted DOL resources and publications □₆ Other activity □դ Nothing yet □₃ I don't know
6.c.2 (Please	Are you currently planning to conduct any evaluations of your RESEA program? e select one.) 1 Yes [Skip to question 6.c.4] 2 No 3 Maybe [Skip to 6.c.4] 4 I don't know [Skip to question 6.d.1]
	Why not? [Skip to 6.d.1] all that apply.)
	□₁ Evaluations require too much staff time to conduct or contract out □₂ Staff do not have the expertise needed to conduct or oversee evaluations □₃ Evaluations are too expensive □₄ Evaluation is not necessary at this time □₅ The state's RESEA program already has a sufficient evidence base □₆ COVID-19 has left us with too few resources □٫ Other reasons □ □₃ I don't know
6.c.4 (Please	Do you expect to use any RESEA grant funds for any evaluation of your RESEA program? e select one.)
	\square_1 Yes, we intend to use the full 10 percent that is allowed by statute \square_2 Yes, we intend to use some funds, but less than 10 percent of our grant \square_3 No \square_4 I don't know
6.c.5 (Select	Which type of evaluations will you [or might you] conduct? (Select all that apply) all that apply.)
	\square_1 Random assignment impact evaluation (aka, an experimental impact evaluation)

		 □₂ Impact evaluation with a comparison group that does not use random assignment (aka, quasi-experimental impact evaluation) □₃ Outcomes study (e.g., program performance study) □₄ Process study □₅ Implementation study □₆ Cost study (i.e., a systematic disaggregation of the costs of different program elements) □₆ Other □₆ I don't know
	6.c.6	Which aspects of the RESEA program—if any—might you evaluate? (Select all that apply.)
(Select all that apply.)		all that apply.)
		□₁ Career and labor market information □₂ Criteria used to select RESEA claimants (e.g., likelihood of exhaustion) □₃ Ways to develop a reemployment plan □₄ Job search assistance □₅ Approaches to reduce failure to report □₆ Penalties for non-compliance/failure to report □⁷ Providing more individualized career services □դ Adding or removing subsequent RESEA meetings □ȝ Other (please specify)
Topic 6.d. Thoughts on Pooled Evaluations		
	6.d.1 (Please	States may choose to cooperate on their evaluations with other states to pool grant funds and conduct larger evaluations. Does your state plan on participating in a multi-state evaluation? select one.) 1 Yes [Skip to 6.d.3] 2 Maybe 3 No 4 I don't know
	6.d.2 (Please	How interested would your state be in participating in a multi-state evaluation like this? select one.) □ Very interested □ Somewhat interested □ Not at all interested [Skip to 6.d.4] □ I don't know
	6.d.3 (Please	What do you see as the most important benefits of participating in a multi-state evaluation? select up to three.)
		 □₁ Opportunity to work with a lead administrative organization (USDOL or other) □₂ Opportunity to pool evaluation to get a larger sample □₃ Access to the expertise of other states UI and WIOA offices □₄ Access to a larger pool of external evaluators □₅ Opportunity to learn about successes in other states

	 □₆ Opportunity to take advantage of existing state data partnerships □₇ Other (please specify) □₈ I don't know
6.d.4	Beyond any reservations you might have about participating in evaluations in general, what reservations, if any, do you have about participating in evaluation that involves multiple states?
6.d.5 (Pleas)	How interested would your state be in participating in future DOL-sponsored studies of RESEA programs? e select one.)
	□₁ Very interested □₂ Somewhat interested □₃ Not at all interested □₄ I don't know
6.d.6 (Select	What do you see as the most important benefits of participating in future DOL-sponsored studies of RESEA programs? all that apply.)
	The opportunity to:
	□₁ improve the state's RESEA program □₂ help other states improve their RESEA program □₃ develop the state's evaluation capacity □₄ learn from other states' experiences with RESEA □₅ reduce the logistical and technical challenges involved in designing an evaluation □₆ get assistance with covering the cost of evaluation □₆ Other □₃ I don't know
6.d.7	Beyond any reservations you might have about participating in evaluations in general, what reservations, if any, do you have about participating in DOL-sponsored studies?
Topic	6.e. In-house Evaluation Capacity
6.e.1 (Please	How would you rate your staff's expertise in designing formal evaluations? e select one.) □₁ Excellent □₂ Good □₃ Satisfactory □₄ Needs improvement
6.e.2	□ ₅ I don't know How would you rate your staff's expertise in contracting with research partners and overseeing
(Please	evaluations?

	\square_1 Excellent \square_2 Good \square_3 Satisfactory \square_4 Needs improvement \square_5 I don't know
6.e.3 (Please	How much does your office currently use and analyze the data it already collects on individual RESEA participants to assess how the program is working? select one.) □₁ A lot □₂ Some □₃ A little □₄ Not at all □₅ I don't know
6.e.4 (Please	How would you rate the quality of your state's data on individual RESEA participants? select one.) □₁ Excellent □₂ Good □₃ Satisfactory □₄ Needs improvement □₅ I don't know
6.e.5 (Please	How would you rate your state's technical ability to link RESEA participant data (i.e., UI claims data) to wage records, workforce data, and any other important data? select one.) □₁ Excellent □₂ Good □₃ Satisfactory □₄ Needs improvement □₅ I don't know
6.e.6 (Select	What methods do you find most effective for receiving evaluation technical assistance? all that apply.) 1 Webinars 2 Conferences, workshops, or other in-person group meetings 3 One-on-one coaching and support 4 Written guidance (e.g., toolkits, checklists, etc.) 5 Other (please specify)