FOREIGN PRODUCERS'/EXPORTERS' QUESTIONNAIRE

SMALL VERTICAL SHAFT ENGINES FROM CHINA

This questionnaire must be received by the Commission by <u>December 14, 2020</u>

See last page for filing instructions.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its countervailing duty and antidumping duty investigations concerning small vertical shaft engines from China (Inv. Nos. 701-TA-643 and 731-TA-1493 (Final)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII.

Name of fi	rm		
Address			
Website			
•	m produced or exported small vertical shaft engin anuary 1, 2017?	es (as defined on next page) from China at any	
☐ NO	(Sign the certification below and promptly return o	nly this page of the questionnaire to the Commission)	
☐ YES	(Complete all parts of the questionnaire, and return	the entire questionnaire to the Commission)	
•	estionnaire via the Commission <i>Drop Box</i> by apbox.usitc.gov/oinv/. (PIN: SMENG)	clicking on the following link:	
	CERTIFICATIO	N	
nformation provided in the Commission on the the undersigned, actions of the proceeding or other proceeding or developments, and evaluation of the proceeding or (ii) by Lappendix 3; or (iii) by Lappendix 4; or (iiii) by Lappendix 4; or (iiii) by Lappendix 4; or (iiii) by Lappendix 4; or (iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii	In this questionnaire and throughout this proceed same or similar merchandise. knowledge that information submitted in respondencedings may be disclosed to and used: (i) by loping or maintaining the records of this or a record relating to the programs, personnel, and	and its employees and contract personnel, to use the ding in any other import-injury proceedings conducted by onse to this request for information and throughout this the Commission, its employees and Offices, and contract lated proceeding, or (b) in internal investigations, audits, operations of the Commission including under 5 U.S.C. and solely for cybersecurity purposes. I understand that all	
lame of Authorized Of	fficial Title of Authorized Official	Date	
ignature	ature Phone Email address		

PART I.—GENERAL INFORMATION

<u>Background</u>. – These proceedings were instituted in response to petitions filed on March 18, 2020, by Briggs & Stratton Corporation, Wauwatosa, Wisconsin. Countervailing and/or antidumping duties may be assessed on the subject imports as a result of these proceedings if the Commission makes an affirmative determination of injury, threat, or material retardation, and if the U.S. Department of Commerce ("Commerce") makes an affirmative determination of subsidization and/or dumping. Questionnaires and other information pertinent to these proceedings are available at https://www.usitc.gov/investigations/701731/2020/small-vertical-shaft engines-china/final.htm.

<u>Small vertical shaft engines</u> covered by these investigations consist of spark-ignited, non-road, vertical shaft engines, whether finished or unfinished, whether assembled or unassembled, whether mounted or unmounted, primarily for walk-behind lawn mowers. Engines meeting this physical description may also be for other non-hand-held outdoor power equipment, including but not limited to, pressure washers. The subject engines are spark ignition, single-cylinder, air cooled, internal combustion engines with vertical power take off shafts with a minimum displacement of 99 cubic centimeters (cc) and a maximum displacement of up to, but not including, 225cc. Typically, engines with displacements of this size generate gross power of between 1.95 kilowatts (kw) to 4.75 kw.

Engines covered by this scope normally must comply with and be certified under Environmental Protection Agency (EPA) air pollution controls title 40, chapter I, subchapter U, part 1054 of the Code of Federal Regulations standards for small non-road spark-ignition engines and equipment. Engines that otherwise meet the physical description of the scope but are not certified under 40 CFR part 1054 and are not certified under other parts of subchapter U of the EPA air pollution controls are not excluded from the scope of this proceeding. Engines that may be certified under both 40 CFR part 1054 as well as other parts of subchapter U remain subject to the scope of this proceeding.

Certain small vertical shaft engines, whether or not mounted on non-hand-held outdoor power equipment, including but not limited to walk-behind lawn mowers and pressure washers, are included in the scope. However, if a subject engine is imported mounted on such equipment, only the engine is covered by the scope. Subject merchandise includes certain small vertical shaft engines produced in the subject country whether mounted on outdoor power equipment in the subject country or in a third country. Subject engines are covered whether or not they are accompanied by other parts.

For purposes of these investigations, an unfinished engine covers at a minimum a sub-assembly comprised of, but not limited to, the following components: Crankcase, crankshaft, camshaft, piston(s), and connecting rod(s). Importation of these components together, whether assembled or unassembled, and whether or not accompanied by additional components such as a sump, carburetor spacer, cylinder head(s), valve train, or valve cover(s), constitutes an unfinished engine for purposes of these investigations. The inclusion of other products such as spark plugs fitted into the cylinder head or electrical devices (e.g., ignition coils) for synchronizing with the engine to supply tension current does not remove the product from the scope. The inclusion of any other components not identified as comprising the unfinished engine subassembly in a third country does not remove the engine from the scope.

Specifically excluded from the scope of the investigations are "Commercial" or "Heavy Commercial" engines under 40 CFR 1054.107 and 1054.135 that have (1) a displacement of 160 cc or greater, (2) a cast iron cylinder liner, (3) an automatic compression release, and (4) a muffler with at least three chambers and volume greater than 400 cc.

The engines subject to these investigations are predominantly classified in the Harmonized Tariff Schedule of the United States (HTSUS) at statistical reporting number 8407.90.1010. The engine subassemblies that are subject to these investigations enter under HTSUS 8409.91.9990. The mounted engines that are subject to these investigations enter under HTSUS 8433.11.0050, 8433.11.0060, and 8424.30.9000. Engines subject to these investigations may also enter under HTSUS 8407.90.1020, 8407.90.9040, and 8407.90.9060. The HTSUS statistical reporting numbers are provided for convenience and customs purposes only, and the written description of the merchandise under investigation is dispositive.

Reporting of information.--If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, purchaser and/or foreign producer questionnaire), you need not respond to duplicated questions.

<u>Confidentiality</u>.--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. §1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

<u>Verification</u>.--The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

Release of information.--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

<u>Valid number error messages.</u>—If you are completing this form in a country that uses periods (".") to delineate multiples of 1000 (e.g., one million would appear as \$1.000.000 rather than \$1,000,000), you may be unable to enter in numbers greater than 999 in numeric form fields. The solution to this data entry issue is to temporarily change your operating system's number formatting to be consistent with the U.S. number formatting system while you complete this form. Detailed instructions on how to resolve this issue is provided at the end of this questionnaire and is available upon request from Charles Cummings (202-708-1666, <u>Charles.Cummings@usitc.gov</u>).

Hou	rs	Dollars	
issues of and as lim 20 hours	concern a lited as po per resp	are adequately ossible. Public re	e have been reviewed with market participants to ensure addressed and that data requests are sufficient, meaning porting burden for this questionnaire is estimated to avenue the time for reviewing instructions, gathering data, actionnaire.
reducing	the burde s to your	n, and any sugg response or sen	the accuracy of this burden estimate, suggestions for estions for improving this questionnaire. Please attach sud to the Office of Investigations, USITC, 500 E St. SW,
Establishi questionr		veredProvide	the name and address of establishment(s) covered by this
vertical sh physically	naft engin separate	es, including au from) such facil	firm in China involved in the production or export of sma kiliary facilities operated in conjunction with (whether or ities. Firms operating more than one establishment in Ch ablishments into a single report.
	ny plans t	•	n or any related firm produce, have the capability to produce vertical shaft engines in the United States or other
No	Yes		name the firm(s) and country(ies) below and, if U.S.

Related U.S. importers.--Does your firm or any related firm import or have any plans to import

Foreign Producers' Questionnaire – Small vertical shaft engines from China (Final)

I-4.

No	Yes	If yes, please name the firm(s) below and ensure that they complete the Commission's importer questionnaire.
Stock syr	<u>mbol inforr</u>	<u>mation.</u> If your firm or any of the entities reported in questions I-2 throug
symbol (i	including A	ed in the United States, please specify the stock exchange and trading merican Depository Receipts, if applicable): If your firm or parent firm is represented by external counsel in relation to ease specify the name of the law firm and the lead attorney(s).
symbol (i	including A counsel eeding, ple	merican Depository Receipts, if applicable): If your firm or parent firm is represented by external counsel in relation to

I-7. <u>U.S. importers</u>.--Please provide the names, contacts, telephone numbers, and e-mail addresses of the <u>FIVE</u> largest U.S. importers of your firm's small vertical shaft engines in 2019.

	Importer's name	Contact person	Email	Telephone	Share of your firm's 2019 U.S. exports (%)
1					
2					
3					
4					
5					

PART II.--TRADE AND RELATED INFORMATION

Email Telephone

Further information on this part of the questionnaire can be obtained from Charles Cummings (202-708-1666, Charles.Cummings@usitc.gov). Supply all data requested on a calendar-year basis.

II-1.		<u>Contact information</u> Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part II.				
	Name					
	Title					

II-2a. <u>Changes in operations.</u>—Please indicate whether your firm has experienced any of the following changes in relation to the production of small vertical shaft engines since January 1, 2017.

(check as many as appropriate)		(If checked, please describe the nature, date(s), and significance of any such reported changes as well as the business reasons for them; leave completely blank if not applicable)
	plant openings	
	plant closings	
	relocations	
	expansions	
	acquisitions	
	consolidations	
	prolonged shutdowns or production curtailments	
	revised labor agreements	
	other (e.g., technology)	

II-2b.	COVID-19 pandemic Since January 1, 2020, has the COVID-19 pandemic or have any
	government actions taken to contain the spread of the COVID-19 virus resulted in changes in
	relation your firm's supply chain arrangements, production and sales (including exports to the
	United States) of small vertical shaft engines?

No	If yes, describe these changes including a separate discussion of the (a) supply chain impact, and (b) production and sales (including exports to the United States) impact of the COVID-19 pandemic.

II-2c. <u>Anticipated changes in operations.</u>--Does your firm anticipate any changes in the character of its operations or organization (as noted above) relating to the production of small vertical shaft engines in the future?

No	If yes, supply details as to the time, nature, and significance of such changes and provide underlying assumptions.

II-3a. Production using same machinery.--Please report your firm's production of products using the same equipment, machinery, or employees as used to produce small vertical shaft engines, and the combined production capacity on this shared equipment, machinery, or employees in the periods indicated.

"Overall production capacity" or "capacity" –The level of production that your establishment(s) could reasonably have expected to attain during the specified periods for all products manufactured in that establishment using the same manufacturing equipment. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup).

Note.--If your firm does not produce any out-of-scope merchandise on the same machinery and equipment as scope merchandise then the "overall production capacity" numbers reported in this question should be exactly equal to the "average production capacity" numbers reported in question II-8. If, however, your firm does produce out-of-scope merchandise using the same machinery and equipment as scope merchandise, then the "average production capacity" reported in question II-8 should exclude the portion of "overall production capacity" that was used to produce this out-of-scope merchandise.

"Production" -- All production in your establishment(s) in China, including production consumed internally within your firm.

II-3a. **Production using same machinery.--Continued**.

Quantity (in units)						
	Calendar year			January-Se	January-September	
Item	2017	2018	2019	2019	2020	
Overall production capacity ¹						
Production of:						
Small vertical shaft engines ²	0	0	0	0	0	
Other products ³						
Total production using same machinery or workers	0	0	0	0	0	
Thachinery of workers 0 0 0 0 0						

¹ Data reported for capacity (first line) should be greater than data reported for total production (last line).

II-3b. **Operating parameters.--**The production capacity reported in II-3a is based on the following operating parameters:

Hours per week	Weeks per year

II-3c.	<u>Capacity calculation.</u> Please describe the methodology used to calculate overall production capacity reported in II-3a, and explain any changes in reported capacity.
II-3d.	<u>Production constraints</u> Please describe the constraint(s) that set the limit(s) on your firm's production capacity.

² Data entered for production of small vertical shaft engines will populate here once reported in question II-8.

³ Please identify these products: _____.

No	Yes	If yes—(i.e., have produced other products or are able to produce other products) Please identify other actual or potential products.
	between p	cribe the factors that affect your firm's ability to shift production capacity roducts (e.g., time, cost, relative price change, etc.), and the degree to which ers enhance or constrain such shifts.
Share of	salesW	nat percentage of your firm's total sales in its most recent fiscal year was
represer Firm's e of small	nted by sale stimated sl vertical sha	hat percentage of your firm's total sales in its most recent fiscal year was es of small vertical shaft engines? percent. hare of production in ChinaPlease estimate the percentage of total production in the country specified on the certification page accounted for tion in 2019 percent.
Firm's e of small your firm's e the Unit	stimated sl vertical shan's product stimated sl ed States o	es of small vertical shaft engines? percent. hare of production in ChinaPlease estimate the percentage of total production in the country specified on the certification page accounted for
Firm's e of small your firm's e the Unit page acc	stimated sl vertical shan's product stimated sl ed States of counted for	hare of production in ChinaPlease estimate the percentage of total production in the country specified on the certification page accounted for tion in 2019 percent. hare of country's exportsPlease estimate the percentage of total exports of small vertical shaft engines from the country specified on the certification
Firm's e of small your firm's e the Unit page acc	stimated sl vertical shan's product stimated sl ed States of counted for	hare of production in ChinaPlease estimate the percentage of total production in the country specified on the certification page accounted for tion in 2019 percent. hare of country's exportsPlease estimate the percentage of total exports of small vertical shaft engines from the country specified on the certification by your firm's exports in 2019 percent. e actionsIs the small vertical shaft engines exported by your firm subject

II-8. <u>Trade data</u>.--Report your firm's production capacity, production, shipments, and inventories related to the production of small vertical shaft engines in your establishment(s) in China during the specified periods. Do not include resales of small vertical shaft engines that your firm did not produce in this question; those data to the degree they are exported to the United States should only be reported in question II-9.

<u>Do not submit data by manufacturing facility if they are in the same country.</u> If your firm has multiple manufacturing establishments within China, you are required to combine data for those establishments within one foreign producer questionnaire response.

"Average production capacity" or "capacity" –The level of production that your establishment(s) could reasonably have expected to attain during the specified periods for all products manufactured in that establishment using the same manufacturing equipment. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup; and a typical or representative product mix).

"Production" -- All production in your establishment(s) in China, including production consumed internally within your firm.

"Shipments"--Shipments of products produced in your establishment(s) in China. Quantities reported should be net of returns.

"Home market commercial shipments"--Shipments, other than internal consumption and transfers to related firms, within China.

"Home market internal consumption/transfers to related firms"--Shipments made to related firms in China, including product consumed internally by your firm.

"Export shipments"--Shipments to destinations outside of the country indicated on page 1 (China), including shipments to related firms.

"Inventories"--Finished goods inventory, not raw materials or work-in-progress.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.

II-8. <u>Trade data</u>.--*Continued*.

	(Quantity (<i>in</i>	units)					
	Actual experience							
	Calendar year Janua			January-S	eptember	Calend	ar year	
Item	2017	2018	2019	2019	2020	2020	2021	
Average production capacity ² (A)								
Beginning-of-period inventories (B)								
Production (C)								
Home market shipments: Internal consumption/ transfers (D)								
Commercial shipments (E)								
Exports to the United States (F)								
Exports to all other markets ³ (G)								
Total exports (H) (should equal F+G)	0	0	0	0	0	0	0	
Total shipments (I) (should equal D+E+F+G)	0	0	0	0	0	0	0	
End-of-period inventories (J)								
¹ Please explain the basis for your firm ² The production capacity reported is be methodology used to calculate production ³ Identify principal other export marke	pased on opera capacity, and	ating h	•			Please desc	ribe the	

RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY.--Generally, the data reported for the end-of-period inventories (i.e., line J) should be equal to the beginning-of-period inventories (i.e., line B), plus production (i.e., line C), less total shipments (i.e., lines D, E, F, and G). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

	Actual experience						Projections	
	Calendar year			January-	September	Calendar year		
Item	2017	2018	2019	2019	2020	2020	2021	
B + C - D - E - F - G - J = should equal								
zero ("0") or provide an explanation.1	0	0	0	0	0	0	0	
¹ Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate:								

II-9. <u>Exports to the United States not produced by your firm</u>.--Report your firm's exports to the United States of small vertical shaft engines that was produced in China but not by your firm during the specified periods. Note these data should <u>not</u> be included in question II-8.

Quantity (in units)								
	Actual experience Projections							
	(Calendar yea	Calendar year					
Item	2017	2018	2019	2019	2020	2020	2021	
Exports of small vertical shaft engines to the United States not produced by your firm ¹ (K)								
¹ List the producer(s)	''							

II-10. <u>Exports to the United States by product type</u>.--Report your firm's exports to the United States of small vertical shaft engines by product type.

Quantity (in units)								
		Ac	Projections					
		Calendar year January-September						
Item	2017	2018	2019	2019	2020	2020	2021	
Exports to the United States: Unmounted (L)								
Mounted (M)								

<u>RECONCILIATION OF EXPORTS TO THE UNITED STATES BY PRODUCT TYPE.</u>—The quantity data reported for the exports to the United States (i.e., lines F and K) should be equal to the exports reported in this question (i.e., lines L and M). If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission

	Actual experience						Projections	
	C	alendar yea	ar	January-September		Calendar year		
Item	2017 2018 2019			2019	2020	2020	2021	
Quantity: L + M - F - K =								
should equal zero ("0"), if not								
revise.	0	0	0	0	0	0	0	

II-11.	Other explanations If your firm would like to further explain a response to a question in Part II
	for which a narrative box was not provided, please note the question number and the
	explanation in the space provided below. Please also use this space to highlight any issues your
	firm had in providing the data in this section, including but not limited to technical issues with
	the MS Word questionnaire.

<u>- </u>		
		1

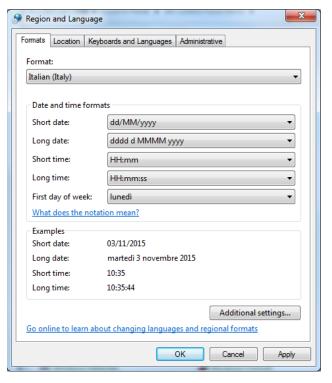
Correcting Valid number error messages. -- If you are completing a Commission questionnaire in a country that uses periods (".") to delineate multiples of 1000 (e.g., one million would appear as \$1.000.000 instead of as \$1,000,000), you may be unable to enter in numbers greater than 999 in numeric form fields. This issues stem from your computer number formatting setting (e.g., not the MS Word document itself, but the computer from which you are opening up the document). In the United States commas (,) delineate multiples of 1000 and periods (.) delineate fractions less than one. Many EU countries use the reverse where multiples of 1000 are delineated with periods (.) and fractions less than one are delineated with commas (,). The U.S. International Trade Commission's questionnaires are setup in the United States with the U.S. number formatting. When this formatting interacts with a computer set to EU number formatting, we believe this may cause this issue.

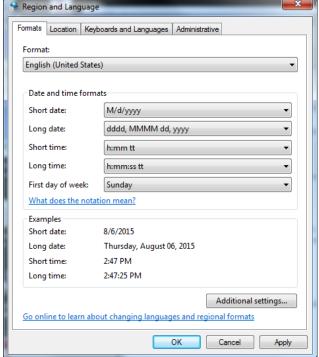
The solution to this data entry issue is to temporarily change your operating system's number formatting to be consistent with the U.S. number formatting system while you complete the questionnaire.

To temporarily change your computer's number settings to U.S. settings, please do the following (for Microsoft Windows Operating system):

- START
- Control Panel
- Region and Language (under Clock, Language, and Region category)
- Format tab
- Change the Format from your existing one (e.g. "Italian (Italy)") to "English (United States)" (see screen shots below)

When you do this the number "twelve million dollars and thirty five cents" would change from \$12.000.000,35 (Italy format) to \$12,000,000.35 (U.S. format), and then there will be no conflict with the USITC foreign producer questionnaire form. When you finish reporting the data then you can close the questionnaire and switch back to Italy settings.





HOW TO FILE YOUR QUESTIONNAIRE RESPONSE

This questionnaire is available as a "fillable" form in MS Word format on the Commission's website at:

https://www.usitc.gov/investigations/701731/2020/small_vertical_shaft_engines_china /final.htm

Please do not attempt to modify the format or permissions of the questionnaire document. Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

• <u>Upload via Secure Drop Box</u>.—Upload the MS Word questionnaire along with a scanned copy of the signed certification page (page 1) through the Commission's secure upload facility:

Web address: https://dropbox.usitc.gov/oinv/ Pin: SMENG

• E-mail.—E-mail your questionnaire to Charles.Cummings@usitc.gov; include a scanned copy of the signed certification page (page 1). Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure drop-box system and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm's nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.

If your firm did not produce or export this product, please fill out page 1, print, sign, and submit a scanned copy to the Commission.

Parties to this proceeding.—If your firm is a party to this proceeding, you are required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission's Secretary (202-205-1803). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR § 207.7). Service of the questionnaire must be made in paper form.