



**Fishing Year 2020**  
 (April 1, 2020 – March 31, 2021)  
**Sea Scallop Access Area Allocation Exchange Application**  
**Full-Time**

**Instructions:** This form must be used to request a Sea Scallop Access Area allocation exchange. One form must be used for each exchange. Vessel operators may not start an Access Area trip requiring the allocation requested below until vessel owners receive written notice that the request has been approved. Written approval or disapproval of the request will be provided within 15 days of receipt of this form. Please read the 'Scallop Access Area Allocation Exchange Program Requirements and Restrictions' included with this form for more information.

**Full-time vessels:** Access area allocation may only be exchanged between full-time vessels in 9,000 pounds increments. Full-time vessels can only trade with other full-time vessels (categories: 2, 5 and 7). Full-time vessels can exchange a quantity of 9,000 pounds increments of unharvested scallops, from one access area for another a quantity of 9,000 pounds increments of unharvested scallops to another vessel from another access area

<p><b>Full Time Vessel A's Permit Information</b></p> <p>Name: _____</p> <p>Business Name: _____</p> <p>F/V: _____</p> <p>Permit Number: _____</p>	<p><b>Full Time Vessel B's Permit Information</b></p> <p>Name: _____</p> <p>Business Name: _____</p> <p>F/V: _____</p> <p>Permit Number: _____</p>
<p>Vessel A will give one access area allocation in 9,000 lb increments below to Vessel B (Check only one)</p> <p><input type="checkbox"/> <i>MAA 9,000 lb X</i> _____ = _____ <i>lbs</i></p> <p><input type="checkbox"/> <i>CAI/Flex/ 9,000 lb X</i> _____ = _____ <i>lbs</i></p> <p><input type="checkbox"/> <i>CAII / 9,000lb X</i> _____ = _____ <i>lbs</i></p> <p><input type="checkbox"/> <i>NLS-N / 9,000 lb X</i> _____ = _____ <i>lbs</i></p> <p><input type="checkbox"/> <i>NLS-S / 9,000lb X</i> _____ = _____ <i>lbs</i></p>	<p>Vessel B will equally give one access area allocation in 9,000 lb increments below to vessel A (Check only one)</p> <p><input type="checkbox"/> <i>MAA 9,000 lb X</i> _____ = _____ <i>lbs</i></p> <p><input type="checkbox"/> <i>CAI/Flex/ 9,000 lb X</i> _____ = _____ <i>lbs</i></p> <p><input type="checkbox"/> <i>CAII / 9,000 lb X</i> _____ = _____ <i>lbs</i></p> <p><input type="checkbox"/> <i>NLS-N / 9,000 lb X</i> _____ = _____ <i>lbs</i></p> <p><input type="checkbox"/> <i>NLS-S / 9,000lb X</i> _____ = _____ <i>lbs</i></p>

**Access Area Codes:** MAA = Mid-Atlantic Area; CA1 FLEX = Closed Area 1 Flex; CA II = Closed Area 2; NLS-N = Nantucket Lightship North; and NLS-S; = Nantucket Lightship South Deep

<p>Signed: _____                  (Vessel Owner A)</p> <p>Dated: _____</p>	<p>Signed: _____                  (Vessel Owner B)</p> <p>Dated: _____</p>
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Signature of this form certifies that the information provided on this form is true, complete and correct to the best of the vessel owner's knowledge, and made in good faith (18 U.S.C. 1001). Making a false statement on this form is punishable by law.

A Federal agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with an information collection subject to the requirements of the Paperwork Reduction Act of 1995 unless the information collection has a currently valid OMB Control Number. The approved OMB Control Number for this information collection is 0648-0491. Without this approval, we could not conduct this information collection. Public reporting for this information collection is estimated to be approximately 5 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the information collection. All responses to this information collection are mandatory. Send comments regarding this burden estimate or any other aspect of this information collection, including suggestions for reducing this burden to NMFS at: 55 Great Republic Drive, Gloucester, MA 01930

This summary is not intended to be a substitute for the regulations. The regulations regarding the Scallop Access Area Allocation Exchange Program are located at 50 CFR 648.59(b)(3)(ii).

Full Time Vessel's Access area allocation exchange restrictions.

- Access area allocation may only be exchanged between full-time vessels in 9,000 pounds increments. Full-time vessel can only trade with other full-time vessels (categories: 2, 5 and 7). Full-time vessels can exchange a quantity of 9,000 pounds increments of unharvested scallops, from one access area for another a quantity of 9,000 pounds increments of unharvested scallops to another vessel from another access area.
- Limited Access scallop permits that are in confirmation of permit history (CPH) or history retention status are **not** eligible for access area allocation exchanges.
- Vessel owners must request exchanges by submitting a complete access area allocation exchange at least 15 days before the date on which the applicant desires the exchange to be effective.
- The Regional Administrator has final approval authority for all access area allocation exchange requests.

Application requirements.

- The application must be signed by both parties involved in the access area allocation exchange.
- Applications may be submitted at any time during the scallop fishing year.
- Applications received after March 31, 2020 will not be accepted.
- Applications must be submitted at least 15 days before the date on which the applicants desire to have the allocation exchange effective.

Application information.

- Specific vessel information obtained on this form is considered confidential in that the vessel would identity would not be released to the public.

Approval of access area allocation exchange applications.

- Unless an application is denied for the reasons below, the Regional Administrator shall issue confirmation of application approval to both parties involved in the exchange within 15 days of receipt of an application.

Denial of access area allocation exchange application. An application to exchange access area allocation may be rejected for, but not limited to, the following reasons:

- The application is incomplete.
- One or both of the vessels are not issued a valid full-time scallop DAS permit.
- One or both of the applicants have been sanctioned, pursuant to an enforcement proceeding.
- One or both of the vessels do not have a full and equal access area allocation available to enact the exchange.
- The transferor or transferee has failed to submit past due Vessel Trip Reports or comply with vessel trip reporting requirements.

Upon denial of an application to exchange access area allocation a denial letter will be sent to the applicants describing the reason(s) for the rejection.

Scallop access area allocation exchange request submission.

- Access area allocation exchange request forms may be submitted by mail to:

NOAA's National Marine Fisheries Service  
Attn: Permits  
55 Great Republic Drive  
Gloucester, MA 01930

- You may also submit the form to our scallop email [nmfs.gar.scallop@noaa.gov](mailto:nmfs.gar.scallop@noaa.gov); or
- By fax (978) 281-9161
- Emailed applications must be submitted with signatures and date in PDF format.