

**DEPARTMENT OF THE TREASURY**  
**ALCOHOL AND TOBACCO TAX AND TRADE BUREAU (TTB)**  
**MONTHLY REPORT OF PROCESSING OPERATIONS**

1. PLANT NUMBER

2. MONTH AND YEAR

1. Every proprietor engaged in processing operations must prepare this form each month in duplicate.
2. The proprietor must forward the original to the Director, National Revenue Center, 550 Main St, Ste 8002, Cincinnati, OH 45202-5215, on or before the 15th day of the month following the month for which prepared.
3. The copy is to be kept on file by the proprietor.

3. NAME OF PROPRIETOR

5. Employer Identification Number (EIN)

4. LOCATION OF PLANT

**PART I - BULK INGREDIENTS**

**PART II - FINISHED PRODUCTS**

PART I - BULK INGREDIENTS			PART II - FINISHED PRODUCTS		
TRANSACTION (a)	WINE (Proof gallons) (b)	SPIRITS (Proof gallons) (c)	TRANSACTION (a)	BOTTLED (Proof gallons) (b)	PACKAGED (Proof gallons) (c)
1. ON HAND FIRST OF MONTH			27. ON HAND FIRST OF MONTH		
2. RECEIVED (Other than line 3)			28. BOTTLED OR PACKAGED		
3. ALCOHOL FOR FUEL USE RECEIVED FROM CUSTOMS CUSTODY			29. RECEIVED		
4. ALCOHOL, FLAVOR, MATERIALS DUMPED			30. INVENTORY OVERAGES		
5. WINE MIXED WITH SPIRITS			31. TOTAL - LINES 27 THROUGH 30		
6. DUMPED FOR FURTHER PROCESSING			32. TRANSFERRED IN BOND		
7. GAINS			33. WITHDRAWN TAX DETERMINED		
8. TOTAL - LINES 1 THROUGH 7			34. WITHDRAWN FREE OF TAX: FOR U.S. HOSPITAL, SCIENTIFIC, OR EDUCATIONAL USE		
9. BOTTLED OR PACKAGED			35. WITHDRAWN WITHOUT PAYMENT OF TAX: FOR ADDITION TO WINE		
10. WINE MIXED WITH SPIRITS			36. FOR EXPORTATION, VESSELS, AND AIRCRAFT, AND TRANSFER TO C.B.W.		
11. USED FOR DENATURATION			37. TRANSFERRED TO PRODUCTION ACCOUNT FOR REDISTILLATION		
12. TRANSFERRED IN BOND			38. WITHDRAWN FOR RESEARCH, DEVELOPMENT, OR TESTING (Including Gov. samples)		
13. WITHDRAWN TAX DETERMINED			39. DESTROYED		
14. WITHDRAWN FREE OF TAX: FOR U.S., HOSPITAL, SCIENTIFIC, OR EDUCATIONAL USE			40. DUMPED FOR FURTHER PROCESSING		
15. WITHDRAWN WITHOUT PAYMENT OF TAX: FOR ADDITION TO WINE			41.		
16. FOR EXPORTATION & TRANSFER TO C.B.W.			42.		
17. TRANSFERRED TO PRODUCTION ACCOUNT FOR REDISTILLATION			43.		
18. WITHDRAWN FOR RESEARCH, DEVELOPMENT, OR TESTING (Including Gov. samples)			44. RECORDED LOSSES		
19. DESTROYED			45. INVENTORY SHORTAGES		
20. USED FOR REDISTILLATION			46. ON HAND END OF MONTH		
21.			47. TOTAL - LINES 32 THROUGH 46		
22.					
23.					
24. LOSSES					
25. ON HAND END OF MONTH					
26. TOTAL - LINES 9 THROUGH 25					

<sup>1</sup>Bottled nonindustrial (beverage) use spirits may not be received or transferred in bond.

**PART III - PUERTO RICAN AND VIRGIN ISLANDS SPIRITS (RUM) AND "OTHER" IMPORTED RUM<sup>1</sup>**

48. PROOF GALLONS REMOVED TAXPAID OR TAX DETERMINED	(a) PUERTO RICAN SPIRITS	(b) VIRGIN ISLANDS SPIRITS	(c) "OTHER" IMPORTED RUM
	PART IV - PROCESSING OF BEVERAGE (Nonindustrial use) SPIRITS		

KIND  (a)	BULK SPIRITS DUMPED INTO PROCESSING <sup>2</sup> <i>(Whole proof gallons)</i>  (b)	BOTTLED - IMPORTED <sup>3</sup> <i>(Whole proof gallons)</i>  (c)	BOTTLED (Excluded bottled in bond and export) <i>(Whole wine gallons)</i> (d)	BOTTLED IN BOND <sup>4</sup> 27 CFR 5.42(b) (Exclude export) <i>(Whole wine gallons)</i> (e)	BOTTLED FOR EXPORT <i>(Whole wine gallons)</i> (f)
49. ALCOHOL AND NEUTRAL SPIRITS (Other than vodka)					
50. BLENDED STRAIGHT WHISKEY <sup>5</sup>					
51. BLENDED WHISKEY:					
a. With neutral spirits <sup>6</sup>					
b. With light whiskey <sup>7</sup>					
52. BLENDED LIGHT WHISKEY <sup>8</sup>					
53. ANY OTHER BLENDS OF 100% WHISKEY					
54. IMPORTED WHISKEY:					
a. Scotch					
b. Canadian					
c. Irish and Others					
55. DOMESTIC WHISKEY DISTILLED AT 160° AND UNDER					
56. DOMESTIC WHISKEY DISTILLED AT OVER 160°					
57. BRANDY DISTILLED AT 170° AND UNDER					
58. BRANDY DISTILLED AT OVER 170°					
59. SPIRITS (Rum) <sup>1</sup>					
a. Puerto Rican					
1b. Virgin Islands					
60. RUM					
a. Domestic					
b. "Other" Imported					
61. GIN					
62. VODKA					
63. CORDIALS, LIQUEURS, AND SPECIALTIES <sup>9</sup>					
64. COCKTAILS AND MIXED DRINKS					
65. TEQUILA					
66.					
67. TOTAL - LINES 49 THROUGH 66					

**UNDER PENALTIES OF PERJURY, I DECLARE that I have examined this report and, to the best of my knowledge and belief, it is a true and complete report.**

DATE	PROPRIETOR	BY (Signature and Title)
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<sup>1</sup>Only products containing at least **92% RUM** should be recorded at line 48(a) and (b), and at lines 59 a and b.

<sup>2</sup>Do not include spirits that have been previously reported as dumped on dump/batch records and retained in processing (27 CFR 19.343).

<sup>3</sup>Include spirits from Puerto Rico and Virgin Islands. Imported spirits that are not allowed to be labeled as a product of a foreign country, Puerto Rican, or Virgin Islands spirits under 27 CFR Part 5 must be reported as domestic spirits.

<sup>4</sup>Bottled in bond spirits are those that conform to the standard prescribed by 27 CFR 5.42(b) and are labeled with the words "bond" "bottled in bond" "aged in bond" or similar phrases. Do not include imported spirits.

<sup>5</sup>Blends of straight whiskies, all of which are 2 years old or older; no neutral spirits; no light whiskey.

<sup>6</sup>Blended whiskey, containing at least 20% straight whiskey, and the balance, neutral spirits.

<sup>7</sup>Blended whiskey containing at least 20% straight whiskey, and the balance, light whiskey.

<sup>8</sup>Light whiskey blended with more than 2% but less than 20% straight whiskey; no neutral spirits.

<sup>9</sup>Includes flavored whiskies, gins, vodkas, brandies, etc.

#### **PAPERWORK REDUCTION ACT NOTICE**

This information request is in accordance with the Paperwork Reduction Act of 1995. The purpose of this information collection is to monitor the operation of industries regulated by TTB. The information is required to verify unusual activities, errors, and omissions on taxable commodities. The information is mandatory by statute. (26 U.S.C. 5207).

The estimated average burden associated with this collection of information is 2 hours per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be addressed to the Reports Management Officer, Regulations and Rulings Division, Alcohol and Tobacco Tax and Trade Bureau, 1310 G Street, NW., Box 12, Washington, D.C. 20005.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a current, valid OMB control number.