



Client	ICF
Project Name	CEEN Triad 1099 Recruit
Project Number	8695
Survey length (median)	5 minute survey
Population	Age 18+, screen for small business/
Pretest	N/A
Main	N=100
MODE	Web-only
Language	English
Sample Source	AmeriSpeak
Incentive	1,000
Survey description	2021 Customer Experiences, Expectations, and Needs Triad 1099 Recruitment
Eligibility Rate	100%

PHONE SCRIPTS: NONE, SURVEY IS WEB-ONLY

Please include the following options for all questions in CATI:

77 DON'T KNOW
99 REFUSED

Please code refusals in CAWI:

98 IMPLICIT REFUSAL, WEB SKIP

Do not code 77 Don't Know/99 Refused options in CAWI unless written in item response options

Text shown in green includes researcher notes and should not be included in the programming.

[START OF SURVEY]

CREATE DATA-ONLY VARIABLE: QUAL

1=Qualified Complete
2=Not Qualified
3=In progress

AT START OF SURVEY COMPUTE QUAL=3 "IN PROGRESS"

CREATE MODE_START

1=CATI
2=CAWI

PROGRAMMING NOTE:

Please add a global footer text to all screens

[center – remove bold] OMB Control Number: 1545-1349

[DISPLAY – WINTRO_1]

Thank you for agreeing to participate in our new AmeriSpeak survey!

This survey is about taxpayer experiences.

To thank you for sharing your opinions, we will give you a reward of [INCENTWCOMMA] AmeriPoints after completing the survey. As always, your answers are confidential.

Please use the "Continue" and "Previous" buttons to navigate between the questions within the questionnaire. Do not use your browser buttons.

[MP, PROMPT]

S1.

Have you ever been employed by any of the following?

- 1 Tax services industry (as an enrolled agent, certified public accountant, tax attorney, or other tax professional) [TERMINATE]
 - 2 Department of the Treasury, including the Internal Revenue Service [TERMINATE]
 - 3 Small Business Association [TERMINATE]
 - 4 Social Security Administration [TERMINATE]
 - 5 None of the above
-

[MP, PROMPT]

S2.

During 2020, did any of the following apply to you?

[SPACE]

Select *<i>all</i>* that apply.

1. Owned/operated a Sole Proprietorship
 2. Were a member of a Business Partnership as defined by the IRS with assets of less than \$10 million
 3. Owned/operated a Limited Liability Company (LLC) with assets of less \$10 million
 4. Owned/operated an S corporation with assets of less than \$10 million
 5. None of these [TERMINATE]
-

[SP]

S3.

Has your business filed Forms 1099?

- 1 Yes
 - 2 No [TERMINATE]
 - 77 Don't know [TERMINATE]
-

[SP]

S4.

How many Forms 1099 do you file per year (Annual average over the past 3 years)?

- 1 9 or fewer per year
- 2 10 to 249 per year
- 3 250 or more per year
- 77 Don't know [TERMINATE]

[SP]

S5.

How do you (or your tax professional) generally file Forms 1099 with the IRS?

- 1 E-File through Filing Information Returns Electronically (FIRE) system
 - 2 Paper
 - 3 Third-party software
 - 4 Other, please specify: [SMALL TEXTBOX]
 - 5 Don't know [TERMINATE]
-

[MP]

S6.

What type(s) of 1099 form(s) do you file? Please select all that apply.

- 1 1099-A: Acquisition or Abandonment of Secured Property
 - 2 1099-B: Proceeds from Broker and Barter Exchange Transactions
 - 3 1099-C: Cancellation of Debt
 - 4 1099-CAP: Changes in Corporate Control and Capital Structure
 - 5 1099-DIV: Dividends and Distributions
 - 6 1099-G: Government Payments
 - 7 1099-INT: Interest Income
 - 8 1099-K: Merchant Card and Third-Party Network Payments
 - 9 1099-LTC: Long-Term Care Benefits
 - 10 1099-LS: Reportable Life Insurance Sale
 - 11 1099-MISC: Miscellaneous Income
 - 12 1099-NEC: Non-Employee Compensation
 - 13 1099-OID: Original Issue Discount
 - 14 1099-PATR: Taxable Distributions Received From Cooperatives
 - 15 1099-Q: Payment from Qualified Education Programs
 - 16 1099-QA: Distributions from ABLE Accounts
 - 17 1099-R: Distributions from Pensions, Annuities, Retirement Plans, IRAs, or Insurance Contracts
 - 18 1099-S: Proceeds from Real Estate Transactions
 - 19 1099-SA: Distributions From an HSA, Archer MSA, or Medicare Advantage MSA
 - 20 1099-SB: Social Security Benefit Statement
 - 21 Other, please specify: [SMALL TEXTBOX]
 - 77 Don't know [SINGLE SELECT]
-

[MP]

S7.

How old is your current business/company? If you have more than one small business, select all that apply.

- 1 Less than one year old
 - 2 1-5 years old
 - 3 6-10 years old
 - 4 11-20 years old
 - 5 21+ years old
-

S8.

Please select the industry that is the closest match for your business. If you have more than one small business, select all that apply.

- 1 Accommodation and Food Services
 - 2 Administrative and Support and Waste Management and Remediation Services
 - 3 Agriculture, Forestry, Fishing and Hunting
 - 4 Arts, Entertainment, and Recreation
 - 5 Construction
 - 6 Educational Services
 - 7 Finance and Insurance
 - 8 Health Care and Social Assistance
 - 9 Information Technology
 - 10 Management of Companies and Enterprises
 - 11 Manufacturing
 - 12 Mining
 - 13 Personal Services (personal care, automotive, laundry, etc.)
 - 14 Professional, Scientific, and Technical Services
 - 15 Public Administration
 - 16 Real Estate Rental and Leasing
 - 17 Retail Trade
 - 18 Religious, civic or professional organizations
 - 19 Transportation and Warehousing
 - 20 Utilities
 - 21 Wholesale Trade
 - 22 Other, please specify: [\[SMALL TEXTBOX\]](#)
-

[DISPLAY1]

We want to find out about your experience with preparing and filing 1099 tax forms. We are partnering with the consulting firm ICF on behalf of the IRS to provide feedback on a survey being developed on this topic by inviting a small number of AmeriSpeak panelists to participate in some focus groups via phone and web.

For the IRS to speak with the public, we are required to have approval from the Office of Management and Budget. Their approval number for this project is **1545-1349**. If you have any comments regarding this study, please write to: IRS, Special Services Committee, SE:W:CAR:MP:T:M:S – Room 6129, 1111 Constitution Avenue, NW, Washington, DC 20224.

[DISPLAY2]

If you would like to participate and are selected, you will participate in a call with a few other AmeriSpeak panelists and a researcher from ICF.

The focus group will take about an hour to complete and panelists who participate will receive <u>75,000 AmeriSpeak points (worth \$75!)</u>.

If you get to participate in this focus group, AmeriSpeak will add 75,000 AmeriPoints to your balance within 4-6 weeks after your interview.

[SP; PROMPT]

S9.

Are you willing and available to participate in this focus group?

The ICF researcher will be sharing images on a screen that you will need to be able to view during the focus group. You will need to have access to a phone and a computer connected to the internet at the time of the interview.

You will get a chance to tell us the best date/times for a focus groups with a researcher from ICF on the following screens.

1. Yes
2. No **[TERMINATE]**

S10.

While we may not be able to accommodate everyone's preferences, we would like to know the most convenient time for you to participate in the one-hour focus group.

Which days and times are you available to participate? Keep in mind, you will want 1 hour in a quiet place with access to the internet. You will need to be able to look at a screen (preferably on a screen larger than your smartphone) while also talking to the interviewer on the phone. You will be reviewing materials on the screen, while chatting on the phone!

Please select all your available dates and times.

Date	[time1]	[time2]	[time3]	[time4]	[time5]	[time6]
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

[DISPLAY3]

Thank you for your interest in participating in a focus group with researchers from ICF!

If you are selected, AmeriSpeak Support will send you email to let you know the date and information needed to join the focus group at the selected time. Be sure to look out for an email so you don't miss out on this opportunity to help us understand the experiences of small businesses across the country!

If you get to participate in this focus group, AmeriSpeak will add 75,000 AmeriPoints to your balance within 4-6 weeks after your interview.

Please click "Continue" to finish your interview today.

Email reminder #1 to be sent to recruited panelists (sent by NORC)

Email subject: You're in! Upcoming focus group confirmation (worth \$75!)

Email body:

Hello [FIRSTNAME],

Thank you for your interest in participating in a one-hour focus group! You have been selected to participate in the focus group on:

[DATE] at [TIME], your local time.

After you participate in the focus group, you will earn 75,000 AmeriPoints (worth \$75!).

Please make sure you are in a quiet place to talk during your scheduled focus group time.

Before your interview time, please review the consent document. In order to participate, you must read these before your interview on **[DATE] at [TIME]**.

[Link to Informed Consent](#)

Sincerely,

The AmeriSpeak Tax Tools Survey Team

Email reminder #2 to be sent to recruited panelists (sent by NORC)

Email subject: Your telephone focus group with ICF is here!

Email body:

Hello [FIRSTNAME],

Thanks again for your interest in participating in this exclusive opportunity for AmeriSpeak panelists. As a reminder, your focus group is TODAY at:

[TIME], your local time.

Please be sure to join the dial in for your group at that time, using the following information:

(XXX) XXX-XXXX

When prompted to enter a passcode, please enter:

XXXXXX#

Please make sure you are in a quiet place to talk. For participating in this one-hour telephone focus group, you will receive 75,000 AmeriPoints (worth \$75)!

Sincerely,

The AmeriSpeak Tax Tools Survey Team