

Attachment B2: Consent Form for ADVANCE Surveys

1. Single Institution Organizational Change (SIOC) Ended Survey

CONSENT FORM FOR SIOC ENDED SURVEY

Dr. *[Last Name pre-populated]*, representative of *[Institution pre-populated]* with ADVANCE Award ID *[Award # pre-populated]* for *[Funding track pre-populated]*.

PURPOSE OF THE EVALUATION: This evaluation examines the sustainability of **ADVANCE strategies** after funding ended, as well as the diffusion of these strategies. The findings will inform management and enable programmatic changes. In addition, the findings will inform the development of other NSF programs focusing on sustainability and diffusion.

PURPOSE OF THE SURVEY: The survey gathers information on **formal policies** and/or **procedures, infrastructure mechanisms, and accountability structures** that existed at the institution **before** the ADVANCE grant, as well as **ADVANCE strategies** that awardees **worked on during** the grant that **continued after** the it ended. Additional questions will gather information on efforts to disseminate **ADVANCE strategies** and products (e.g., **toolkits, materials, and resources**) developed with the ADVANCE grant. Survey data will supplement information extracted from proposals, annual reports, and previous ADVANCE studies.

PROCEDURES: The survey should take approximately 45 minutes. To assist you in responding to the questions, we recommend that you have access to annual reports, project notes, evaluation reports, project outcomes report, and any other material associated with ADVANCE Award ID *[Award # pre-populated]*.

BENEFITS: Survey responses will help NSF leadership and ADVANCE program staff increase their understanding of changes regarding gender equity strategies at the awardee institution and efforts to disseminate these strategies within and outside the institution. In addition, survey responses will benefit the ADVANCE awardee community and future applicants by providing information on lasting strategies that address systemic inequities in the academic profession and workplaces.

VOLUNTARY PARTICIPATION: We appreciate your effort in setting aside time to complete the survey if you so choose; however, you are under no obligation to participate. If you choose not to participate, there will be no impact on your current NSF awards or your ability to obtain future NSF awards.

RISKS: We do not anticipate any risks of participating in the survey as we have taken steps to minimize breach of confidentiality (outlined below). Your decision to participate will not affect current or future relations with the NSF.

CONFIDENTIALITY: Survey responses will be kept confidential and protected on a secure server. Only designated NSF ADVANCE staff and the evaluation contractor, Windrose Vision, will have access to the data for analysis purposes. Your personally identifiable information (PII) will be removed from your survey responses prior to analysis and will not be publicly shared. Survey results will be reported in aggregate form, for example by category of institution/organization or by geographic region.

CONTACT INFORMATION: For additional information about the evaluation and/or survey, please contact Dr. Jessie DeAro (jdearo@nsf.gov) at NSF, or Dr. Madeleine Wallace from Windrose Vision (the NSF evaluation contractor) at windrose_ADVANCE@windrosevision.com (Telephone number: 703-766-4674).

CONSENT TO PARTICIPATE: By clicking “I consent to participate in the survey” below you are indicating that you have read the consent form and agree to participate in the survey. The survey will be open until *[MM/DD/YYYY pre-populated]* (11:59 pm Eastern Daylight Time).

Do you consent to participate in this survey?

- a. I consent to participate in the survey.....(*Go to Single Institution Organizational Change Ended Survey*)
- b. I do not consent to participate in the survey.....(*End*) *Thank you.*

2. Single Institution Organizational Change (SIOC) Ongoing Survey

CONSENT FORM FOR SIOC ONGOING SURVEY

Dr. *[Last Name pre-populated]*, representative of *[Institution pre-populated]* with ADVANCE Award ID *[Award # pre-populated]* for *[Funding track pre-populated]*.

PURPOSE OF THE EVALUATION: This evaluation examines the sustainability of **ADVANCE strategies** after funding ends, as well as the diffusion of these strategies. The findings will inform management and enable programmatic changes. In addition, the findings will inform the development of other NSF programs focusing on sustainability and diffusion.

PURPOSE OF THE SURVEY: The survey gathers information on **formal policies** and/or **procedures**, **infrastructure mechanisms**, and **accountability structures** that existed at the institution **before** the ADVANCE grant, as well as **ADVANCE strategies** that awardees **worked on during** the grant. Additional questions will gather information on efforts to disseminate **ADVANCE strategies** and products (e.g., **toolkits**, **materials**, and **resources**) developed with the ADVANCE grant. Survey data will supplement information extracted from proposals, annual reports, and previous ADVANCE studies.

PROCEDURES: The survey should take approximately 45 minutes. To assist you in responding to the questions, we recommend that you have access to annual reports, project notes, evaluation reports, project outcomes report, and any other material associated with ADVANCE Award ID *[Award # pre-populated]*.

BENEFITS: Survey responses will help NSF leadership and ADVANCE program staff increase their understanding of changes regarding gender equity strategies at the awardee institution and efforts to disseminate these strategies within and outside the institution. In addition, survey responses will benefit the ADVANCE awardee community and future applicants by providing information on lasting strategies that address systemic inequities in the academic profession and workplaces.

VOLUNTARY PARTICIPATION: We appreciate your effort in setting aside time to complete the survey if you so choose; however, you are under no obligation to participate. If you choose not to participate, there will be no impact on your current NSF awards or your ability to obtain future NSF awards.

RISKS: We do not anticipate any risks of participating in the survey as we have taken steps to minimize breach of confidentiality (outlined below). Your decision to participate will not affect current or future relations with the NSF.

CONFIDENTIALITY: Survey responses will be kept confidential and protected on a secure server. Only designated NSF ADVANCE staff and the evaluation contractor, Windrose Vision, will have access to the data for analysis purposes. Your personally identifiable information (PII) will be removed from your survey responses prior to analysis and will not be publicly shared. Survey results will be reported in aggregate form, for example by category of institution/organization or by geographic region.

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CONSENT TO PARTICIPATE: By clicking “I consent to participate in the survey” below you are indicating that you have read the consent form and agree to participate in the survey. The survey will be open until *[MM/DD/YYYY pre-populated]* (11:59 pm Eastern Daylight Time).

Do you consent to participate in this survey?

- a. I consent to participate in the survey.....(*Go to Single Institution Organizational Change Ongoing Survey*)
- b. I do not consent to participate in the survey.....(*End*) *Thank you.*

3. Partnership Ended Survey

CONSENT FORM FOR PARTNERSHIP ENDED SURVEY

Dr. *[Last Name pre-populated]*, representative of *[Institution pre-populated]* with ADVANCE Award ID *[Award # pre-populated]* for *[Funding track pre-populated]*.

PURPOSE OF THE EVALUATION: This evaluation examines the sustainability of **ADVANCE strategies** after funding ended, as well as the diffusion of these strategies. The findings will inform management and enable programmatic changes. In addition, the findings will inform the development of other NSF programs focusing on sustainability and diffusion.

PURPOSE OF THE SURVEY: The survey gathers information on **skill development** efforts, **formal policies** and/or **procedures**, and other activities/interventions **worked on during** the grant, as well as those that **continued after** the grant ended. Additional questions will gather information on efforts to disseminate **ADVANCE strategies** and products (e.g., **toolkits, materials, and resources**) developed with the ADVANCE grant. Survey data will supplement information extracted from proposals, annual reports, and previous ADVANCE studies.

PROCEDURES: The survey should take approximately 30 minutes. To assist you in responding to the questions, we recommend that you have access to annual reports, project notes, evaluation reports, project outcomes report, and any other material associated with ADVANCE Award ID *[Award # pre-populated]*.

BENEFITS: Survey responses will help NSF leadership and ADVANCE program staff increase their understanding of changes regarding gender equity strategies at the awardee institution and efforts to disseminate these strategies within and outside the institution. In addition, survey responses will benefit the ADVANCE awardee community and future applicants by providing information on lasting strategies that address systemic inequities in the academic profession and workplaces.

VOLUNTARY PARTICIPATION: We appreciate your effort in setting aside time to complete the survey if you so choose; however, you are under no obligation to participate. If you choose not to participate, there will be no impact on your current NSF awards or your ability to obtain future NSF awards.

RISKS: We do not anticipate any risks of participating in the survey as we have taken steps to minimize breach of confidentiality (outlined below). Your decision to participate will not affect current or future relations with the NSF.

CONFIDENTIALITY: Survey responses will be kept confidential and protected on a secure server. Only designated NSF ADVANCE staff and the evaluation contractor, Windrose Vision, will have access to the data for analysis purposes. Your personally identifiable information (PII) will be removed from your survey responses prior to analysis and will not be publicly shared. Survey results will be reported in aggregate form, for example by category of institution/organization or by geographic region.

CONTACT INFORMATION: For additional information about the evaluation and/or survey, please contact Dr. Jessie DeAro (jdearo@nsf.gov) at NSF, or Dr. Madeleine Wallace from Windrose Vision (the NSF evaluation contractor) at windrose_ADVANCE@windrosevision.com (Telephone number: 703-766-4674).

CONSENT TO PARTICIPATE: By clicking “I consent to participate in the survey” below you are indicating that you have read the consent form and agree to participate in the survey. The survey will be open until *[MM/DD/YYYY pre-populated]* (11:59 pm Eastern Daylight Time).

Do you consent to participate in this survey?

- a. I consent to participate in the survey.....*(Go to Partnership Ended Survey)*
- b. I do not consent to participate in the survey.....*(End) Thank you.*

4. Single Institution Self-Assessment (SISA) Ended Survey (version A – grantee applied for another ADVANCE grant)

CONSENT FORM FOR SISA (VERSION A)

Dr. *[Last Name pre-populated]*, representative of *[Institution pre-populated]* with ADVANCE Award ID *[Award # pre-populated]* for *[Funding track pre-populated]*.

PURPOSE OF THE EVALUATION: This evaluation examines the sustainability of **ADVANCE strategies** after funding ended, as well as the diffusion of these strategies. The findings will inform management and enable programmatic changes. In addition, the findings will inform the development of other NSF programs focusing on sustainability and diffusion.

PURPOSE OF THE SURVEY: The survey gathers information on the actions taken and changes made as a result of institutional self-assessment activities during the NSF ADVANCE grant and after the grant ended. Additional questions will gather information on efforts to disseminate **ADVANCE strategies** and products (e.g., **toolkits, materials, and resources**) developed with the ADVANCE grant, if applicable. Survey data will supplement information extracted from proposals, annual reports, and previous ADVANCE studies.

PROCEDURES: The survey should take approximately 30 minutes. To assist you in responding to the questions, we recommend that you have access to annual reports, project notes, evaluation reports, project outcomes report, and any other material associated with ADVANCE Award ID *[Award # pre-populated]*.

BENEFITS: Survey responses will help NSF leadership and ADVANCE program staff increase their understanding of changes regarding gender equity strategies at the awardee institution and efforts to disseminate these strategies within and outside the institution. In addition, survey responses will benefit the ADVANCE awardee community and future applicants by providing information on lasting strategies that address systemic inequities in the academic profession and workplaces.

VOLUNTARY PARTICIPATION: We appreciate your effort in setting aside time to complete the survey if you so choose; however, you are under no obligation to participate. If you choose not to participate, there will be no impact on your current NSF awards or your ability to obtain future NSF awards.

RISKS: We do not anticipate any risks of participating in the survey as we have taken steps to minimize breach of confidentiality (outlined below). Your decision to participate will not affect current or future relations with the NSF.

CONFIDENTIALITY: Survey responses will be kept confidential and protected on a secure server. Only designated NSF ADVANCE staff and the evaluation contractor, Windrose Vision, will have access to the data for analysis purposes. Your personally identifiable information (PII) will be removed from your survey responses prior to analysis and will not be publicly shared. Survey results will be reported in aggregate form, for example by category of institution/organization or by geographic region.

CONTACT INFORMATION: For additional information about the evaluation and/or survey, please contact Dr. Jessie DeAro (jdearo@nsf.gov) at NSF, or Dr. Madeleine Wallace from Windrose Vision (the NSF evaluation contractor) at windrose_ADVANCE@windrosevision.com (Telephone number: 703-766-4674).

CONSENT TO PARTICIPATE: By clicking “I consent to participate in the survey” below you are indicating that you have read the consent form and agree to participate in the survey. The survey will be open until *[MM/DD/YYYY pre-populated]* (11:59 pm Eastern Daylight Time).

Do you consent to participate in this survey?

- a. I consent to participate in the survey.....(*Go to Single Institution Self- Assessment Ended Survey – version A*)
- b. I do not consent to participate in the survey.....(*End*) *Thank you.*

5. Single Institution Self-Assessment (SISA) Ended Survey (version B – grantee did not apply for another ADVANCE grant)

CONSENT FORM FOR SISA (VERSION B)

Dr. *[Last Name pre-populated]*, representative of *[Institution pre-populated]* with ADVANCE Award ID *[Award # pre-populated]* for *[Funding track pre-populated]*.

PURPOSE OF THE EVALUATION: This evaluation examines the sustainability of **ADVANCE strategies** after funding ended, as well as the diffusion of these strategies. The findings will inform management and enable programmatic changes. In addition, the findings will inform the development of other NSF programs focusing on sustainability and diffusion.

PURPOSE OF THE SURVEY: The survey gathers information on the actions taken and changes made as a result of institutional self-assessment activities during the NSF ADVANCE grant and after the grant ended. Additional questions will gather information on efforts to disseminate **ADVANCE strategies** and products (e.g., **toolkits, materials, and resources**) developed with the ADVANCE grant, if applicable. Survey data will supplement information extracted from proposals, annual reports, and previous ADVANCE studies.

PROCEDURES: The survey should take approximately 30 minutes. To assist you in responding to the questions, we recommend that you have access to annual reports, project notes, evaluation reports, project outcomes report, and any other material associated with ADVANCE Award ID *[Award # pre-populated]*.

BENEFITS: Survey responses will help NSF leadership and ADVANCE program staff increase their understanding of changes regarding gender equity strategies at the awardee institution and efforts to disseminate these strategies within and outside the institution. In addition, survey responses will benefit the ADVANCE awardee community and future applicants by providing information on lasting strategies that address systemic inequities in the academic profession and workplaces.

VOLUNTARY PARTICIPATION: We appreciate your effort in setting aside time to complete the survey if you so choose; however, you are under no obligation to participate. If you choose not to participate, there will be no impact on your current NSF awards or your ability to obtain future NSF awards.

RISKS: We do not anticipate any risks of participating in the survey as we have taken steps to minimize breach of confidentiality (outlined below). Your decision to participate will not affect current or future relations with the NSF.

CONFIDENTIALITY: Survey responses will be kept confidential and protected on a secure server. Only designated NSF ADVANCE staff and the evaluation contractor, Windrose Vision, will have access to the data for analysis purposes. Your personally identifiable information (PII) will be removed from your survey responses prior to analysis and will not be publicly shared. Survey results will be reported in aggregate form, for example by category of institution/organization or by geographic region.

CONTACT INFORMATION: For additional information about the evaluation and/or survey, please contact Dr. Jessie DeAro (jdearo@nsf.gov) at NSF, or Dr. Madeleine Wallace from Windrose Vision (the NSF evaluation contractor) at windrose_ADVANCE@windrosevision.com (Telephone number: 703-766-4674).

CONSENT TO PARTICIPATE: By clicking “I consent to participate in the survey” below you are indicating that you have read the consent form and agree to participate in the survey. The survey will be open until *[MM/DD/YYYY pre-populated]* (11:59 pm Eastern Daylight Time).

Do you consent to participate in this survey?

- c. I consent to participate in the survey.....(*Go to Single Institution Self- Assessment Ended Survey - version B*)
- d. I do not consent to participate in the survey.....(*End*) *Thank you.*

6. General ADVANCE Ended Survey

CONSENT FORM FOR GENERAL ADVANCE ENDED SURVEY

Dr. *[Last Name pre-populated]*, representative of *[Institution pre-populated]* with ADVANCE Award ID *[Award # pre-populated]* for *[Funding track pre-populated]*.

PURPOSE OF THE EVALUATION: This evaluation examines the sustainability of **ADVANCE strategies** after funding ended, as well as the diffusion of these strategies. The findings will inform management and enable programmatic changes. In addition, the findings will inform the development of other NSF programs focusing on sustainability and diffusion.

PURPOSE OF THE SURVEY: The survey gathers information on the skill development efforts and other activities worked on during the grant, as well as those that continued after the grant ended. Additional questions will gather information on efforts to disseminate **ADVANCE strategies** and products (e.g., **toolkits, materials, and resources**) developed with the ADVANCE grant. Survey data will supplement information extracted from proposals, annual reports, and previous ADVANCE studies.

PROCEDURES: The survey should take approximately 30 minutes. To assist you in responding to the questions, we recommend that you have access to annual reports, project notes, evaluation reports, project outcomes report, and any other material associated with ADVANCE Award ID *[Award # pre-populated]*.

BENEFITS: Survey responses will help NSF leadership and ADVANCE program staff increase their understanding of changes regarding gender equity strategies at the awardee institution and efforts to disseminate these strategies within and outside the institution. In addition, survey responses will benefit the ADVANCE awardee community and future applicants by providing information on lasting strategies that address systemic inequities in the academic profession and workplaces.

VOLUNTARY PARTICIPATION: We appreciate your effort in setting aside time to complete the survey if you so choose; however, you are under no obligation to participate. If you choose not to participate, there will be no impact on your current NSF awards or your ability to obtain future NSF awards.

RISKS: We do not anticipate any risks of participating in the survey as we have taken steps to minimize breach of confidentiality (outlined below). Your decision to participate will not affect current or future relations with the NSF.

CONFIDENTIALITY: Survey responses will be kept confidential and protected on a secure server. Only designated NSF ADVANCE staff and the evaluation contractor, Windrose Vision, will have access to the data for analysis purposes. Your personally identifiable information (PII) will be removed from your survey responses prior to analysis and will not be publicly shared. Survey results will be reported in aggregate form, for example by category of institution/organization or by geographic region.

CONTACT INFORMATION: For additional information about the evaluation and/or survey, please contact Dr. Jessie DeAro (jdearo@nsf.gov) at NSF, or Dr. Madeleine Wallace from Windrose Vision (the NSF evaluation contractor) at windrose_ADVANCE@windrosevision.com (Telephone number: 703-766-4674).

CONSENT TO PARTICIPATE: By clicking “I consent to participate in the survey” below you are indicating that you have read the consent form and agree to participate in the survey. The survey will be open until *[MM/DD/YYYY pre-populated]* (11:59 pm Eastern Daylight Time).

Do you consent to participate in this survey?

- a. I consent to participate in the survey.....*(Go to General ADVANCE Ended Survey)*
- b. I do not consent to participate in the survey.....*(End) Thank you.*

7. General ADVANCE Ongoing Survey

CONSENT FORM FOR GENERAL ADVANCE ONGOING SURVEY

Dr. *[Last Name pre-populated]*, representative of *[Institution pre-populated]* with ADVANCE Award ID *[Award # pre-populated]* for *[Funding track pre-populated]*.

PURPOSE OF THE EVALUATION: This evaluation examines the sustainability of **ADVANCE strategies** after the period of ADVANCE funding, as well as the diffusion of these strategies. The findings will inform management and enable programmatic changes. In addition, the findings will inform the development of other NSF programs focusing on sustainability and diffusion.

PURPOSE OF THE SURVEY: The survey gathers information on **skill development** efforts, **formal policies** and/or **procedures**, and other activities/interventions **worked on during** the grant. Additional questions will gather information on efforts to disseminate **ADVANCE strategies** and products (e.g., **toolkits, materials, and resources**) developed with the ADVANCE grant. Survey data will supplement information extracted from proposals, annual reports, and previous ADVANCE studies.

PROCEDURES: The survey should take approximately 30 minutes. To assist you in responding to the questions, we recommend that you have access to annual reports, project notes, evaluation reports, project outcomes report, and any other material associated with ADVANCE Award ID *[Award # pre-populated]*.

BENEFITS: Survey responses will help NSF leadership and ADVANCE program staff increase their understanding of changes regarding gender equity strategies at the awardee institution and efforts to disseminate these strategies within and outside the institution. In addition, survey responses will benefit the ADVANCE awardee community and future applicants by providing information on lasting strategies that address systemic inequities in the academic profession and workplaces.

VOLUNTARY PARTICIPATION: We appreciate your effort in setting aside time to complete the survey if you so choose; however, you are under no obligation to participate. If you choose not to participate, there will be no impact on your current NSF awards or your ability to obtain future NSF awards.

RISKS: We do not anticipate any risks of participating in the survey as we have taken steps to minimize breach of confidentiality (outlined below). Your decision to participate will not affect current or future relations with the NSF.

CONFIDENTIALITY: Survey responses will be kept confidential and protected on a secure server. Only designated NSF ADVANCE staff and the evaluation contractor, Windrose Vision, will have access to the data for analysis purposes. Your personally identifiable information (PII) will be removed from your survey responses prior to analysis and will not be publicly shared. Survey results will be reported in aggregate form, for example by category of institution/organization or by geographic region.

CONTACT INFORMATION: For additional information about the evaluation and/or survey, please contact Dr. Jessie DeAro (jdearo@nsf.gov) at NSF, or Dr. Madeleine Wallace from Windrose Vision (the NSF evaluation contractor) at windrose_ADVANCE@windrosevision.com (Telephone number: 703-766-4674).

CONSENT TO PARTICIPATE: By clicking “I consent to participate in the survey” below you are indicating that you have read the consent form and agree to participate in the survey. The survey will be open until *[MM/DD/YYYY pre-populated]* (11:59 pm Eastern Daylight Time).

Do you consent to participate in this survey?

- a. I consent to participate in the survey.....*(Go to General ADVANCE Ongoing Survey)*
- b. I do not consent to participate in the survey.....*(End) Thank you.*

8. ADVANCE IT Applicant Survey

CONSENT FORM FOR ADVANCE IT APPLICANT Survey

Dr. *[Last Name pre-populated]*, representative of the *[Institution pre-populated]* with ADVANCE Proposal ID *[Proposal # pre-populated]* for *[Funding track pre-populated]*.

PURPOSE OF THE EVALUATION: The evaluation focuses on the sustainability and diffusion of STEM **faculty equity** strategies generated by ADVANCE awardees; and examines if and how developing the proposal for the ADVANCE Institutional Transformation (IT) grant influenced non-funded institutions/organizations to address STEM faculty equity issues. The findings will inform management and enable programmatic changes. In addition, the findings will inform the development of other NSF programs focusing on sustainability and diffusion.

PURPOSE OF THE SURVEY: The purpose of the survey is to identify the impact of preparing the ADVANCE IT proposal on the institution/organizations including actions taken or changes made at the institution/organization, if any. Additional questions will gather information on efforts to disseminate products (e.g., toolkits, materials, and resources) if their creation can be attributed to the ADVANCE IT proposal development process. Survey data will supplement information extracted from the proposal.

PROCEDURES: The survey should take approximately 30 minutes. To assist you in responding to the questions, we recommend that you have access to the ADVANCE IT proposal *[Proposal # pre-populated]*, notes, and any institution materials associated with the development of the proposal.

BENEFITS: Survey responses will help NSF leadership and ADVANCE program staff increase their understanding of changes regarding **faculty equity** strategies, as well as efforts to disseminate these strategies within and outside the cohort of funded institutions. In addition, survey responses will benefit future applicants by providing information on lasting strategies that address systemic inequities in the academic profession and workplaces.

VOLUNTARY PARTICIPATION: We appreciate your effort in setting aside time to complete the survey if you so choose; however, you are under no obligation to participate. If you choose not to participate, there will be no impact on your ability to obtain future NSF awards.

RISKS: We do not anticipate any risks of participating in the survey as we have taken steps to minimize breach of confidentiality (outlined below). Your decision to participate will not affect current or future relations with the NSF.

CONFIDENTIALITY: Survey responses will be kept confidential and protected on a secure server. Only designated NSF ADVANCE staff and the evaluation contractor, Windrose Vision, will have access to the data for analysis purposes. Your personally identifiable information (PII) will be removed from your survey responses prior to analysis and will not be publicly shared. Survey results will be reported in aggregate form, for example by category of institution/organization or by geographic region.

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CONSENT TO PARTICIPATE: By clicking “I consent to participate in the survey” below you are indicating that you have read the consent form and agree to participate in the survey. The survey will be open until *[MM/DD/YYYY pre-populated]* (11:59 pm Eastern Daylight Time).

Do you consent to participate in this survey?

- a. I consent to participate in the survey.....(*Go to ADVANCE IT Applicant Survey*)
- b. I do not consent to participate in the survey.....(*End*) *Thank you.*