

Attachment G Human Subjects Research Determination

Date received by Human Subject Contact Office:

12/3/19

NCHS Primary Data Collection and Human Subject Research Determination Request Form

Protocol Identifiers

Protocol Title: National Post-Acute and Long-Term Care Study (NPALS) Data Collection

2019-03

NCHS Primary Contact

	Name and degrees (First name Last name, Degrees)	User ID	Telephone#	NCHS Division/Branch
Primary Contact	Christine Caffrey, PhD	GW09 15238	301-458-4137	DHCS/LTCSB
Principal Investigator	Lauren Harris-Kojetin	FTI3 12682	301-458-4369	DHCS/LTCSB
Project Advisors	N/A			

NCHS' Role in the Project

Yes No NCHS Employees or agents will obtain data by intervening or interacting with participants.

Yes No NCHS Employees or agents will obtain or use identifiable (including coded) private data or biological specimens. (Note: The interviews/questionnaires include name and phone number info, but no personal private data about the respondents will be collected as part of the evaluation)

Protocol Description (Please attach copies of any relevant protocol materials.)

NCHS' Long-Term Care Statistics Branch in the Division of Health Care Statistics is planning primary data collection for the residential care community (RCC) and adult day services center (ADSC) components of the biennial study, the National Post-Acute and Long-Term Care Study (NPALS) (formerly known as the National Study of Long-Term Care Providers or NSLTCP). Like what was done in the 2012, 2014 and 2016 waves, the data will be collected from about 11,600 RCCs and about 5,500 ADSCs in the 50 states and the District of Columbia via mail and web surveys with telephone follow-up of non-responders to the mail and web surveys. Intended respondents are directors of ADSCs and RCCs, or their designated staff.

The data to be collected about RCC and ADSC providers include basic characteristics, services, staffing, and practices of the facilities. Information to be collected about care recipients in these facilities--demographics, physical functioning, and cognitive functioning--will be collected at the aggregate-level as distributions. All collected information will be at the facility or aggregate level. The project will not collect information about individual facility directors, staff or residents/participants (i.e., services users). No personal identifiers will be included.

Attached is a protocol summary and attachments.

Date received by Human Subject Contact Office:

Human Subject Contact Office Use Only

Proposed activity does NOT involve primary data collection from human subjects

Date: 11/25/15 Signature: 

Remarks: _____

Proposed activity describes primary data collection that is EXCLUDED from the purview of 45 CFR 46, 2018 requirements (e.g., public health surveillance, per 46.102)*

Date: 11/25 Signature: 

Remarks: _____

* This proposed activity may require registration into CDC/HRPO electronic tracking system. This proposed activity may be subject to NCHS "Practices and Procedures for the Protection of Human Subjects."

Proposed activity describes primary data collection that is NOT EXCLUDED** from the purview of 45 CFR 46, 2018 requirements

Date: _____ Signature: _____

Remarks: _____

** This proposed activity needs to be referred to the NCHS ERB for further determination and processing