**Attachment H**

**Select Prior Studies**

Select Prior RCC Studies

*Compendium of Residential Care and Assisted Living Regulations and Policy: 2015 Edition*

ASPE provided funding to RTI International to update a 2007 compendium on assisted living that is referenced below. (Carder, O'Keeffe, and O'Keeffe, 2015).

*Frame Development for the Residential Care Component of the National Study of Long-Term Care Providers*

(OMB No. 0920-0912, Expires: 07/31/2016)

NCHS funded the collection of data needed to develop an up-to-date sampling frame of state-regulated RCCs in the United States for the 2012 and 2014 cycles of NSLTCP. Data for the sampling frame that was used to draw a nationally representative sample for the 2016 RCC survey component of NSLTCP was collected in 2015.

*National Survey of Residential Care Facilities*

(OMB No. 0920-0780, Expired: 12/31/11)

NCHS and the Office of the Assistant Secretary for Planning and Evaluation (ASPE) sponsored this national survey of residential care in 2010. NSRCF was an in-person establishment-based nationally representative sample survey of U.S. assisted living and other residential care communities. The methodology used to develop the 2009 NSRCF frame and the eligibility definition of a RCC used for the 2010 NSRCF are also used in NSLTCP and NPALS. Selected benchmark questions from the 2010 NSRCF are included in NPALS to enable comparisons and trending.

Select Prior ADSC Studies

# *Regulatory Review of Adult Day Services: Final Report, 2014 Edition*

ASPE provided funding to RTI International to develop a state regulatory compendium on adult day services providers. (O'Keeffe, O'Keeffe, and Shrestha, 2014).

*The Metlife National Study of Adult Day Services, 2010*

The Metlife Mature Market Institute collaborated with the National Adult Day Services Association (NADSA) and The Ohio State University of Social Work to conduct this study. Survey data were collected and analyzed from a nationally representative sample of ADSCs, focusing on the characteristics of ADSCs and a profile of ADSC participants. This survey was conducted in 2010 with plans to conduct it again in the future. NCHS used the questionnaire items for this study to inform its development of NPALS survey items, to enable selected comparisons and trending for ADSCs with this study.

# *Adult Day Services: A Key Community Service for Older Adults, 2006*

The purpose of this ASPE-funded study was threefold: (1) to inform policymakers about the current and potential role of adult day services (ADS) in the health care and long-term care systems as determined by state regulation; (2) to identify operational and regulatory issues facing ADS providers under different ADS models and in different regulatory and financing environments; and (3) to provide information that can guide future research and policy analysis on ADS for elderly persons generally and on medically-oriented ADS specifically (O’Keeffe and Siebenaler). The study methods used included: (1) an in-depth review of state approaches to regulating ADS (Siebenaler et al., 2005); (2) consultation with a Technical Advisory Group, subject experts, state regulatory and Medicaid staff, and state provider associations; and (3) site visits to ADS providers in five states: Georgia, Illinois, Maryland, North Carolina, and Washington.