

MEDICAL EXPENDITURE PANEL SURVEY (MEPS) - MEDICAL PROVIDER COMPONENT (MPC)

**ATTACHMENT 75**  
**CONTACT GUIDE**  
**FOR**  
**INSTITUTION PROVIDERS**

REFERENCE YEAR 2017

**[MR\_A]CALL PROVIDER**

**MR\_A1.** Hello have I reached [PROVIDER]?

PHONE NUMBER: [PROVIDER TELEPHONE NUMBER]

- YES.....= 1
- NO, BUT CAN RECORD A NEW NUMBER.....= 2
- NO, NEED TO TRACE THE CASE.....= 3

[IF MR\_A1 = 1 GO TO MR\_A2,  
IF MR\_A1 = 2 GO TO CONTACT BLOCK,  
IF MR\_A1 = 3 GO TO EXIT]

**MR\_A2.** I have [an] authorization form[s] for the release of **medical records** and would like to speak to the person who can help me with that process.

- IF RECORDS ARE KEPT BY A MEDICAL RECORDS SERVICE, ASK TO SPEAK WITH THE PERSON IN THE OFFICE WHO DEALS WITH THE MEDICAL RECORDS SERVICE.

- CONTINUE, THIS PERSON CAN HELP = 1
- COLLECT CONTACT INFORMATION FOR SOMEONE ELSE = 2
- NO MEDICAL RECORDS DEPARTMENT; UNCLEAR WHO HANDLES RECORDS = 3

[IF MR\_A2= 1 GO TO MR\_B1,  
IF MR\_A2=2, GO TO CONTACT BLOCK  
IF MR\_A2=3 GO TO EXIT ]

**[MR\_B]IDENTIFY DC POC**

**MR\_B1.** My name is (YOUR NAME).

I am calling on behalf of the U.S. Department of Health and Human Services.  
We are conducting MEPS which is a study about how people in the United States use and pay for health care.  
For quality assurance and training purposes, this call may be monitored.

POC: [POC NAME]

- IF THIS PERSON CANNOT HELP, ASK TO BE TRANSFERRED TO SOMEONE WHO CAN.

- CONTINUE, THIS PERSON CAN HELP.....= 1
- COLLECT CONTACT INFORMATION FOR SOMEONE ELSE.....= 2

[IF MR\_B1=1, GO TO MR\_B2,

IF MR\_B1=2, GO TO CONTACT BLOCK;]

**MR\_B2.** Thank you. Can you confirm that this is a long-term care facility?

- YES, THIS IS A LONG-TERM CARE FACILITY.....1
- NO, THIS IS NOT A LONG-TERM CARE FACILITY.....2

INCLUDE NURSING HOMES, REHABILITATION FACILITIES, LONG TERM UNITS OF HOSPITALS (SUCH AS A SKILLED NURSING FACILITY OR SNF UNIT)

[IF MR\_B2=1 GO TO MR\_B4;  
IF MR\_B2=2 GO TO MR\_B3a.]

**MR\_B3a.** How would you describe this facility? Is this:

- A doctor's office.....1
- A publicly-funded clinic.....2
- An urgent care center.....3
- A home care provider.....4
- A hospital – not a long term care unit, such as a  
Skilled Nursing Facility, or.....5
- Something else (SPECIFY)?.....6

IF RESPONDENT REPORTS LONG-TERM CARE UNIT, SUCH AS A SKILLED NURSING FACILITY, GO BACK TO **ITEM MR\_B2 ELIGIBILITY – VERIFY INSTITUTION AND CODE ACCORDINGLY.**

[IF MR\_B3a=1,2,3,4,5,6 GO TO MR\_B3b;]

**MR\_B3b.** I'm sorry. The information I was hoping to collect today is specific to institutions and long-term care facilities. Because this facility is not one of these, one of my colleagues will be calling back to collect the necessary information.

CLICK NEXT TO GO TO THE EXIT SCREEN. ONCE YOU EXIT, CODE THE CASE AS “PROVIDER INELIGIBLE”

[GO TO EXIT ]

**MR\_B4.** At this time, [NUMBER FROM PATIENT LIST] patient[s] identified [PROVIDER] as a source of health care during [FILL\_YR]. [The/Each] patient signed an authorization form allowing us to contact you for information about the care they received from [PROVIDER] in [FILL\_YR] . Much of the information we need is within the medical records. Are the medical records maintained in your office, or is a medical records service used?

- OFFICE MAINTAINS THE INFORMATION = 1
- OFFICE USES A MEDICAL RECORDS SERVICE = 2

[IF MR\_B4 = 1 GO TO MR\_B4b,  
IF MR\_B4 = 2 GO TO MR\_B4\_1

**MR\_B4\_1.** Are you the person who deals with the medical records service?

YES.....= 1  
NO.....= 2

[IF MR\_B4\_1 = 1, GO TO MR\_C2,  
IF MR\_B4\_1 = 2, GO TO MR\_B4a]

**MR\_B4a.** I'll need to collect the name and telephone number for the person in your office who deals with the medical records service.

PRESS "NEXT" TO GO TO THE CONTACT BLOCK. ADD THE NEW POC TO THE CONTACT BLOCK AND CALL THEM USING **SECTION MR\_C: IDENTIFY MR SERVICE.**

**MR\_B4b.** I would like to send the authorization form[s] to you, along with additional information explaining the study. I need to be sure I have the correct information for the packet. Should I direct it to you?

- READ IF THE PERSON ON THE PHONE WOULD LIKE TO PROVIDE THE DATA PRIOR TO RECEIVING AUTHORIZATION FORM(S): In order to remain HIPAA compliant, I need to send you the authorization form[s] first. Once you have received the form[s], then we can arrange for the collection of the data.

YES.....= 1  
NO.....= 2

[GO TO CONTACT BLOCK]

**MR\_B5.** Can you please provide the name and number for the person who (needs to receive the courtesy packet/needs to receive the forms) to approve the release of data?

YES.....= 1  
NO.....= 2

[IF MR\_B5 = 1 GO TO CONTACT BLOCK,  
IF MR\_B5 = 2 GO TO EXIT .]

**[MR\_C]IDENTIFY MR SERVICE**

**MR\_C1.** Hello, my name is (YOUR NAME).

I am calling on behalf of the U.S. Department of Health and Human Services.  
We are conducting MEPS which is a study about how people in the United States use and pay for health care.  
For quality assurance and training purposes, this call may be monitored.

POC: [POC NAME]

READ IF NECESSARY: I have [an] authorization form[s] for the release of medical records and would like to speak to the person that can help me get in touch with the medical records service that maintains your records.

- IF THIS PERSON CANNOT HELP, ASK TO BE TRANSFERRED TO SOMEONE WHO CAN.

CONTINUE, THIS PERSON CAN HELP.....= 1  
COLLECT CONTACT INFORMATION FOR SOMEONE ELSE.....= 2

[IF MR\_C1=1, GO TO MR\_C2,  
IF MR\_C1=2, GO TO CONTACT BLOCK]

**MR\_C2.** (READ IF NECESSARY: At this time, [NUMBER FROM PATIENT LIST] patient[s] identified [PROVIDER] as a source of health care during [FILL\_YR]. [The/Each] patient signed an authorization form allowing us to contact you for information about the care they received from [PROVIDER] in [FILL\_YR].)

We should be able to get all of the information we need from the medical records service.  
We can also send you a copy of the authorization form[s] for your files.

I need to be sure I have the correct information for the packet. Should I direct it to you?

- READ IF THE PERSON ON THE PHONE WOULD LIKE TO PROVIDE THE DATA PRIOR TO RECEIVING AUTHORIZATION FORM(S): In order to remain HIPAA compliant, I need to send you the authorization form[s] first. Once you have received the form[s], then we can arrange for the collection of the data.

YES.....= 1  
NO.....= 2

[GO TO CONTACT BLOCK]

**MR\_C3.** Can you please provide the name of the medical records service, the name of a contact person, their telephone number and title?

YES.....= 1  
NO.....= 2

- IF THIS PERSON CANNOT HELP, ASK TO BE TRANSFERRED TO SOMEONE WHO CAN AND RESTART THIS SECTION.

[IF MR\_C3 = 1 GO TO CONTACT BLOCK,  
IF MR\_C3 = 2 GO TO EXIT .]

**[MR\_D]CALL MR SERVICE**

**MR\_D1.** Have I reached [MEDICAL RECORDS SERVICE]?

PHONE NUMBER: [MEDICAL RECORDS SERVICE TELEPHONE NUMBER]

- IF THE PERSON ON THE PHONE SAYS NO, VERIFY THAT YOU DIALED THE CORRECT NUMBER.
- IF THE NUMBER IS CORRECT, ASK IF THE PERSON ON THE PHONE KNOWS OF ANOTHER NUMBER FOR THE MEDICAL RECORDS SERVICE. IF THEY DO, GO TO THE CONTACT BLOCK AND EDIT THE INFORMATION FOR THE MEDICAL RECORDS SERVICE.
- IF NO BETTER NUMBER IS AVAILABLE, SELECT “NO” BELOW.

YES.....= 1

NO.....= 2

[IF MR\_D1 = 1 GO TO MR\_D2,  
IF MR\_D1 = 2 GO TO EXIT]

**MR\_D2.** We were referred to you by [PROVIDER] about [NUMBER FROM PATIENT LIST] of their patients who received medical service in [FILL\_YR]. I have [an] authorization form[s] for the release of medical records and would like to speak to the person that can help me with that process.

IF THE PERSON YOU NEED TO TALK TO IS UNAVAILABLE ATTEMPT TO GET THEIR CONTACT INFORMATION VIA THE CONTACT BLOCK AND SET AN APPOINTMENT IF POSSIBLE.

CONTINUE = 1

SERVICE DOES NOT MAINTAIN 2017 RECORDS FOR PROVIDER =2

NOT CLEAR WHO TO SPEAK TO; WRONG NUMBER = 3

[IF MR\_D2= 1 GO TO MR\_E1, ,  
IF MR\_D2=2 OR 3 GO TO EXIT ]

**[MR\_E]MR SERVICE: IDENTIFY POC**

**MR\_E1.** Hello, my name is (YOUR NAME).

I am calling on behalf of the U.S. Department of Health and Human Services.  
We are conducting MEPS which is a study about how people in the United States use and pay for health care.  
For quality assurance and training purposes, this call may be monitored.

POC: [POC NAME]

READ IF NECESSARY: I have [an] authorization form[s] for the release of medical records and would like to speak to the person that can help me with that process.

- IF THIS PERSON CANNOT HELP, ASK TO BE TRANSFERRED TO SOMEONE WHO CAN.

CONTINUE, THIS PERSON CAN HELP.....= 1  
COLLECT CONTACT INFORMATION FOR SOMEONE ELSE.....= 2

[IF MR\_E1=1, GO TO MR\_E2,  
IF MR\_E1=2, GO TO CONTACT BLOCK;]

**MR\_E2.** We were referred to you by [PROVIDER] for information about one or more of (his/her/their) patients. At this time, [NUMBER FROM PATIENT LIST] patient[s] signed an authorization form allowing us to contact you for information about the care they received from [PROVIDER] in [FILL\_YR] .

I would like to send the authorization form[s] to you, along with additional information explaining the study.

I need to be sure I have the correct information for the packet. Should I direct it to you?

- READ IF THE PERSON ON THE PHONE WOULD LIKE TO PROVIDE THE DATA PRIOR TO RECEIVING AUTHORIZATION FORM(S): In order to remain HIPAA compliant, I need to send you the authorization form[s] first. Once you have received the form[s], then we can arrange for the collection of the data.

YES.....= 1  
NO.....= 2

[GO TO CONTACT BLOCK]

**MR\_E3.** Can you please provide the name and number for the person who (needs to receive the courtesy packet/needs to receive the forms) to approve the release of data?

YES.....= 1  
NO.....= 2

[IF MR\_E3 = 1 GO TO CONTACT BLOCK,  
IF MR\_E3 = 2 GO TO EXIT ]

#### [MR\_F]DC: EXPLAIN NEXT STEPS

**MR\_F1.** Once you have received the authorization form[s] you can send us the medical records by either fax or mail. For each date of service in [FILL\_YR], we are requesting information about the diagnoses and services, and the names of the physicians who treated each patient in [FILL\_YR].

IF POC REQUESTS ELECTRONIC TRANSFER, DISCUSS WITH YOUR SUPERVISOR BEFORE SELECTING THIS OPTION.

PROVIDER WILL RESPOND:

- .....BY PHONE 1
- .....BY FAX 2
- .....BY MAIL 3
- . ONLY USE OPTION 4 IF APPROVED BY SUPERVISOR
- .....BY ELECTRONIC PORTAL 4

[IF MR\_F1 = 1 GO TO MR\_F2,  
IF MR\_F1 = 2 GO TO MR\_F2,  
IF MR\_F1 = 3 GO TO MR\_F2  
IF MR\_F1 = 4 GO TO F2]

**MR\_F2.** Within the next [30 minutes / 24 hours] we will [fax/mail/electronically upload] the authorization form[s] and provide instructions for sending the records. If you have any questions about what to send us, please call our toll-free number on the instruction sheet. We will call to verify that you received the authorization forms.

[We will work with you to set up a good time to collect the data over the phone./  
We may call again if other patients identify your practice as a source of medical services.]

IF CB3= 1 OR 2 GO TO MR\_F4; IF CB3=4 GO TO MR\_F3.

**MR\_F3.**

When the authorization form packet is ready, you will receive an email with your unique username to access the electronic portal. The portal password is the part of your email address before the @ sign and the number 1234. Your password is <fill portal password> all in lower case. It is highly recommended that you change your password after your first log-in.

Each authorization form packet will be encrypted with a password also. Your password for the packet is <fill AF password>. This password is also in lower case.

**GO TO MR\_F4**



**MR\_F4.** We are also interested in the charges and the summary of payments for each date of service in [FILL\_YR]. Can you provide this information?

YES.....1  
NO.....2

[IF MR\_F4=1 GO TO CONTACT BLOCK ;  
IF MR\_F4=2 GO TO MR\_F4a].

**MR\_F4a.** Can you please provide the name and number for whom we should contact to obtain this information?

YES.....1  
NO.....2

[IF MR\_F4a=1 GO TO CONTACT BLOCK ;  
IF MR\_F4a=2 GO TO MR\_F5].

**MR\_F5.** Lastly, we are interested in collecting the names and locating information for the providers who treated each patient while they received services in this facility during [FILL\_YR]. Can you provide this information as well?

YES.....1  
NO.....2

[IF MR\_F5=1 GO TO CONTACT BLOCK ;  
IF MR\_F5=2 GO TO MR\_F5a.]

**MR\_F5a.** Can you please provide the name and number for whom we should contact to obtain this information?

YES.....1  
NO.....2

[IF MR\_F5a=1 GO TO CONTACT BLOCK ;  
IF MR\_F5a=2, GO TO EXIT SCREEN;

**[MR\_G]VERIFY RECEIPT OF AFs**

**MR\_G\_Intro.** May I please speak to [POC NAME]?

PERSON IS ON THE PHONE.....= 1  
PERSON IS NOT AVAILABLE.....= 2

IF MR\_G\_Intro=1, GO TO MR\_G1;  
IF MR\_G\_Intro =2, GO TO APPOINTMENT ]

**MR\_G1.** Hello, my name is (YOUR NAME). I am calling on behalf of the U.S. Department of Health and Human Services. For quality assurance and training purposes, this call may be monitored. We previously spoke about the MEPS study.

Did you receive the authorization form[s] we sent to you?

YES, RECEIVED ALL = 1  
YES, BUT PROBLEM REPORTED/NEEDS A RE-SEND = 2  
NO = 3

[IF MR\_G1=1 and MR\_F1 = 1 (PHONE) GO TO MR\_G2;  
IF MR\_G1=1 and MR\_F1 = 2 (FAX) OR 3 (MAIL) GO TO MR\_G4;  
IF MR\_G1=2 OR 3, GO TO MR\_G5]

**MR\_G2.** If it is convenient for you, we can just go ahead and complete the data forms together over the phone right now. I'd be happy to hold on while you get the information you need from your records.

WILL COMPLETE BY PHONE NOW = 1  
WILL COMPLETE BY PHONE IN THE FUTURE = 2

[IF MR\_G2=1 GO TO EXIT ;  
IF MR\_G2=2 GO TO MR\_G3.]

**MR\_G3.** I understand. What would be the best day and time to call you back to complete the data forms?

- o EARLY MORNING = 9AM
- o LATE MORNING = 11AM
- o EARLY AFTERNOON = 2PM
- o LATE AFTERNOON = 4PM

DATE: \_\_\_\_\_

R's TIME: \_\_\_\_\_ AM/PM

TIMEZONE:

**MR\_G4.**

Our records indicate that you will [fax/mail/electronically upload] the records to us.

**IF MR ONLY:**

Please send in the complete medical records for all [FILL\_YR] dates of service for each patient listed. The information we are attempting to collect from these records includes diagnosis and the names of providers who may have billed the patient separately from the hospital.

**IF MR & PA:**

Please send in the complete medical records and final billing records for all [FILL\_YR] dates of service for each patient listed. The information we are attempting to collect from these medical records includes diagnosis and the names of providers who may have billed the patient separately from the hospital. Information we are attempting to collect for billing includes, charges, payments, and adjustments for each date of service.

*[IF THE POC MENTIONS UB04 OR CMS 1500, SAY:]* We need a final itemized statement that includes payments and adjustments so that we do not have to call back to obtain this information, but we can use UB04/CMS 1500 forms to accompany these final itemized statements.

When will you send us these records?

DATE: \_\_\_\_\_

IF DATE IS SELECTED REPEAT THE DATE AND THE DAY OF THE WEEK

OR

- DAYS
- WEEKS

NUMBER OF DAYS/WEEKS:

**MR\_G4\_1:** Thank you. We will call you back if we do not receive the records by [FILL DATE FROM MR\_G4 (CALCULATE DATE IF DAYS/WEEKS ENTERED)].

YOUR NEXT STEP WILL BE TO EXIT THE CONTACT GUIDE AND CODE THE CASE AS "AFs RECEIVED. WAITING FOR RECORDS TO BE SENT".

**MR\_G4\_2:**

**INTERVIEWER: USE THIS SCREEN WHEN PROMPTING FOR RECORDS**

We were anticipating receiving (medical records/ medical and billing records) from you by [DATE/CALCULATED DATE FROM MR\_G4], but my records show we have not received them. Have you sent the records to us?

YES.....1  
NO.....2

IF MR\_G4\_2 = 2 GO TO MR\_G4\_5

**MR\_G4\_3:** How did you send the records? Did you fax, mail hardcopies via express or regular mail, mail CDs via express or regular mail, or use a record service's portal?

FAX.....1  
MAIL HARDCOPIES VIA EXPRESS MAIL...2  
MAIL HARDCOPIES VIA REGULAR MAIL...3  
MAIL CDs VIA EXPRESS MAIL.....4  
MAIL CDs VIA REGULAR MAIL.....5  
RECORD SERVICE'S ELECTRONIC PORTAL.....6  
ELECTRONIC PORTAL.....8  
OTHER (Specify: \_\_\_\_\_).....7

IF POC IS SENDING CD: Was the password provided or did you send it separately?

- Provided
- Emailed separately
- Mailed separately

**MR\_G4\_4:** What date did you send them?

DATE: \_\_\_\_\_

Thank you for sending them. The records are received in a separate department and it can take a few days to upload the documents into our system. We will investigate and call you back if we have further questions. We apologize for any inconvenience.

INTERVIEWER:

- DISPOSITION THE CASE AT CATEGORY: REFUSALS/PROBLEMS/OTHER WITH EVENT CODE 675-CASE REQUIRES SUPERVISOR REVIEW
- LEAVE A DETAILED CALL HISTORY COMMENT AFTER ENDING THE CALL
- USE "DIFFICULT CASE" SHEET TO CAPTURE CASE ID AND DETAILS AND HAVE A TEAM LEAD OR SUPERVISOR FOLLOW UP AND RESOLVE WITHIN 24 HOURS

**MR\_G4\_5**

We need to obtain these records for the study as soon as possible. Is there something that can be done to speed up (or expedite) the process?

INTERVIEWER: LISTEN TO POC TO DETERMINE IF THERE IS ANYTHING WE CAN DO TO HELP FACILITATE THEM SENDING IN RECORDS. OFFER:

- FTP AND SECURE E-MAIL
- A FEDEX PICKUP FOR CASES THAT ARE ABOVE 15 PAIRS

When will you send us these records?

DATE: \_\_\_\_\_

IF DATE IS SELECTED REPEAT THE DATE AND DAY OF THE WEEK

OR

1 DAYS

NUMBER OF DAYS/WEEKS:  2 WEEKS

IF MR ONLY:

Please send in the complete medical records for all [FILL\_YR] dates of service for each patient listed. The information we are attempting to collect from these records includes diagnosis and the names of providers who may have billed the patient separately from the hospital.

IF MR & PA:

Please send in the complete medical records and final billing records for all [FILL\_YR] dates of service for each patient listed. The information we are attempting to collect from these medical records includes diagnosis and the names of providers who may have billed the patient separately from the hospital. Information we are attempting to collect for billing includes, charges, payments, and adjustments for each date of service.

**[IF THE POC MENTIONS UB04 OR CMS 1500, SAY:]** We need a final itemized statement that includes payments and adjustments so that we do not have to call back to obtain this information, but we can use UB04/CMS 1500 forms to accompany these final itemized statements.

**MR\_G4\_6:** Thank you. We will call you back if we do not receive the records by [FILL DATE FROM MR\_G4\_5 (CALCULATE DATE IF DAYS/WEEKS ENTERED)].

INTERVIEWER: SET A CALL BACK AFTER THE RECORDS ARE EXPECTED SO WE CAN PROMPT AGAIN IF THEY STILLHAVE NOT BEEN RECEIVED.

GO TO EXIT

**MR\_G5.** I'm sorry. Let me re-send the authorization form[s] to you.

I need to be sure I have the correct information for the packet. Should I direct it to you?

YES = 1

NO = 2

- IF PERSON ON PHONE WANTS TO PROVIDE DATA BEFORE RECEIVING AUTHORIZATION FORMS: In order to remain HIPAA compliant, I need to send you the authorization form[s] first. Once you have received the form[s], then we can arrange for the collection of the data.

[IF G5=1, GO TO CONTACT BLOCK,  
IF G5=2, GO TO CONTACT BLOCK ]

MR\_G6.

Once we verify that you have received the authorization forms, you will receive an email with your unique username to access the electronic portal. The portal password is the part of your email address before the @ sign and the number 1234. Your password is <fill portal password> all in lower case. It is highly recommended that you change your password after your first log-in.

**GO TO EXIT**

**[MR\_H]BAD MR SERVICE INFO.**

**MR\_H1. ASK (BY NAME) TO SPEAK WITH THE POC WHO DEALS WITH THE EXTERNAL BILLING SERVICE**

This is (YOUR NAME) calling on behalf of the U.S. Department of Health and Human Services.  
For quality assurance and training purposes, this call may be monitored.

We previously spoke about the MEPS study. Thank you for providing the contact information for [MEDICAL RECORDS SERVICE NAME]. Unfortunately we were unable to locate [MEDICAL RECORDS SERVICE NAME] with the contact information you provided. Could you please verify the contact information we currently have for [MEDICAL RECORDS SERVICE NAME]?

[PRESENT MEDICAL RECORDS SERVICE CONTACT INFO HERE]

MEDICAL RECORDS SERVICE CONTACT INFO IS CORRECT=1

MEDICAL RECORDS SERVICE CONTACT INFO IS NOT CORRECT=2

[IF MR\_H1=1, GO TO MR\_H2;  
IF MR\_H1=2, GO TO CONTACT BLOCK, ]

**MR\_H2.** That is currently the information we have on file. Do you know of any other way we can get in touch with [MEDICAL RECORDS SERVICE NAME]?

YES = 1  
NO = 2

[IF MR\_H2 = 1 GO TO CONTACT BLOCK, ;  
[IF MR\_H2=2 GO TO EXIT ]

**[MR\_I]ANY OTHER MR SERVICE?**

**MR\_I1.** ASK (BY NAME) TO SPEAK WITH THE POC WHO DEALS WITH THE EXTERNAL BILLING SERVICE

This is (YOUR NAME) calling on behalf of the U.S. Department of Health and Human Services. For quality assurance and training purposes, this call may be monitored.

We previously spoke about the MEPS study. Thank you for providing the contact information for [MEDICAL RECORDS SERVICE NAME]. We were able to locate [MEDICAL RECORDS SERVICE NAME] with the information you provided. However, they reported that they did not maintain the medical records for [PROVIDER(S)] in [FILL\_YR]. Could you please check to see if another medical records service maintained medical records for [PROVIDER(S)] in [FILL\_YR]?

OTHER MEDICAL RECORDS SERVICE MAINTAINED RECORDS =1  
NO OTHER MEDICAL RECORDS SERVICE MAINTAINED RECORDS =2

[IF MR\_I1=1, GO TO CONTACT BLOCK, ;  
IF MR\_I1=2, GO TO EXIT ]

[PA\_A]CALL PROVIDER

PA\_A1. Hello have I reached [PROVIDER]?

PHONE NUMBER: [PROVIDER TELEPHONE NUMBER]

YES.....= 1  
NO, BUT CAN RECORD A NEW NUMBER.....= 2  
NO, NEED TO TRACE THE CASE.....= 3

[IF PA\_A1 = 1 GO TO PA\_A2, IF PA\_A1 = 2 GO TO CONTACT BLOCK,  
IF PA\_A1 = 3 GO TO EXIT]

PA\_A2. I have [an] authorization form[s] for the release of **billing and payment records** and would like to speak to the person that can help me with that process.

- IF RECORDS ARE KEPT BY AN EXTERNAL BILLING SERVICE, ASK TO SPEAK WITH THE PERSON IN THE OFFICE WHO DEALS WITH THE EXTERNAL BILLING SERVICE.

CONTINUE, THIS PERSON CAN HELP = 1  
COLLECT CONTACT INFORMATION FOR SOMEONE ELSE = 2  
NO BILLING DEPARTMENT; UNCLEAR WHO HANDLES BILLING = 3

[IF PA\_A2= 1 GO TO PA\_B1,  
IF PA\_A2=2, GO TO CONTACT BLOCK,  
IF PA\_A2=3 GO TO EXIT ]



**[PA \_B]IDENTIFY DC POC**

**PA\_B1.** My name is (YOUR NAME).

I am calling on behalf of the U.S. Department of Health and Human Services.  
We are conducting MEPS which is a study about how people in the United States use and pay for health care.  
For quality assurance and training purposes, this call may be monitored.

POC: [POC NAME]

READ IF NECESSARY: I have [an] authorization form[s] for the release of billing and payment records and would like to speak to the person that can help me with that process.

- IF THIS PERSON CANNOT HELP, ASK TO BE TRANSFERRED TO SOMEONE WHO CAN.

CONTINUE, THIS PERSON CAN HELP.....= 1  
COLLECT CONTACT INFORMATION FOR SOMEONE ELSE.....= 2

[IF PA\_B1=1, GO TO PA\_B2,  
IF PA\_B1=2, GO TO CONTACT BLOCK;]

**PA\_B2.** At this time, [NUMBER FROM PATIENT LIST] patient[s] identified [PROVIDER] as a source of health care during [FILL\_YR]. [The/Each] patient signed an authorization form allowing us to contact you for information about the cost of the care they received from [PROVIDER] in [FILL\_YR]. Much of the information we need is within the billing and payment records. Are the billing and payment records maintained in your office, or is an external billing service used?

OFFICE MAINTAINS THE INFORMATION = 1  
OFFICE USES AN EXTERNAL BILLING SERVICE = 2

[IF PA\_B2 = 1 GO TO PA\_B2b,  
IF PA\_B2 = 2 GO TO PA\_B2\_1]

**PA\_B2\_1.** Are you the person who deals with the external billing service?

YES = 1  
NO = 2

[IF PA\_B4\_1 = 1, GO TO PA\_C2,  
IF PA\_B4\_1 = 2, GO TO PA\_B2a]

**PA\_B2a.** I'll need to collect the name and telephone number for the person in your office who deals with the external billing service.

PRESS "NEXT" TO GO TO THE CONTACT BLOCK. ADD THE NEW POC TO THE CONTACT BLOCK AND CALL THEM USING **SECTION PA\_C: IDENTIFY BILLING SERVICE.**

**PA\_B2b.** DID THE PERSON ON THE PHONE MENTION THAT THEY DID NOT NEED TO RECEIVE AUTHORIZATION FORMS BECAUSE THEY HAVE ALREADY BEEN SENT TO MR?

NO, SEND AUTHORIZATION FORMS TO PA.....1  
YES, NO NEED TO SEND AUTHORIZATION FORM(S) TO PA POC.....2

IF PA\_B2b = 1 GO TO PA\_B2c;  
IF PA\_B2b = 2 GO TO PA\_B2c]  
[S]: IF [NUMBER FROM PATIENT LIST] = 1, FILL " "; ELSE FILL "S".

**PA\_B2c.** [IF PA\_B2b=1 FILL "I would like to send the authorization form[s] to you, along with additional information explaining the study. I need to be sure I have the correct information for the packet. Should I direct it to you?"  
[IF PA\_b2b=2 FILL "I'll need to send you some basic information about the study. Should I direct it to you?"

- READ IF THE PERSON ON THE PHONE WOULD LIKE TO PROVIDE THE DATA PRIOR TO RECEIVING AUTHORIZATION FORM(S): In order to remain HIPAA compliant, I need to send you the authorization form[s] first. Once you have received the form[s], then we can arrange for the collection of the data.

YES.....= 1  
NO.....= 2

[go to contact block]

**PA\_B3.** Can you please provide the name and number for the person who (needs to receive the courtesy packet/needs to receive the forms) to approve the release of data?

YES.....= 1  
NO.....= 2

[IF PA\_B3 = 1 GO TO CONTACT BLOCK,  
IF PA\_B3 = 2 GO TO EXIT .]

**[PA \_C]IDENTIFY BILLING SERVICE**

**PA\_C1.** Hello, my name is (YOUR NAME).

I am calling on behalf of the U.S. Department of Health and Human Services.  
We are conducting MEPS which is a study about how people in the United States use and pay for health care.

For quality assurance and training purposes, this call may be monitored.

POC: [POC NAME]

READ IF NECESSARY: I have [an] authorization form[s] for the release of billing and payment records and would like to speak to the person that can help me get in touch with the external billing service that maintains your and billing and payment records.

- IF THIS PERSON CANNOT HELP, ASK TO BE TRANSFERRED TO SOMEONE WHO CAN.

CONTINUE, THIS PERSON CAN HELP.....= 1  
 COLLECT CONTACT INFORMATION FOR SOMEONE ELSE.....= 2

[IF PA\_C1=1, GO TO PA\_C2,  
 IF PA\_C1=2, GO TO CONTACT BLOCK]

**PA\_C2.** (READ IF NECESSARY: At this time, [NUMBER FROM PATIENT LIST] patient[s] identified [PROVIDER] as a source of health care during [FILL\_YR]. [The/Each] patient signed an authorization form allowing us to contact you for information about the cost of the care they received from [PROVIDER] in [FILL\_YR].)

We should be able to get all of the information we need from the billing service.  
 We can also send you a copy of the authorization form[s] for your files.

I need to be sure I have the correct information for the packet. Should I direct it to you?

- READ IF THE PERSON ON THE PHONE WOULD LIKE TO PROVIDE THE DATA PRIOR TO RECEIVING AUTHORIZATION FORM(S): In order to remain HIPAA compliant, I need to send you the authorization form[s] first. Once you have received the form[s], then we can arrange for the collection of the data.

YES.....= 1  
 NO.....= 2

[GO TO CONTACT BLOCK]

**PA\_C3.** Can you please provide the name of the billing service, the name of a contact person, their telephone number and title?

YES.....= 1  
 NO.....= 2

- IF THIS PERSON CANNOT HELP, ASK TO BE TRANSFERRED TO SOMEONE WHO CAN AND RESTART THIS SECTION.

[IF PA\_C3 = 1 GO TO CONTACT BLOCK,  
 IF PA\_C2 = 2 GO TO EXIT .]

**PA \_D]CALL BILLING SERVICE**

**PA\_D1.** Have I reached [BILLING SERVICE]?

PHONE NUMBER: [BILLING SERVICE TELEPHONE NUMBER]

- IF THE PERSON ON THE PHONE SAYS NO, VERIFY THAT YOU DIALED THE CORRECT NUMBER.
- IF THE NUMBER IS CORRECT, ASK IF THE PERSON ON THE PHONE KNOWS OF ANOTHER NUMBER FOR THE BILLING SERVICE. IF THEY DO, GO TO THE CONTACT BLOCK AND EDIT THE INFORMATION FOR THE BILLING SERVICE.
- IF NO BETTER NUMBER IS AVAILABLE, SELECT "NO" BELOW.

YES.....= 1  
NO.....= 2

[IF PA\_D1 = 1 GO TO PA\_D2,  
IF PA\_D1 = 2 GO TO EXIT ]

**PA\_D2.** We were referred to you by [PROVIDER] about [NUMBER FROM PATIENT LIST] of their patients who received medical service in [FILL\_YR]. I have [an] authorization form[s] for the release of billing and payment records and would like to speak to the person that can help me with that process.

IF THE PERSON YOU NEED TO TALK TO IS UNAVAILABLE ATTEMPT TO GET THEIR CONTACT INFORMATION VIA THE CONTACT BLOCK AND SET AN APPOINTMENT IF POSSIBLE.

CONTINUE = 1  
SERVICE DOES NOT MAINTAIN 2017 RECORDS FOR PROVIDER =2  
NOT CLEAR WHO TO SPEAK TO; WRONG NUMBER = 3

[IF PA\_D2= 1 GO TO PA\_E1, ,  
IF PA\_D2=2 OR 3, GO TO EXIT ]

### [PA\_E]BILLING SVC.: IDENTIFY POC

**PA\_E1**Hello, my name is (YOUR NAME).

I am calling on behalf of the U.S. Department of Health and Human Services.  
We are conducting MEPS which is a study about how people in the United States use and pay for health care.  
For quality assurance and training purposes, this call may be monitored.

POC: [POC NAME]

READ IF NECESSARY: I have [an] authorization form[s] for the release of billing and payment records and would like to speak to the person that can help me with that process.

- IF THIS PERSON CANNOT HELP, ASK TO BE TRANSFERRED TO SOMEONE WHO CAN.

CONTINUE, THIS PERSON CAN HELP.....= 1  
COLLECT CONTACT INFORMATION FOR SOMEONE ELSE.....= 2

[IF PA\_E1=1, GO TO PA\_E2,  
IF PA\_E1=2, GO TO CONTACT BLOCK;]

**PA\_E2.** We were referred to you by [PROVIDER] for information about one or more of (his/her/their) patients. At this time, [NUMBER FROM PATIENT LIST] patient[s] signed an authorization form allowing us to contact you for information about the cost of the care they received from [PROVIDER] in [FILL\_YR]. For each date of service in [FILL\_YR] we are asking for the charges and the summary of payments.

I would like to send the authorization form[s] to you, along with additional information explaining the study.  
I need to be sure I have the correct information for the packet. Should I direct it to you?

- READ IF THE PERSON ON THE PHONE WOULD LIKE TO PROVIDE THE DATA PRIOR TO RECEIVING AUTHORIZATION FORM(S): In order to remain HIPAA compliant, I need to send you the authorization form[s] first. Once you have received the form[s], then we can arrange for the collection of the data.

YES.....= 1  
NO.....= 2

[GO TO CONTACT BLOCK]

**PA\_E3.** Can you please provide the name and number for the person who (needs to receive the courtesy packet/needs to receive the forms) to approve the release of data?

YES.....= 1  
NO.....= 2

[IF PA\_E3 = 1 GO TO CONTACT BLOCK,  
IF PA\_E3 = 2 GO TO EXIT ]

[PA \_F]DC: EXPLAIN NEXT STEPS

PA\_F1. Once you have received the [authorization form[s]/information explaining the study] you can send us the billing and payment records by either fax or mail, or we can call back to collect the data over the phone. For each date of service in [FILL\_YR], we are collecting the amounts charged for services before any adjustments or discounts, and the sources and amounts of payment.

IF POC REQUESTS ELECTRONIC TRANSFER, DISCUSS WITH YOUR SUPERVISOR BEFORE SELECTING THIS OPTION.

PROVIDER WILL RESPOND:

- .....BY PHONE 1
- .....BY FAX 2
- .....BY MAIL 3
- . .ONLY USE OPTION 4 IF APPROVED BY SUPERVISOR
- BY ELECTRONIC PORTAL 4**

[IF PA\_F1 = 1 GO TO PA\_F2,  
IF PA\_F1 = 2 GO TO PA\_F2,  
IF PA\_F1 = 3 GO TO PA\_F2  
IF PA\_F1 = 4 GO TO PA\_F2]

PA\_F2. Within the next [30 minutes / 24 hours] we will [fax/mail/electronically upload] you the [authorization form[s]/information explaining the study] and provide instructions for sending the records.. If you have any questions about what to send us, please call our toll-free number on the instruction sheet. We will call to verify that you received the authorization forms.

[We will work with you to set up a good time to collect the data over the phone./.

We may call again if other patients identify your practice as a source of medical services.]

IF CB3= 1 OR 2 GO TO PA\_F4; IF CB3=4 GO TO PA\_F3.

PA\_F3.

When the authorization form packet is ready, you will receive an email with your unique username to access the electronic portal. The portal password is the part of your email address before the @ sign and the number 1234. Your password is <fill portal password> all in lower case. It is highly recommended that you change your password after your first log-in.

Each authorization form packet will be encrypted with a password also. Your password for the packet is <fill AF password>. This password is also in lower case.

**GO TO PA\_F4**

**PA\_F4.** We are also interested in collecting the names and locating information for the providers who treated each patient while they received services in this facility in [FILL\_YR]. Can you provide this information as well?

YES.....1  
NO.....2

[IF PA\_F2=1 GO TO CONTACT BLOCK;;  
IF PA\_F2=2 GO TO PA\_F4a.]

**PA\_F4a.** Can you please provide the name and number for whom we should contact to obtain this information?

YES.....1  
NO.....2

[IF PA\_F2a=1 GO TO CONTACT BLOCK;;  
IF PA\_F2a=2 GO TO EXIT].

**[PA\_G]VERIFY RECEIPT OF AFs**

**PA\_G\_Intro.** May I please speak to [POC NAME]?

PERSON IS ON THE PHONE.....= 1  
PERSON IS NOT AVAILABLE.....= 2

[IF PA\_G\_Intro=1, GO TO PA\_G1;  
IF PA\_G\_Intro =2, GO TO APPOINTMENT SCREEN]

**PA\_G1.** Hello, my name is (YOUR NAME). I am calling on behalf of the U.S. Department of Health and Human Services. For quality assurance and training purposes, this call may be monitored. We previously spoke about the MEPS study.

Did you receive the [authorization form[s]/information explaining the study] we sent to you?

YES, RECEIVED ALL = 1  
YES, BUT PROBLEM REPORTED/NEEDS A RE-SEND = 2  
NO = 3

[IF PA\_G1=1 and PA\_F1 = 1 (PHONE) GO TO PA\_G2;  
IF PA\_G1=1 and PA\_F1 = 2 (FAX) OR 3 (MAIL) OR 4 (ELECTRONIC PORTAL) GO TO PA\_G4;

IF PA\_G1=2 OR 3, GO TO PA\_G5]

**PA\_G2.** If it is convenient for you, we can just go ahead and complete the data forms together over the phone right now. I'd be happy to hold on while you get the information you need from your records.

WILL COMPLETE BY PHONE NOW = 1  
WILL COMPLETE BY PHONE IN THE FUTURE = 2

[IF PA\_G2=1 GO TO EXIT SCREEN;  
IF PA\_G2=2 GO TO PA\_G3]

**PA\_G3.** I understand. What would be the best day and time to call you back to complete the data forms?

- EARLY MORNING = 9AM
- LATE MORNING = 11AM
- EARLY AFTERNOON = 2PM
- LATE AFTERNOON = 4PM

DATE: \_\_\_\_\_

R's TIME: \_\_\_\_\_ AM/PM  
TIMEZONE:

**PA\_G4.**

Our records indicate that you will [fax/mail/electronically upload] the records to us.

IF PA ONLY: Please send in the final billing records for all [FILL\_YR] dates of service for each patient listed. The information we are attempting to collect from these billing records includes charges, payments, and adjustments for each date of service.

IF MR & PA:

Please send in the complete medical records and final billing records for all [FILL\_YR] dates of service for each patient listed. The information we are attempting to collect from these medical records includes diagnosis and the names of providers who may have billed the patient separately from the hospital. Information we are attempting to collect for billing includes, charges, payments, and adjustments for each date of service.

***[IF THE POC MENTIONS UB04 OR CMS 1500, SAY:] We need a final itemized statement that includes payments and adjustments so that we do not have to call back to obtain this information, but we can use UB04/CMS 1500 forms to accompany these final itemized statements.***

When will you send us these records?

DATE: \_\_\_\_\_

IF DATE IS SELECTED REPEAT THE DATE AND DAY OF THE WEEK

OR



 DAYS

NUMBER OF DAYS/WEEKS:   WEEKS

**PA\_G4\_1.** Thank you. We will call you back if we do not receive the records by [FILL DATE FROM PA\_G4 (CALCULATE DATE IF DAYS/WEEKS ENTERED)].

YOUR NEXT STEP WILL BE TO EXIT THE CONTACT GUIDE AND CODE THE CASE AS "AFs RECEIVED. WAITING FOR RECORDS TO BE SENT".

**PA\_G4\_2**

**INTERVIEWER: USE THIS SCREEN WHEN PROMPTING FOR RECORDS**

We were anticipating receiving (IF PA ONLY: billing and payment records / IF MR & PA: medical records and billing and payment records) from you by [DATE/CALCULATED DATE FROM PA\_G4], but my records show we have not received them. Have you sent the records to us?

YES.....1

NO.....2

**PA\_G4\_3:**

How did you send the records? Did you fax, mail hardcopies via express or regular mail, mail CDs via express or regular mail, or use a record service's portal?

FAX.....1

MAIL HARDCOPIES VIA EXPRESS MAIL...2

MAIL HARDCOPIES VIA REGULAR MAIL...3

MAIL CDs VIA EXPRESS MAIL.....4

MAIL CDs VIA REGULAR MAIL.....5

RECORD SERVICE'S ELECTRONIC PORTAL.....6

ELECTRONIC PORTAL.....8

OTHER (Specify: \_\_\_\_\_).....7

IF POC IS SENDING CD: Was the password provided or did you send it separately?

- Provided
- Emailed separately
- Mailed separately

**PA\_G4\_4:** What date did you send them?

DATE: \_\_\_\_\_

Thank you for sending them. The records are received in a separate department and it can take a few days to upload the documents into our system. We will investigate and call you back if we have further questions. We apologize for any inconvenience.

INTERVIEWER:

- DISPOSITION THE CASE AT CATEGORY: REFUSALS/PROBLEMS/OTHER WITH EVENT CODE 675-CASE REQUIRES SUPERVISOR REVIEW
- LEAVE A DETAILED CALL HISTORY COMMENT AFTER ENDING THE CALL
- USE "DIFFICULT CASE" SHEET TO CAPTURE CASE ID AND DETAILS AND HAVE A TEAM LEAD OR SUPERVISOR FOLLOW UP AND RESOLVE WITHIN 24 HOURS

**PA\_G4\_5**

We need to obtain these records for the study as soon as possible. Is there something that can be done to speed up (or expedite) the process?

INTERVIEWER: LISTEN TO POC TO DETERMINE IF THERE IS ANYTHING WE CAN DO TO HELP FACILITATE THEM SENDING IN RECORDS. OFFER:

- FTP AND SECURE E-MAIL
- A FEDEX PICKUP FOR CASES THAT ARE ABOVE 15 PAIRS

When will you send us these records?

DATE: \_\_\_\_\_

IF DATE IS SELECTED REPEAT THE DATE AND DAY OF THE WEEK

OR

 DAYS

NUMBER OF DAYS/WEEKS:   WEEKS

IF PA ONLY: Please send in the final billing records for all [FILL\_YR] dates of service for each patient listed. The information we are attempting to collect from these billing records includes charges, payments, and adjustments for each date of service.

IF MR & PA:

Please send in the complete medical records and final billing records for all [FILL\_YR] dates of service for each patient listed. The information we are attempting to collect from these medical records includes diagnosis and the names of providers who may have billed the patient separately from the hospital. Information we are attempting to collect for billing includes, charges, payments, and adjustments for each date of service.

**[IF THE POC MENTIONS UB04 OR CMS 1500, SAY:]** We need a final itemized statement that includes payments and adjustments so that we do not have to call back to obtain this information, but we can use UB04/CMS 1500 forms to accompany these final itemized statements.

**PA\_G4\_6:** Thank you. We will call you back if we do not receive the records by [FILL DATE FROM PA\_G4\_5 (CALCULATE DATE IF DAYS/WEEKS ENTERED)].

INTERVIEWER: SET A CALL BACK AFTER THE RECORDS ARE EXPECTED SO WE CAN PROMPT AGAIN IF THEY STILLHAVE NOT BEEN RECEIVED.

**PA\_G5.** I'm sorry. Let me re-send the [authorization form[s]/information explaining the study] to you.

I need to be sure I have the correct information for the packet. Should I direct it to you?

YES = 1

NO = 2

- IF PERSON ON PHONE WANTS TO PROVIDE DATA BEFORE RECEIVING AUTHORIZATION FORMS: In order to remain HIPAA compliant, I need to send you the authorization form[s] first. Once you have received the form[s], then we can arrange for the collection of the data.

[IF PA\_G5=1, GO TO CONTACT BLOCK,  
IF PA\_G5=2, GO TO CONTACT BLOCK, ]

PA\_G6.

Once we verify that you have received the authorization forms, you will receive an email with your unique username to access the electronic portal. The portal password is the part of your email address before the @ sign and the number 1234. Your password is <fill portal password> all in lower case. It is highly recommended that you change your password after your first log-in.

GO TO EXIT

**[PA \_H]BAD BILLING SERVICE INFO.**

**PA\_H1.** ASK (BY NAME) TO SPEAK WITH THE POC WHO DEALS WITH THE EXTERNAL BILLING SERVICE

This is (YOUR NAME) calling on behalf of the U.S. Department of Health and Human Services.  
For quality assurance and training purposes, this call may be monitored.

We previously spoke about the MEPS study. Thank you for providing the contact information for [BILLING SERVICE NAME]. Unfortunately we were unable to locate [BILLING SERVICE NAME] with the contact information you provided. Could you please verify the contact information we currently have for [BILLING SERVICE NAME]?

[PRESENT BILLING SERVICE CONTACT INFO HERE]

BILLING SERVICE CONTACT INFO IS CORRECT \_\_\_\_\_=1

BILLING SERVICE CONTACT INFO IS NOT CORRECT \_\_=2

[IF PA\_H1=1, GO TO PA\_H2;  
IF PA\_H1=2, GO TO CONTACT BLOCK, ]

**PA\_H2.** That is currently the information we have on file. Do you know of any other way we can get in touch with [BILLING SERVICE NAME]?

YES = 1  
NO = 2

[IF PA\_H2 = 1 GO TO CONTACT BLOCK, ;  
IF PA\_H2=2 GO TO EXIT SCREEN.]

**[PA \_I]ANY OTHER BILLING SERVICE?**

**PA\_I1.** ASK (BY NAME) TO SPEAK WITH THE POC WHO DEALS WITH THE EXTERNAL BILLING SERVICE

This is (YOUR NAME) calling on behalf of the U.S. Department of Health and Human Services. For quality assurance and training purposes, this call may be monitored.

We previously spoke about the MEPS study. Thank you for providing the contact information for [BILLING SERVICE NAME]. We were able to locate [BILLING SERVICE NAME] with the information you provided. However, they reported that they did not maintain the billing and payment records for [PROVIDER(S)] in [FILL\_YR]. Could you please check to see if another billing service maintained billing and payment records for [PROVIDER(S)] in [FILL\_YR]?

OTHER BILLING SERVICE MAINTAINED RECORDS..... 1

NO OTHER BILLING SERVICE MAINTAINED RECORDS..... 2

[IF PA\_I1=1, GO TO CONTACT BLOCK;  
IF PA\_I1=2, GO TO EXIT SCREEN]

**[AO\_A]PROVIDER/AO CONTACT**

**AO\_A1.** Have I reached [POC NAME]?

PHONE NUMBER: [POC TELEPHONE NUMBER]

YES.....= 1  
NO, BUT CAN RECORD A NEW NUMBER.....= 2  
NO, NEED TO TRACE THE CASE.....= 3

[IF AO\_A1 = 1 GO TO AO\_A2,  
IF AO\_A1 = 2 GO TO CONTACT BLOCK,  
IF AO\_A1=3 GO TO AO EXIT]

**AO\_A2.**

*IF AO POC WAS PROVIDED BY MEDICAL RECORDS OR PATIENT ACCOUNTS:*

May I please speak to [POC NAME]?

*IF NO AO POC PROVIDED BY MEDICAL RECORDS OR PATIENT ACCOUNTS:*

“Can I please speak to someone in the administrative office who can help me with contacting/locating information for providers?”

- IF THE PERSON YOU NEED TO TALK TO IS UNAVAILABLE ATTEMPT TO GET THEIR CONTACT INFORMATION VIA THE CONTACT BLOCK AND SET AN APPOINTMENT IF POSSIBLE.

CONTINUE, THIS PERSON CAN HELP = 1  
COLLECT CONTACT INFORMATION FOR SOMEONE ELSE = 2  
UNCLEAR WHO TO SPEAK TO = 3

[IF AO\_A2= 1 GO TO AO\_A3,  
IF AO\_A2=2, GO TO CONTACT BLOCK,  
IF AO\_A2=3 GO TO EXIT SCREEN]

**AO\_A3.** Hello, my name is (YOUR NAME).

I am calling on behalf of the U.S. Department of Health and Human Services.

We are conducting MEPS which is a study about how people in the United States use and pay for health care.

Earlier, your medical records department gave us information about the care that some of our study participants received at your facility and the names of the providers of that care. Now we need locating information for those providers and whether the charges for their services would be included in the facility's bill or billed separately by the provider. Can you provide this information?

POC: [POC NAME]

- IF THIS PERSON CANNOT HELP, ASK TO BE TRANSFERRED TO SOMEONE WHO CAN.

CONTINUE, THIS PERSON CAN HELP.....= 1  
COLLECT CONTACT INFORMATION FOR SOMEONE ELSE.....= 2

[IF AO\_A3=1, GO TO AO\_A4,  
IF AO\_A3=2, GO TO CONTACT BLOCK;]

**AO\_A4.** For quality assurance and training purposes, this call may be monitored. If it is convenient for you, I can collect this locating information over the phone right now. I'd be happy to hold on while you get the information you need from your records.

WILL COMPLETE BY PHONE NOW = 1  
WILL COMPLETE BY PHONE IN THE FUTURE = 2

[IF AO\_A4=1 GO TO AO\_A5;  
IF AO\_A4=2 GO TO AO\_A4a]

**AO\_4a.** I understand. What would be the best day and time to call you back to collect this information?

- o EARLY MORNING = 9AM
- o LATE MORNING = 11AM
- o EARLY AFTERNOON = 2PM
- o LATE AFTERNOON = 4PM

DATE: \_\_\_\_\_

R's TIME: \_\_\_\_\_ AM/PM

TIMEZONE:

**AO\_A5.** NEED THE ABILITY TO PULL UP THE LIST OF PROVIDERS THAT WAS COLLECTED IN MR SECTION

GO TO SBD SUBROUTINE [SBD\_CGINTRO]

SBD SUB ROUTINE

SBD CUSTOM FORM

New York General ( )

Administrative Offices/A0\_SBD

[OPEN PATIENT LIST](#)

COLLECT INFORMATION FOR SBDs BY CLICKING "SELECT" NEXT TO EACH SBD

NOTE: You must finalize each SBD after you are done collecting contact information. To do this, click on "Finalize" in the table and answer TRACE1.

**SBDs currently listed:**

Edit	Updated	Name	Provider Phone	Address	Speciality	Finalize	BillEx
<a href="#">Select</a>	12/30/2016	Alex Bell	323-222-2222:	--	Adolescent Medicine Internal Medicine	<a href="#">Finalize</a> ( )	1
<a href="#">Select</a>	12/30/2016	Dr. Rosa Parks	--:	--	Anesthesiology - General	<a href="#">Finalize</a> ( )	2
<a href="#">Select</a>		Dr. Kevin Smith			Radiology - Diagnostic	<a href="#">Finalize</a> ( )	
<a href="#">Select</a>		Dr. Martin King Jr.			Surgery - Cardiothoracic	<a href="#">Finalize</a> ( )	

**YOU ARE EDITING A PREVIOUSLY ENTERED SBD RECORD**

I want to ask about **Dr. Kevin Smith**, whose specialty is Radiology - Diagnostic. This doctor was reported as someone who bills separately for services.

**SBD\_CGINTRO.** I want to ask about [PHYSICIAN NAME], whose specialty is [SPECIALTY]. This doctor was reported as someone who bills separately for services.

COLLECT INFORMATION FOR SBDs BY CLICKING "SELECT" NEXT TO EACH SBD

**NOTE:** You must finalize each SBD after you are done collecting contact information. To do this, click on "Finalize" in the table and answer TRACE1.

**SBDs currently listed:**

Edit	Updated	Name	Provider Phone	Address	Speciality	Finalize	BillEx
<a href="#">Select</a>	12/30/2016	Alex Bell	323-222-2222:	--	Adolescent Medicine Internal Medicine	<a href="#">Finalize</a> ( )	1
<a href="#">Select</a>	12/30/2016	Dr. Rosa Parks	--:	--	Anesthesiology - General	<a href="#">Finalize</a> ( )	2
<a href="#">Select</a>		Dr. Kevin Smith			Radiology - Diagnostic	<a href="#">Finalize</a> ( )	
<a href="#">Select</a>		Dr. Martin King Jr.			Surgery - Cardiothoracic	<a href="#">Finalize</a> ( )	

**YOU ARE EDITING A PREVIOUSLY ENTERED SBD RECORD**

I want to ask about **Dr. Kevin Smith**, whose specialty is Radiology - Diagnostic. This doctor was reported as someone who bills separately for services.

1. Can you tell whether this physician bills separately or has charges included in your facility's bill? **Required**

OTHER SPECIFY:

**SBD\_CG8a.** Can you tell whether this physician bills separately or has charges included in your facility's bill?  
 BILLS SEPARATELY = 1  
 CHARGES INCLUDED IN FACILITY BILL = 2  
 BILLING ARRANGEMENT VARIES (SPECIFY) = 3  
 DON'T KNOW = 4



2. What is the business practice phone number and location for Dr. Martin King Jr.?

NAME OF GROUP Practice (IF APPLICABLE):

PHONE:  Format: xxx-xxx-xxxx

PHONE EXTENSION:

NATIONAL PROVIDER ID:

Group NPI:

STREET:

CITY:

STATE:

ZIP:  Format: xxxxx or xxxxx-xxxx

SBD\_CG7. What is the business practice phone number and location for [FILL]?

Name of Group Practice (If applicable):  
PHONE:  
PHONE EXTENSION  
NATIONAL PROVIDER ID  
GROUP NPI:  
STREET:  
CITY  
STATE:  
ZIP:

3. Does this physician use a billing service or have billing contact information that is different than his or her business practice location?

SBD\_CG8b

Does this physician use a billing service or have billing contact information that is different than his or her business practice location?

- YES 1
- NO 2

4. What is the billing contact information?

Use previously entered billing services for this Contact Group

No Billing Services for Group

NAME OF BILLING SERVICE:

PHONE of billing service:  Format: xxx-xxx-xxxx

PHONE EXTENSION:

Address of billing service:

STREET:

CITY:

STATE:

ZIP:  Format: xxxxx or xxxxx-xxxx

SBD\_CG9. What is the billing contact information?

Name of Billing Service:

PHONE of billing service

Address of billing service:

STREET

CITY

STATE

ZIP

SBD\_CG10. RECORD ANY NOTES AO GIVES ABOUT [PHYSICIAN NAME]

**GENERAL NOTES:**

How are you finalizing this SBD? SELECT ONE.

1. Done with this SBD- contacting information collected or confirmed does not bill separately
2. Done with this SBD – unable to collect contacting information
3. Still working to obtain contact information for this SBD

COLLECT INFORMATION FOR SBDs BY CLICKING "SELECT" NEXT TO EACH SBD

**NOTE:** You must finalize each SBD after you are done collecting contact information. To do this, click on "Finalize" in the table and answer TRACE1.

**SBDs currently listed:**

Edit	Updated	Name	Provider Phone	Address	Speciality	Finalize	BillEx
<a href="#">Select</a>	12/30/2016	Alex Bell	323-222-2222:	--	Adolescent Medicine Internal Medicine	<a href="#">Finalize</a> <input type="radio"/>	1
<a href="#">Select</a>	12/30/2016	Dr. Rosa Parks	--:	--	Anesthesiology - General	<a href="#">Finalize</a> <input type="radio"/>	2
<a href="#">Select</a>		Dr. Kevin Smith			Radiology - Diagnostic	<a href="#">Finalize</a> <input type="radio"/>	
<a href="#">Select</a>		Dr. Martin King Jr.			Surgery - Cardiothoracic	<a href="#">Finalize</a> <input type="radio"/>	

You are Finalizing an SBD

[Finalize this SBD](#)

**SBDID: 10403**

**SBD Name: Dr. Rosa Parks**

TRACE1. How are you finalizing this SBD? SELECT ONE

- 1. Done with this SBD - contacting information collected or confirmed does not bill separately
- 2. Done with this SBD - unable to collect contacting information
- Still working to obtain contact information for this SBD

[Finalize this SBD](#)

**SBD\_CG11.** HAVE YOU OBTAINED CONTACT INFORMATION FOR ALL PROVIDERS/SBDs IN THE LIST?

- 1** YES, OBTAINED ALL AVAILABLE CONTACT INFORMATION FOR ALL SBDs LISTED
- 2** NO, STILL WORKING ON GETTING CONTACT INFORMATION.

[Previous](#)
[Next](#)
[Breakoff](#)

**SBD\_CG12.** Who would be able to help me with the information for the remaining providers?

ADDITIONAL AO POC PROVIDED = 1

DK; NO ADDITIONAL AO POC PROVIDED = 2

## **SECTION J: GAINING PERMISSION: TALKING POINTS**

### **INTRODUCTION:**

May I please speak to [POC NAME]?

Hello, my name is (YOUR NAME).

I am calling on behalf of the U.S. Department of Health and Human Services. We are conducting MEPS which is a study about how people in the United States use and pay for health care. For quality assurance and training purposes, this call may be monitored.

I recently spoke with {POC YOU ARE WORKING WITH FOR DATA COLLECTION} about the study. I explained that at this time, [NUMBER FROM PATIENT LIST] patient[s] identified [PROVIDER] as a source of health care during [FILL\_YR]. [The/Each] patient signed an authorization form allowing us to contact you for information about the diagnoses and services provided by [PROVIDER] in [FILL\_YR]. Much of the information we need is within the (medical records/billing and payment records).

{POC YOU ARE WORKING WITH FOR DATA COLLECTION} has agreed to participate and provide us with the information we are looking for, but has requested that we first send you a copy of the authorization form[s] for the patients in order to receive permission to release the data to us.

I'm calling to confirm that you are in fact the best person to receive the form[s] and information about the study by fax, and confirm your contact information so that I can address the information to you.

**VERIFY PERMISSION PACKET RECEIPT:**

May I please speak to [POC NAME]?

(Hello, my name is (YOUR NAME).) I am calling on behalf of the U.S. Department of Health and Human Services. We previously spoke about the MEPS study. For quality assurance and training purposes, this call may be monitored. Did you receive the authorization form[s] we sent to you?

- IF THE PERSON ON THE PHONE **DID** RECEIVE THE FORMS, ASK:
  - Do you have any questions or concerns about the [study information or the forms we sent]?
  - At this point may I follow-up with {POC YOU ARE WORKING WITH FOR DATA COLLECTION} about the release of data?
    - o IF YOU ARE CLEARED TO SPEAK WITH THE POC YOU ARE WORKING WITH FOR DATA COLLECTION,
      - EXIT TO THE CMS, MAKE THE POC YOU ARE WORKING WITH FOR DATA COLLECTION THE PRIMARY POC ON THE POC SCREEN CALL THEM USING **SECTION MR\_G: VERIFY RECEIPT OF AFs** IF DEALING WITH MEDICAL RECORDS OR **SECTION PA\_G: VERIFY RECEIPT OF AFs** IF DEALING WITH PATIENT ACCOUNTS.
    - o IF THE PERSON ON THE PHONE DOES NOT GIVE YOU PERMISSION
      - EXIT TO THE CMS TO CODE THE CASE AS “CASE REQUIRES SUPERVISOR REVIEW” AND ENTER A PROBLEM REPORT ON THIS CASE WHEN YOU RETURN TO THE CMS
- IF THE PERSON ON THE PHONE **DID NOT** RECEIVE THE FORMS, SAY
  - I'm sorry. Let me re-send the authorization form[s] to you.
    - o GO TO THE CONTACT BLOCK BY PRESSING NEXT AND VERIFY THE CONTACT INFORMATION WE HAVE ON FILE, THEN
    - o EXIT TO THE CMS AND TRIGGER A RE-SEND OF THE PERMISSION PACKET TO THIS PERSON

## BRANCH

### TYPICAL CONTACT SEQUENCE BY SECTION:

INTERNAL BILLING:	A, B, Contact Block, F, end call
EXTERNAL BILLING SERVICE:	Call provider: A, B, C, Contact Block, end call Call billing service: D, E, edit Contact Block, end call
VERIFY AFs WERE RECEIVED:	Go to G

### CLICK ON YOUR NEXT STEP

- O<sub>1</sub> MR – IDENTIFY A POC AT THIS PROVIDER’S OFFICE (SECTION MR\_B)
- O<sub>2</sub> MR – IDENTIFY A POC WHO WORKS WITH EXTERNAL BILLING SERVICE (SECTION MR\_C)
- O<sub>3</sub> MR - CALL THE EXTERNAL BILLING SERVICE (SECTION MR\_D)
- O<sub>4</sub> MR – VERIFY AUTHORIZATION FORMS WERE RECEIVED (SECTION MR\_G)
  
- O<sub>5</sub> PA – IDENTIFY A POC AT THIS PROVIDER’S OFFICE (SECTION PA\_B )
- O<sub>6</sub> PA – IDENTIFY A POC WHO WORKS WITH EXTERNAL BILLING SERVICE (SECTION PA\_C)
- O<sub>7</sub> PA – CALL THE EXTERNAL BILLING SERVICE (SECTION PA\_D)
- O<sub>8</sub> PA – VERIFY AUTHORIZATION FORMS WERE RECEIVED (SECTION PA\_G)

## CONTACT BLOCK

**CB3.** Can you provide a fax number to receive the information?

[INTERVIEWER: IF POC ASKS ABOUT MAIL, OFFER THE MAIL OPTION. IF POC REQUESTS ELECTRONIC PORTAL, DISCUSS WITH YOUR SUPERVISOR BEFORE SELECTING THIS OPTION.]

1. FAX
2. MAIL
4. ELECTRONIC PORTAL
3. N/A

INDIVIDUALIZED PACKETS NEEDED. (COMMONLY USED FOR VA CASES.)

**CB3A: COMMENTS**

**CONTACT FIELDS**

PROVIDER NAME:

MEDICAL RECORDS/BILLING SERVICE NAME:

POC FIRST NAME:

POC LAST NAME:

PHONE:

EXT:

TIMEZONE:

FAX:

VERIFY FAX:

E-MAIL:

VERIFY E-MAIL:

TITLE:

DEPARTMENT:

ADDRESS:

CITY:

STATE:

ZIP:

**CB1. WILL YOU BE CALLING THIS PERSON NEXT?**

- 1. YES
- 2. NO

**CB2a. WHICH SECTIONS OF THE CONTACT GUIDE APPLY TO THIS POC?**

- 1. MEDICAL RECORDS
- 2. PATIENT ACCOUNTS
- 3. ADMINISTRATIVE OFFICE
- 4. MEDICAL RECORDS AND PATIENT ACCOUNTS
- 5. MEDICAL RECORDS AND ADMINISTRATIVE OFFICE
- 6. PATIENT ACCOUNTS AND ADMINISTRATIVE OFFICE
- 7. MEDICAL RECORDS, PATIENT ACCOUNTS AND ADMINISTRATIVE OFFICE POC

**CB2. WHAT TYPE OF POC IS THIS PERSON?**

- 1. PROVIDER LEVEL GATEKEEPER
- 2. HANDLES RELEASE OF IN-HOUSE RECORDS
- 3. DEALS WITH IN-HOUSE RECORDS FOR MR
- 4. DEALS WITH IN-HOUSE RECORDS FOR PA
- 5. DEALS WITH MEDICAL RECORDS SERVICE
- 6. DEALS WITH EXTERNAL BILLING SERVICE

- 7. MEDICAL RECORDS SERVICE GATEKEEPER
- 8. EXTERNAL BILLING SERVICE GATEKEEPER
- 9. HANDLES RELEASE OF RECORDS FOR MEDICAL RECORDS SERVICE
- 10. HANDLES RELEASE OF RECORDS FOR EXTERNAL BILLING SERVICE
- 11. ADMINISTRATIVE OFFICE POC
- 12. HANDLES RELEASE OF IN-HOUSE RECORDS & IS ADMINISTRATIVE OFFICE POC
- 13. COURTESY PACKET RECIPIENT
- 14. PERMISSION PACKET RECIPIENT
- 16. NEW/UPDATED NAME FOR PROVIDER
- 15. POC FOR REMAINING PROVIDERS (SBDs)

**CB4. ADD ANOTHER POC?**

- 1. YES
- 2. NO

**CALLBACK/APPOINTMENT SCREEN**

Can you please provide me with a better time to call back in order to reach him/her ?

- EARLY MORNING = 9AM
- LATE MORNING = 11AM
- EARLY AFTERNOON = 2PM
- LATE AFTERNOON = 4PM

DATE: \_\_\_\_\_

R's TIME: \_\_\_\_\_ AM/PM

TIMEZONE:



## EXIT SCREEN

PRESS FINISH TO EXIT CONTACT GUIDE AND TO GO TO CASE MANAGEMENT SYSTEM.

DO NOT HANG UP UNTIL YOU GET TO CALL DISPOSITION SCREEN.

IF POC RECEIVED AUTHORIZATION FORMS AND CAN REPORT DATA BY PHONE NOW, ENTER EVENT CODE 441: AFs Received – Ready for Phone Data Collection.

IF POC RECEIVED AUTHORIZATION FORMS AND IS SENDING IN RECORDS, ENTER EVENT CODE 443 – MR AFs Received - Waiting for Records to be Sent – Mail/Fax/Web or

445 – PA AFs Received – Waiting for Records to be Sent – Mail/Fax/Web

IF YOU NEED TO SEND A COURTESY OR PERMISSION PACKET:

1. SAVE EVENT CODE FOR FAX/MAIL PACKET TO THIS POC FIRST
2. RE-ENTER CONTACT GUIDE AND CALL THE BILLING SERVICE OR PERMISSION POC
3. SAVE EVENT CODE FOR FAX/MAIL PACKET FOR COURTESY OR PERMISSION PACKET

***[Instrument logic will be implemented so text only appears on screen when provider verification has not been completed:*** Before we send you the form(s) I'll need to determine that all of the providers I have listed were in fact associated with this facility in [FILL\_YR]. I'm going to read you a list of providers; please tell me if each one was associated with this facility in [FILL\_YR].]