

**Supporting Statement Part A for Paperwork Reduction Act  
Generic Information Collection Submissions for  
Generic Clearance for the Collection of  
Qualitative Feedback on Agency Service Delivery  
OMB No. 0960-0788**

**A. Justification**

**1. Circumstances Making the Collection of Information Necessary**

Executive Order 12862 directs Federal agencies to provide service to the public that matches or exceeds the best service available in the private sector. In order to work continuously to ensure that our programs are effective and meet our customers' needs, the Social Security Administration (hereafter "the agency") seeks to obtain Office of Management and Budget (OMB) approval of a generic clearance to collect qualitative feedback on our service delivery. By qualitative feedback we mean information that provides useful insights on perceptions and opinions, but are not statistical surveys that yield quantitative results that can be generalized to the population of study.

This collection of information is necessary to enable the agency to garner customer and stakeholder feedback in an efficient, timely manner, in accordance with our commitment to improving service delivery. The information collected from our customers and stakeholders helps to ensure that users have an effective, efficient, and satisfying experience with the agency's programs. This feedback provides us with insights into our customer or stakeholder perceptions, experiences and expectations, provides an early warning of issues with service, or focuses attention on areas where communication, training or changes in operations might improve delivery of products or services. These collections allow for ongoing, collaborative, and actionable communications between the agency and our customers and stakeholders. It also allows feedback to contribute directly to the improvement of program management.

**2. Purpose and Use of the Information Collection**

Improving agency programs requires ongoing assessment of service delivery, by which we mean systematic review of the operation of a program compared to a set of explicit or implicit standards as a means of contributing to the continuous improvement of the program. The agency collects, analyzes, and interprets information gathered through this generic clearance to identify strengths and weaknesses of current services and makes improvements in service delivery based on feedback. The solicitation of feedback targets areas such as: timeliness, appropriateness, accuracy of information, courtesy, efficiency of service delivery, and resolution of issues with service delivery. We assess responses to plan and inform our efforts to improve or maintain the quality of service offered to the public. If we did not collect this information, vital feedback from customers and stakeholders on the agency's services could be lost.

SSA only submits a collection for approval under this generic clearance if it meets the following conditions:

GC Fast-Track (0960-0788)

- We will use the gathered information only internally for general service improvement and program management purposes; the information is not intended for release outside of the agency (if released, we will follow procedures outlined in Question #16);
- The information we gather will not be used for the purpose of substantially informing influential policy decisions <sup>1</sup>;
- The information we gather will yield qualitative information; the collections are not designed nor expected to yield statistically reliable results or used as though the results are generalizable to the population of study ;
- The collections are voluntary;
- The collections are low-burden for respondents (based on considerations of total burden hours, total number of respondents, or burden-hours per respondent) and are low-cost for both the respondents and the Federal Government;
- The collections are non-controversial and do not raise issues of concern to other Federal agencies;
- Collections are targeted to the solicitation of opinions from respondents who have experience with the program or may have experience with the program in the near future; and,
- With the exception of information needed to provide remuneration for participants of focus groups and cognitive laboratory studies, personally identifiable information is collected only to the extent necessary and is not retained.

If these conditions are not met, the agency submits an information collection request to OMB for approval through the normal Paperwork Reduction Act process.

To obtain approval for a collection that meets the conditions of this generic clearance, SSA submits a standardized template to OMB along with supporting documentation (e.g., a copy of the comment card). The submission is automatically approved, unless OMB identifies issues within 5 business days.

The types of collections this generic clearance covers includes, but are not limited to:

- Customer comment cards/complaint forms;
- Small discussion groups and interviews;
- Focus groups of customers, potential customers, delivery partners, or other stakeholders;
- Cognitive laboratory studies, such as those used to refine questions or assess usability of a website;
- Qualitative customer satisfaction surveys and interviews (e.g., post-transaction surveys; opt-out web surveys);
- In-person observation testing (e.g., website or software usability tests);
- Other collection instruments, observations, and methods as yet to be determined.

---

<sup>1</sup> As defined in OMB and agency Information Quality Guidelines, “influential” means that “an agency can reasonably determine that dissemination of the information will have or does have a clear and substantial impact on important public policies or important private sector decisions.”

We have established a manager who serves this generic clearance and is responsible for conducting independent reviews of each information collection to ensure compliance with the terms of this clearance prior to submitting each collection to OMB.

**3. Consideration Given to Information Technology**

If appropriate, we collect information electronically and use online collaboration tools to reduce burden.

**4. Duplication of Information**

SSA does not gather or maintain similar data, nor do we have access to similar data from other sources.

**5. Reducing the Burden on Small Entities**

Small businesses or other small entities may be involved in these efforts but the agency minimizes the burden by asking for readily available information, and using short, easy-to-complete information collection instruments.

**6. Consequences of Not Conducting Collection**

Without these types of feedback, the agency does not have timely information to adjust its services to meet customer needs.

**7. Special Circumstances**

There are no special circumstances. The information we collect is voluntary and is not used for statistical purposes.

**8. Consultations with Persons Outside the Agency**

In accordance with 5 *CFR* 1320.8(d), on June 24, 2020 at 85 FR 37996, SSA published the 60-day Federal Register Notice and we received no public comments. SSA published the 30-day Federal Register Notice on September 11, 2020 at 85 FR 56284. If we receive any public comments in response to the 30-day Notice, we will forward them to OMB.

**9. Payment or Gift**

The agency does not provide payment or other forms of remuneration to respondents of its various forms of collecting feedback. However, focus groups and cognitive laboratory studies are the exceptions.

In the case of in-person cognitive laboratory and usability studies, the agency may provide stipends of up to \$40. In the case of in-person focus groups, the agency may provide stipends of up to \$75. If respondents participate in these kinds of studies remotely, via phone or Internet, we will submit a justification to OMB for any proposed stipend. Such stipends are routinely less than that provided to respondents in in-person studies, or who have to travel to the agency or other facility to participate. If such information collections include hard-to-reach groups and the agency plans to offer non-standard stipends, SSA provides additional justification in the request for clearance for these specific activities.

**10. Confidentiality**

GC Fast-Track (0960-0788)

If a confidentiality pledge is deemed useful and feasible, the agency only includes a pledge of confidentiality that: (1) is supported by authority established in statute or regulation; (2) is supported by disclosure and data security policies that are consistent with the pledge; and, (3) does not unnecessarily impede sharing of data with other agencies for compatible confidential use. If SSA includes a pledge of confidentiality, we include the citation for the statute or regulation supporting the pledge.

**11. Sensitive Nature**

We will ask no questions of a personal or sensitive nature.

**12. Burden of Information Collection**

SSA uses a variety of instruments and platforms to collect information from respondents (see #2 above). The average annual burden hours requested (**384,6293 hours**) are based on the number of collections (**126**) we expect to conduct in one year within the requested period for this clearance from **1,818,404** respondents (average of yearly respondents). Below is the per annual burden data breakdown.

	<b>Number of Respondents (burden for all activities within that year)</b>	<b>Frequency of Response</b>	<b>Range of Response Times (minutes)*</b>	<b>Estimated Total Annual Burden (burden for all activities within that year; reported in hours)</b>
<b>Year 1</b> (August 2020 – August 2021)	1,818,404	1	13*	384,629
<b>Year 2</b> (August 2021 – August 2022)	1,818,404	1	13*	384,629
<b>Year 3</b> (August 2022 – August 2023)	1,818,404	1	13*	384,629
<b>Totals</b>	<b>5,455,212</b>			<b>1,153,887</b>

\*We averaged the response times of the collections to come up with an average figure (12.6912).

**13. Costs to Respondents**

We anticipate no costs to respondents.

**14. Costs to Federal Government**

The anticipated cost to the Federal Government is approximately \$131,204 annually. These costs are comprised of operational expenses (e.g., equipment, overhead, printing, postage, and support staff), contractor payments, and any other expenses necessary to collect the information approved under this generic clearance.

**15. Reason for Change**

Since the last time we cleared this information collection, the agency has further developed our customer satisfaction activities, estimated our agency needs, and fine-tuned our collection methods based on the Fast Track protocol. We are projecting higher representative annual burden for the next three years, as we anticipate we will need more customer satisfaction activities.

**16. Tabulation of Results, Schedule, Analysis Plans**

Feedback collected under this generic clearance provides useful information, but it does not yield data that we generalize to the overall population. SSA uses the findings for general service improvement, and not for publication or other public release.

Although the agency does not intend to publish its findings, SSA may receive requests to release the information (e.g., congressional inquiry, Freedom of Information Act requests). In such cases, the agency disseminates the findings when appropriate, strictly following SSA's "Guidelines for Ensuring the Quality of Information Disseminated to the Public," and includes specific discussion of the limitation of the qualitative results discussed above.

**17. Display of OMB Approval Date**

We are requesting no exemption.

**18. Exceptions to Certification for Paperwork Reduction Act Submissions**

These activities comply with the requirements in *5 CFR 1320.9*.