

OMB Approval No.: 1840-0832

Expiration Date: XX/XX/XXXX

## **Veterans Upward Bound (VUB) Program Annual Performance Report Program Year 2018-19**

**Authority: Public Law 102-325, as amended.**

According to the *Paperwork Reduction Act of 1995*, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. Public reporting burden for this collection of information is estimated to average 17 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. The obligation to respond to this collection is required to obtain or retain benefit (Title IV, Sections 402A and 402C of the *Higher Education Act of 1965*, as amended; the program regulations in 34 CFR 645; and the Education Department General Administrative Regulations (EDGAR), in 34 CFR 74.51, 75.720, and 75.732). Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to [regulations.gov](http://regulations.gov) during the public comment period for this collection of information. If you have specific questions about the form, instrument or survey, please contact Kenneth Foushee at 202-453-7417 or [Kenneth.foushee@ed.gov](mailto:Kenneth.foushee@ed.gov).

### **SECTION I – PROJECT IDENTIFICATION, CERTIFICATION, AND WARNING**

#### **A. Identification (all fields with an asterisk [\*] are mandatory):**

1. PR/Award Number: [will be prepopulated]
2. Name of Grantee Institution/Agency: [will be prepopulated]
3. Address:
  - Campus:
  - Street
  - .\*
  - City:\*
  - State:\* [dropdown box]      Zip:\*
4. Name of Project Director:

Prefix: [dropdown box allowing choice among Mr., Mrs., Ms., Dr.,  
Sister, Father]

First Name:\*                      MI:                      Last Name:\*

5. Telephone Number:\*

ext.: Fax Number:

ext.:

E-mail Address:\*

6. Report Period:                      [will be prepopulated]

7. Type of Project:                      [will be prepopulated]

8. Data Entry Person:

Prefix [dropdown box allowing choice among Mr., Mrs., Ms., Dr.,

Sister, Father] First Name:\*                      MI:                      Last Name:\*

Telephone Number:\*                      ext.:

E-mail address:\*

## B. Certification

The Project Director and Certifying Official are required to sign and date Section I, Part 1 of the 2018-19 Annual Performance Report form to certify the accuracy and completeness of the information submitted electronically. After completing the entire report online, you will be able to print a copy of Section I, which will include signature lines for the project director and certifying official. Once the form has been signed, please scan it and, using the functionality on the APR site, upload it. Only Section I should be uploaded— not any other portion of the report. **The signed copy of Section I must be uploaded within five business days of final submission of your APR.**

I have verified the information in this section.

**Warning:** Any person who knowingly makes a false statement or misrepresentation on this report is subject to penalties which may include fines, imprisonment, or both, under the United States Criminal Code and 20 U.S.C. 1097.

Further federal funds or other benefits may be withheld under these programs unless this report is completed and filed as required by existing law (20 U.S.C. 1231a) and

regulations (34 CFR 75.590 and 75.720).

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**ANNUAL PERFORMANCE REPORT (2018-19)  
SECTION II -- RECORD STRUCTURE FOR PARTICIPANT  
LIST  
FOR VETERANS UPWARD BOUND PROJECTS**

Grantees must submit participant files in Excel or CSV format. Column names **must** match the "Database Column Name" provided in column three below, and grantees must follow instructions in the "Valid Field Content" column. For additional information on how to submit data, please see "How May the Report be Submitted?" in the instructions for the APR.

<b>Field No.</b>	<b>Field Name</b>	<b>Database Column Name</b>	<b>Valid Field Content</b>	<b>Data for field must not change from reporting year to reporting year</b>	<b>Grantee must check field each report year to see if update is needed</b>
1	PR/Award Number	VetPR	Number in Block 2 of the project's Grant Award Notification.  Include only the eleven-character PR/award Number that begins as follows: P047V _____	This field is pre-populated and thus does not need a checkmark.	This field is pre-populated and thus does not need a checkmark.

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2	Batch Year	VetBatchAY	2018 for project year 2018-19	This field is pre-populated and thus does not need a checkmark.	This field is pre-populated and thus does not need a checkmark.
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<b>Field No.</b>	<b>Field Name</b>	<b>Database Column Name</b>	<b>Valid Field Content</b>	<b>Data for field must not change from reporting year to reporting year</b>	<b>Grantee must check field each report year to see if update is needed</b>
3	Program Type	VetType	3 =Veterans Upward Bound	This field is pre-populated and thus does not need a checkmark.	This field is pre-populated and thus does not need a checkmark.
4	Case Number	CaseNumber	The case number is a TRIO-generated number assigned to each current and prior-year participant. TRIO uses case numbers (1) to ensure that grantees include all of the records that need to be on the VUB APR data file, and (2) to match VUB APR data records with the VUB longitudinal file. Please refer to the General Instructions for further information.	✓	

<b>Field No.</b>	<b>Field Name</b>	<b>Database Column Name</b>	<b>Valid Field Content</b>	<b>Data for field must not change from reporting year to reporting year</b>	<b>Grantee must check field each report year to see if update is needed</b>
5	Last Name	VetLastNM	0 to 9 Uppercase A to Z . (period) ' (apostrophe) - (hyphen)  Last name will be justified with an uppercase A-Z in first position. Please do not use commas or quotation marks in this field.  <b>So as to allow participants' records to match across years, this data must match your 2017-18 APR (except for students new in 2018-19).</b>	✓	

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6	First Name	VetFirstNM	<p>0 to 9  Uppercase A to Z  . (period)  ' (apostrophe)  - (hyphen)</p> <p>First name will be justified with an uppercase A-Z in first position. Please do not use commas or quotation marks in this field.</p> <p><b>So as to allow participants' records to match across years, this data must match your 2017-18 APR (except for students new in 2018-19).</b></p>	✓	
7	Middle Initial	VetMI	<p>Uppercase A to Z; only one character  Blank = Unknown</p>	✓	

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Field No.	Field Name	Database Column Name	Valid Field Content	Data for field must not change from reporting year to reporting year	Grantee must check field each report year to see if update is needed
			So as to allow participants' records to match across years, this data must match your 2017-18 APR (except for students new in 2018-19).		
8	Date of Birth	VetDOB	Format is MM/DD/CCYY, e.g., 01/01/1977 MM = 01 – 12 DD = 01 – 31 CC = 19 – 20 YY = 00 – 99 00/00/0000 = Unknown  Please make every effort to identify the participant's precise date of birth. So as to allow participants' records to match across years, this data must match your 2017-18 APR (except for students new in 2018-19).	✓	
9	Gender	VetGenderCD	1 = Male 2 = Female 0 = Unknown		

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10	Ethnicity – Hispanic	VetEthnic	Participant is identified as Hispanic/Latino. 1 = Yes 2 = No  Please see instructions for additional detail on this field.		
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<b>Field No.</b>	<b>Field Name</b>	<b>Database Column Name</b>	<b>Valid Field Content</b>	<b>Data for field must not change from reporting year to reporting year</b>	<b>Grantee must check field each report year to see if update is needed</b>
11	Race – American Indian/Alaskan Native	VetRace1	Participant is identified as American Indian/Alaskan Native. 1 = Yes 2 = No  Please see instructions for additional detail on this field.		
12	Race – Asian	VetRace2	Participant is identified as Asian. 1 = Yes 2 = No  Please see instructions for additional detail on this field.		
13	Race – Black or African American	VetRace3	Participant is identified as Black or African American. 1 = Yes 2 = No Please see instructions for additional detail on this field.		
14	Race – White	VetRace4	Participant is identified as White. 1 = Yes 2 = No  Please see instructions for additional detail on this field.		

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15	Race – Native Hawaiian or Other Pacific Islander	VetRace5	Participant is identified as Native Hawaiian or Other Pacific Islander. 1 = Yes 2 = No		
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<b>Field No.</b>	<b>Field Name</b>	<b>Database Column Name</b>	<b>Valid Field Content</b>	<b>Data for field must not change from reporting year to reporting year</b>	<b>Grantee must check field each report year to see if update is needed</b>
			Please see instructions for additional detail on this field.		
16	Eligibility (at time of initial selection)	VetEligCD	<p>1 = Low-income and first-generation  2 = Low-income only  3 = First-generation only  4 = High risk for academic failure only  5 = Low-income and at high risk for academic failure  6 = First-generation and at high risk for academic failure  7 = Low-income, first-generation, and at high risk for academic failure  0 = Unknown</p> <p>Note: If a project selects option 4, 5, 6, or 7 in this field, that participant must have met one or more of the three at-risk criteria in fields 17-19.  Please see "Definitions That Apply" in instructions.</p>	✓	

Field No.	Field Name	Database Column Name	Valid Field Content	Data for field must not change from reporting year to reporting year	Grantee must check field each report year to see if update is needed
17	At Risk: Out of School for Five Years (at time of initial selection)	VetAtRiskSchool	1 = Yes 2 = No 9 = Not applicable, prior and continuing participants served before the 2012–17 cycle 0 = Unknown Note: Select “Unknown” if the “at risk” status of a “new” participant first served in 2012-13 or 2013-14 was not collected at time of initial selection. <b>Beginning with “new” participants first served in 2014–15, projects were required to determine if the participant met any of the regulatory “at risk” criteria; projects are to report accordingly.</b>	✓	

Field No.	Field Name	Database Column Name	Valid Field Content	Data for field must not change from reporting year to reporting year	Grantee must check field each report year to see if update is needed
18	At Risk: Low Standardized Test Scores (at time of initial selection)	VetAtRiskStdTest	<p>1 = Yes 2 = No</p> <p>9 = Not applicable, prior and continuing participants served before the 2012–17 cycle</p> <p>0 = Unknown</p> <p>Note: Select “Unknown” if the “at risk” status of a “new” participant first served in 2012-13 or 2013-14 was not collected at time of initial selection. <b>Beginning with “new” participants first served in 2014–15, projects were required to determine if the participant met any of the regulatory “at risk” criteria; projects are to report accordingly.</b></p>	✓	

Field No.	Field Name	Database Column Name	Valid Field Content	Data for field must not change from reporting year to reporting year	Grantee must check field each report year to see if update is needed
19	At Risk: Disability	VetAtRiskDisability	<p>The presence of a disability, as defined in the program regulations, is one of three criteria used to determine if a participant is “at high risk for academic failure.” In the prior APR, a VUB project was required to report on the disability status of a participant for demographic purposes. Therefore, in this new field, report on the disability status of <b>all current year and prior-year</b> participants.</p> <p>1 = Yes 2 = No 0 = Unknown</p> <p>Please see “Definitions That Apply” in instructions.</p>	✓	



<b>Field No.</b>	<b>Field Name</b>	<b>Database Column Name</b>	<b>Valid Field Content</b>	<b>Data for field must not change from reporting year to reporting year</b>	<b>Grantee must check field each report year to see if update is needed</b>
20	Academic Need (at time of initial selection)	VetNeed	<p>1 = Need established in one or more of the At Risk fields            2 = Need for refresher courses            3 = Lack of career aspirations            4 = Lack of preparation for postsecondary enrollment/continuation            5 = Lack of confidence to transition into civilian life/postsecondary education            6 = Lack of support            7 = Lack of employable skills            8 = Lack of awareness of student financial aid and/or military education benefits            9 = Not applicable, prior and continuing participants served before the 2012–17 cycle            10 = Other            0 = Unknown</p> <p>In this field, please indicate the main reason the project is providing services to the participant; this may or may not be due to risk factors that the participant may have.</p>		

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21	Recruitment	VetRecruit	<p>1 = Referral from community agency  2 = Referral from veterans' agency (e.g., U.S. Department of Veterans Affairs, veterans' center)  3 = Advertisement  4 = Project's Web site  5 = Referral from educational institution  6 = Word of mouth/walk-in  7 = Referral from another TRIO project (e.g., Talent Search, Student Support Services, Educational Opportunity Centers, other VUB project)  8 = Referral from non-TRIO program  10 = Other  0 = Unknown</p>		
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22	Date of First Project Service	VetProjEntDT	<p>Format is MM/DD/CCYY, e.g., 09/15/2018            MM = 01 – 12            DD = 01 – 31            CC = 19 – 21            YY = 00 – 99            00/00/0000 = Unknown</p> <p>For new participants, use the date the participant first received service from the Veterans Upward Bound project that is submitting this report. Do not use date of <i>acceptance</i> into project unless that is the same as the date of <i>first service</i>.</p> <p>Use the very first date of service at this project even if the participant subsequently left and reentered. Even if the participant transferred from another project, in this field give the date of first service at the project now submitting the report. (For continuing and prior-year participants, use the date entered in the earlier APR, even if it was a date of entry that differed from the date of first service.)</p> <p>You do not need to provide the exact day; you may use 15 (midpoint of the month). If exact month or year is uncertain, use 00/00/0000 ("Unknown").</p>	✓	
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Field No.	Field Name	Database Column Name	Valid Field Content	Data for field must not change from reporting year to reporting year	Grantee must check field each report year to see if update is needed
23	Educational Status, at date of first project service	VetGradeLV1	1 = High school dropout 2 = High school graduate 3 = GED/high school equivalency credential recipient 4 = High school graduate with some college 5 = GED/high school equivalency with some college 0 = Unknown	✓	
24	Employment Status, at date of first project service	VetJob	1 = Unemployed 2 = Employed part time 3 = Employed full time 4 = Retired 0 = Unknown	✓	

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<b>Field No.</b>	<b>Field Name</b>	<b>Database Column Name</b>	<b>Valid Field Content</b>	<b>Data for field must not change from reporting year to reporting year</b>	<b>Grantee must check field each report year to see if update is needed</b>
25	Deceased or Incapacitated	VetDeceased	1 = Deceased prior participant 2 = Prior participant, permanently incapacitated 3 = Participant was served during reporting period 2018-19 but is now deceased 4 = Participant was served during reporting period 2018-19 but is now permanently incapacitated 9 = Not applicable: neither deceased nor permanently incapacitated 0 = Unknown		✓

26	Participant Status, for reporting year <b>2018-19</b>	VetPartCD	<p>1 = New participant (for reporting period)                  2 = Continuing participant (from immediately preceding reporting period)                  3 = Reentry participant                  4 = Prior-year participant</p> <p>For this report, please use the following definitions:  <b>A new participant</b> is an individual who participated in the Veterans Upward Bound project for the <b>first time</b> in this reporting period.                  --A <b>continuing participant</b> is an individual who participated in the project in both the current reporting period and the reporting period immediately preceding.                  --A <b>reentry participant</b> is an individual who attended the educational program offered by the project in a previous reporting year, suspended his or her participation, and reentered the program during the current reporting year. You may also include in this category persons who completed the VUB program and returned to take it again, provided the participant had not enrolled in a program of postsecondary education.  <b>However, participants who enrolled in a postsecondary education program after leaving VUB (either completing VUB or not) are not eligible to reenter VUB.</b>                  If a participant coded as "reentry" in one year (e.g., 2017-18) continues into the next year (e.g., 2018-19), he or she should be coded as "continuing" for the second year and for any subsequent year in which the student is served (2018-19 and continuous years thereafter).                  -A <b>prior-year participant</b> is an individual who participated in the Veterans Upward Bound program in a previous reporting period but who has not received services on a continual basis during the current reporting period.                  -The sum of the new, continuing, and reentry participants should equal the total number of participants the projects served during the reporting period.</p>		✓
27	Served by Another Federal Program Similar to VUB, during reporting year	VetServed	<p>1 = Participant received services from an EOC project                  2 = Participant received services from another federally funded program (other than EOC) that is similar to VUB                  3 = Participant did not receive services from another federally funded program similar to VUB                  9 = Not applicable, prior-year participant                  0 = Unknown</p>		✓

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<b>Field No.</b>	<b>Field Name</b>	<b>Database Column Name</b>	<b>Valid Field Content</b>	<b>Data for field must not change from reporting year to reporting year</b>	<b>Grantee must check field each report year to see if update is needed</b>
			This field provides information on the participation of current VUB participants in other federally funded programs that were similar to VUB and were serving the same target area during 2018-19.		
28	Called to Active Duty, during reporting year	VetCall	1 = Yes, current-year participant 2 = Yes, prior-year participant who was on active duty during the reporting year 3 = No 0 = Unknown		✓
29	Academic Improvement on Standardized Test Objective – Numerator, for reporting year	VetTestObj	1 = Yes, participant completed VUB program during the reporting year and improved academic performance as demonstrated by pre- and posttests. 2 = No, participant completed VUB program during the reporting year, took pre- and posttests but did not improve 3 = No, participant completed VUB program during the reporting year but did not take posttest, or participant completed VUB but test status is unknown 8 = Not applicable, served during reporting year but did not complete the VUB educational program during it 9 = Not applicable, prior year participant		✓

<b>Field No.</b>	<b>Field Name</b>	<b>Database Column Name</b>	<b>Valid Field Content</b>	<b>Data for field must not change from reporting year to reporting year</b>	<b>Grantee must check field each report year to see if update is needed</b>
30	Date of Last Project Service in VUB	VetLastSerDate	<p>Format is MM/DD/CCYY, e.g., 03/31/2018  MM = 01 – 12  DD = 01 – 31  CC = 20  YY = 00 – 99  88/88/8888 Not applicable, still in the educational program offered by the project  00/00/0000 = Unknown</p> <p><b>Accuracy is particularly important for this field.</b> Report date of last service for all participants who were no longer being served as of the date of APR submission.</p> <p>You do not need to provide the exact day; you may use 15 (midpoint of the month). If exact month or year is uncertain, use 00/00/0000 ("Unknown").</p> <p><b>Except for reentry participants, once date of last project service has been submitted in one year's APR, the date must not change in a later reporting year. For reentry participants, this field must be updated to reflect the subsequent date of last service, once that date has been</b></p>		

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			<b>determined.</b>		
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31	VUB Educational Program Completion Year	VetCompleteYR	<p>2222 = Other, completed the VUB program prior to 2011–12 project year                  2011 = Completed in 2011–12 project yr                  2012 = Completed in 2012–13 project yr                  2013 = Completed in 2013–14 project yr                  2014 = Completed in 2014–15 project yr                  2015 = Completed in 2015–16 project yr                  2016 = Completed in 2016–17 project yr                  2017 = Completed in 2017–18 project yr                  2018 = Completed in 2018–19 project yr                  2019 = Completed in 2019–20 project yr                  3333 = Reentry participant who had not enrolled in PSE following VUB participation, and who was still in the program as of the date of APR submission                  7777 = Not applicable, left project before completing VUB, but enrolled in PSE                  8888 = Not applicable, still in the educational program offered by the project                  9999 = Not applicable, left project before completing VUB; has not enrolled in PSE                  0 = Unknown</p> <p>Data for this field should reflect information available as of the date of APR submission. <b>Accuracy is particularly important for this field</b>, which is used in calculating performance measures and PE points for three objectives: Academic improvement on standardized test; Retention and completion; and Postsecondary enrollment. Enter the project year in which the participant completed VUB.</p> <p><b>Once one of the following codes has been submitted for field #31, the data must not change: 2222, yearly codes (2011–2019), and 7777 (exceptions allowed for reentry participants).</b></p>		
32	Reason for Leaving VUB Program, as of the end of the reporting period	VetLeave	<p>Please provide information as of the end of the reporting period.</p> <p>1 = Successfully completed program                  2 = Did not complete program due to current need or desire for employment                  3 = Did not complete program; moved out of project's service area                  4 = Did not complete program; no longer interested                  5 = Did not complete program due to difficulties with academics                  6 = Did not complete program due to family responsibilities                  7 = Did not complete program; left after call to active duty</p>		✓

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<b>Field No.</b>	<b>Field Name</b>	<b>Database Column Name</b>	<b>Valid Field Content</b>	<b>Data for field must not change from reporting year to reporting year</b>	<b>Grantee must check field each report year to see if update is needed</b>
			11 = Did not complete program due to medical reasons 9 = Did not complete program due to death or permanent incapacity of participant 10 = Did not complete program due to other reasons 0 = Did not complete program for unknown reasons 88 = Not applicable, still in the educational program offered by the project (include reentry participants still in program)		
33	Basic Skills Development, for reporting year (2018-19)	VetBasicSkill	Participant received this service during the reporting year. 1 = Yes 2 = No 8 = Not applicable, not offered by project 9 = Not applicable, prior-year participant 0 = Unknown  Please see "Definitions That Apply" in instructions.		
34	Short Term Remedial or Refresher Courses, for reporting year	VetRefresher	Participant received this service during the reporting year. 1 = Yes 2 = No 8 = Not applicable, not offered by project 9 = Not applicable, prior-year participant		

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	(2018-19)		0 = Unknown Please see "Definitions That Apply" in instructions.		
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<b>Field No.</b>	<b>Field Name</b>	<b>Database Column Name</b>	<b>Valid Field Content</b>	<b>Data for field must not change from reporting year to reporting year</b>	<b>Grantee must check field each report year to see if update is needed</b>
35	Assistance in Securing Local Support, for reporting year (2018-19)	VetLocalSup	Participant received this service during the reporting year. 1 = Yes 2 = No 8 = Not applicable, not offered by project 9 = Not applicable, prior-year participant 0 = Unknown  Please see "Definitions That Apply" in instructions.		
36	Special Services for Transition to PSE, for reporting year (2018-19)	VetSpSrv	Participant received this service during the reporting year. 1 = Yes 2 = No 8 = Not applicable, not offered by project 9 = Not applicable, prior-year participant 0 = Unknown  Please see "Definitions That Apply" in instructions.		

<p>37</p>	<p>Date of First Postsecondary School Enrollment</p>	<p>VetFirstEnrollDT</p>	<p>Format is MM/DD/CCYY, e.g., 09/15/2018            MM = 01 – 12            DD = 01 – 31            CC = 19 – 20            YY = 00 – 99            88/88/8888 = Not applicable, still in the educational program offered by the project (include reentry participants still in VUB)            99/99/9999 = Not applicable, left educational program (either completing or not) but has not yet enrolled in a program of postsecondary education            00/00/0000 = Unknown  <b>Accuracy is particularly important for this field.</b> Include only the enrollment date after leaving VUB (either successfully completing VUB or not). <b>Do not use dates of any PSE enrollment prior to participation in VUB.</b> If the participant has taken one or more non-credit PSE courses but is still participating in VUB, the participant should <b>not</b> be considered enrolled in postsecondary education.             You do not need to provide the exact day; you may use 15 (midpoint of the month). If exact month or year is uncertain, use 00/00/0000 ("Unknown").             Data for this field should reflect information available to the project as of the date on which the APR is submitted.   <b>Once the date of first postsecondary enrollment has been submitted in one year’s APR, the date must not change in a later reporting year.</b></p>		
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<b>Field No.</b>	<b>Field Name</b>	<b>Database Column Name</b>	<b>Valid Field Content</b>	<b>Data for field must not change from reporting year to reporting year</b>	<b>Grantee must check field each report year to see if update is needed</b>
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38	Postsecondary Education Enrollment Cohort	VetPSECohort	<p><b>2013</b> = 2013–14 <b>2014</b> =2014–15 <b>2015</b> = 2015–16 <b>2016</b> = 2016–17  <b>2017</b> = 2017–18 <b>2018</b> = 2018–19 <b>2019</b> = 2019–20  <b>8888</b> = Has not yet enrolled in a postsecondary education program; timeframe allows potential for cohort  <b>9999</b> = Does not qualify for a cohort. See General Instructions.</p> <p>This field is used to establish the cohort of participants who will form the denominator for each project’s postsecondary completion PE objective and for two of the performance measures. <b>From prior years’ APRs, the Department established postsecondary enrollment cohort year codes for participants through 2018-19; since these codes were derived from data that grantees had submitted, the Department will not allow changes to participants’ codes set in years prior to the current one. (See final pages of the General Instructions regarding criteria for establishing participants’ enrollment codes in the 2018-19 APR.) We also will not allow changes to code 9999.</b></p> <p>The cohort years and values in the grantee’s final 2017-18 APR are included in the file a grantee must download from the 2018-19 VUB APR Web application. Note: The Department has deleted certain older participant records from the download file. Please see the letter from the Director of the TRIO Upward Bound and EOC Division, the General Instructions, and the final paragraph of this field for more information.</p>		
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<b>Field No.</b>	<b>Field Name</b>	<b>Database Column Name</b>	<b>Valid Field Content</b>	<b>Data for field must not change from reporting year to reporting year</b>	<b>Grantee must check field each report year to see if update is needed</b>
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			<p>Select option 2018 if participant:</p> <ul style="list-style-type: none"> <li>Completed VUB program in 2017-18 project year and enrolled in PS education program during the 2018-19 academic year (August 1, 2018–July 31, 2019) OR</li> <li>Completed VUB program in 2018-19 project year and enrolled in PS education program during 2018-19 academic year (August 1, 2018–July 31, 2019)</li> </ul> <p>Select option 2019 if participant:</p> <ul style="list-style-type: none"> <li>Completed VUB program in 2017-18 project year and enrolled in PS education program during August or September 2019—the two months within both 2018-19 project year and academic year 2019-20 OR</li> <li>Completed VUB program in 2018-19 project year and enrolled in PS education program during 2019-20 academic year (August 1, 2019–July 31, 2020).</li> </ul> <p>Note: Academic year is August 1 through July 31.</p> <p>Select option 8888 if participant:</p> <ul style="list-style-type: none"> <li>Was enrolled in the VUB program or had reentered it; as of end of project yr, had not previously completed the VUB program and enrolled in postsecondary education following participation in VUB OR</li> </ul>		
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Field No.	Field Name	Database Column Name	Valid Field Content	Data for field must not change from reporting year to reporting year	Grantee must check field each report year to see if update is needed
			<ul style="list-style-type: none"> <li>• Completed the VUB program during the 2018-19 project year but had not yet enrolled in postsecondary education OR</li> <li>• Left the VUB program without completing it; <b>has not</b> yet enrolled in a postsecondary education program</li> </ul> <p>Select option 9999 if participant:</p> <ul style="list-style-type: none"> <li>• Did not enroll in a program of postsecondary education by the end of the project year following the project year in which the participant completed the VUB program.</li> <li>• Left VUB before completing the VUB program and <b>has</b> enrolled in a program of postsecondary education since leaving VUB.</li> <li>• Completed VUB in 2017-18 project year and enrolled in postsecondary education in dates within 2017 cohort (see table at end of General Instructions), but whose enrollment date and cohort year were not reported in 2017-18 APR.</li> </ul> <p><b>Note: For the 2018-19 APR, the Department removed from the match file all records with a cohort of 2008, 2009, 2010, 2011, or 2012, or with a value of 2222; please see pages 2–3 of the General Instructions for further information. No records can be added to cohorts 2008-12.</b></p>		

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39	School Code for Postsecondary Institution First Attended	VetPSECode	B00000 – B99999 E00000 – E99999 G00000 – G99999 000001 – 999999		
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Field No.	Field Name	Database Column Name	Valid Field Content	Data for field must not change from reporting year to reporting year	Grantee must check field each report year to see if update is needed
			<p>555555 = Enrolled in a postsecondary institution not found in the Federal School Code Directory</p> <p>666666 = Not applicable, prior participant whose enrollment in last institution attended was reported in previous grant cycle(s)</p> <p>888888 = Not applicable, still in the educational program offered by the project</p> <p>999999 = Not applicable, left educational program (either completing or not) but has not yet enrolled in a program of postsecondary education</p> <p>000000 = Unknown</p> <p>Provide the six-digit school code for the postsecondary institution the participant <b>first</b> attended after participating in the Veterans Upward Bound program. If the participant began attendance in fall 2019 (i.e., after the end of the 2018-19 reporting period), please provide the code of the institution. The six-digit codes can be obtained from the following Web site:</p> <p><a href="https://fafsa.ed.gov/FAFSA/app/schoolSearch?locale=en_EN">https://fafsa.ed.gov/FAFSA/app/schoolSearch?locale=en_EN</a></p> <p>Data for this field should reflect information available to the project as of the date on which the APR is submitted.</p>		

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<b>Field No.</b>	<b>Field Name</b>	<b>Database Column Name</b>	<b>Valid Field Content</b>	<b>Data for field must not change from reporting year to reporting year</b>	<b>Grantee must check field each report year to see if update is needed</b>
40	Source of Postsecondary Education Information	VetSelfTranCD	<p>1 = Official college transcript            2 = Institutional data but not an official transcript            3 = Self-reported by participant            4 = Other third-party verification, e.g., National Student Clearinghouse            8 = Not applicable, still in the educational program offered by the project            9 = Not applicable, left educational program (either completing or not) but has not yet enrolled in a program of postsecondary education            0 = Unknown</p> <p>This field should be based on postsecondary enrollment(s) that occurred after the participant left the VUB program (either completing or not). Data for this field should reflect information available to the project as of the date on which the APR is submitted.</p>		✓

41	College Status at beginning of academic year 2019-20	VetPSEGrLV	<p>1 = Accepted into postsecondary education program but not yet enrolled  2 = First year student in postsecondary education  3 = Postsecondary student continuing beyond first year  4 = Enrolled, completed a program of postsecondary education but continuing in postsecondary  5 = Not enrolled, completed a program of postsecondary education prior to beginning of academic year 2019-20  7 = Prior to beginning of academic year 2019-20, left a program of postsecondary education without completing it  8 = Not applicable, still in the educational program offered by the project  9 = Not applicable, left VUB educational program (either successfully completing or not) but has not yet enrolled in a program of postsecondary education  10 = Other  0 = Unknown</p> <p>This field should be based on the postsecondary enrollments that occurred after the participant left the VUB program (either completing or not). Data for this field should reflect information available to the project as of the date on which the APR is submitted.</p> <p>If you choose option 4 or 5, both of which indicate that the participant completed a program of postsecondary education, you must also complete fields #44 and #45 (for certificate/diploma completion), fields #46 and #47 (for associate degree completion), and/or fields #48 and #49 (for bachelor's degree completion).</p>		✓
42	Postsecondary Remediation	VetPSRemediation	<p>For members of the 2018-19 postsecondary education enrollment cohort (field #38), select 1–3 or 0; for others, select 9:  1 = Placed into college-level math and English in the first year of postsecondary education  2 = Placed into remedial math or English in the first year of postsecondary education</p>		✓

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Field No.	Field Name	Database Column Name	Valid Field Content	Data for field must not change from reporting year to reporting year	Grantee must check field each report year to see if update is needed
			<p>3 = Not applicable, VUB participant did not take math or English during the first year of postsecondary education</p> <p>9 = Not applicable, participant not in 2018-19 postsecondary education enrollment cohort</p> <p>0 = Unknown</p> <p>This field is based on postsecondary enrollments that occurred after the participant completed the VUB program. Data for this field should reflect information available to the project as of the date on which the APR is submitted.</p> <p>Regarding the term "remediation," please see "Definitions That Apply" in the General Instructions.</p>		



<b>Field No.</b>	<b>Field Name</b>	<b>Database Column Name</b>	<b>Valid Field Content</b>	<b>Data for field must not change from reporting year to reporting year</b>	<b>Grantee must check field each report year to see if update is needed</b>
43	Certificate/ Diploma Completed	VetCertificateCD	<p>1 = Yes, completed certificate/diploma for occupational/educational program            2 = No (Not applicable), participant pursued another kind of postsecondary credential (not associated with a certificate/diploma program)            6 = Enrolled in, but not yet completed certificate/diploma program            7 = Left a certificate/diploma program without completing it            8 = Not applicable, still in the educational program offered by the project            9 = Not applicable, left the educational program (either completing it or not) but has not yet enrolled in a program of postsecondary education            0 = Unknown</p> <p>If participant has been in a certificate program, choose 1, 6, 7, or 0. Include only those completions that occurred after the participant left the VUB program (either completing or not). Data for this field should reflect information available to the project as of the date on which the APR is submitted.</p>		✓

<b>Field No.</b>	<b>Field Name</b>	<b>Database Column Name</b>	<b>Valid Field Content</b>	<b>Data for field must not change from reporting year to reporting year</b>	<b>Grantee must check field each report year to see if update is needed</b>
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44	Date of First Certificate/ Diploma	VetCertificateDT	<p>Format is MM/DD/CCYY, e.g., 05/15/2018</p> <p><b>MM = 01 – 12 DD = 01 – 31 CC = 19 – 20 YY = 00 – 99</b></p> <p><b>22/22/2222</b> = Not applicable, participant pursued another kind of postsecondary credential (not associated with certificate/diploma program)</p> <p><b>66/66/6666</b> = Not applicable, still enrolled in certificate/diploma program</p> <p><b>77/77/7777</b> = Not applicable, left certificate/diploma program without completing it</p> <p><b>88/88/8888</b> = Not applicable, still in the educational program offered by the project</p> <p><b>99/99/9999</b> = Not applicable, left the educational program (either completing or not) but has not yet enrolled in program of postsecondary education</p> <p><b>00/00/0000</b> = Unknown</p> <p><b>Accuracy is particularly important for this field.</b> This field collects dates of postsecondary completions that occurred after the participant left the VUB program (either completing or not). If participant has earned more than one certificate/diploma, report the date that the <b>first</b> certificate/diploma was completed.</p> <p>You do not need to provide the exact day; you may use 15 (midpoint of the month). If exact month or year is uncertain, use 00/00/0000 ("Unknown").</p> <p>Data for this field should reflect information available to the project as of the date on which the APR is submitted. <b>Once date of first certificate/diploma has been submitted in one year's APR, the date must not change in a later reporting year.</b></p>		
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<b>Field No.</b>	<b>Field Name</b>	<b>Database Column Name</b>	<b>Valid Field Content</b>	<b>Data for field must not change from reporting year to reporting year</b>	<b>Grantee must check field each report year to see if update is needed</b>
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45	Associate Degree Attained	VetAssocDegreeCD	<p>1 = Yes, attained associate degree</p> <p>2 = No (Not applicable), participant pursued another kind of postsecondary credential (not associated with an associate degree program)</p> <p>5 = Transferred to four-year institution without completing an associate degree</p> <p>6 = Enrolled in, but not yet completed associate degree</p> <p>7 = Not applicable, left associate degree program without completing it; did not transfer to a four-year institution</p> <p>8 = NA, not yet completed the educational program offered by the project</p> <p>9 = Not applicable, left the educational program (either completing or not) but has not yet enrolled in program of postsecondary education</p> <p>0 = Unknown</p> <p>If participant has been in an associate degree program, choose 1, 5, 6, 7, or 0. Include only those completions that occurred after the participant left the VUB program (either completing or not). Data for this field should reflect information available to the project as of the date on which the APR is submitted.</p>		✓
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46	Date of First Associate Degree	VetAssocDegreeDT	<p><b>Format is MM/DD/CCYY, e.g., 05/15/2018</b>  <b>MM = 01 – 12 DD = 01 – 31 CC = 19 – 20 YY = 00 – 99</b>  <b>22/22/2222</b> = Not applicable, participant pursued another kind of postsecondary credential (not associated with an associate degree program)  <b>55/55/5555</b> = Transferred to 4-year institution w/o completing an assoc degree  <b>66/66/6666</b> = Not applicable, still enrolled in associate degree program  <b>77/77/7777</b> = Not applicable, left associate degree program without completing it; did not transfer to a four-year institution  <b>88/88/8888</b> = Not applicable, not yet completed the educational program offered by the project  <b>99/99/9999</b> = Not applicable, left educational program (either completing or not) but has not yet enrolled in program of postsecondary education  <b>00/00/0000</b> = Unknown</p> <p><b>Accuracy is particularly important for this field.</b> This field collects dates of postsecondary completions that occurred after the participant left the VUB program (either completing or not). If participant has earned more than one associate degree, report the date that the <b>first</b> associate degree was completed. You do not need to provide the exact day; you may use 15 (midpoint of the month). If exact month or year is uncertain, use 00/00/0000 ("Unknown"). Data for this field should reflect information available to the project as of the date on which the APR is submitted. <b>Once date of first associate degree has been submitted in one year's APR, the date must not change in a later reporting year.</b></p>		
47	Bachelor's Degree Attained	VetBachDegreeCD	<p>1 = Yes, attained bachelor's degree  2 = No (Not applicable), participant pursued another kind of postsecondary credential (not associated with bachelor's degree program)  6 = Enrolled in, but not yet completed bachelor's degree  7 = Left bachelor's degree program without completing it  8 = Not applicable, not yet completed the educational program offered by the project</p>		✓

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<b>Field No.</b>	<b>Field Name</b>	<b>Database Column Name</b>	<b>Valid Field Content</b>	<b>Data for field must not change from reporting year to reporting year</b>	<b>Grantee must check field each report year to see if update is needed</b>
			<p>9 = Not applicable, left educational program (either completing or not) but has not yet enrolled in a program of postsecondary education</p> <p>0 = Unknown</p> <p>If participant has been in a bachelor's degree program, choose 1, 6, 7, or 0. Include only those completions that occurred after the participant left the VUB program (either completing or not). Data for this field should reflect information available to the project as of the date on which the APR is submitted.</p>		

48	Date of First Bachelor's Degree	VetBachDegreeDT	<p><b>Format is MM/DD/CCYY, e.g., 05/15/2018</b>  <b>MM = 01 – 12 DD = 01 – 31 CC = 19 – 20 YY = 00 – 99</b></p> <p><b>22/22/2222</b> = Not applicable, participant pursued another kind of postsecondary credential (not associated with bachelor's degree program)  <b>66/66/6666</b> = Not applicable, still enrolled in bachelor's degree program  <b>77/77/7777</b> = Not applicable, left bachelor's degree program without completing it  <b>88/88/8888</b> = Not applicable, still in the educational program offered by the project  <b>99/99/9999</b> = Not applicable, left educational program (either completing or not) but has not yet enrolled in program of postsecondary education  <b>00/00/0000</b> = Unknown</p> <p><b>Accuracy is particularly important for this field.</b> This field collects dates of postsecondary completions that occurred after the participant left the VUB program (either completing or not). If participant has earned more than one bachelor's degree, report the date that the <b>first</b> bachelor's degree was completed. You do not need to provide the exact day; you may use 15 (midpoint of the month). If exact month or year is uncertain, use 00/00/0000 ("Unknown"). Data for this field should reflect information available to the project as of the date on which the APR is submitted. <b>Once date of first bachelor's degree has been submitted in one year's APR, the date must not change in a later reporting year.</b></p>		
49	Length of Active Duty (2013-14 Postsecondary Ed. Cohort)	VetActiveDuty	<p>Participant in 2013 postsecondary education enrollment cohort who was called to active duty at any time between 2013-14 and 2018-19 and served on active duty during that period for:</p> <p>1 = 1–11 months  2 = 12–23 months  3 = 24–35 months  4 = 36–47 months  5 = 48–59 months</p>		✓

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Field No.	Field Name	Database Column Name	Valid Field Content	Data for field must not change from reporting year to reporting year	Grantee must check field each report year to see if update is needed
			6 = 60–71 months 7 = 72–84 months 8 = Called to active duty but number of months unknown 9 = Not called to active duty, or active duty status is unknown 99 = Not applicable, not in 2013-14 postsecondary education enrollment cohort		
50	Participant's Name Change (Optional)	FullNameChange	<p>If the participant changed his or her name, and if the project needs to use this information to help track the student, please enter the participant's changed full name (i.e., first and last name). Note that the first and last names provided in fields #5 and 6 (VetLastNM and VetFirstNM) remain identifiers that the Department will use to track the student; thus <b>fields #5 and 6 must not change from year to year.</b></p> <p>Names entered here may be in a variety of formats, e.g., John Doe, John D. Doe, Jane Smith-Doe, Jane Smith Doe, John Doe II, John Doe, Jr. Moreover, you will be able to update this field in subsequent reporting periods.</p> <p>If the student has not changed his or her name from that provided in fields LastNM and FirstNM (fields #5 and 6), there is no need to complete this field.</p>		✓

**Note to the Data Collector:** Please see Privacy Act Statement below and convey its content to participants as you collect the information.

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**Privacy Act Statement** - In accordance with the *Privacy Act of 1974* (Public Law No. 93-579, 5 U. S.C. 552A), you are hereby notified that the Department of Education is authorized to collect information to implement the Upward Bound program under Title IV of the *Higher Education Act of 1965*, as amended (Pub. Law 102-325, sec. 402A and 402C). In accordance with this authority, the Department receives and maintains personal information on participants in the Upward Bound program. The principal purpose for collecting this information is to administer the program, including tracking and evaluating participants' academic progress. Providing the information on this form is voluntary; failure to disclose personal information will not result in denial of any right, benefit, or privilege to which the participant is entitled. The information that is collected on this form will be retained in the program files and may be released to other Department officials in the performance of official duties. The information will not be disclosed outside of the Department, except as allowed by the *Privacy Act of 1974*, pursuant to the routine uses identified in the System of Records Notice titled "TRIO Programs Annual Performance Report (APR) System (TRIO APR)."

[This document is dated May 2020.]

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