

Notes for reviewers: This form will be used to gather numeric information on GEAR UP students and expenditures that is not otherwise available and would be burdensome to collect through a telephone interview. Tables in the workbook will be customized based on the information provided during each state interview. Completing the form is expected to take no more than 45 minutes, including time that the Project Director spends reviewing records and consulting with other staff. Red font indicates instructions to Abt programmers; this text will be customized to each grantee and will not appear in red once customized. Purple font indicates rollover boxes that will provide more information to respondents if they hover their mouse over the text.

Introduction

This worksheet is a follow-up to the recent telephone interview that you completed for the U.S. Department of Education to learn how GEAR UP state grantees provide college financial aid supports. Thank you again for taking the time to participate.

To better understand financial aid for GEAR UP students in each state and how financial aid expenses are tracked, this worksheet collects follow-up numeric information on GEAR UP students and expenses. We hope this information will help the Department understand different approaches to the GEAR UP scholarship component.

The worksheet contains questions about your state's FY [earliest in study period] GEAR UP grant. Please review existing grant records or documents. Please consult with others as needed to complete the worksheet. If there are some questions that you cannot answer or if you need any clarification or assistance, we encourage you to call.

If respondent reported in interview that GEAR UP-funded scholarships or the alternative scholarships available to students who are not "active GEAR UP students": When completing this worksheet, please use the same practices for "active GEAR UP students", not for other students who may be eligible for these scholarships. As discussed during our recent interview, you reported that "active GEAR UP students" are [definition of active GEAR UP students from PD interview].

Responses to this data collection will be used only for descriptive research purposes. For this study, data may be reported by state. Thus, while personally identifiable information (PII) about individual respondents may be displayed by state could be attributed to the state agency or possibly an individual respondent. No PII is expected under the Education Department General Administrative Regulations (EDGAR) (34 C.F.R. 101.11.6).

If you have any questions about the study, please contact Dr. Hannah Miller, Abt Associates Project Director, at Hannah_Miller@abtassoc.com.

Paperwork Burden Statement: According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this collection of information is estimated to average 45 minutes per response, including time for reviewing instructions, searching existing data sources, gathering the data needed, and completing and reviewing the collection of information. The obligation to respond to this collection is expected to be imposed by the Department of Education General Administrative Regulations (EDGAR) (34 C.F.R. § 76.591). If you have any comments or concerns regarding the status of your individual response, please contact the Office of Management and Enterprise Services, U.S. Department of Education, 550 12th St, SW Room 4130, Washington, DC 20202.

Department of Education's study about
the time to participate in the interview!

Expenditures fit into each state's overall GEAR
expenditures. This information will help the

and may require you to look up data from
sources to the best of your availability. If
we would be happy to schedule a follow-

Topics included in this worksheet are
please report on scholarship policies and
scholarships. Remember that, when we
focus on active GEAR UP students from the state

Additionally, data on state policies and supports
respondents will not be released, data
grantees' participation in the study is
(20 U.S.C. § 76.591).

Contact Director, at (617) 520-3892 or

Under the Privacy Act of 1974, no persons are required to
provide information collection is xxx-xxxx. Public reporting
burden is estimated to average xxx minutes per response, including
searching existing data sources, gathering and maintaining
the data needed, reviewing and reporting the data. If
no response is expected under the Education Department General
Information Statement, please contact (National Center for
Education Statistics, 400... 2002).

Section 1: GEAR UP Students Served by Your FY [earliest in the study period] Grant

Table 1.1. Number of GEAR UP Students

From 201X-XX ^a to 201X-XX ^b ...	Number of students	I don't know
How many GEAR UP students graduated from high school? ^c		<input type="checkbox"/>
How many GEAR UP students enrolled in college? ^c		<input type="checkbox"/>

^a First grant year

^b Will be (a) 2019-20 for grants that are still in progress or (b) the last grant year for grants that have ended

^c Definition of GEAR UP students from the state Project Director (PD) interview will be added as a rollover box to these rows

Tab only for states that set aside at least some federal funds for scholarships
Section 2: Scholarships Funded through Federal FY [earliest in the study period] Grant Funds

NOTE: On this tab please focus on scholarships that are funded in part or whole through federal GEAR UP fur

Table 2.1. Number of Students Receiving GEAR UP-funded Scholarships

From 201X-XX ^a to 201X-XX ^b ...	Number of students	I don't know
How many GEAR UP students were eligible for a GEAR UP-funded scholarship?		<input type="checkbox"/>
<i>If application required according to State PD interview:</i> How many GEAR UP students applied for a scholarship?		<input type="checkbox"/>
How many GEAR UP students received a scholarship?		<input type="checkbox"/>
<i>If students who are not GEAR UP students can receive this scholarship according to State PD interview:</i> How many students who were not GEAR UP students received a GEAR UP-funded scholarship?		<input type="checkbox"/>
<i>If students can receive scholarships in multiple years and the first year that scholarships were disbursed was prior to 2019-20 according to State PD interview:</i> How many GEAR UP students received a scholarship in 2 or more academic years?		<input type="checkbox"/>
<i>If students can receive scholarships in multiple years and the first year that scholarships were disbursed was prior to 2018-19 according to State PD interview:</i> How many GEAR UP students received a scholarship in 3 or more academic years?		<input type="checkbox"/>

^a First year in which state disbursed scholarships, according to State PD interview

^b Will be (a) 2019-20 for grants that are still in progress or (b) the last grant year for grants that have ended

If not all students receive the same award amount according to State PD interview:

Table 2.2. GEAR UP-funded Scholarship Award Range

From 201X-XX ^a to 201X-XX ^b ...		I don't know
What was the minimum annual scholarship award (greater than zero) for full-time students?	\$ _____	<input type="checkbox"/>
What was the maximum annual scholarship award?	\$ _____	<input type="checkbox"/>

^a First year in which state disbursed scholarships, according to State PD interview

^b Will be (a) 2019-20 for grants that are still in progress or (b) the last grant year for grants that have ended

If not all students receive the same award amount according to State PD interview:

Table 2.3. GEAR UP-funded Scholarship Average Annual Award

What was the average annual scholarship award? Please estimate if you are not able to calculate the average.		I don't know
201X - 1Y ^a	\$ _____	<input type="checkbox"/>

201X - 1Y	\$ _____	<input type="checkbox"/>
...	\$ _____	<input type="checkbox"/>
201X - 1Y ^b	\$ _____	<input type="checkbox"/>

^a Only show years in which state disbursed scholarships, according to state PD interview

^b Will be the last year the state reported disbursing scholarships that is (a) within the grant period for grants that have enc

nds.

led or (b) 2019-20 for grants that are still in progress

Tab only for states with at least one scholarship specifically for, or giving priority to, GEAR UP students, excluding the GEAR UP-funded scholarship

Section 3: Other Financial Aid for GEAR UP Students in Your State

Repeat Tables 3.1, 3.2, and 3.3 for each scholarship that the State PD reported as specifically for, or giving priority to, GEAR UP students.

Table 3.1. Number of Students Receiving [Scholarship Name]

From 201X-XX ^a to 201X-XX ^b ...	Number of students	I don't know
How many GEAR UP students were eligible for [scholarship name]?		<input type="checkbox"/>
<i>If application required according to the State PD interview:</i> How many GEAR UP students applied for this scholarship?		<input type="checkbox"/>
How many GEAR UP students received this scholarship?		<input type="checkbox"/>
<i>If students who are not GEAR UP students can receive this scholarship according to State PD interview:</i> How many students who were not GEAR UP students received this scholarship?		<input type="checkbox"/>
<i>If students can receive scholarship in multiple years according to the State PD interview and state had GEAR UP students in 12th grade by 2018-19 according to APRs:</i> How many GEAR UP students received this scholarship in 2 or more academic years?		<input type="checkbox"/>
<i>If students can receive scholarship in multiple years according to the State PD interview and state had GEAR UP students in 12th grade by 2017-18 according to APRs:</i> How many GEAR UP students received this scholarship in 3 or more academic years?		<input type="checkbox"/>

^a First year during study period that state had GEAR UP students in 12th grade, according to APRs

^b Will be (a) 2019-20 for grants that are still in progress or (b) the last grant year for grants that have ended

If not all students receive the same award amount according to State PD interview:

Table 3.2. [Scholarship Name] Award Range

From 201X-XX ^a to 201X-XX ^b ...		I don't know
What was the minimum annual scholarship award (greater than zero) for full-time students?	\$ _____	<input type="checkbox"/>
What was the maximum annual scholarship award?	\$ _____	<input type="checkbox"/>

^a First year during study period that state had GEAR UP students in 12th grade, according to APRs

^b Will be (a) 2019-20 for grants that are still in progress or (b) the last grant year for grants that have ended

If not all students receive the same award amount according to State PD interview:

Table 3.3. [Scholarship Name] Average Annual Award

What was the average annual scholarship award? <i>Please estimate if you are not able to calculate the average.</i>		I don't know
201X - 1Y ^a	\$ _____	<input type="checkbox"/>
201X - 1Y	\$ _____	<input type="checkbox"/>

...	\$ _____	<input type="checkbox"/>
201X - 1Y ^b	\$ _____	<input type="checkbox"/>

^a First year during study period that state had GEAR UP students in 12th grade, according to APRs

^b Will be (a) 2019-20 for grants that are still in progress or (b) the last grant year for grants that have ended

Section 4: GEAR UP Expenditures

To provide context for GEAR UP scholarship spending, please report approximately how much of your activities at the state level versus disbursed to local partners. In Table 4.1, if you do not know the amount, leave blank.

Table 4.1. FY [earliest in study period] GEAR UP Grant Expenditures

Academic year	Total federal expenditures reported in Annual Performance Report (APR)	Total amount of federal funds...		
		Spent directly on student scholarships?	Spent administering scholarship programs?	Spent by your agency on statewide GEAR UP activities or state-level expenses?
201X - 1Y ^a	\$Add from APR	\$ _____	\$ _____	\$ _____
201X - 1Y	\$Add from APR	\$ _____	\$ _____	\$ _____
...	\$Add from APR	\$ _____	\$ _____	\$ _____
201X - YY ^b	\$Add from APR	\$ _____	\$ _____	\$ _____

^a First year of grant

^b Will be (a) 2019-20 for grants that are still in progress or (b) the last grant year for grants that have ended

Table 4.2. FY [earliest in study period] GEAR UP Federal Expenditures, by Activity Funded

Please think about **federal** funds spent on state-level activities across the course of your GEAR UP partners and those spent providing or administering scholarships, approximately what share of your total federal funds spent on each of the following activities?

Please estimate to the best of your ability the percentage of **federal** funds spent on each of the following activities, whether the funds are spent directly on the activity or if the activity is supported through **federal** grants to local partners.

Direct services to students or families

Rollover box: Services such as tutoring, college advising, and financial aid assistance, which may be offered to students or families

Direct outreach for student or school recruitment

Direct outreach for recruitment

Rollover box: Activities related to recruiting students or schools to participate in the GEAR UP program

Information dissemination/communication

Rollover box: Disseminating information to GEAR UP program stakeholders including students and their families

Staff development or technical assistance

Rollover box: Professional supports for educators working with GEAR UP students or schools

Curricular reforms or development

Rollover box: Activities related to implementing rigorous courses or new academic opportunities to promote high school and college access and enrollment

Data collection, reporting, or compliance

Rollover box: Collecting data on program operations and outcomes, including responding to federal reporting requirements

Grant administration or project management
(Salary and benefits for state-level grant staff, state-level facilities or overhead charges)

Other: _____

Total:

	____%
	____%
	____%
	<i>Rollover box: Ensure percentages sum to 100%.</i>

Section 5: Matching Contributions

To help the Department understand whether and how scholarships, grants, and tuition wa contributions, please complete the following tables. In Table 5.1, if you do not know the a

Table 5.1. FY [earliest in study period] GEAR UP Grant Matching Contributions, by Type

Academic year	Total matching contributions reported in Annual Performance Report (APR)	Total matching contributions	
		Scholarships, grants, or tuition waivers?	Cash?
201X - 1Y ^a	\$Add from APR	\$ _____	\$ _____
201X - 1Y	\$Add from APR	\$ _____	\$ _____
...	\$Add from APR	\$ _____	\$ _____
201X - 1Y ^b	\$Add from APR	\$ _____	\$ _____

^a First year of grant

^b Will be (a) 2019-20 for grants that are still in progress or (b) the last grant year for grants that hav

If any matching contributions from scholarships, grants, or tuition waivers reported in Table 5.1:

Table 5.2. Non-Federal Scholarship or Grant Funds Dedicated to GEAR UP Students

Does your state dedicate a certain amount of non-federal scholarship or grant funds for GEAR UP students?		
<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Don't know
If yes: To the best of your knowledge, how much in non-federal funds was spent directly on GEAR UP student scholarships or grants each year?		
Academic year ^a	Spent on scholarships or grants?	Don't know
201X - 1Y	\$ _____	<input type="checkbox"/> _____
201X - 1Y	\$ _____	<input type="checkbox"/> _____
...	\$ _____	<input type="checkbox"/> _____
201X - Yy	\$ _____	<input type="checkbox"/> _____

^a Use academic years in which any matching contributions from scholarships, grants, or tuition waivers reported in Table 5.1

If any cash or in-kind matching contributions other than scholarships, grants, and tuition waivers re

Table 5.3. Matching Contributions, by Use

A. *If cash matching contributions reported:* How are **cash** matching contributions typically use

<input type="checkbox"/>	ect services to students or families
<input type="checkbox"/>	ect outreach for student or school recruitment
<input type="checkbox"/>	ormation dissemination/communication
<input type="checkbox"/>	f development or technical assistance
<input type="checkbox"/>	ricular reforms or development
<input type="checkbox"/>	ministering the scholarship program
<input type="checkbox"/>	a collection, reporting or compliance
<input type="checkbox"/>	er: _____
<input type="checkbox"/>	on't know (<i>if checked, no other options can be selected</i>).

B. *If other in-kind matching contributions reported:* How are **in-kind** matching contributions of grants, and tuition waivers typically used? To support...

<input type="checkbox"/>	ect services to students or families
<input type="checkbox"/>	ect outreach for student or school recruitment
<input type="checkbox"/>	ormation dissemination/communication
<input type="checkbox"/>	f development or technical assistance
<input type="checkbox"/>	ricular reforms or development
<input type="checkbox"/>	ministering the scholarship program
<input type="checkbox"/>	a collection, reporting or compliance
<input type="checkbox"/>	er: _____
<input type="checkbox"/>	n't know (<i>if checked, no other options can be selected</i>).

If any in-kind matching contributions other than scholarships, grants, and tuition waivers reported in

Table 5.4. Approximate Value of In-kind Matching Contributions

What are the three types of in-kind contributions with the largest monetary value? *Please provide the approximate monetary value of the in-kind matching contributions that your grant has received, excluding scholarship awards.*

<input type="checkbox"/>	_____
<i>Dropdown menu with values of 1, 2, 3</i>	
	Middle or high school teachers' time
	Middle or high school administrators' or counselors' time
	Superintendent's or other district central office staff's time
	College staff time
	Time from other non-school partners
	Volunteer service
	School district physical space and equipment
	Materials, supplies, or resources (please give examples): _____

	Other (please describe): _____
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waivers factor into some states' GEAR UP matching amount and cannot estimate, leave the cell blank.

s from...	
<p>Other in-kind contributions? <i>Rollover box: In-kind contributions are non-monetary contributions of goods and services. Please exclude scholarships, grants, or tuition waivers.</i></p>	<p><i>If total reported matching contributions do not equal APR data:</i> Your total matching contributions do not equal the total reported in your APR (column B) - please explain why.</p>
\$ _____	
\$ _____	
\$ _____	
\$ _____	

e ended

ported in Table 5.1:

