#### **Contact**

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CDC requests approval for a non-substantive change to OMB Control No. 0920-1296: **Emerging Infections Program Tracking of SARS-CoV-2 Infections among Healthcare Personnel.** 

<u>Protocol Name: Emerging Infections Program Tracking of SARS-CoV-2 Infections among Healthcare Personnel, version August 17, 2020</u>

Justification for changes:

Based on feedback from Emerging Infection Program (EIP) sites about variability of participating healthcare facilities in reporting healthcare personnel (HCP) or COVID-19 patient/resident denominator data, we propose to modify the protocol and the "Denominator form" to provide additional flexibility. The proposed modifications include additional options for reporting denominator data and allow EIP sites and facilities to report facility-specific denominator data (e.g., a subset of the requested denominator data or data for a specific year or period of months) or data that have already been or will be submitted to the CDC's National Healthcare Safety Network (NHSN) (e.g., influenza HCP vaccination summary of the HCP Vaccination Module).

We also propose minor edits to the introductory scripts for HCP phone interview in Appendix 3 and the sample email texts in Appendix 4.

#### Form Name: Denominator form

*Justification for changes:* 

- 1) We propose to add two tables (Table A and Table E) in the "Denominator form" to provide additional flexibility and options for reporting denominator data. Facilities have specific ways in which total numbers of HCP employees, non-employees, and patients or residents are tracked, and data are not available in the same format from all facilities.
  - Table A is for reporting the number of HCP who worked in the facility for at least one day from October 1, 2019 through March 31, 2020 or from October 1, 2020 through March 31, 2021 when applicable. Since many facilities have already reported this number to the CDC's NHSN (or will report in the coming months for the 2020-2021 influenza season), EIP sites can access these data through state health department or obtain them directly from the facilities.

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• Table E is similar to Table D, but EIP sites may customize the report dates and HCP category grouping according to data available at each participating facility.

Addition of these two tables will allow EIP sites to report data they already have and/or reduce burden on the participating facilities to generate new data for this project, which in some cases is not feasible within the suggested timeframe.

2) We also propose removing data on the number of COVID-19 patients/residents in the participating facilities from Table A (of the currently approved form) and creating a separate Table B (in the proposed modified form) for these data. Table B in the modified form includes an additional field for the number of COVID-19 patient-days. Based on feedback from EIP sites, some facilities will not be able to report the total number of individual COVID-19 patients/residents per month, but will be able to report the number of COVID-19 patients-days per month. The number of COVID-19 patient-days can be used as an alternative denominator when assessing the relationship between the incidence of COVID-19 among HCP and the presence of COVID-19 patients or residents in the facility during the month.

#### Burden:

Because the changes to the form and protocol are minimal, the estimates of annualized burden hours for this change request will **stay the same**.

The burden estimate for the "Denominator form" included in OMB Control No. 0920-1296 is 100 hours.

	Form Name	No. of Respondents	No. of responses per respondent	Avg. burden per response (hours)	Total burden (hours)
Approved	Assessment of Healthcare Personnel Exposed to or Infected with SARS- CoV-2: Denominator Form	50	6	20/60	100
Requested	Assessment of Healthcare Personnel Exposed to or Infected with SARS- CoV-2: Denominator Form	50	6	20/60	100

# *Description of Changes:*

The changes to the protocol are as follows:

- 1) Update "Data Collection" section to allow EIP sites and facilities to report facility-specific denominator data (e.g., a subset of the requested denominator data or data for a specific year or period of months) or data that have already been or will be submitted to the CDC's National Healthcare Safety Network (NHSN) (e.g., influenza HCP vaccination summary of the HCP Vaccination Module).
- 2) Edit the introductory scripts and sample email texts for HCP.

Protocol	Current wording	Requested Change
Emerging	Data collection	Data collection
Infections Program Tracking of SARS-CoV-2	The proposed paragraph did not exist	Propose to add this paragraph in the protocol
Infections among Healthcare		Healthcare facilities may vary in their ability to
Personnel, version September 17,		provide the HCP or COVID-19 patient/resident
2020		denominator data specified above. Facility-
		specific approaches to reporting denominator
		data, other than those noted above, may be
		developed in collaboration with EIP sites. For
		example, some facilities may only be able to
		report a subset of the requested denominator
		information and/or may only be able to report
		data for a specific year or period of months.
		Facilities that participate in the CDC National
		Healthcare Safety Network (NHSN) Healthcare
		Personnel Influenza Vaccination summary
		reporting
		(https://www.cdc.gov/nhsn/faqs/vaccination/faq-

Emonging	Introductory soviet for	influenza-vaccination-summary-reporting.html) may be able to deliver HCP denominator data for the 2019–2020 influenza season (covering the period from October 1, 2019 through March 31, 2020). This is an acceptable option for facilities unable to provide current denominator data.
Emerging Infections	Introductory script for	Introductory script for telephone interview of
Program Tracking	telephone interview of HCP	HCP cases
of SARS-CoV-2 Infections among	cases	You have been identified as a healthcare worker
Healthcare Personnel, version	You have been identified as a	who tested positive for COVID-19.
September 17,	healthcare worker who has	
2020	developed COVID-19.	
Emerging	Introductory script for	Introductory script for telephone interview of
Infections Program Tracking	telephone interview of HCP	HCP cases
of SARS-CoV-2 Infections among	non-cases	You have been identified as a healthcare worker
<u>Healthcare</u> Personnel, version	You have been identified as a	who was tested for COVID-19.
September 17,	healthcare worker who <mark>was</mark>	
2020	exposed to COVID-19 in a	
	healthcare facility.	
Emerging	Sample email text for HCP	Sample email text for HCP cases
Infections Program Tracking	cases	You have been identified as a [State] healthcare
of SARS-CoV-2	You have been identified as a	worker who tested positive for COVID-19.
Infections among		worker who tested positive for GO v ID-13.
Healthcare Personnel, version	[State] healthcare worker who	

September 17,	developed COVID-19	
2020		
Emerging	Sample email text for HCP	Sample email text for HCP non-cases
<u>Infections</u>		
Program Tracking	non-cases	You have been identified as a [State] healthcare
of SARS-CoV-2	You have been identified as a	worker who was tested for COVID-19.
Infections among	1 ou have been identified as a	worker who was tested for COVID-13.
<u>Healthcare</u>	[State] healthcare worker who	
Personnel, version		
September 17,	has cared for or been exposed	
<u>2020</u>		
	to a patient with COVID-19 in	
	the workplace.	

The changes to the form are as follows:

- 1) Addition of Table A for EIP sites to report the number of HCP who worked in the facility for at least one day from October 1, 2019 through March 31, 2020 or from October 1, 2020 through March 31, 2021 when applicable.
- 2) Addition of Table E for EIP sites to customize the report dates and healthcare personnel category grouping according to data available at each participating facility.
- 3) Separating the question about number of COVID-19 patients/residents in the participating facilities from Table A (of the currently approved form) and creating Table B (in the proposed modified form) for the question. In addition to the question about the number of COVID-19 patients/residents, we also added a question about the number of COVID-19 patient-days in Table B.
  - 4) Reformat Table C after removing the question about the number of COVID-19 patients/residents.

Refer to Attachment #2 and Attachment #3 for the currently approved denominator form and proposed modified denominator form respectively for detailed changes.

## **Attachments**

### Non-Substantive Change Request to OMB Control Number 0920-1296

Attachment #1: Emerging Infections Program Tracking of SARS-CoV-2 Infections among Healthcare

Personnel, Protocol Version September 17, 2020

Attachment #2: Assessment of Healthcare Personnel Exposed to or Infected with SARS-CoV-2:

Denominator Form (currently approved version)

Attachment #3: Assessment of Healthcare Personnel Exposed to or Infected with SARS-CoV-2:

Denominator Form (Proposed modified version)