

**The statutory authority is** section 101(a)(15) and 214(c)(1); 8 U.S.C. 1101(a)(15) and 1184(c)(1) of the Immigration and Nationality Act (INA)

101(a)(15)(E)

## **§1101. Definitions**

(a) As used in this chapter-

(15) The term "immigrant" means every alien except an alien who is within one of the following classes of nonimmigrant aliens-

(E) an alien entitled to enter the United States under and in pursuance of the provisions of a treaty of commerce and navigation between the United States and the foreign state of which he is a national, and the spouse and children of any such alien if accompanying or following to join him; (i) solely to carry on substantial trade, including trade in services or trade in technology, principally between the United States and the foreign state of which he is a national; (ii) solely to develop and direct the operations of an enterprise in which he has invested, or of an enterprise in which he is actively in the process of investing, a substantial amount of capital; or (iii) solely to perform services in a specialty occupation in the United States if the alien is a national of the Commonwealth of Australia and with respect to whom the Secretary of Labor determines and certifies to the Secretary of Homeland Security and the Secretary of State that the intending employer has filed with the Secretary of Labor an attestation under [section 1182\(t\)\(1\) of this title](#);

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214(c)(1)

## **§1184. Admission of nonimmigrants**

*(c) Petition of importing employer*

(1) The question of importing any alien as a nonimmigrant under subparagraph (H), (L), (O), or (P)(i) of [section 1101\(a\)\(15\) of this title](#) (excluding nonimmigrants under [section 1101\(a\)\(15\)\(H\)\(i\)\(b1\) of this title](#)) in any specific case or specific cases shall be determined by the Attorney General, after consultation with appropriate agencies of the Government, upon petition of the importing employer. Such petition, shall be made and approved before the visa is granted. The petition shall be in such form and contain such information as the Attorney General shall prescribe. The approval of such a petition shall not, of itself, be construed as establishing that the alien is a nonimmigrant. For purposes of this subsection with respect to nonimmigrants described in [section 1101\(a\)\(15\)\(H\)\(ii\)\(a\) of this title](#), the term "appropriate agencies of Government" means the Department of Labor and includes the Department of Agriculture. The provisions of [section 1188 of this title](#) shall apply to the question of importing any alien as a nonimmigrant under [section 1101\(a\)\(15\)\(H\)\(ii\)\(a\) of this title](#).

**The regulatory authority is** 8 CFR 214.2(e) and 8 CFR 214.6.

### **§ 214.2 Special requirements for admission, extension, and maintenance of status.**

The general requirements in [§ 214.1](#) are modified for the following nonimmigrant classes:

**Sec. 214.2(e) Treaty Traders and Investors --**

(1) Treaty trader. An alien, if otherwise admissible, may be classified as a nonimmigrant treaty trader (E-1) under the provisions of section 101(a)(15)(E)(i) of the Act if the alien:

(i) Will be in the United States solely to carry on trade of a substantial nature, which is international in scope, either on the alien's behalf or as an employee of a foreign person or organization engaged in trade principally between the United States and the treaty country of which the alien is a national, taking into consideration any conditions in the country of which the alien is a national which may affect the alien's ability to carry on such substantial trade; and

(ii) Intends to depart the United States upon the expiration or termination of treaty trader (E-1) status.

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## **§ 214.6 Citizens of Canada or Mexico seeking temporary entry under NAFTA to [engage in business activities at a professional level](#).**

**(a) General.** Under section 214(e) of the [Act](#), a citizen of Canada or Mexico who seeks temporary entry as a business person to [engage in business activities at a professional level](#) may be admitted to the [United States](#) in accordance with the North American Free Trade Agreement (NAFTA).

**(b) Definitions.** As used in this section, the terms:

*Business activities at a professional level* means those undertakings which require that, for successful completion, the individual has at least a baccalaureate degree or appropriate credentials demonstrating status as a professional in a profession set forth in Appendix 1603.D.1 of the NAFTA.

*Business person*, as defined in the NAFTA, means a citizen of Canada or Mexico who is engaged in the trade of goods, the provision of services, or the conduct of investment activities.

*Engage in business activities at a professional level* means the performance of prearranged business activities for a [United States](#) entity, including an individual. It does not authorize the establishment of a business or [practice](#) in the [United States](#) in which the professional will be, in substance, self-employed. A professional will be deemed to be self-employed if he or she will be rendering [services](#) to a corporation or entity of which the professional is the sole or controlling shareholder or owner.

*Temporary entry*, as defined in the NAFTA, means entry without the intent to establish permanent residence. The alien must satisfy the

inspecting [immigration officer](#) that the proposed stay is temporary. A temporary period has a reasonable, finite end that does not equate to permanent residence. In order to establish that the alien's entry will be temporary, the alien must demonstrate to the satisfaction of the inspecting [immigration officer](#) that his or her work assignment in the [United States](#) will end at a predictable time and that he or she will depart upon completion of the assignment.

**(c) Appendix 1603.D.1 to Annex 1603 of the NAFTA.** Pursuant to the NAFTA, an applicant seeking admission under this section shall demonstrate business activity at a professional level in one of the professions set forth in Appendix 1603.D.1 to Annex 1603. The professions in Appendix 1603.D.1 and the minimum requirements for qualification for each are as follows: <sup>1</sup>

<sup>1</sup> A business person seeking temporary employment under this Appendix may also perform training functions relating to the profession, including conducting seminars.

**APPENDIX 1603.D.1 (ANNOTATED)**

- Accountant - Baccalaureate or Licenciatura Degree; or C.P.A., C.A., C.G.A., or C.M.A.

- Architect - Baccalaureate or Licenciatura Degree; or state/provincial license. <sup>2</sup>

<sup>2</sup> The terms “state/provincial license” and “state/provincial/federal license” mean any document issued by a state, provincial, or federal government, as the case may be, or under its authority, but not by a local government, that permits a person to engage in a regulated activity or profession.

- Computer Systems Analyst - Baccalaureate or Licenciatura Degree; or Post-Secondary Diploma <sup>3</sup> or Post Secondary Certificate <sup>4</sup> and three years' experience.

<sup>3</sup> “Post Secondary Diploma” means a credential issued, on completion of two or more years of post secondary education, by an accredited academic institution in Canada or the United States.

<sup>4</sup> “Post Secondary Certificate” means a certificate issued, on completion of two or more years of post secondary education at an academic institution, by the federal government of Mexico or a state government in Mexico, an academic institution recognized by the federal government or a state government, or an academic institution created by federal or state law.

- Disaster relief insurance claims adjuster (claims adjuster employed by an insurance company located in the territory of a Party, or an independent claims adjuster) - Baccalaureate or Licenciatura Degree and successful completion of training in the appropriate areas of insurance adjustment pertaining to disaster relief claims; or three years experience in claims

adjustment and successful completion of training in the appropriate areas of insurance adjustment pertaining to disaster relief claims.

- Economist - Baccalaureate or Licenciatura Degree.
  - Engineer - Baccalaureate or Licenciatura Degree; or state/provincial license.
  - Forester - Baccalaureate or Licenciatura Degree; or state/provincial license.
  - Graphic Designer - Baccalaureate or Licenciatura Degree; or Post-Secondary Diploma or Post-Secondary Certificate and three years experience.
  - Hotel Manager - Baccalaureate or Licenciatura Degree in hotel/restaurant management; or Post-Secondary Diploma or Post Secondary Certificate in hotel/restaurant management and three years experience in hotel/restaurant management.
  - Industrial Designer - Baccalaureate or Licenciatura Degree; or Post-Secondary Diploma or Post Secondary Certificate, and three years experience.
  - Interior Designer - Baccalaureate or Licenciatura Degree or Post-Secondary Diploma or Post-Secondary Certificate, and three years experience.
  - Land Surveyor - Baccalaureate or Licenciatura Degree or state/provincial/federal license.
  - Landscape Architect - Baccalaureate or Licenciatura Degree.
  - Lawyer (including Notary in the province of Quebec) - L.L.B., J.D., L.L.L., B.C.L., or Licenciatura degree (five years); or membership in a state/provincial bar.
  - Librarian - M.L.S., or B.L.S. (for which another Baccalaureate or Licenciatura Degree was a prerequisite).
  - Management Consultant - Baccalaureate or Licenciatura Degree; or equivalent professional experience as established by statement or professional credential attesting to five years experience as a management consultant, or five years experience in a field of specialty related to the consulting agreement.
  - Mathematician (including Statistician) - Baccalaureate or Licenciatura Degree. <sup>5</sup>
- <sup>5</sup> The term “Mathematician” includes the profession of Actuary. An Actuary must satisfy the necessary requirements to be recognized as an actuary by a professional actuarial association or society. A professional actuarial association or society means a professional actuarial association or society operating in the territory of at least one of the Parties.
- Range Manager/Range Conservationist - Baccalaureate or Licenciatura Degree.
  - Research Assistant (working in a post-secondary educational institution) - Baccalaureate or Licenciatura Degree.
  - Scientific Technician/Technologist <sup>6</sup> - Possession of (a) theoretical knowledge of any of the following disciplines: agricultural sciences, astronomy, biology, chemistry, engineering, forestry, geology, geophysics,

meteorology, or physics; and (b) the ability to solve practical problems in any of those disciplines, or the ability to apply principles of any of those disciplines to basic or applied research.

6 A business person in this category must be seeking temporary entry for work in direct support of professionals in agricultural sciences, astronomy, biology, chemistry, engineering, forestry, geology, geophysics, meteorology or physics.

- Social Worker - Baccalaureate or Licenciatura Degree.
- Sylviculturist (including Forestry Specialist) - Baccalaureate or Licenciatura Degree.
- Technical Publications Writer - Baccalaureate or Licenciatura Degree, or Post-Secondary Diploma or Post-Secondary Certificate, and three years experience.
- Urban Planner (including Geographer) - Baccalaureate or Licenciatura Degree.
- Vocational Counselor - Baccalaureate or Licenciatura Degree.

#### **Medical/Allied Professionals**

- Dentist - D.D.S., D.M.D., Doctor en Odontologia or Doctor en Cirugia Dental or state/provincial license.
- Dietitian - Baccalaureate or Licenciatura Degree; or state/provincial license.
- Medical Laboratory Technologist (Canada)/Medical Technologist (Mexico and the United States) 7 - Baccalaureate or Licenciatura Degree; or Post-Secondary Diploma or Post-Secondary Certificate, and three years experience.

7 A business person in this category must be seeking temporary entry to perform in a laboratory chemical, biological, hematological, immunologic, microscopic or bacteriological tests and analyses for diagnosis, treatment, or prevention of diseases.

- Nutritionist - Baccalaureate or Licenciatura Degree.
- Occupational Therapist - Baccalaureate or Licenciatura Degree; or state/provincial license.
- Pharmacist - Baccalaureate or Licenciatura Degree; or state/provincial license.
- Physician (teaching or research only) - M.D. Doctor en Medicina; or state/provincial license.
- Physiotherapist/Physical Therapist - Baccalaureate or Licenciatura Degree; or state/provincial license.
- Psychologist - state/provincial license; or Licenciatura Degree.
- Recreational Therapist-Baccalaureate or Licenciatura Degree.
- Registered nurse - state/provincial license or Licenciatura Degree.
- Veterinarian - D.V.M., D.M.V., or Doctor en Veterinaria; or state/provincial license.
- SCIENTIST
- Agriculturist (including Agronomist) - Baccalaureate or Licenciatura Degree.
- Animal Breeder - Baccalaureate or Licenciatura Degree.

- Animal Scientist - Baccalaureate or Licenciatura Degree.
- Apiculturist - Baccalaureate or Licenciatura Degree.
- Astronomer - Baccalaureate or Licenciatura Degree.
- Biochemist - Baccalaureate or Licenciatura Degree.
- Biologist - Baccalaureate or Licenciatura Degree. 8
- 8 The term “Biologist” includes the profession of Plant Pathologist.
- Chemist - Baccalaureate or Licenciatura Degree.
- Dairy Scientist - Baccalaureate or Licenciatura Degree.
- Entomologist - Baccalaureate or Licenciatura Degree.
- Epidemiologist - Baccalaureate or Licenciatura Degree.
- Geneticist - Baccalaureate or Licenciatura Degree.
- Geochemist - Baccalaureate or Licenciatura Degree.
- Geologist - Baccalaureate or Licenciatura Degree.
- Geophysicist (including Oceanographer in Mexico and the United States)  
- Baccalaureate or Licenciatura Degree.
- Horticulturist - Baccalaureate or Licenciatura Degree.
- Meteorologist - Baccalaureate or Licenciatura Degree.
- Pharmacologist - Baccalaureate or Licenciatura Degree.
- Physicist (including Oceanographer in Canada - Baccalaureate or  
Licenciatura Degree.
- Plant Breeder - Baccalaureate or Licenciatura Degree.
- Poultry Scientist - Baccalaureate or Licenciatura Degree.
- Soil Scientist - Baccalaureate or Licenciatura Degree.
- Zoologist - Baccalaureate or Licenciatura Degree.
- TEACHER
- College - Baccalaureate or Licenciatura Degree.
- Seminary - Baccalaureate or Licenciatura Degree.
- University - Baccalaureate or Licenciatura Degree.

**(d) Classification of citizens of Canada or Mexico as TN professionals under the NAFTA -**

**(1) Citizens of Mexico.** A citizen of Mexico who seeks temporary entry as a business person to [engage in business activities at a professional level](#) may be admitted to the [United States](#) in accordance with NAFTA upon presentation of a valid passport and valid TN nonimmigrant visa at a [United States](#) Class A port-of-entry, at a [United States](#) airport handling international traffic, or at a [United States](#) pre-clearance/pre-flight station.

**(2) Citizens of Canada.** A citizen of Canada seeking temporary entry as a business person to [engage in business activities at a professional level](#) shall make [application](#) for admission with a Department officer at the [United States](#) Class A port-of-entry, at a [United States](#) airport handling international traffic, or at a [United States](#) pre-clearance/pre-flight station.

**(3) Documentation.** Upon [application](#) for a visa at a [United States](#) consular office, or, in the case of a citizen of Canada making [application](#) for admission at a port-of-entry, an applicant under this section shall present the following:

**(i) Proof of citizenship.** A Mexican citizen applying for admission as a TN nonimmigrant must establish such citizenship by presenting a valid passport. Canadian citizens, while not required to present a valid passport for admission unless traveling from outside the Western hemisphere, must establish Canadian citizenship.

**(ii) Documentation demonstrating engagement in business activities at a professional level and demonstrating professional qualifications.** The applicant must present documentation sufficient to satisfy the consular officer (in the case of a Mexican citizen) or the Department officer (in the case of a Canadian citizen) that the applicant is seeking entry to the [United States](#) to engage in business activities for a [United States](#) employer(s) or entity(ies) at a professional level, and that the applicant meets the criteria to perform at such a professional level. This documentation may be in the [form](#) of a letter from the prospective employer(s) in the [United States](#) or from the foreign employer, and must be supported by diplomas, degrees or membership in a professional organization. Degrees received by the applicant from an educational institution not located within Canada, Mexico, or the [United States](#) must be accompanied by an evaluation by a reliable credentials evaluation [service](#) which specializes in evaluating foreign educational credentials. The documentation shall fully affirm:

**(A)** The Appendix 1603.D.1 profession of the applicant;

**(B)** A description of the professional activities, including a brief summary of daily job duties, if appropriate, in which the applicant will engage in for the [United States](#) employer/entity;

**(C)** The anticipated length of stay;

(D) The educational qualifications or appropriate credentials which demonstrate that the Canadian or Mexican citizen has professional level status; and

**(E)** The arrangements for remuneration for [services](#) to be rendered.

**(e) Procedures for admission.** A citizen of Canada or Mexico who qualifies for admission under this section shall be provided confirming documentation and shall be admitted under the classification symbol TN for a period not to exceed three years. The conforming document

provided shall bear the legend “multiple entry.” The fee prescribed under [8 CFR 103.7\(b\)\(1\)](#) shall be remitted by Canadian Citizens upon admission to the [United States](#) pursuant to the terms and conditions of the NAFTA. Upon remittance of the prescribed fee, the TN applicant for admission shall be provided a DHS-issued receipt on the appropriate [form](#).

**(f)** [Reserved]

**(g) Readmission -**

**(1) With a Form I-94.** An alien may be readmitted to the [United States](#) in TN classification for the remainder of the authorized period of TN admission on [Form I-94](#) (see [§ 1.4](#)), without presentation of the letter or supporting documentation described in [paragraph \(d\)\(3\)](#) of this section, and without the prescribed fee set forth in [8 CFR 103.7\(b\)\(1\)](#), provided that the original intended professional activities and employer(s) have not changed, and the [Form I-94](#) has not expired.

**(2) Without a valid I-94.** If the alien seeking readmission to the [United States](#) in TN classification is no longer in possession of a valid, unexpired [Form I-94](#), and the period of initial admission in TN classification has not lapsed, then a new [Form I-94](#) may be issued for the period of validity that remains on the TN nonimmigrant's original [Form I-94](#) with the legend “multiple entry” and the alien can then be readmitted in TN status if the alien presents alternate evidence as follows:

**(i)** For Canadian citizens, alternate evidence may include, but is not limited to, a fee receipt for admission as a TN or a previously issued admission stamp as TN in a passport, and a confirming letter from the [United States](#) employer(s).

**(ii)** For Mexican citizens seeking readmission as TN nonimmigrants, alternate evidence shall consist of presentation of a valid unexpired TN visa and evidence of a previous admission.

**(h) Extension of stay.**

**(1) Filing.** A [United States employer](#) of a citizen of Canada or Mexico who is currently maintaining valid TN nonimmigrant status, or a [United States](#) entity (in the case of a citizen of Canada or Mexico who is currently maintaining valid TN nonimmigrant status and is employed by a foreign employer), may request an extension of stay, subject to the following conditions:

**(i)** An extension of stay must be requested by filing the appropriate [form](#) with the fee provided at [8 CFR 103.7\(b\)\(1\)](#), in accordance with the [form instructions](#) with [USCIS](#).



(ii) The beneficiary must be physically present in the [United States](#) at the time of the filing of the appropriate [form](#) requesting an extension of stay as a TN nonimmigrant. If the alien is required to leave the [United States](#) for any reason while the [petition](#) is pending, the [petitioner](#) may request that [USCIS](#) notify the consular office where the beneficiary is required to apply for a visa or, if visa exempt, a DHS-designated port-of-entry where the beneficiary will apply for admission to the [United States](#), of the approval.

(iii) An extension of stay in TN status may be approved by [USCIS](#) for a maximum period of three years.

(iv) There is no specific limit on the total period of time an alien may be in TN status provided the alien continues to be engaged in TN business activities for a U.S. employer or entity at a professional level, and otherwise continues to properly maintain TN nonimmigrant status.

**(2) Readmission at the border.** Nothing in [paragraph \(h\)\(1\)](#) of this section shall preclude a citizen of Canada or Mexico who has previously been admitted to the [United States](#) in TN status, and who has not violated such status while in the [United States](#), from applying at a DHS-designated port-of-entry, prior to the expiration date of the previous period of admission, for a new three-year period of admission. The [application](#) for a new period of admission must be supported by a new letter from the [United States employer](#) or the foreign employer, in the case of a citizen of Canada who is providing prearranged [services](#) to a [United States](#) entity, which meets the requirements of [paragraph \(d\)](#) of this section, together with the appropriate filing fee as noted in [8 CFR 103.7\(b\)\(1\)](#). Citizens of Mexico must present a valid passport and a valid, unexpired TN nonimmigrant visa when applying for readmission, as outlined in [paragraph \(d\)\(1\)](#) of this section.

**(i) Request for change or addition of United States employers -**

**(1) Filing at the service center.** A citizen of Canada or Mexico admitted into the [United States](#) as a TN nonimmigrant who seeks to change or add a [United States employer](#) during the period of admission must have the new employer file a [Form](#) I-129 with appropriate supporting documentation, including a letter from the new employer describing the [services](#) to be performed, the time needed to render such [services](#), and the terms of remuneration for [services](#). Employment with a different or with an additional employer is not authorized prior to Department approval of the request.

**(2) Readmission at the border.** Nothing in paragraph (i)(1) of those section precludes a citizen of Canada or Mexico from applying

for readmission to the [United States](#) for the purpose of presenting documentation from a different or additional [United States](#) or foreign employer. Such documentation shall meet the requirements prescribed in [paragraph \(d\)](#) of this section. The fee prescribed under [8 CFR 103.7\(b\)\(1\)](#) shall be remitted by Canadian citizens upon admission to the [United States](#) pursuant to the terms and conditions of the NAFTA. Citizens of Mexico may present documentation from a different or additional [United States](#) or foreign employer to a consular officer as evidence in support of a new nonimmigrant TN visa [application](#).

**(3)** No action shall be required on the part of a citizen of Canada or Mexico in TN status who is transferred to another location by the same [United States employer](#) to perform the same services. Such an acceptable transfer would be to a branch or office of the employer. In a case of a transfer to a separately incorporated subsidiary or affiliate, the requirements of paragraphs (i)(1) and (i)(2) of this section will apply.

***(j) Spouse and unmarried minor children accompanying or following to join.***

**(1)** The spouse or unmarried minor [children](#) of a citizen of Canada or Mexico admitted in TN nonimmigrant status, if otherwise admissible, may be admitted initially, readmitted, or granted a change of nonimmigrant status or an extension of his or her period of stay for the same period of time granted to the TN nonimmigrant. Such spouse or unmarried minor [children](#) shall, upon approval of an [application](#) for admission, readmission, change of status or extension of stay be classified as TD nonimmigrants. A request for a change of status to TD or an extension of stay of a TD nonimmigrant may be made on the appropriate [form](#) together with appropriate filing fees and evidence of the principal alien's current TN status.

**(2)** The spouse or unmarried minor [children](#) of a citizen of Canada or Mexico admitted in TN nonimmigrant status shall be required to present a valid, unexpired TD nonimmigrant visa unless otherwise exempt under [8 CFR 212.1](#).

**(3)** The spouse and unmarried minor [children](#) of a citizen of Canada or Mexico admitted in TN nonimmigrant status shall be issued confirming documentation bearing the legend "multiple entry." There shall be no fee required for admission of the spouse and unmarried minor [children](#).

**(4)** The spouse and unmarried minor [children](#) of a citizen of Canada or Mexico admitted in TN nonimmigrant status shall not accept employment in the [United States](#) unless otherwise authorized under the [Act](#).

**(k) Effect of a strike.**

**(1)** If the Secretary of Labor certifies or otherwise informs the Director of [USCIS](#) that a strike or other labor dispute involving a work stoppage of workers is in progress, and the temporary entry of a citizen of Mexico or Canada in TN nonimmigrant status may adversely affect the settlement of any labor dispute or the employment of any person who is involved in such dispute, the [United States](#) may refuse to issue an immigration document authorizing the entry or employment of such an alien.

**(2)** If the alien has already commenced employment in the [United States](#) and is participating in a strike or other labor dispute involving a work stoppage of workers, whether or not such strike or other labor dispute has been certified by the Department of Labor, or whether [USCIS](#) has been otherwise informed that such a strike or labor dispute is in progress, the alien shall not be deemed to be failing to maintain his or her status solely on account of past, present, or future participation in a strike or other labor dispute involving a work stoppage of workers, but is subject to the following terms and conditions:

**(i)** The alien shall remain subject to all applicable provisions of the [Immigration and Nationality Act](#) and regulations promulgated in the same manner as all other TN nonimmigrants;

**(ii)** The status and authorized period of stay of such an alien is not modified or extended in any way by virtue of his or her participation in a strike or other labor dispute involving a work stoppage of workers; and

**(iii)** Although participation by a TN nonimmigrant alien in a strike or other labor dispute involving a work stoppage of workers will not constitute a ground for removal, any alien who violates his or her status or who remains in the [United States](#) after his or her authorized period of stay has expired will be subject to removal.

**(3)** If there is a strike or other labor dispute involving a work stoppage of workers in progress but such strike or other labor dispute is not certified under [paragraph \(k\)\(1\)](#) of this section, or [USCIS](#) has not otherwise been informed by the Secretary that such a strike or labor dispute is in progress, Director of [USCIS](#) shall not deny a [petition](#) or deny entry to an applicant for TN status based upon such strike or other labor dispute.

[[58 FR 69212](#), Dec. 30, 1993, as amended at [63 FR 1335](#), Jan. 9, 1998; [69 FR 11289](#), Mar. 10, 2004; [69 FR 60941](#), Oct. 13, 2004; [73 FR 61334](#), Oct. 16, 2008; [78 FR 18472](#), Mar. 27, 2013]