

**FCC FORM 1240  
 UPDATING MAXIMUM PERMITTED RATES FOR REGULATED CABLE SERVICES**

**Cable Operator:**

Name of Cable Operator		
Mailing Address of Cable Operator		
City	State	ZIP Code

**1. Does this filing involve a single franchise authority and a single community unit?** YES      NO

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If yes, complete the franchise authority information below and enter the associated CUID number here:



**2. Does this filing involve a single franchise authority but multiple community units?** YES      NO

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If yes, enter the associated CUIDs below and complete the franchise authority information at the bottom of this page:



**3. Does this filing involve multiple franchise authorities?**

If yes, attach a separate sheet for each franchise authority and include the following franchise authority information with its associated CUID(s):

**Franchise Authority Information:**

Name of Local Franchising Authority		
Mailing Address of Local Franchising Authority		
City	State	ZIP Code
Telephone number	Fax Number	

**4. For what purpose is this Form 1240 being filed? Please put an "X" in the appropriate box.**

- a. Original Form 1240 for Basic Tier
  - b. Amended Form 1240 for Basic Tier
  - c. Original Form 1240 for CPS Tier
  - d. Amended Form 1240 for CPS Tier
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**5. Indicate the one year time period for which you are setting rates (the Projected Period).** TO

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(mm/yy)

**6. Indicate the time period for which you are performing a true-up.** TO

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(mm/yy)

**8. Status of Previous Filing of FCC Form 1210 (enter an "x" in the appropriate box)**

a. Has an FCC Form 1210 been previously filed with the FCC? 

YES	NO
<input type="checkbox"/>	<input type="checkbox"/>

  
 If yes, enter the date of the most recent filing: 

<input type="text"/>	(mm/dd/yy)
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b. Has an FCC Form 1210 been previously filed with the Franchising Authority? 

YES	NO
<input type="checkbox"/>	<input type="checkbox"/>

  
 If yes, enter the date of the most recent filing: 

<input type="text"/>	(mm/dd/yy)
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**9. Status of FCC Form 1200 Filing (enter an "x" in the appropriate box)**

a. Has an FCC Form 1200 been previously filed with the FCC? 

YES	NO
<input type="checkbox"/>	<input type="checkbox"/>

  
 If yes, enter the date filed: 

<input type="text"/>	(mm/dd/yy)
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b. Has an FCC Form 1200 been previously filed with the Franchising Authority? 

YES	NO
<input type="checkbox"/>	<input type="checkbox"/>

  
 If yes, enter the date filed: 

<input type="text"/>	(mm/dd/yy)
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**10. Cable Programming Services Complaint Status (enter an "x" in the appropriate box)**

a. Is this form being filed in response to an FCC Form 329 complaint? 

YES	NO
<input type="checkbox"/>	<input type="checkbox"/>

  
 If yes, enter the date of the complaint: 

<input type="text"/>	(mm/dd/yy)
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YES	NO
<input type="checkbox"/>	<input type="checkbox"/>

**11. Is FCC Form 1205 Being Included With This Filing**

**12. Selection of "Going Forward" Channel Addition Methodology (enter an "x" in the appropriate box)**

Check here if you are using the original rules [MARKUP METHOD].  
 Check here if you are using the new, alternative rules [CAPS METHOD].

If using the CAPS METHOD, have you elected to revise recovery for channels added during the period May 15, 1994 to Dec. 31, 1994? 

YES	NO
<input type="checkbox"/>	<input type="checkbox"/>

**13. Headend Upgrade Methodology**

*\*NOTE: Operators must certify to the Commission their eligibility to use this upgrade methodology and attach an equipment list and depreciation schedule.*

Check here if you are a qualifying small system using the streamlined headend upgrade methodology.

**Part I: Preliminary Information**

**Module A: Maximum Permitted Rate From Previous Filing**

Line	Line Description	a Basic	b Tier 2	c Tier 3	d Tier 4	e Tier 5
A1	Current Maximum Permitted Rate					

**Module B: Subscribership**

Line	Line Description	a Basic	b Tier 2	c Tier 3	d Tier 4	e Tier 5
B1	Average Subscribership For True-Up Period 1					
B2	Average Subscribership For True-Up Period 2					

Line	Line Description	a Basic	b Tier 2	c Tier 3	d Tier 4	e Tier 5
D1	Current Headend Upgrade Segment					
D2	Current External Costs Segment					
D3	Current Caps Method Segment					
D4	Current Markup Method Segment					
D5	Current Channel Movement and Deletion Segment					
D6	Current True-Up Segment					
D7	Current Inflation Segment					
D8	Base Rate [A1-D1-D2-D3-D4-D5-D6-D7]					

**Part II: True-Up Period  
Module E: Timing Information**

Line	Line Description		
E1	What Type of True-Up Is Being Performed? (Answer "1", "2", or "3". See Instructions for a description of these types.) If "1", go to Module I. If "2", answer E2 and E3. If "3", answer E2, E3, E4, and E5.		
E2	Number of Months in the True-Up Period 1		
E3	Number of Months between the end of True-Up Period 1 and the end of the most recent Projected Period		
E4	Number of Months in True-Up Period 2 Eligible for Interest		
E5	Number of Months True-Up Period 2 Ineligible for Interest		

**Module F: Maximum Permitted Rate For True-Up Period 1**

Line	Line Description	a Basic	b Tier 2	c Tier 3	d Tier 4	e Tier 5
F1	Caps Method Segment For True-Up Period 1 [Wks 2]					
F2	Markup Method Segment For True-Up Period 1 [Wks 3]					
F3	Chan Mvmnt Deletn Segment For True-Up Period 1 [Wks' 4/5]					
F4	True-Up Period 1 Rate Eligible For Inflation [D8+F1+F2+F3]					
F5	Inflation Segment for True-Up Period 1 [(F4*C3)-F4]					
F6	Headend Upgrade Segment For True-Up Period 1 [Wks 6]					
F7	External Costs Segment For True-Up Period 1 [Wks 7]					
F8	True-Up Segment For True-Up Period 1					
F9	Max Perm Rate for True-Up Period 1 [F4+F5+F6+F7+F8]					

**Module G: Maximum Permitted Rate For True-Up Period 2**

Line	Line Description	a Basic	b Tier 2	c Tier 3	d Tier 4	e Tier 5
G1	Caps Method Segment For True-Up Period 2 [Wks 2]					
G2	Markup Method Segment For True-Up Period 2 [Wks 3]					
G3	Chan Mvmnt Deletn Segment For True-Up Period 2 [Wks' 4/5]					

### Module H: True-Up Adjustment Calculation

Line	Line Description	a Basic	b Tier 2	c Tier 3	d Tier 4	e Tier 5
<b>Adjustment For True-Up Period 1</b>						
H1	Revenue From Period 1					
H2	Revenue From Max Permitted Rate for Period 1					
H3	True-Up Period 1 Adjustment [H2-H1]					
H4	Interest on Period 1 Adjustment					
<b>Adjustment For True-Up Period 2</b>						
H5	Revenue From Period 2 Eligible for Interest					
H6	Revenue From Max Perm Rate for Period 2 Eligible For Interest					
H7	Period 2 Adjustment Eligible For Interest [H6-H5]					
H8	Interest on Period 2 Adjustment (See instructions for formula)					
H9	Revenue From Period 2 Ineligible for Interest					
H10	Revenue From Max Perm Rate for Period 2 Ineligible for Interest					
H11	Period 2 Adjustment Ineligible For Interest [H10-H9]					
<b>Total True-Up Adjustment</b>						
H12	Previous Remaining True-Up Adjustment					
H13	Total True-Up Adjustment [H3+H4+H7+H8+H11+H12]					
H14	Amount of True-Up Claimed For This Projected Period					
H15	Remaining True-Up Adjustment [H13-H14]					

### Part III: Projected Period Module I: New Maximum Permitted Rate

Line	Line Description	a Basic	b Tier 2	c Tier 3	d Tier 4	e Tier 5
I1	Caps Method Segment For Projected Period [Wks 2]					
I2	Markup Method Segment For Projected Period [Wks 3]					
I3	Chan Mvmnt Deletn Segment For Projected Period [Wks 4/5]					
I4	Proj. Period Rate Eligible For Inflation [D8+F5+G5+I1+I2+I3]					
I5	Inflation Segment for Projected Period [(I4*C5)-I4]					
I6	Headend Upgrade Segment For Projected Period [Wks 6]					
I7	External Costs Segment For Projected Period [Wks 7]					
I8	True-Up Segment For Projected Period					
I9	Max Permitted Rate for Projected Period [I4+I5+I6+I7+I8]					
I10	Operator Selected Rate For Projected Period					

*Note: The maximum permitted rate figures do not take into account any refund liability you may have. If you have previously been ordered by the Commission or your local franchising authority to make refunds, you are not relieved of your obligation to make such refunds even if the permitted rate is higher than the contested rate or your current rate.*