UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL			
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## FORM X-17A-19

## INFORMATION REQUIRED OF NATIONAL SECURITIES EXCHANGES AND REGISTERED NATIONAL SECURITIES ASSOCIATIONS PURSUANT TO SECTIONS 17 AND 19 OF THE SECURITIES EXCHANGE ACT OF 1934 AND RULE 17a-19 THEREUNDER REPORT OF CHANGE IN MEMBERSHIP STATUS

1.	Identify the self-regulatory organization filing this report.		
	AMEX BSE CBOE CSE CHX IS	E NASD NYSE PCX PHLX	
2.	The change in membership with the reporting self-regulatory organization is:		
	(a) New Membership (b) Terminati	on of Membership (c) Suspension of Membership	
	(d) Reinstatement from Suspension (e) Change of	Address (f) Other Change in Membership Status	
	If (b), (c), (d) or (f) give a brief description of the circumstance	es	
3.	Provide the following information concerning the subject.		
	Present Status: (a) thru (d)	To be changed to (a) thru (d)	
	(a) Name	(a) Name	
	(b) Corporation Partnership Sole Proprietor	(b) Corporation Partnership Sole Proprietor	
	LLC - Other	LLC - Other	
	(c) SEC File No	(c) SEC File No	
	(d) Principal Place of Business:	(d) Principal Place of Business:	
	(Number and Street)	(Number and Street)	
	(City) (State) (Zip Code)	(City) (State) (Zip Code)	
4.	The subject is also a member of the:		
	AMEX BSE CBOE CSE CHX IS	E NASD NYSE PCX PHLX	
5.	The examining authority and SIPC collection agent prior to this change in membership status is:		
	AMEX BSE CBOE CSE CHX IS	E NASD NYSE PCX PHLX	
6.	Name of person completing report	Title	
	Telephone No. Signature	Effective Date of Change	
	(ADVISE BROKER-DEALER OF ITS OBLIGATION RESERVED FOR		
		ority Changed From to	
	Signature	Date	
	INSTRUCTIONS		
SE	EC 1414 (07-01) Persons who are to respond to contained in this form are not	o the collection of information required to respond unless the	

form displays a currently valid OMB control number.

## **GENERAL INSTRUCTIONS**

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1. This Form shall be completed and filed within 5 business days of the occurrence of one or more of the changes in membership status listed in Item 2 and/or 3 on this Form or promptly upon learning that such changes will occur. If the information first becomes available after the change in membership status has occurred, the Form shall be completed and filed promptly.

2.	Original - Mail to:	U.S. Securities and Exchange Commission Division of Market Regulation 100 F Street, N.E. Washington, D.C. 20549-6628
	Copy No. 1 - Mail to:	Securities Investor Protection Corporation 805 15th Street, N.W., Suite 800 Washington, D.C. 20005
	Copy No. 2:	Retain for your files.

- 3. The original filed with the Securities and Exchange Commission and the copy filed with the Securities Investor Protection Corporation shall be executed with a manual signature by a duly authorized official of the national securities exchange or registered securities association (self-regulatory organization).
- 4. If the space provided in the Form for an answer to any Item is insufficient, the answer may be printed or typed on a separate insert page or pages which shall be incorporated into the statement by reference thereto in the space provided for the Item.
- 5. If any Item of the Form is inapplicable, a statement to that effect shall be made following the Item.
- 6. Change of member's address is required to be reported only by the examining authority.
- 7. Copies of this Form may be obtained from the main office of the Securities and Exchange Commission in Washington, D.C.
- 8. The Securities and Exchange Commission will use a copy of this Form to notify Securities Investor Protection Corporation and the affected self-regulatory organizations of any action that is taken.