NW IC OA20192020\_Questionnaire 070820

OMB Control No. 0648-0773

Expires XX/XX/2023

PIN: **<<PIN>>**



**WEST COAST COMMERCIAL CATCHER VESSEL**

**COST EARNINGS SURVEY**

**Conducted by:**

**NOAA Fisheries – Northwest Fisheries Science Center**

This survey is being sent to owners of a commercial fishing vessel that (i) made at least one trip targeting open access groundfish, salmon, crab or shrimp, (ii) did not operate with a West Coast (California, Oregon, and Washington) limited entry groundfish permit during 2019, and (iii) landed at least $1,000 of fish on the West Coast during 2019. Please see the accompanying letter for instructions about completing this survey.

**CONTACT INFORMATION**

*You and other vessel owners are the only ones that can provide this information to this survey. In order to track who has responded please list your contact information here. Your individual responses will be kept confidential and reported only in combination with all other participants.*

1 Name:

2 Relationships of Respondent to Vessel: Vessel Owner Spouse/Relative of Owner

Vessel Captain Accountant/Bookkeeper for Owner Other

3 Email Address:

4 Telephone: ( )

5 Mailing Address Street:

City: State: Zip Code:

6 Date of Survey Response (Month/Day/Year):

**VESSEL OWNERSHIP AND CHARACTERISTICS**

7 Please verify the following information on record about your vessel. If the information on record is correct, please place a check mark in the Corrections column. If the information on record is incorrect or there is no information on record, please provide the correct information in the Corrections column.

|  |  |
| --- | --- |
| **Item** | **Response** |
| a. Vessel Name | \_\_\_\_\_\_\_\_\_\_ |
| b.Vessel ID (USCG or State) | \_\_\_\_\_\_\_\_\_\_ |
| c. Home Port (city and state) | \_\_\_\_\_\_\_\_\_\_ |
| d. Length Overall (feet) | \_\_\_\_\_\_\_\_\_\_ |
| e. Fuel Capacity (gallons) | \_\_\_\_\_\_\_\_\_\_ |
| f. Total Horsepower of Main Engines | \_\_\_\_\_\_\_\_\_\_ |

8Has a marine survey been performed for this vessel?

* Yes (proceed to question 9)
* No (proceed to question 10)

9 Answer the following questions referring to the most recent marine survey value of the vessel.

|  |  |
| --- | --- |
| a. What was the year of this vessel’s last value survey? | \_\_\_\_\_\_  yyyy |
| b. What was the *market value* of the vessel from the survey, rounded to the nearest 100 dollars? | $\_\_\_\_\_\_\_\_ |
| c. What was the *replacement value* of the vessel from this survey, rounded to the nearest 100 dollars? | $\_\_\_\_\_\_\_\_ |
| d. Do the survey values given above include the value of permits associated with the vessel at the time of the survey? | Yes No |
| e. Do the survey values given above include the value of all fishing gear on the vessel at the time of the survey? | Yes No |

10 Please provide this vessel’s average fuel use (for propulsion or other uses) per day, speed, and crew size (not including captain) when engaged in each of the following activities on the West Coast (Washington, Oregon, and California).

* Fuel use per day should be an average that includes steaming to the fishing grounds, harvesting fish, and steaming back to port and should include all fuels used for propulsion or other uses.
* Put an “NA” for all activities in which you did not operate this vessel.

|  |  |  |  |
| --- | --- | --- | --- |
| **Activity** | **Fuel Use** | **Speed While**  **Fishing** | **Crew Size (not including captain)** |
| a. West Coast groundfish fixed gear | \_\_\_\_\_\_gal/day | |  | | --- | |  |   A | \_\_\_\_\_\_ |
| b. West Coast crab | \_\_\_\_\_\_gal/day | |  | | --- | |  |   A | \_\_\_\_\_\_ |
| c. West Coast salmon | \_\_\_\_\_\_gal/day | \_\_\_\_\_\_knots | \_\_\_\_\_\_ |
| d. West Coast shrimp trawl gear | \_\_\_\_\_\_gal/day | \_\_\_\_\_\_knots | \_\_\_\_\_\_ |
| e. West Coast tuna | \_\_\_\_\_\_gal/day | \_\_\_\_\_\_knots | \_\_\_\_\_\_ |
| f. West Coast halibut (Pacific or California) | \_\_\_\_\_\_gal/day | |  | | --- | |  |   A | \_\_\_\_\_\_ |
| g. Alaska fisheries (not including tendering) | \_\_\_\_\_\_gal/day | \_\_\_\_\_\_knots | \_\_\_\_\_\_ |
| h. Tendering | \_\_\_\_\_\_gal/day | \_\_\_\_\_\_knots | \_\_\_\_\_\_ |
| **i.** Steaming between the West Coast and Alaska | \_\_\_\_\_\_gal/day | \_\_\_\_\_\_knots | \_\_\_\_\_\_ |

**ANNUAL DAYS AT SEA, COSTS AND EARNINGS**

11 On what date did your 2020 fiscal year begin?

|  |  |  |
| --- | --- | --- |
| 1. Month \_\_\_\_\_\_\_   mm | b. Day \_\_\_\_\_  dd | c. Year \_\_\_\_\_\_  yyyy |

12 Provide the number of days this vessel was at sea during the 2020 fiscal year in each of the following activities. Please note that there is a special category for days at sea traveling between the West Coast and Alaska. *(This information will be used to allocate some expenditures among the fisheries in which this vessel participates.)*

* Count partial days as full days.
* Count days tendering as well as days fishing

|  |  |
| --- | --- |
| **Fishery** | **Days at Sea During 2020** |
| a. West Coast groundfish fixed gear (longline or pots) | \_\_\_\_\_\_\_ days |
| b. West Coast crab | \_\_\_\_\_\_\_\_ days |
| c. West Coast salmon | \_\_\_\_\_\_\_\_ days |
| d. West Coast shrimp trawl gear | \_\_\_\_\_\_\_\_ days |
| e. West Coast tuna | \_\_\_\_\_\_\_\_ days |
| f. West Coast halibut (Pacific or California) | \_\_\_\_\_\_\_\_ days |
| g. Other West Coast fisheries | \_\_\_\_\_\_\_\_ days |
| h. West Coast chartering, research, or tendering | \_\_\_\_\_\_\_\_ days |
| i. Alaska chartering, research, or tendering | \_\_\_\_\_\_\_\_ days |
| j. Alaska fisheries (not including chartering research, or tendering | \_\_\_\_\_\_\_\_ days |
| k. Steaming between West Coast and Alaska | \_\_\_\_\_\_\_\_ days |

Questions 13 and 14 collect information about this vessel’s costs and earnings **while operating in all fisheries** (groundfish, crab, halibut, etc.) in all locations (West Coast, Alaska, etc.).

13 Provide total costs (both expenses and capitalized expenditures) during fiscal year 2019 and fiscal year 2020 in each of the following categories for this vessel’s operations in all fisheries..

* If you do not track expenses for captain and crew separately, report combined expenses under captain and put “NA” under crew.
* Round all answers to the nearest 100 dollars.
* Include all chartering expenses, even if directly reimbursed
* Include both expenses and capitalized expenditures

|  |  |  |
| --- | --- | --- |
| **Expense Category** | **2019 ($)** | **2020 ($)** |
| a. Captain (including wages, bonuses, benefits, payroll taxes, and unemployment insurance) | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_\_\_ |
| b. Crew (including wages, bonuses, benefits, payroll taxes, and unemployment insurance) | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| c. Crew or captain travel not deducted from wages | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| d. Observer fees | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| e. Fishing association and commission costs | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| f. State licensing and federal permit fees | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| g. Fuel and lubrication | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| h. Food | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| i. Ice | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| j. Bait | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| k. Off-load expenses (cross dock fees, port tariffs, hoist fees, etc.) | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| l. Freight to the vessel on supplies | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| m. Communications, including VMS, satellite phone, skymate | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| n. Trucking of fish to buyer | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| o. Vessel and on-board equipment purchases, upgrades, repair, and maintenance   * Include all electronics, safety equipment, and machinery not used to harvest fish * Exclude fishing gear and processing equipment | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| p. Fishing gear purchases, upgrades, repair, and maintenance  Include nets, doors, traps, pots, cables, and fishing machinery | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| q. Processing equipment purchases, upgrades repair, and maintenance  Include any equipment used to process or head and gut fish on-board the vessel | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| r. Insurance premium payments (hull and machinery, protection and indemnity, and pollution insurance) | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| s Moorage | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| t.  Lease or bareboat charter of this vessel | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| u. Purchase of permits associated with this vessel | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| v. Leasing of permits associated with this vessel | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| w. Other supplies (cleaning, clothing, safety, etc.) | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_\_\_ |
| x. Total depreciation (vessel, on-board equipment, processing equipment, and quota) taken during the year | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |

14 For each of the earnings sources listed below, indicate the income earned during fiscal year 2019 and fiscal year 2020.

* If no income was earned from a source during a particular fiscal year, enter $0 in the appropriate cell.
* Round all answers to the nearest 100 dollars.

|  |  |  |
| --- | --- | --- |
| **Earnings (Income) Source** | **2019** | **2020** |
| 1. West Coast shoreside landings; *this information will be obtained from fish ticket data* | |  |  | | --- | --- | |  |  | | |  |  | | --- | --- | |  |  | |
| b. Alaska shoreside landings and at-sea deliveries of fish harvested with this vessel | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| c. Sale of permits and quota associated with this vessel | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| d. Leasing of permits and quota associated with this vessel | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| e. Leasing of vessel | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| f. West Coast chartering, research, or tendering: include direct reimbursements | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| g Alaska chartering, research, or tendering: include direct reimbursements | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| h. Other, Please describe \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |

**LABOR COMPENSATION IN THE WEST COAST GROUNDFISH FISHERY**

15. In which of the following fisheries did this vessel derive the most revenue from West Coast landings during fiscal year 2020?

* Groundfish
* Salmon
* Crab
* Shrimp
* Other West Coast Fishery

16 When operating in the fishery identified in the previous question, did this vessel make any trips during fiscal year 2020 where a crew share system was used to pay the crew?

* Yes (proceed to question 17)
* No (proceed to question 21)

17 Which of the following expenses were deducted from total revenue before calculating the crew share when this vessel operated in the fishery identified in question 15? Mark “Yes” for expenses which were deducted from total revenue and “No” for expenses which were not deducted from total revenue. If the calculation of crew share changed during the 2020, please report the method used most frequently.

|  |  |
| --- | --- |
| **Deduction from Total Revenue** |  |
| a. Crew or captain travel not deducted from wages | Yes No |
| b. Observer fees | Yes No |
| c. Fishing association and commission costs | Yes No |
| d. State licensing and Federal permit fees | Yes No |
| e. Buyback fees | Yes No |
| f. Fuel and lubrication | Yes No |
| g. Food | Yes No |
| h. Ice | Yes No |
| i. Bait | Yes No |
| j. Off-load expenses | Yes No |
| k. Freight to the vessel on supplies | Yes No |
| l. Other supplies (cleaning, clothing, safety, etc.) | Yes No |
| m. Communications | Yes No |
| n. Trucking of fish to the buyer | Yes No |
| o. Insurance premium payments | Yes No |
| p. Lease or charter of this vessel | Yes No |
| q. Expenses for purchase and leasing of permits and quota associated with this vessel | Yes No |
| r. Other, Please describe: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | Yes No |

18 On what percentage of fishing trips in the fishery identified in question 15 did the vessel owner serve as captain during 2020?

|  |
| --- |
| \_\_\_\_\_\_\_\_ % |

19 On trips in the fishery identified in question 15 when the vessel owner serves as captain, please indicate the share of net revenue (revenue minus the deductions listed in question 17) going to the vessel, captain, and crew. If the vessel owner did not serve as captain on any trips write “NA”.

|  |  |
| --- | --- |
| a. Vessel share | \_\_\_\_\_\_\_\_ % |
| b Captain share | \_\_\_\_\_\_\_\_ % |
| c. Crew share | \_\_\_\_\_\_\_\_ % |

20 On trips in the fishery identified in question 15 when the vessel owner did not serve as captain, please indicate the share of net revenue (revenue minus the deductions listed in question 17) going to the vessel, captain, and crew. If the vessel owner always serves as captain, please write “NA”.

|  |  |
| --- | --- |
| a. Vessel share | \_\_\_\_\_\_\_\_ % |
| b Captain share | \_\_\_\_\_\_\_\_ % |
| c. Crew share | \_\_\_\_\_\_\_\_ % |

**SURVEY CONCLUSION AND PAPERWORK REDUCTION ACT STATEMENT**

21 In 2020, how did you change your fishing activities due to COVID-19, and what were the main reasons you made those changes?

Thank you for participating in this survey. The information you have provided will improve studies of the economic performance and economic impact of the West Coast open access groundfish, salmon, crab and shrimp fisheries. A Federal agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with an information collection subject to the requirements of the Paperwork Reduction Act of 1995 unless the information collection has a currently valid OMB Control Number. The approved OMB Control Number for this information collection is 0648-0773 and its expiration data is XX/XX/2023. Without this approval, we could not conduct this information collection. Public reporting for this information collection is estimated to be approximately three hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the information collection. All responses to this information collection are voluntary. Send comments regarding this burden estimate or any other aspect of this information collection, including suggestions for reducing this burden to the Jerry Leonard, NOAA Fisheries, Northwest Fisheries Science Center, 2725 Montlake Blvd East, Seattle, WA 98112-2097 (206-302-1742). jerry.leonard@noaa.gov

Privacy Act Statement: **Authority:** The collection of this information is authorized under the Magnuson-Stevens Fishery Conservation and Management Act, 16 U.S.C 1801 et seq. **Purpose:** The Magnuson-Stevens Act requires that conservation and management measures must prevent overfishing while achieving, on a continuing basis, the optimum yield from each fishery. Economic surveys of fisheries are essential tools in the management of fishery resources. Section 303(a)(5) of the Magnuson-Stevens Act specifically identifies the kinds of data to be collected for fishery management plans (FMPs). **Routine Uses:** The Department of Commerce will use this information for effective fishery management. Disclosure of this information is permitted under the Privacy Act of 1974 (5 U.S.C. Section 552a), to be shared within NMFS offices, in order to coordinate monitoring and management of sustainability of fisheries and protected resources, as well as with the applicable State or Regional Marine Fisheries Commissions and International Organizations. Disclosure of this information is also subject to all of the published routine uses as identified in the COMMERCE/NOAA-6, Fishermen's Statistical Data. **Disclosure:** Submission is voluntary; however, the more information is collected, the better we can manage fisheries resources.