SW IC WCCPS\_CE\_Questionnaire\_071120

 OMB Control No. 0648-0773

 Expires XX/XX/20XX

PIN: **<<PIN>>**



**WEST COAST COMMERCIAL**

**COASTAL PELAGIC SPECIES**

**VESSEL**

**COST EARNINGS SURVEY**

**NOAA Fisheries – Southwest Fisheries Science Center**

This survey is being sent to the owner of each commercial fishing vessel that during 2014 or 2019: (1) operated with a federal West Coast Coastal Pelagic Species (CPS) limited entry (LE) permit, (2) participated in a West Coast live-bait fishery, or (3) had landings of CPS fish on the West Coast (California, Oregon, and Washington), which accounted for the majority of its landings revenue, but did not operate with a limited entry permit for either West Coast groundfish or highly migratory species.

Please see the accompanying letter for instructions about completing this survey.

**CONTACT INFORMATION**

*You and other vessel owners are the only ones who can provide the economic information requested in this survey. In order to track who has responded, please provide your contact information here. Your individual responses will be kept confidential and reported only in combination with two or more other fishing vessels.*

1 Name:

2 Relationships of Respondent to Vessel: Vessel Owner Spouse/Relative of Owner

 Vessel Captain Accountant/Bookkeeper for Owner Other

3 Email Address:

4 Telephone: ( )

5 Mailing Address Street:

 City: State: Zip Code:

6 Date of Survey Response (Month/Day/Year):

**VESSEL OWNERSHIP AND CHARACTERISTICS**

7 Please verify the following information on record about your vessel. If the information on record is correct, please place a check mark in the Correct column. If the information on record is incorrect or there is no information on record, please provide the correct information in the Corrections column.

|  |  |  |  |
| --- | --- | --- | --- |
| **Item** | **Record** | **Correct** | **Correction** |
| a. Vessel Name |  |  |   |
| b. Vessel ID (USCG or State) |  |  |   |
| c. Home Port (city and state) |  |  |   |
| d. Length Overall (feet) |  |  |   |
| e. Depth (feet) |  |  |   |
| f. Width |  |  |   |
| g. Fuel Capacity (gallons) |  |  |  |
| h. Total Horsepower of Main Engines |  |  |  |
| i. Engine Make and Model |  |  |  |
| Year Built |  |  |  |
| Type of refrigeration |  |  |  |

8Has a marine survey been performed for this vessel?

* Yes (proceed to question 9)
* No (proceed to question 10)

9 Answer the following questions referring to the most recent marine survey value of the vessel.

|  |  |
| --- | --- |
| a. What was the year of this vessel’s last value survey?  |  \_\_\_\_\_\_ yyyy |
| b. What was the *market value* of the vessel from the survey, rounded to the nearest 100 dollars? |  $\_\_\_\_\_\_\_\_ |
| c. What was the *replacement value* of the vessel from this survey, rounded to the nearest 100 dollars?  |  $\_\_\_\_\_\_\_\_ |
| d. Do the survey values given above include the value of permits associated with the vessel at the time of the survey? |  Yes No  |
| e. Do the survey values given above include the value of all fishing gear on the vessel at the time of the survey?  | Yes No  |

10 On what date does your fiscal year begin:

 2014 ( dd/mm/yyyy) \_\_\_\_\_/\_\_\_\_\_\_\_/\_\_\_\_\_\_\_\_

 2019 ( dd/mm/yyyy) \_\_\_\_\_/\_\_\_\_\_\_\_/\_\_\_\_\_\_\_\_

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11. For each of the below actives, please provide for your vessel: (1) the number of days at sea during the 2014 and 2019 fiscal years; average fuel use per day (for propulsion or other uses), average maximum distance from port per trip, and crew size (not including captain).

Please note that there is a special category for days at sea traveling between the West Coast and Alaska. *(This information will be used to allocate some expenditures among the fisheries in which this vessel participates.)*

* Count partial days as full days.
* Put an “NA” for activities in which you did not operate this vessel.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Activity** | **Days at Sea During 2014** | **Days at Sea During 2019** | **Fuel Use** **(average)** | **Distance from port (average)** | **Crew Size (not including captain)** |
| a. Pacific Sardine | \_\_\_\_\_\_\_ days | \_\_\_\_\_\_\_ days | \_\_\_\_\_\_gal/day | \_\_\_\_\_\_miles | \_\_\_\_\_\_ |
| b. Market Squid | \_\_\_\_\_\_\_\_ days | \_\_\_\_\_\_\_\_ days | \_\_\_\_\_\_gal/day | \_\_\_\_\_\_miles | \_\_\_\_\_\_ |
| c. Northern Anchovy  | \_\_\_\_\_\_\_\_ days | \_\_\_\_\_\_\_\_ days | \_\_\_\_\_\_gal/day | \_\_\_\_\_\_miles | \_\_\_\_\_\_ |
| d. Pacific Mackerel | \_\_\_\_\_\_\_\_ days | \_\_\_\_\_\_\_\_ days | \_\_\_\_\_\_gal/day | \_\_\_\_\_\_miles | \_\_\_\_\_\_ |
| e. Jack Mackerel  | \_\_\_\_\_\_\_\_ days | \_\_\_\_\_\_\_\_ days | \_\_\_\_\_\_gal/day | \_\_\_\_\_\_miles | \_\_\_\_\_\_ |
| f. . Other West Coast fisheries \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | \_\_\_\_\_\_\_\_ days | \_\_\_\_\_\_\_\_ days | \_\_\_\_\_\_gal/day | \_\_\_\_\_\_miles | \_\_\_\_\_\_ |
| g. West Coast chartering, research, or tendering | \_\_\_\_\_\_\_\_ days | \_\_\_\_\_\_\_\_ days | \_\_\_\_\_\_gal/day | \_\_\_\_\_\_miles | \_\_\_\_\_\_ |
| h. West Coast Other\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | \_\_\_\_\_\_\_\_ days | \_\_\_\_\_\_\_\_ days | \_\_\_\_\_\_gal/day | \_\_\_\_\_\_miles | \_\_\_\_\_\_ |
| i. Alaska fisheries: | \_\_\_\_\_\_\_\_ days | \_\_\_\_\_\_\_\_ days | \_\_\_\_\_\_gal/day | \_\_\_\_\_\_miles | \_\_\_\_\_\_ |
| j. Steaming between ports (non-fishing) | \_\_\_\_\_\_\_\_ days | \_\_\_\_\_\_\_\_ days | \_\_\_\_\_\_gal/day | \_\_\_\_\_\_miles | \_\_\_\_\_\_ |
| k. Other | \_\_\_\_\_\_\_\_ days | \_\_\_\_\_\_\_\_ days | \_\_\_\_\_\_gal/day | \_\_\_\_\_\_miles | \_\_\_\_\_\_ |
| l. Other | \_\_\_\_\_\_\_\_ days | \_\_\_\_\_\_\_\_ days | \_\_\_\_\_\_gal/day | \_\_\_\_\_\_miles | \_\_\_\_\_\_ |

**ANNUAL DAYS AT SEA, COSTS AND EARNINGS**

Questions 12 & 13 collect information about this vessel’s costs and earnings in all locations (West Coast, Alaska, etc.).

12 Provide total costs (both expenses and capitalized expenditures) during fiscal years 2014 and 2019 in each of the following categories for this vessel’s operations in all fisheries.

* If you do not track expenses for captain and crew separately, report combined value under captain and put “NA” under crew.
* Round all answers to the nearest 100 dollars.
* Include all chartering expenses, even if directly reimbursed
* Include both expenses and capitalized expenditures

|  |  |  |
| --- | --- | --- |
| **Expense Category** | **2014 ($)** | **2019($)** |
| a. Captain (including wages, bonuses, benefits, payroll taxes, and unemployment insurance) | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_\_\_ |
| b. Crew (including wages, bonuses, benefits, payroll taxes, and unemployment insurance) | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| c. Crew or captain travel not deducted from wages | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| d. Lightboat expenses | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| e. Fishing association and commission costs | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| f. State licensing and federal permit fees | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| g. Fuel and lubrication  | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| h. Food  | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| i. Ice | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| j. Bait | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| k. Off-load expenses (cross dock fees, port tariffs, hoist fees, etc.) | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| l. Freight to the vessel on supplies | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| m. Communications, including VMS, satellite phone, skymate | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| n. Trucking of fish to buyer | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| o. Vessel and on-board equipment purchases, repair, and maintenance* Include all electronics, safety equipment, and machinery not used to harvest fish
* Exclude fishing gear and processing equipment
 | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| p. Fishing gear purchases, upgrades, repair, and maintenance  Include nets, doors, traps, pots, cables, and fishing machinery | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| q. Processing equipment purchases, upgrades repair, and maintenance Include equipment used to process on-board | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| r. Insurance premium payments (hull and machinery, protection and indemnity, and pollution insurance)  | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| s Moorage | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| t.  Lease or bareboat charter of this vessel | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| u. Purchase of permits associated with this vessel | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| v. Leasing of permits and quota associated with this vessel |  |  |
| w. Other supplies (cleaning, clothing, safety, etc.) | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_\_\_ |
| x. Total depreciation (vessel, on-board equipment, processing equipment, and quota) taken during the year | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |

13 For each of the earnings sources listed below, indicate the income earned during fiscal year 2014 and 2019.

* If no income was earned from a source during a particular fiscal year, enter $0 in the appropriate cell.
* Round all answers to the nearest 100 dollars.

|  |  |  |
| --- | --- | --- |
| **Earnings (Income) Source** | **2014 ($)** | **2020 ($)** |
| 1. West Coast shoreside landings; *this information will be obtained from fish ticket data*
 |  |  |
| c. Sale of permits and quota associated with this vessel | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| d. Leasing of permits and quota associated with this vessel | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| e. Leasing of vessel | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| f. West Coast chartering, research, or tendering: include direct reimbursements  | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| g Alaska shoreside landings and at-sea deliveries of fish harvested with this vessel | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| h. Alaska chartering, research, or tendering: include direct reimbursements  | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |
| i. Other\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_\_\_ | $\_\_\_\_\_\_\_ |

**LABOR COMPENSATION IN THE WEST COAST COASTAL PELAGIC SPECIES FISHERY**

14. In which of the following fisheries did this vessel derive the most revenue from West Coast landings (check one)?

|  |  |  |
| --- | --- | --- |
| Fishery | 2014 | 2019 |
| Coastal Pelagic |  |  |
| Salmon |  |  |
| Crab |  |  |
| HMS |  |  |
| Other West Coast Fishery |  |  |

15 On what percentage of fishing trips in the fishery identified in question 14 did the vessel owner serve as captain?

|  |  |
| --- | --- |
| 2014:\_\_\_\_\_\_\_\_ % | 2019:\_\_\_\_\_\_\_\_ % |

16 When operating in the fishery identified in the previous question, did this vessel make any trips during fiscal year 2014 or 2019 where a crew share system was used to pay the crew?

* Yes (proceed to question 17)
* No (proceed to question 21)

17 Which of the following expenses were deducted from total revenue before calculating the crew share when this vessel operated in the **West Coast fishery** identified in question 14? Mark “Yes” for expenses which were deducted from total revenue and “No” for expenses which were not deducted from total revenue. If the calculation of crew share changed during 2014 or 2019, please report the method used most frequently.

|  |  |  |
| --- | --- | --- |
| **Deduction from Total Revenue – West Coast** | **2014** | **2019** |
| a. Crew or captain travel not deducted from wages | Yes No  | Yes No  |
| b.  | Yes No  | Yes No  |
| c. Fishing association and commission costs | Yes No  | Yes No  |
| d. State licensing and Federal permit fees | Yes No  | Yes No  |
| e. Buyback fees | Yes No  | Yes No  |
| f. Fuel and lubrication  | Yes No  | Yes No  |
| g. Food  | Yes No  | Yes No  |
| h. Ice | Yes No  | Yes No  |
| i. Bait | Yes No  | Yes No  |
| j. Off-load expenses | Yes No  | Yes No  |
| k. Freight to the vessel on supplies | Yes No  | Yes No  |
| l. Other supplies (cleaning, clothing, safety, etc.) | Yes No  | Yes No  |
| m. Communications | Yes No  | Yes No  |
| n. Trucking of fish to the buyer | Yes No  | Yes No  |
| o. Insurance premium payments  | Yes No  | Yes No  |
| p. Lease or charter of this vessel | Yes No  | Yes No  |
| q. Expenses for purchase and leasing of permits and quota associated with this vessel | Yes No  | Yes No  |
| r. Other, describe: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | Yes No  | Yes No  |

18 On trips in the fishery identified in question 14 when the vessel owner serves as captain, please indicate the share of net revenue (revenue minus the deductions listed in question 17) going to the vessel, captain, and crew. If the vessel owner did not serve as captain on any trips write “NA”.

|  |  |  |
| --- | --- | --- |
| a. Vessel share | 2014:\_\_\_\_\_\_\_\_ % | 2019:\_\_\_\_\_\_\_\_ % |
| b Captain share | 2014:\_\_\_\_\_\_\_\_ % | 2019:\_\_\_\_\_\_\_\_ % |
| c. Crew share | 2014:\_\_\_\_\_\_\_\_ % | 2019:\_\_\_\_\_\_\_\_ % |

19 On trips in the fishery identified in question 14 when the vessel owner did not serve as captain, please indicate the share of net revenue (revenue minus the deductions listed in question 17) going to the vessel, captain, and crew. If the vessel owner always serves as captain, please write “NA”.

|  |  |  |
| --- | --- | --- |
| a. Vessel share | 2014:\_\_\_\_\_\_\_\_ % | 2019:\_\_\_\_\_\_\_\_ % |
| b Captain share | 2014:\_\_\_\_\_\_\_\_ % | 2019:\_\_\_\_\_\_\_\_ % |
| c. Crew share | 2014:\_\_\_\_\_\_\_\_ % | 2019:\_\_\_\_\_\_\_\_ % |

**SURVEY CONCLUSION AND PAPERWORK REDUCTION ACT STATEMENT**

20 In 2020, how did you change your fishing activities due to COVID-19, and what were the main reasons you made those changes?

**PRA Statement**

The National Marine Fisheries Service (NMFS), Southwest Fisheries Science Center (SWFSC) is collecting this economic information to improve its ability to conduct the analyses required by the Magnuson-Stevens Fishery Conservation and Management Act (MSA) and other applicable law. The SWFSC and the Pacific Fishery Management Councils will use this information to monitor, explain and predict changes in the economic performance and impacts of commercial fisheries. Among other things, this will enable fisheries managers and the public to more fully consider the economic effects of proposed and existing regulations for this important fishery.

A Federal agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with an information collection subject to the requirements of the Paperwork Reduction Act of 1995 unless the information collection has a currently valid OMB Control Number. The approved OMB Control Number for this information collection is 0648-0773 and its expiration data is XX/XX/20XX. Without this approval, we could not conduct this information collection. Public reporting for this information collection is estimated to be approximately 3 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the information collection. All responses to this information collection are voluntary. Send comments regarding this burden estimate or any other aspect of this information collection, including suggestions for reducing this burden to the Southwest Fisheries Science Center at 8901 La Jolla Shores Dr, San Diego, CA 92037, Attn: James Hilger, and james.hilger@noaa.gov.

**Confidentiality Statement**

We appreciate the confidential nature of the data being collected by this survey. The SWFSC will handle individual survey data as confidential business information and a form of protected personal information and will maintain the confidentiality of the information consistent with legal authorities available to it, including but not limited to the Privacy Act (5 U.S.C. Section 552a) and the Trade Secrets Act (18 U.S.C. Section 1905). The SWFSC will protect individual survey data from public disclosure to the extent permitted by law and it has instituted procedures to provide that protection.