						OMB No. XXX Approval Expires XXX.
Form NSP-2	20	National Surve	ey of	Prosecı	utors	U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS AND ACTING AS COLLECTION AGENT:
		FORM C	OMPLE	TED BY:		
Name				Title		
Official Address				Telephone		
City						
	Zip		E-mail			

General Information	Facility Information
Please complete this questionnaire before [DATE] using one of the following methods:	
Online: [Survey Web Link TBD]	MERGED INFORMATION ON FACILITY
Mail: RTI International, NSP, Attn: Christian Genesky 3040 Cornwallis Road, PO Box 12194, Research Triangle Park, NC 27709-2194	
Fax: 1-866-354-4993	
If you have any questions, contact Ruthie Grossman of RTI International at 1-919-541-6976 or <u>rtgrossman@rti.org</u>	

As you complete the survey, please provide a response to each question:

- If the answer to a question is "**none**" or "**zero**" → Write "**0**" in the space provided.

Add instructions about timeframe – most questions ask about calendar year 2020, but some also capture 2019. Explain how RTI/BJS will make the 2019 questions identifiable (date underlined, different text color, etc). May want to include reference to an external document that describes the purpose of the survey.

BURDEN STATEMENT

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 60 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

Section A: Staffing and Services

A1. In what year did the current chief prosecutor first assume the official duties of chief prosecutor for this office?

The chief prosecutor is the elected or State-appointed head of the prosecutorial district.

If there was an interruption in the chief prosecutor's term, please select the most recent year elected or appointed.

_____ [YEAR ELECTED OR APPOINTED]

A2.Is the current chief prosecutor a full-time or part-time employee of your office?

- [] Full-time
- [] Part-time

A3.Is the current chief prosecutor male or female?

- [] Male
- [] Female

A4a. Please provide the ethnicity of the current chief prosecutor.

- [] Spanish, Hispanic, or Latino
- [] Not Spanish, Hispanic, or Latino

A4b. Please provide the race of the current chief prosecutor. *Please select all that apply.*

- [] White
- [] Black or African American
- [] American Indian or Alaska Native
- [] Asian
- [] Native Hawaiian or Other Pacific Islander
- [] Other (*Please specify*):______

A5a. For the pay period that included December 31, 2020, how many full- and part-time litigating attorneys were

employed in your office? If none, enter "0." If you are uncertain, please provide your best estimate and check the 'estimate' box. If you do not track this information, please check the 'Do not track this information' box. A litigating attorney is an attorney who carries an assigned caseload (e.g., assistant prosecutors, civil attorneys).

- 1. _____ Full-time litigating attorneys [] *Estimate*
- 2. _____ Part-time litigating attorneys [] *Estimate*
- 3. _____ TOTAL NUMBER OF LITIGATING ATTORNEYS (Sum of A5a1 and A5a2) [] Estimate
- [] Do not track this information

A5b. For the pay period that included <u>December 31, 2019</u>, how many full- and part-time litigating attorneys were

employed in your office? If none, enter "0." If you are uncertain, please provide your best estimate and check the 'estimate' box. If you do not track this information, please check the 'Do not track this information' box. A litigating attorney is an attorney who carries an assigned caseload (e.g., assistant prosecutors, civil attorneys).

- 1. _____ Full-time litigating attorneys [] *Estimate*
- 2. _____ Part-time litigating attorneys [] Estimate
- 3. _____ TOTAL NUMBER OF LITIGATING ATTORNEYS (Sum of A5b1 and A5b2) [] Estimate

[] Do not track this information

A6. For the pay period that included December 31, 2020, how many full-time litigating attorneys were male and female? *If* none, enter "0." If you are uncertain, please provide your best estimate and check the 'estimate' box. If you do not track this information, please check the 'Do not track this information' box. The total number of male and female litigating attorneys should sum to the total in column A5c.

A litigating attorney is an attorney who carries an assigned caseload.

a. _____ Male litigating attorneys [] Estimate

b. _____ Female litigating attorneys [] Estimate

[] Do not track this information

 A7. Please provide the number of full-time litigating attorneys by race and ethnicity who were employed by your office during the pay period including December 31, 2020. <i>If none, enter "0." If you are uncertain, please provide your best estimate and check the 'estimate' box. If you do not track this information, please check the 'Do not track this information' box. The total number of litigating attorneys should sum to the total in column A5c.</i> A litigating attorney is an attorney who carries an assigned caseload. 	Number
a. White (non-Hispanic)	[] Estimate
b. Black or African American (non-Hispanic)	[] Estimate
c. Hispanic	[] Estimate
d. American Indian or Alaska Native (non-Hispanic)	[] Estimate
e. Asian (non-Hispanic)	[] Estimate
f. Native Hawaiian or Other Pacific Islander (non-Hispanic)	[] Estimate
g. Two or more races	[] Estimate
h. Not known	[] Estimate
i. TOTAL FULL-TIME LITIGATING ATTORNEYS (sum of rows a through h)	[] Estimate
[] Do not track this information	

A8. During the pay period ending <u>December 31, 2020</u>, how many total non-attorney staff were employed in your office? If none, enter "0." If you are uncertain, please provide your best estimate and check the 'estimate' box. If you do not track this information, please check the 'Do not track this information' box.

- a. _____ Number of full-time non-attorney staff [] Estimate
- b. _____ Number of part-time non-attorney staff [] Estimate

[] Do not track this information

A9. During the pay period ending December 31, 2020, how many of the following types of non-attorney staff were employed in your office? If none, enter "0." If you are uncertain, please provide your best estimate and check the 'estimate' box. If you do not track this information, please check the 'Do not track this information' box.

Full-time staff: Enter the number of full-time personnel according to their PRIMARY job responsibility for the pay period that included December 31, 2020. Count each full-time employee only once. If full-time staff perform more than one job function, enter their count in the job category where they spend most of their time.

Part-time staff: Enter the number of employees who work fewer hours than your standard work week, including employees in job-sharing arrangements, according to their PRIMARY job responsibility for the pay period that included December 31, 2020. Count each part-time employee only once. If part-time staff perform more than one job function, enter their count in the job category where they spend most of their time.

Staff position	Number Full-time	Number Part-time
a. Investigators		
A person who investigates crime for the prosecutor's office, interviews witnesses, and evaluates evidence	[] Estimate	[] Estimate
b. Victim/ witness staff		
A person who supports crime victims and witnesses by promoting rights, assessing needs, and linking to support services. <i>Please include paid staff</i> only, and include anyone in your office whose main tasks are to assist victims (e.g., advocates, compensation claims processors, trauma recovery staff).	[] Estimate	[] Estimate
c. Support staff		
Examples include accounting staff, administrative staff, clerical staff, human resources, paralegals, information technology (IT) staff, research and discovery staff, etc.	[] Estimate	[] Estimate
d. Review/redaction staff		
Staff member(s) whose position is devoted to the review and redaction of digital evidence. If all staff perform this role and there are no specialized staff, please enter "0."	[] Estimate	[] Estimate
e. Other staff. Please specify:	[] Estimate	[] Estimate
[] Do not track this information	1	

A10. During the pay period ending December 31, 2019, how many total non-attorney staff were employed in your office?

If none, enter "0." If you are uncertain, please provide your best estimate and check the 'estimate' box. If you do not track this information, please check the 'Do not track this information' box.

- c. _____ Number of full-time non-attorney staff [] Estimate
- d. _____ Number of part-time non-attorney staff [] Estimate
- [] Do not track this information

A11. Excluding the Chief Prosecutor, did staff in your office participate in any of the following activities as part of their official duties in calendar year 2020? *Select (X) all that apply.*

Activities	1. Yes – Attorney(s)	2. Yes – Other staff	3. No
a. Community events (county fairs, town halls, parades)	[]	[]	[]
b. Expungements	[]	[]	[]
c. FOIA requests	[]	[]	[]
d. Restoration of rights	[]	[]	[]
e. Training and advice to external agencies	[]	[]	[]
f. Other. Please describe:	[]	[]	[]

A12. Did your office provide any direct victim assistance or referrals for victims or their families in any of the following situations in calendar year 2020? Select (X) all that apply.

		1. Provided direct victim assistance Someone on your staff is providing victim assistance (formally trained or otherwise)	2. Provided referrals for victims or their families Someone on your staff connects the person with an outside organization	3. Not Provided in 2020
а.	Child abuse and other youth violence	[]	[]	[]
b.	Domestic or other dating violence	[]	[]	[]
c.	Elder abuse	[]	[]	[]
d.	Hate crime victimization (i.e., basis for crime is related to race, religion, disability, sexual orientation, ethnicity, gender, or gender identity)	[]	[]	[]
e.	Homicide support (family members/co-victims of homicide)	[]	[]	[]
f.	Human trafficking	[]	[]	[]
g.	Sexual assault	[]	[]	[]
h.	Other. Please specify:	[]	[]	[]

Section B: Budget

B1. During the fiscal years including December 31, 2019 and December 31, 2020, what were the total operating **expenditures of your office, excluding capital outlays for construction?** *If you are uncertain, please provide your best estimate and check the 'estimate' box.*

Operating expenditures or budget are defined as all recurring fixed and variable costs associated with the management and administration of your system. It does not include non-recurring fixed capital costs such as building construction and major equipment purchases.

a. Total office expenditures during the fiscal year that included December 31, 2020:

\$ _____. 00 [OFFICE EXPENDITURES]
[] Estimate

b. Total office expenditures during the fiscal year that included <u>December 31, 2019</u>:

\$ _____. 00 [OFFICE EXPENDITURES]
[] Estimate

B2. From which of the following sources did your office receive funding during the fiscal year including December 31, 2020? Select Yes or No for each option.		
Please consider only direct revenue from these sources.	Yes	No
a. Federal government	[]	[]
b. State government	[]	[]
c. County government (Including multi-county prosecution districts)	[]	[]
d. Traffic tickets/Court fees (directly or through collection efforts)	[]	[]
e. Civil forfeiture/related sales	[]	[]
f. Grant funding	[]	[]
g. Other. Please describe:	[]	[]

Section C: Caseload

C1. During the 12-month period ending on either formal or informal policies for case - <i>Select Yes or No for each option.</i>	· · · ·	Yes:	Yes:	
A policy is a shared understanding, written office relating to how a case or type of case		Formal/ written	Informal/ unwritten	No
a. Allowed caseload (number) – Number one time	of open cases one prosecutor can carry at	[]	[]	[]
b. Bail recommendation/pretrial release to under which cash bail is requested for a deny bail for any felony offenses	•	[]	[]	[]
c. Bail recommendations/pretrial release Circumstances under which cash bail is or judge is asked to deny bail for any mi	requested for any misdemeanor offenses	[]	[]	[]
d. Charging standards (other than bail) – and charging	Specific standards of prosecutorial action	[]	[]	[]
e. Conviction integrity – Identifying or cor disproportionate sentences	recting false convictions and	[]	[]	[]
f. Diversion/problem-solving courts – Register ineligible for diversion or problem-solvi		[]	[]	[]
 B. Non-prosecution – Declining any cases possession, theft less than \$100) 	referred without review (e.g., marijuana	[]	[]	[]
h. Plea bargains – Circumstances under w ineligible for plea bargains	nich cases or offenders are eligible or	[]	[]	[]
i. Other. Please specify:		[]	[]	[]

C2. During the 12-month period ending on December 31, 2020, did your office prosecute the following types of felony offenses? Select Yes or No for each option.	Yes Office handled this type of case during this period	No Office handles this type of case but did not do so during this period	N/A Office does not handle this type of case
a. Elder abuse/neglect	[]	[]	[]
b. Human trafficking	[]	[]	[]
c. Methamphetamine production or distribution	[]	[]	[]
d. Opioids distribution (including prescription fraud)	[]	[]	[]
e. Police use of excessive force	[]	[]	[]
f. Use of internet for child exploitation/child sexual abuse/child pornography/child abuse	[]	[]	[]

C3. During the 12-month period ending on December 31, 2020, did your office have jurisdiction for the prosecution of any criminal cases (misdemeanor and/or felonies) occurring on tribal lands?

The term "tribal lands" includes areas also labeled Indian Country, federal or state recognized reservations, trust lands, Alaska Native villages, and/or tribal communities.

[] YES

[] NO

C4. During the 12-month period ending on December 31, 2020, was your office responsible for prosecuting or litigating the following case types? <i>Select Yes or No for each option.</i>	Yes	No
a. Felony matters	[]	[]
b. Misdemeanor matters	[]	[]
c. Juvenile matters	[]	[]
d. Civil matters	[]	[]
e. Other matters (including municipal and traffic)	[]	[]

C5. Does your jurisdiction allow police to file cases directly in court without prosecutorial review, including traffic, municipal, and infraction cases?

[] Yes [] No **[SKIP DIRECTLY TO C7a]**

C6a. [IF YES] After a case is filed directly in court by the police, bypassing prosecutor review, does your office then handle those cases?

[] Yes [] No **[SKIP DIRECTLY TO C7a]**

C6b. [IF YES] If your office handles cases that were filed directly in court by the police, how many of those cases did your office prosecute without review in the 12-month period ending on December 31, 2020?

[NO REVIEW]

[] Estimate

[] Do not track this information

C7a. In the 12 months ending on December 31, 2020, how regularly did your office prosecute cases referred for the following public order offenses?

	Prosecution was almost always pursued	Prosecution was pursued more than half the time	Prosecution was pursued less than half the time	Prosecution was rarely or never pursued	My office has jurisdiction but no cases of this type were referred to my office	My office has no jurisdiction over this offense
Public Order Offenses						
Disorderly conduct	[]	[]	[]	[]	[]	[]
Driving under the influence of alcohol or drugs	[]	[]	[]	[]	[]	[]
Driving without a license (including licenses suspended or revoked)	[]	[]	[]	[]	[]	[]
Drinking in public, or open container	[]	[]	[]	[]	[]	[]
Fare evasion, including turnstile jumping, etc.	[]	[]	[]	[]	[]	[]
Public intoxication	[]	[]	[]	[]	[]	[]
Public urination/defecation	[]	[]	[]	[]	[]	[]
Prostitution	[]	[]	[]	[]	[]	[]
Reckless conduct/criminal mischief	[]	[]	[]	[]	[]	[]
Resisting arrest	[]	[]	[]	[]	[]	[]
Solicitation of prostitution	[]	[]	[]	[]	[]	[]
Vagrancy	[]	[]	[]	[]	[]	[]

C7b. In the 12 months ending on December 31, 2020, how regularly did your office prosecute cases referred for the following drug offenses?

	Prosecution was almost always pursued	Prosecution was pursued more than half the time	Prosecution was pursued less than half the time	Prosecution was rarely or never pursued	My office has jurisdiction but no cases of this type were referred to my office	My office has no jurisdiction over this offense
Drug Offenses						
Marijuana manufacturing/growth	[]	[]	[]	[]	[]	[]
Marijuana paraphernalia	[]	[]	[]	[]	[]	[]
Marijuana possession	[]	[]	[]	[]	[]	[]
Marijuana possession with intent to distribute	[]	[]	[]	[]	[]	[]
Marijuana: Public use	[]	[]	[]	[]	[]	[]
Non-marijuana drug possession	[]	[]	[]	[]	[]	[]
Non-marijuana drug paraphernalia	[]	[]	[]	[]	[]	[]

C7c. In the 12 months ending on December 31, 2020, how regularly did your office prosecute cases referred for the following property offenses?

	Prosecution was almost always pursued	Prosecution was pursued more than half the time	Prosecution was pursued less than half the time	Prosecution was rarely or never pursued	My office has jurisdiction but no cases of this type were referred to my office	My office has no jurisdiction over this offense
Property Offenses						
Breaking and entering	[]	[]	[]	[]	[]	[]
Breaking into a motor vehicle	[]	[]	[]	[]	[]	[]
Shoplifting	[]	[]	[]	[]	[]	[]
Possession of stolen property	[]	[]	[]	[]	[]	[]
Criminal trespassing	[]	[]	[]	[]	[]	[]
Vandalism/intentional damage to property	[]	[]	[]	[]	[]	[]

C8. What if any effect did offender characteristics have when deciding whether to prosecute these offenses? *Please check only ONE box per horizontal row.*

	More likely to prosecute	Less likely to prosecute	No effect on decision
Offender had prior related offenses	[]	[]	[]
Offender had prior unrelated offenses	[]	[]	[]
Offender was intellectually or physically disabled	[]	[]	[]
Offender was a non-U.S. citizen	[]	[]	[]
Offender was a minor	[]	[]	[]

C9. In the 1st column below, please indicate the number of cases reviewed by your office during the 12 months ending December 31, 2020. Next, please indicate the number of each type of case in Columns 2 through 3 for which your office was responsible during the 12-month period ending on December 31, 2020. The sum of Columns 2 through 3 should not exceed the number in Column 1. If you are uncertain, please provide your best estimate and check the estimate box. Check 'NA' if your office is not responsible for prosecuting or litigating these types of cases – Not selected in question C5.

		1. Number of matters reviewed by office during 12-months ending 12/31/2020	2. Number of cases filed in court	3. Number of cases declined	4. NA Not selected in question C5
a.	Felony matters	[] Estimate	[] Estimate	[] Estimate	[]
b.	Misdemeanor matters	[] Estimate	[] Estimate	[] Estimate	[]
c.	Other matters (including, juvenile, municipal, and traffic)	[] Estimate	[] Estimate	[] Estimate	[]

C10. Of the cases filed in court during the 12- month period ending on December 31, 2020 (reported in the second column of question C9), how many were concluded by...

If you are uncertain, please provide your best estimate and check the estimate box. Check NA if your office has not filed cases named in question C5 or handled these types of cases.

	1. Number of cases concluded by court or jury trial	2. Number of cases concluded by plea	3. Number of cases concluded by <i>Nolle prosequi</i> or dismissal	4. Number of other cases (deferred prosecution, diversion, referred to problem-solving court, etc.)	5. NA Not reported in question C5
a. Felony matters	[] Estimate	[] Estimate	[] Estimate	[] Estimate	[]
b. Misdemeanor matters	[] Estimate	[] Estimate	[] Estimate	[] Estimate	[]
c. Other matters (including juvenile, municipal and traffic)	[] Estimate	[] Estimate	[] Estimate	[] Estimate	[]

C11. Of the felony cases concluded by court or jury trial in 2020 (reported in the first column of question C10 for felony matters), how many resulted in... *If you are uncertain, please provide your best estimate and check the 'Estimate' box.*

- a. _____ Conviction on one or more charges [] Estimate
- b. _____ Dismissal of all charges [] Estimate
- c. _____ Not guilty/ acquittal on all charges [] Estimate
- d. _____ Mistrial [] Estimate
- e. _____ Other [] Estimate

[] Do not track this information

C12. How many felony matters did your office review, file in court, and decline in the calendar year ending <u>December 31,</u> <u>2019</u>?

- 1. _____ Matters reviewed [] Estimate
- 2. _____ Cases filed in court [] Estimate
- 3. _____ Cases declined [] Estimate

C13. Of the felony cases filed in court in 2019 (reported in #2 of question C12), how many were...

- a. _____ Concluded by court or jury trial [] Estimate
- b. _____ Concluded by plea [] Estimate
- c. _____ Concluded by *Nolle prosequi* or dismissal [] *Estimate* d. _____ Other (deferred prosecution, referred to problem-solving court, etc.) [] *Estimate*

Section D: Diversion Programs and Problem-Solving Courts

When answering questions regarding diversion programs and problem-solving courts, please use the following definitions:

- Diversion: Diversion initiatives serve as an alternative to law enforcement or court involvement and may occur before or after the filing of a criminal charge. Examples of diversion programs include supervised probation, restitution, and mandatory community service.
- Problem-solving courts: Problem-solving courts are specially designed court dockets that address one type of offender and offense, often with collaboration by judiciary staff and social service agencies or other case management. Examples of problem-solving courts include Mental Health Courts, Veterans' Courts, and Drug Courts.

D1	. Within your jurisdiction, do individuals in the following positions refer offenders to <u>diversion programs?</u> Select Yes or No for each option.	Yes	No
a.	Judge	[]	[]
b.	Law enforcement	[]	[]
c.	Prosecutor [IF NO, GO TO QUESTION D3]	[]	[]
d.	Defense attorney	[]	[]
e.	Someone else. Please describe:	[]	[]
f.	Referrals are mandated by statute	[]	[]

D2. During the 12-month period ending on December 31, 2020, did your jurisdiction divert the following types of offenses from prosecution prior to adjudication? <i>Select (X) all that apply.</i>	Yes – Felony cases	Yes – Misdemeanor cases	No
a. Child abuse	[]	[]	[]
b. Child neglect	[]	[]	[]
c. Drug manufacturing/dealing offenses	[]	[]	[]
d. Drug simple possession offenses	[]	[]	[]
e. Domestic violence offenses	[]	[]	[]
f. DUI/DWI offenses	[]	[]	[]
g. Simple assault (other than domestic violence offenses)	[]	[]	[]

D3	• At what point(s) during the process can cases be diverted? Do not consider law enforcement referrals that occur after arrest and prior to charges filed in court Select Yes or No for each option.	Yes	No
a.	Before charges are filed in court (pre-file)	[]	[]
b.	After charges are filed in court but before a plea is entered	[]	[]
c.	After charges are filed in court and a guilty plea is entered	[]	[]
e.	Other. Please describe:	[]	[]

D4. Within your jurisdiction, do individuals in the following positions refer offenders to problem-solving courts? Select Yes or No for each option.	Yes	No
a. Judge	[]	[]
b. Law enforcement	[]	[]
c. Prosecutor [IF NO, GO TO QUESTION D6]	[]	[]
d. Defense attorney	[]	[]
e. Someone else. Please describe:	[]	[]
f. Referrals are mandated by statute	[]	[]

D5. During the 12-month period ending on December 31, 2020, to what types of problem-solving courts did your jurisdiction refer offenders? Select Yes or No for each option.	Yes	No
a. Adult drug court	[]	[]
b. Juvenile court	[]	[]
c. Mental health court	[]	[]
d. Family court	[]	[]
e. Hybrid DWI/Drug court	[]	[]
f. DWI court	[]	[]
g. Domestic violence court	[]	[]
h. Veterans court	[]	[]
i. Tribal wellness court	[]	[]
j. Other court. Please describe:	[]	[]

D6. At what point(s) during the process can cases be referred to problem-solving courts? <i>Select Yes or No for each option.</i>	Yes	No
a. Before charges are filed in court	[]	[]
b. After charges are filed in court, but before plea entered	[]	[]
c. As a condition of sentence for a guilty plea	[]	[]
d. After a finding of guilt for a violation of probation	[]	[]
e. Other. Please describe:	[]	[]

D7. Is the prosecutor expected to monitor cases that are referred to problem-solving courts?

- [] Yes A member of the prosecutor's office is present and is responsible for monitoring PSC cases
- [] Yes Prosecutors are not required to be present, but do receive reports from PSC teams and are expected to provide some feedback
- [] No Prosecutor only knows if the defendant completes the court or is terminated from the court

D8. How are prosecutors assigned to the problem-solving courts?

- [] Original prosecutor assigned to case is responsible for handling case while in problem-solving court
- [] Dedicated prosecutor(s) are assigned to all cases in one specific problem-solving court (e.g., one prosecutor for drug, one prosecutor for veterans, one prosecutor for DWI)
- [] Dedicated prosecutor(s) are assigned to all cases in multiple problem-solving courts (e.g. one prosecutor for drug, veterans and DWI courts)

Thank you for completing this survey.

Return the survey to RTI by email, mail, or fax to the addresses listed in the email or letter that accompanied this survey.