

Public Burden Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1845-0150. Public reporting burden for this collection of information is estimated to average 20 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. The obligation to respond to this collection is required to obtain or retain benefit (Section 487A(b) of the Higher Education Act of 1965, as amended). If you have any comments concerning the accuracy of the time estimate, suggestions for improving this individual collection, or if you have comments or concerns regarding the status of your individual form, application or survey, please contact the Experimental Sites Initiative (experimentalsites@ed.gov) directly.

Item #	ICR Data Collection Item- School Survey- Second Chance Pell
1	What is the institution's six-digit OPEID number?
2	What is the name of the institution?

Second Chance Pell School and Student Survey Updated for 30D Clearance

Item #	ICR Data Collection Item- School Survey- Second Chance Pell
3	<p>Which of the following challenges below, if any, has your institution encountered while administering the Second Chance Pell Experiment? Please check all that apply. (Add “note to survey programmer: add option to select whether or not each challenge selected was a result of COVID-19”)</p> <ul style="list-style-type: none"> a. Partnering with correctional facilities to offer postsecondary education to incarcerated students. b. Finding enough incarcerated students who are interested in participating in postsecondary education. c. Finding enough incarcerated students who are interested <i>and eligible</i> to receive a Pell Grant as part of the experiment. d. Modifying program degree requirements for incarcerated students. e. Modifying course content or format for incarcerated students. f. Incarcerated students being able to complete the FAFSA. g. Incarcerated students being able to obtain other needed documentation for enrollment (such as high school transcript). h. Transfer of postsecondary credits earned previously. i. Articulation agreements with other postsecondary institutions. j. Financial barriers prevent participation in postsecondary programs, even with the Pell Grant. k. Maintaining sufficient student enrollment or attendance once classes start. l. Incarcerated students leaving the program when they move to other facilities. m. Incarcerated students leaving the program when they are released from prison. n. Finding instructors to teach incarcerated students. o. Technological limitations in correctional facilities, including issues with internet access. p. Providing necessary academic resources, such as books, library, or computers. q. Communicating with students due to limited access to phones or email. r. Interruptions to or cancellation of instruction s. No challenges. t. Other. Please specify. _____

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4	<p>Please identify the top three challenges by entering the letter of the challenge descriptions listed above in the appropriate place below:</p> <p>My institution's most significant challenge was: _____</p> <p>My institution's second most significant challenge was: _____</p> <p>My institution's third most significant challenge was: _____</p>
5	<p>Were there any unanticipated benefits associated with your institution's implementation of the Second Chance Pell Experiment?</p> <ul style="list-style-type: none"> • Yes • No <p>If yes, please briefly describe the unintended benefits.</p>
6	<p>Were there any unanticipated negative consequences associated with your postsecondary institution's implementation of the Second Chance Pell Experiment?</p> <ul style="list-style-type: none"> • Yes • No <p>If yes, please briefly describe the negative consequences.</p>
7	<p>List the correctional facilities your institution partnered with to offer postsecondary education to incarcerated students for this experiment? (Note to survey programmer: add option to choose federal or state penal institutions)</p> <p>How many correctional facilities does your institution provide postsecondary education to, which are not part of the experiment?</p>
8	<p>Did your institution offer postsecondary education for incarcerated students prior to the start of the experiment?</p> <ul style="list-style-type: none"> • Yes • No <p>If yes, list the correctional facilities your institution partnered with to offer postsecondary education to incarcerated students prior to this experiment? (Note to survey programmer: add option to choose federal or state penal institutions for each correctional facility)</p> <p>If yes, how many programs of study were offered to incarcerated students prior to the start of the experiment?</p>

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9	How many programs of study were offered as part of the experiment to incarcerated students? _____
10	<p>For how many programs of study was each type of degree offered to incarcerated students?</p> <p>Number of programs that offer:</p> <ul style="list-style-type: none"> • Certificate _____ • Stackable certificates that can lead to a 2-year or 4 year degree _____ • Associate degree _____ • Bachelor's degree _____
11	<p>Describe any counseling or guidance that was offered to assist incarcerated students in completing the FAFSA. Check all that apply: (Note to survey programmer: for each selection add option for either Correctional Facility Staff or Postsecondary Institutional Staff)</p> <ul style="list-style-type: none"> <input type="checkbox"/> Accessing tax returns <input type="checkbox"/> Registering for selective service <input type="checkbox"/> One-on-one support to fill out the FAFSA <input type="checkbox"/> One-on-one providing documents required for verification <input type="checkbox"/> Other, please specify <input type="checkbox"/> Don't know
12	<p>Describe any counseling or guidance that was offered to assist incarcerated students in providing documentation needed for enrollment in the college program. Check all that apply: (Note to survey programmer: for each selection add option for either Correctional Facility Staff or Postsecondary Institutional Staff)</p> <ul style="list-style-type: none"> <input type="checkbox"/> Obtaining high school transcripts. <input type="checkbox"/> Obtaining GED documentation. <input type="checkbox"/> Obtaining documentation of drug rehabilitation program completion. <input type="checkbox"/> Obtaining documentation of postsecondary credits earned previously <input type="checkbox"/> Other, please specify <input type="checkbox"/> Don't know

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13	About how many interested students were ineligible to participate in the experiment because they did not successfully complete a FAFSA? _____
14	How many programs of study for incarcerated students did the institution <i>add</i> under the experiment?
15	How many programs of study for incarcerated students did the institution <i>modify</i> under the experiment?
16	<p>Which of the following modifications, listed below, did the institution make to academic programs for incarcerated students? Please check all that apply.</p> <ul style="list-style-type: none"> <input type="checkbox"/> Modified for online instruction. <input type="checkbox"/> Modified for less frequent class meetings. <input type="checkbox"/> Modified requirements for completion. <input type="checkbox"/> Divided courses into shorter modules. <input type="checkbox"/> Other. Please specify.
17	<p>Which of the following factors influenced which programs of study were offered to incarcerated students under the experiment? Please check all that apply.</p> <ul style="list-style-type: none"> <input type="checkbox"/> Could be implemented onsite in the correctional facility <input type="checkbox"/> Could be feasibly delivered online <input type="checkbox"/> Enough student interest <input type="checkbox"/> Students were likely to have the necessary prerequisites <input type="checkbox"/> Instructors available to teach the classes <input type="checkbox"/> Other. Please specify.
18	Of the factors identified above, what was the main factor in deciding what programs to offer? _____

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19	<p>How was postsecondary instruction delivered to incarcerated students? Select all that apply.</p> <ul style="list-style-type: none"> <input type="checkbox"/> Face-to-face <input type="checkbox"/> Online <input type="checkbox"/> Hybrid, a combination of face-to-face and online <input type="checkbox"/> Other, please describe:
20	<p>How are incarcerated students taught?</p> <ul style="list-style-type: none"> <input type="checkbox"/> Cohort model (a group of students move through the program together) <input type="checkbox"/> Individual students at different points on their educational trajectories <input type="checkbox"/> A cohort model for some programs and individual trajectories for other programs <input type="checkbox"/> Other, please describe:
21	<p>How do tuition and fees charged to incarcerated students in the experiment compare to tuition and fees charged to regular students on campus:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Higher <input type="checkbox"/> Lower <input type="checkbox"/> The same
22	<p>Does the correctional institution prohibit otherwise eligible incarcerated students from participating in the experiment? Yes/No - if "Yes"</p> <p>How does the correctional institution limit participation in the experiment?</p> <p>Please check all that apply.</p> <ul style="list-style-type: none"> <input type="checkbox"/> Categorically-based, all prisoners who committed violent infractions during incarceration. <input type="checkbox"/> Categorically-based, all prisoners who had committed a given number of infractions. <input type="checkbox"/> Categorically-based on time until scheduled release (such as within 5 years of scheduled release). <input type="checkbox"/> Categorically-based exclusion on the type of offense (such as a sexual offense) <input type="checkbox"/> Individually-based on the specific combination of factors within a given inmate. <input type="checkbox"/> Other please specify:

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23	<p>In the most recently completed award year, when was your institution actively participating in the experiment? Select all that apply.</p> <ul style="list-style-type: none"> <input type="checkbox"/> Summer <input type="checkbox"/> Fall <input type="checkbox"/> Spring <input type="checkbox"/> None of the above <input type="checkbox"/> Other: _____
24	<p>What changes took place at your institution during the most recently completed award year in response to COVID-19?</p> <p><u>Instruction.</u> How was the teaching in the majority of classes changed? (Select all that apply):</p> <ul style="list-style-type: none"> <input type="checkbox"/> Moved in-person classes to online classes <input type="checkbox"/> Moved in-person classes to a hybrid format combining online and in-person instruction <input type="checkbox"/> Moved in-person classes to correspondence instruction <input type="checkbox"/> Suspended instruction (no in-person, online, or correspondence classes) <input type="checkbox"/> Reduced class sizes for in-person instruction <input type="checkbox"/> Modified classrooms to facilitate social distancing for in-person classes <input type="checkbox"/> Added more sections of in-person classes to compensate for smaller class sizes <input type="checkbox"/> Created shorter instructional or course modules (For example, three 5-week modules instead of one 15-week semester) <input type="checkbox"/> Modified the schedule or timing of the academic calendar <input type="checkbox"/> Offered in-person classes for more hours per session to compensate for fewer in-person sessions <input type="checkbox"/> Used new online learning resources or other new technology <input type="checkbox"/> Changed from using a letter grading system (i.e., A, B, C, D, I) to some other grading system (e.g., credit/non-credit, pass/fail, etc.) <input type="checkbox"/> No changes <input type="checkbox"/> Other, please specify: _____

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	<p>Source: Inside Higher Ed Survey: Responding to the COVID-19 Crisis: A New Survey of College and University Presidents, adapted question (Which of the following actions have you taken at your institution in response to COVID-19?).</p>
25	<p><u>Financial conditions at the institution.</u> What were the financial implications of COVID-19 for your institution? Select all that apply.</p> <ul style="list-style-type: none"> <input type="checkbox"/> Reduced tuition, fees, room and board for students <input type="checkbox"/> Furloughed or laid off financial aid staff <input type="checkbox"/> Furloughed or laid off academic advising staff <input type="checkbox"/> Furloughed or laid off career services staff <input type="checkbox"/> Furloughed or laid off faculty <input type="checkbox"/> Furloughed or laid off other staff <input type="checkbox"/> Reduced number of programs of study that are offered <input type="checkbox"/> Lower overall student enrollment <input type="checkbox"/> Lower international student enrollment <input type="checkbox"/> No changes <input type="checkbox"/> Other, please specify: _____ <p>Source: Inside Higher Ed Survey: Responding to the COVID-19 Crisis: A New Survey of College and University Presidents, adapted questions (Which of the following actions have you taken at your institution in response to COVID-19? and How likely is your institution to take the following actions in the future?).</p>
26	<p>Did you use funds from the CARES Act for any of the following? Select all that apply.</p> <ul style="list-style-type: none"> a. Reimbursed institution for refunds to students for room and board, tuition, and other fees b. Purchased technology to enable students to transition to distance learning c. Paid per-student fees to a third-party service provider for distance learning technology. d. Provided additional emergency financial aid grants to students (beyond those provided from the student portion of the Higher Education Emergency Relief Fund) e. Other, please specify

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	<p>f. Not applicable, my institution did not receive any funding from the CARES Act.</p> <p>Source: Categories are based on U.S. Department of Education guidance to colleges for how to use the institution portion of the Higher Education Emergency Financial Relief Fund https://www2.ed.gov/about/offices/list/ope/heerfinstitutionalfaq.pdf</p>																																																
27	<p>How was the administration of the Second Chance Pell experiment affected by COVID-19? Please check one response for each row.</p> <table border="1" data-bbox="451 532 1732 1263"> <thead> <tr> <th></th> <th>Decreased</th> <th>Increased</th> <th>No change</th> </tr> </thead> <tbody> <tr> <td>Number of academic programs offered</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Number of courses offered</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Number of instructors</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Number of students</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Number of students leaving programs because they were released from prison</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Guidance or counseling offered to correctional facility staff to assist students with completing FAFSA or providing documentation needed for enrollment</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Guidance or counseling offered to postsecondary institution staff to assist students with completing FAFSA or providing documentation needed for enrollment</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Number of students who were ineligible because they did not successfully complete a FAFSA</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Restrictions on student participation</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Availability of technology or internet resources</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Tuition and fees charged</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </tbody> </table>		Decreased	Increased	No change	Number of academic programs offered	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Number of courses offered	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Number of instructors	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Number of students	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Number of students leaving programs because they were released from prison	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Guidance or counseling offered to correctional facility staff to assist students with completing FAFSA or providing documentation needed for enrollment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Guidance or counseling offered to postsecondary institution staff to assist students with completing FAFSA or providing documentation needed for enrollment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Number of students who were ineligible because they did not successfully complete a FAFSA	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Restrictions on student participation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Availability of technology or internet resources	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Tuition and fees charged	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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28	<p>Please describe the credentials of the instructors of the postsecondary courses offered to students participating in this experiment</p>																																																

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29	<p>What difficulties did applicants face when attempting to complete the FAFSA? Check all that apply:</p> <ul style="list-style-type: none"> A. Students had trouble obtaining tax documentation for themselves B. Students had trouble obtaining tax documentation for their spouse or parents C. Students had trouble obtaining identification documentation (for all students, but disaggregated particularly for students who were juveniles sentenced as adults) D. Students had trouble obtaining documentation for failure to register for the Selective Service E. Students who are asylees had trouble obtaining documentation confirming eligible noncitizen status F. Students had trouble regaining Title IV eligibility after defaulting on student loans or receiving an overpayment of a Title IV grant G. Students lacked Internet access to complete the FAFSA and/or obtain needed paperwork H. Students declined to complete the FAFSA I. Other (please specify)
30	<p>Were you able to enroll all students who expressed interest and were eligible to participate in Second Chance Pell? (Yes/No)</p> <p>If no</p> <p>Why not? Check all that apply:</p> <ul style="list-style-type: none"> a. Lack of staff resources b. Lack of classroom space c. Other. Please describe:
31	<p>Please provide a brief description of the information you provided to prospective students on each of the following:</p> <ul style="list-style-type: none"> A. Second Chance Pell and the nature of the experiment B. Post-release work opportunities (including potential challenges related to professional licensure) C. Credit transfer opportunities (including into new programs and post-release) D. The impact of transferring between prison facilities E. Lifetime eligibility restrictions of the Pell Grant

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1	What is the student's first name?
2	What is the student's last name?
3	What is the student's date of birth?
4	What is the student's Social Security number?
5	What experiment is the institution reporting on for this student (provide the experiment number associated with the experiment)?
6	Is the student participating in other experiments? [Yes/No] If yes, please list.
7	What is the reporting award year?
8	What was the student's cumulative postsecondary grade point average (or other alternative measure) at the end of the most recently completed award year?
9	What was the student's enrollment status at the beginning of the first award year in which the student was part of the experiment?
10	What was the student's enrollment status at the end of the most recently completed award year?
11	Did the student complete his/her program by the end of the most recent award year?
12	To the best of your knowledge, did the applicant enroll in a program of study at your institution not involved with the experiment or enroll at another postsecondary institution during the most recently completed award year? (Select one: "Yes," "No," "Unknown")
13	What was the student's grade level at the beginning of the most recently completed award year?
14	What was the student's grade level at the end of the most recently completed award year?
15	How many postsecondary credits/hours were attempted by the student in the most recently completed award year?
16	How many postsecondary credits/hours were earned by the student in the most recently completed award

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	year?
17	How much was the student assessed for tuition and other mandatory fees for the most recently completed award year?
18	What was the amount of the student's indirect costs that were included in the student's COA for the most recently completed award year (including books and supplies)?
19	What was the total non-Title IV grant or scholarship aid received by the student for the most recently completed award year?
20	Did the student complete the academic program associated with the student's participation in the experiment?
21	What was the student's incarceration status at the end of the most recently completed award year?
22	Prior to participating in the experiment, was the student enrolled in a postsecondary program while incarcerated?
23	Was the FAFSA applicant determined not to be eligible for a Pell Grant, and if so for what reason? (Select all that apply. Potential answers: "Default," "Selective Service," "Citizenship," "High school completion," "Drug conviction," "EFC," "Did not complete FAFSA," "Did not enroll")
24	What was the total amount of the Pell Grant awarded to the student in the award year?
25	What was the total amount of non-federal aid disbursed to the student in the award year?
26	What is the CIP code for the applicant's program of study?
27	<p>What was the applicant's highest degree at the time of application?</p> <p>Postsecondary certificate</p> <ul style="list-style-type: none"> a. Associate's degree b. Bachelor's degree c. Master's degree d. Doctorate or professional degree
28	<p>Was the applicant released from their correctional facility because of COVID-19?</p> <ul style="list-style-type: none"> • Yes • No
29	Did the applicant remain incarcerated but stop participating in the experiment because of COVID-19?

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	<ul style="list-style-type: none">• Yes• No
30	If an applicant was released from their correctional facility due to COVID-19, did they continue participating in their educational program? <ul style="list-style-type: none">• Yes• No