### **Department of Veterans Affairs**

OMB Number 2900-0554 Estimated burden: 20 hours

The Paperwork Reduction Act of 1995 requires us to notify you that this information collection is in accordance with the clearance requirements of section 3507 of the Paperwork Reduction Act of 1995. The public reporting burden for this collection of information is estimated to average G€ hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Respondents should be aware that notwithstanding any other provision of law, no person will be subject to complete a collection of information if it does not display a currently valid OMB control number. This collection of information is intended to assist VA officials determine eligibility to receive grant and/or per diem payments and to rate and rank these applications.

# Homeless Providers Grant and Per Diem Program Special Needs Application Section A - Instructions

### **SECTION A – GENERAL INFORMATION AND INSTRUCTIONS**

<u>Purpose and goals:</u> The purpose of the VA Homeless Providers Grant and Per Diem Program is to promote the development and provision of supportive housing and/or appropriate supportive services, including innovative approaches to assist homeless veterans in the transition from homelessness and to enable them to live as independently as possible.

The goal of the special needs grant component is to assist homeless veteran "special need populations". This is done by providing grant funding to eligible capital grant and per diem recipients that incur additional operational costs that would not otherwise be incurred but for the fact that the they are providing beds or services in supportive housing and at service centers for the following homeless veteran populations: Women, including women who have care of minor dependents; Frail elderly; Terminally Ill; or Chronically mentally ill.

<u>Measurable objectives:</u> Applicants must establish and include in their applications measurable objectives for the proposed special need program(s). Applicants must also describe how their proposed program will specifically address the special needs of the special need homeless population served. The measurable objectives established by each applicant are expected to vary based on the specific needs and characteristics of the type of special need program. Where the applicant will provide multiple or particularly difficult types of special need assistance, objectives should reflect realistic expectations.

VA will not consider the level of expectations described in the objective in rating applications. That is, an application that contains realistic objectives that target a more difficult population to be served will be treated the same as an application that contains more optimistic objectives that target less difficult populations. VA specifically does not want the process of establishing measurable objectives to lead applicants away from homeless veteran populations with the most serious problems. VA does want applicants for special need grants to provide quality housing and services. Applicants, if funded, will be required to periodically measure project results and, as necessary, make program adjustments.

**Eligible activities:** Special Need funding **may not** be used to acquire vans or real property. Special Need funding may be used for activities that provide or support the following:

### Women and women who have care minor dependents:

- (1) Ensure transportation for women and their children, especially for health care and educational needs;
- (2) Provide directly or offer referrals for adequate and safe child care;
- (3) Ensure children's health care needs are met especially age appropriate wellness visits and immunizations; and
- (4) Address safety and security issues including segregation procedures from other program participants if deemed appropriate.

### Frail Elderly:

- (1) Ensure the safety of the residents in the facility to include preventing harm and exploitation;
- (2) Ensure opportunities to keep residents mentally and physically agile to the fullest extent through the incorporation of structured activities, physical activity, and plans for social engagement within the program and in the community;
- (3) Provide opportunities for participants to address life transitional issues and separation and/or loss issues;
- (4) Provide access to assistance devices such as walkers, grippers, or other devices necessary for optimal functioning;
- (5) Ensure adequate supervision, including supervision of medication and monitoring of medication compliance; and
- (6) Provide opportunities for participants either directly or through referral for other services particularly relevant for the frail elderly, including services or programs addressing emotional, social, spiritual, and generative needs.

### Terminally III:

- (1) Help participants address life-transition and life-end issues;
- (2) Ensure that participants are afforded timely access to hospice services;
- (3) Provide opportunities for participants to engage in "tasks of dying," or activities of "getting things in order" or other therapeutic actions that help resolve end of life issues and enable transition and closure;
- (4) Ensure adequate supervision including supervision of medication and monitoring of medication compliance; and
- (5) Provide opportunities for participants either directly or through referral for other services particularly relevant for terminally ill such as legal counsel and pain management.

### **Chronically Mentally Ill:**

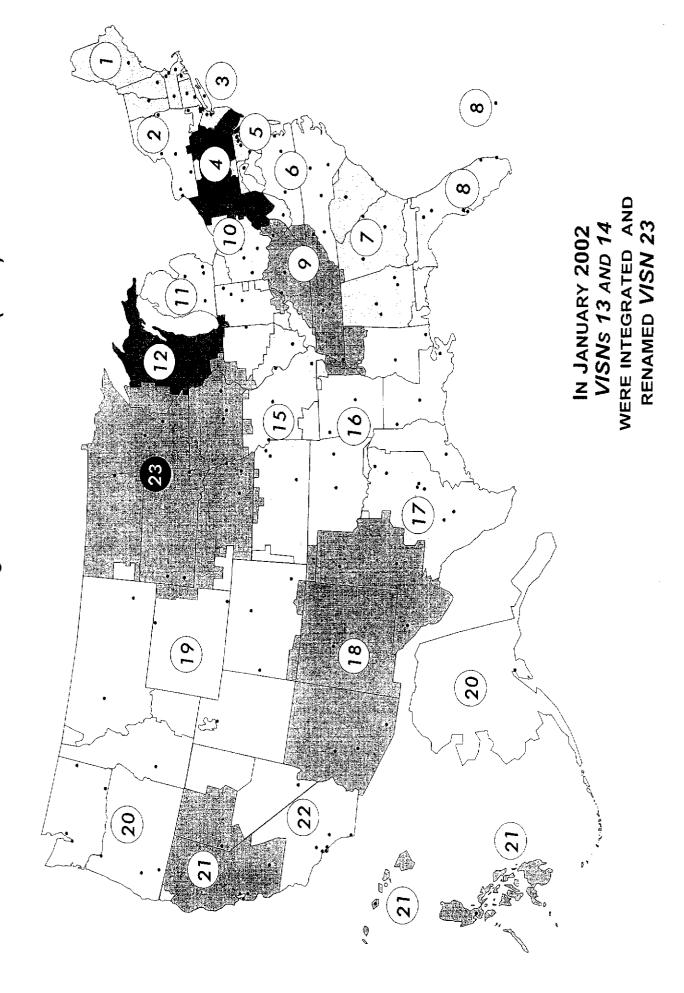
- (1) Help participants join in and engage with the community;
- (2) Facilitate reintegration with the community and provide services that may optimize reintegration such as life-skills education, recreational activities, and follow up case management;
- (3) Ensure that participants have opportunities and services for re-establishing relationships with family;
- (4) Ensure adequate supervision, including supervision of medication and monitoring of medication compliance; and
- (5) Provide opportunities for participants, either directly or through referral, to obtain other services particularly relevant for a chronically mentally ill population, such as vocational development, benefits management, fiduciary or money management services, medication compliance, and medication education.

<u>Eligible applicants:</u> Previous capital grant and per diem recipients are eligible to apply for special needs grants.

<u>Life Safety Code Compliance:</u> All entities receiving grants and or per diem under PL 107-95 must meet the fire and safety requirements applicable under the Life Safety Code of the National Fire Protection Association as well as any local or state codes as required.

Grant award process: VA will notify applicants within grouped categories according to the funding priorities set forth in the NOFA, if any. Applicants will then be ranked, within their respective funding category if applicable. The highest-ranked applications for which funding is available, within highest priority funding category if applicable, will be selected to receive a special needs grant in accordance with their ranked order. If funding priorities have been established and funds are still available after selection

21 Veterans Integrated Service Networks (VISN)



of those applicants in the highest priority group VA will continue to conditionally select applicants in lower priority categories in accordance with the selection method set forth in the regulations subject to available funding.

VA expects to announce these selections within 120 days of the application submission deadline. Such applicants will be subsequently notified of any additional project information necessary for grant award and the date of the deadline for submission of such information. If an applicant is unable to meet any conditions for grant award within the specified timeframe, VA reserves the right to not award funds and to use the funds available for other components of the Grant and Per Diem Program.

<u>Technical deficiencies:</u> VA will notify an applicant of any curable technical deficiencies in the application and the date by which these deficiencies must be corrected. If the applicant fails to submit the corrections with in this period, VA will disqualify the application.

Curable technical deficiencies are items that are not necessary for VA review under the selection criteria (e.g., failure to submit a required certification). Applicants may not submit items that would improve the substantive quality of the application after the application deadline.

**Documentation and Public Access Requirements:** VA will ensure that documentation and other information regarding each application submitted are sufficient to indicate the basis upon which assistance was provided or denied. This material, including any letters of support, will be made available for public inspection for a five-year period beginning not less than 30 days after the award of the assistance. Material will be made available in accordance with the Freedom of Information Act (5 U.S.C. 552) and VA's implementing regulation at 38 CFR § 1.553.

# Council of Network Homeless Coordinators Listing

Contact Person	Facility	City	Phone
Robert Hallett	VA Medical Center	Bedford, MA	(781) 687-2381
Richard T. Synnott	VA Medical Center	Providence, RI	(401) 253-8000 x528
Robert Van Keuren	VA Medical Center	Canandaigua, NY	(716) 393-7413
Henrietta Fishman	Veterans Healthcare Center	Bronx, NY	(718) 741-4254
John E. Barilich	VA Medical Center	Pittsburgh, PA	(412) 784-3511
Paul E. Smits	VA Medical Center	Martinsburg, WV	(304) 263-0811 x3810
James L. Robinson	VA Medical Center	Salisbury, NC	(704) 638-3450
Craig Burnette	VA Medical Center	Decatur, GA	(404) 327-4033
Daniel Robbin	VA Medical Center	Miami, FL	(305) 541-5864 x136
David Hansard	VA Medical Center	Mountain Home, TN	(423) 926-1171 x2874
Jeff Quarles	VA Medical Center	Cleveland, OH	(216)231-3479
Mary Sherrill	VISN 11 (10N11)	Ann Arbor, MI	(734) 930-5992
Mary Ann Romeo	Edward Hines Jr. Veterans Hospital	Hines, IL	(708) 202-2055
Michael P. Keough	VAMROC	Fargo, ND	(701) 239-3764
Ellen L. Mathes	VA Central lowa HCS	Knoxville, IA	(641) 828-5161
George Templeton	VA Medical Center	St. Louis, MO	(314) 289-6391
Estella L. Morris	VA Medical Center	North Little Rock, AR	(501) 212-1000 ×1001
Greta Mankins	VA Medical Center	Dallas, TX	(214) 857-0388
Stephen Cohen	VA Medical Center	Tucson, AZ	(520) 792-1450 x5475
Larry W. Melka	VA Medical Center	Cheyenne, WY	(307) 778-7353
William D. Hamliton	VA Puget Sound HCS	Tacoma, WA	(253) 582-8440 x6784
Roberta L. Rosenthal	VA Medical Center	San Francisco, CA	(415) 551-7338
William L. Daniels	West LA VA Medical Center	Los Angeles, CA	(310) 478-3711 v43623

### GENERAL INSTRUCTIONS FOR APPLICATION COMPLETION

<u>Component:</u> Funds are available for special need in the form of grants to eligible entities that are eligible capital grant and per diem recipients to assist with additional operational costs that would not otherwise be incurred but for the fact that the recipient is providing beds or services in supportive housing and at service centers for the following homeless veteran populations: Women, including women who have care of minor dependents; Frail elderly; Terminally Ill; or Chronically mentally ill.

A more detailed description of this component, including program requirements, is contained in the rule published in the Federal Register, 38 CFR subpart 61.40. A copy of these regulations is provided in the appendix of this application. Applicants must review the regulations before completing this application.

Application deadline: Only complete and timely applications will be considered for funding. To be considered timely, the application must be received at the address and by the time and date specified in the Notice of Fund Availability (NOFA) published in the Federal Register. Applications received after the date and time published in the NOFA will not be accepted even if postmarked by the deadline date. Following the application deadline, applicants will be notified that their application has been received. To be considered complete all items requested in the grant application must arrive as a single application package. Materials arriving separately will not be included in the application package for consideration and may result in the application being rejected or not funded.

<u>Organization of the Application:</u> The application is composed of sequentially numbered single, print on one-side pages. This allows for easy removal of each individual page for copying and insertion into typewriters or printers. Not all pages are to be completed by all applicants. Applicants should pay close attention to the specific instructions in each section.

The application is divided into the following sections: (A) General Instructions, (B) Special Needs Submission, (C) Omitted for this Grant (D) Forms, and (E) Appendices. The majority of the application has been formatted in a manner allowing all information and responses to be placed directly on the form. There will be a wide variety of response types.

Generally a combination of "Fill in the Blank" and "Narrative or Essay" have been used. Many questions have specific text box spaces for responses. Responses should be typed, by using a typewriter, computer, or word processor in the appropriate space provided unless otherwise indicated. Font size should be 10 point or larger. Do not use the backs of the pages. Materials may be duplicated as needed on white paper.

**Specific Instructions:** Specific Instructions are located at the beginning of each area with most areas being self-explanatory.

Responses to Questions: Please do not read "into" the questions. Simply, answer the questions in a direct manner. Be sure to answer all parts of the question. The questions are designed to provide an accurate view of the proposal to a review panel. The space that is provided is sufficient to complete an accurate response. If applicants find they are having trouble answering a question in the space provided, they should look at the response and eliminate what may be unnecessary information. Chances are that a following question will provide an opportunity to use the eliminated information.

<u>Information other than requested:</u> The application is designed to provide VA with sufficient information to determine eligibility and to assign rating points for each section criterion. Applicants must not include information other than that requested. Moreover, applicants are asked to be concise in

					EXT.4204	
Bob Young	Homeless Coordinator	VAOPC	100 River Ave	Eugene, OR 97404	541-607-7560	541-607-7573
Alan F. Castle, MSW (122)	HCHV Coordinator	VA Medical Center -663	1660 S. Columbian Way	Seattle, WA 98108	206-764-2080	206-553-0380
John Davis	HCHV Coordinator	VA Medical Center -668	4815 N. Assembly	Spokane, WA 99205	509-353-2699	509-353-2709
Christopher Oliver (122)	HCHV Coordinator	VA Medical Center -687	77 Walnwright Drive	Walla Walla, WA 99362	509-525-5200 ext. 2690 509-527-6113	509-527-6113
Michael Twist, LCSW	Social Work Service	VA Domiciliary-692		White City, OR 97503	541-826-2111 ext.3439 503-830-3518	503-830-3518
VISN 21						
Bobbie Rosenthal (122)	Chief, Social Work Service	VA Medical Center -662	4150 Clement Street	San Francisco, CA 94121 415-551-7312		415-750-6976
Scott Hollander, LCSW	HCHV Coordinator	VA Medical Center -570	2615 E. Clinton Avenue	Fresno, CA 93703		559-228-6903
Rick Valasquez, MSW (151)	Social Worker	VA Med/Regional Office Center - 459	P. O. Box 50188	Honolulu, HI 96850	808-566-2037	808-566-2053
see Curt Klaus below		VA Medical Center -599	4951 Arroyo Road	Livermore, CA 94550		
See Peter Almazol below		Oakland Army Base	West 21st St. Bldg 762	Oakland, CA 94607		
Curt Klaus, LCSW (122MPD)	Community Care Housing	VAIMC	795 Willow Road	Menlo Park, CA 94025	650-493-5000 ex.22462   650-617-2614	650-617-2614
Paula Rowfes, LCSW	Clinical Social Worker	VA Medical Center -654	1000 Locust Street #116	Reno. NV 89520-0111	775-328-1761	775-328-1403
Peter Almazol, MSW	Acting Chief, Social Work Svc. VANCHCS-Social Work	VANCHCS-Social Work Service	150 Muir Road			925-372-2501
	(122)	(122)				
See Peter Almazol above		Sacramento, CA				
VISN 22						
William R. Frink, MSW (600/11)	Social Work Profession Leader VA Medical Center -600	VA Medical Center -600	5901 East 7th Street	Long Beach, CA 90822	562-494-2611 ext. 4684   562-494-5951	562-494-5951
Ed Atchison	Coordinator, CBOC	CBOC -758	1581 N. Main Street		702-386-3164	702-386-3180
Isreal Jones, LMSW (122)	Chief, Primary/Extended Care & Hmts.	Chief, Primary/Extended Care Jerry L. Pettis VA Med Ctr -605 & Hmls.	11201 Benton Street	Loma Linda, CA 92357	FTS	909-422-3186
Joetta Brown Higgins, MSW	Site Manager	LAACC	351 E. Temple Street	Los Angeles, CA 90012- 213-253-2677 ext. 4534 213-253-5555 3328	213-253-2677 ext. 4534	213-253-5555
V (122)	Chief, Social Work Service	VA Medical Center -664	3350 LaJolla Village Drive	San Diego, CA 92161	858-552-7548	619-552-7455
Paul Burton, MSW (122)	Site Manager	SACC	16111 Plummer Street			818-895-9339
William Daniels, MSW (122)	Dtr., West LA Comprehensive West LA VA Med Ctr -69 Hmls Ctr	West LA VA Med Ctr -691	11301 Wilshire Blvd.	က	310-268-3385	310-268-4743

presenting requested information and <u>must</u> not exceed the designated spaces provided for response or add additional pages unless the application specifically instructs the applicant to respond on additional pages.

<u>Definitions and References:</u> Definitions and references can be found in the Rules and Regulations provided in the appendices. Generally, subjects are self-explanatory or a reference is given as to where to obtain a specific topic explanation.

<u>Final Application Assembly:</u> An assembly checklist has been provided. The application must be assembled in the order shown on the assembly checklist. After the entire application is assembled:

- 1. Attach the cover sheet;
- 2. Number every page of the application sequentially using the applicant page number box;
- 3. Enter the appropriate page number of each form on the checklist;
- 4. If a form is not applicable, enter "NA" in the page column of the checklist;
- 5. Submit the original plus two (2) copies (on white paper);
- 6. Do not punch holes in the application;
- 7. Do not submit the application in a loose-leaf binder;
- 8. The same authorized representative of the organization who signed the assurances must sign the Standard Form 424.

**For further information:** If you have any questions regarding the VA Homeless Providers Grant and Per Diem Program, contact the Program Office at:

Mail Address: VA Homeless Providers Grant and Per Diem Program

Department of Veterans Affairs 10770 N. 46<sup>th</sup> Street, Suite C-100

Tampa, FL 33617

Telephone (toll-free): 1-877-332-0334 FAX (toll-free): 1-877-332-0335

(122)						
Estella L. Morris, LCSW (122H/NI R)	Prog. Mgr. Comprehensive Hmls, Ctr	VA Medical Center	1101 W. 2nd Street	North Little Rock, AR	501-212-1000 ext. 1001	501-212-1005
(980)	HCHV Coordinator	VA Medical Center -629	1601 Perdido Street	riegns I A 70146	504-568-0811 ext 3620	504-589-5919
	Chief, Social Work Service	l Ctr -667	510 East Stoner Avenue			318-424-6121
22)	Social Work Section Chief		921 N.E. 13th Street	Oklahoma City, OK 73104	405-270-0501 ext 3214	405-270-1538
Melanie Stewart, MSW	Homeless Outreach Social Worker	Tulsa OPC,Mental Health Clinic	635 West 11th St	Tulsa, OK 74127	918-764-7215	918-764-7259
See Melanie Stewart above		VA Muskogee	1011 Honor Heights	Muskogee, OK 74401	918-680-3758	e e e e e e e e e e e e e e e e e e e
VISN 17						
	Director, CHC		4500 S. Lancaster Rd.	Dallas, TX 75214	214-857-0388	214-857-0372
see Greta Mankins above		Otr -522	1201 E. 9th Street	П		903-583-6687
Stephen C. Shomion, MSW James A. Brown, LMSW	HCHV Coordinator	S. 1X Vets. Health Care Sys6/1 VA Medical Center -685	7400 Memorial Drive	San Antonio, 1X /8284 Waco, TX 76711	210-699-2135 254-752-6581 ext.7450	210-699-2257 254-754-9346
VISN 18						
	Homeless Veterans Coordinator	644	650 E. Indian School Rd.	Phoenix, AZ 85012	ext. 7656	602-222-6521
22)	Chief, Social Work Service		1501 San Pedro, SE.	Albuquerque, NM 87120	505-256-2773	505-256-2723
122)	Social Work Service		6010 Amarillo Blvd., W.		ext.7021	806-354-7818
	SCI COORD. & Homeless Coordinator		300 Veterans Bivd.	Big Spring, TX 79720- 5500	1-800-472-1366 ext.7041	915-268-5086
Angela Waddy, LCSW	Homeless/CWT Program Coord. (116)	.756	5001 N. Piedras St.	El Paso, TX 79903-4211	915-564-6159 ext. 6283	915-564-7861
	Outreach Coordinator	VA Medical Center -649	600 N. Highway 89	Prescott, AZ 86313		520-776-6125
Robert Thomas (122)	VASH Social Worker		3601 S. 6th Avenue	Tucson, AZ 85723	520-792-1450 ext. 6639	520-629-1811
VISN 19						
Joe Lally, MSW	HCHV Program Coordinator	54	1055 Clermont Street		ext. 2097	303-393-4656
ika, MSW (116HCMI)	HCHV Program Coordinator	307	2360 E. Pershing Blvd.			307-778-7336
	nomeress coordinator (116)	430	4	چ	ext. 7597	406-447-7965
Ġ,	Coordinator	ıter	25 North Spruce		719-327-5660	719-327-5669
Larry Hall, LCSW	Homeless Coordinator		2121 North Avenue	Grand Junction, CO 81501	970-242-0731 ext. 2248	970-244-1323
			210 S. Winchester	Miles City, MT 59301		
Rudy Johansen, LCSW (116HO)	Homeless Veterans Program Coord.	VA Medical Center -660	500 Foothill Blvd.	Saft Lake City, UT 84148	801-582-1565 ext 2611	801-584-2544
Pauline Stoehr, Homeless Coord.	Mental Health Program (116)	VA Medical Center -666	1898 Fort Road	Sheridan, WY 82801	307-672-3473 ext, 3214	307-672-1911
VISN 20						
Greg Borders, MSW	Social Work	œ,	P. O. Box 1035	Portland, OR 97207	503-220-8262 ext.33718	360-905-1756
ton (116)	Dtr., Homeless Dom. Pt. Care Line		American Lake Division	Тасота, WA 98493	253-582-8440 ext. 7- 6784	253-589-4084
	Director, Homeless Veterans Outreach	VA Medical Center -363	1313 East 3rd Ave.	Anchorage, AK 99501	907-258-5095 ext.27	907-276-7482
David W. Herring, MSW	Homelessness Coordinator	VA Medical Center -531	500 West Fort Street	Boise, ID 83702	208-422-1000	

# Homeless Providers Grant and Per Diem Program Special Needs Application

Section B - Submission

					Conklin	
Tab Martin, RN (WS)	Clinician HCHV/SH	Chicago Health Care Sys -537	820 So. Damen	Chicago, IL 60689	-6500 ext. 2222	312-455-5845
Gregory J. Gola (556/181)	Chief, Domiciliary Service	VA Medical Center -556	3001 Greenbay Road	North Chicago, IL 60064	-	847-578-3844
Mark C. Miller, ACSW (116B)	Coordinator, Homeless	VA Medical Center -585	325 East H Street	Iron Mountain, MI 49801		906-779-3147
Mona Kane	Chief, Social Work Service	Wm. S. Middleton Vets Hosp607	2500 Overlook Terrace	Madison, WI 53705	608-256-1901ext. 1578	608-262-7025
Barbara Gilbert	HCHV Coordinator		3330 W. Wells Street	Milwaukee, WI 53208	1	414-342-4999
Robert Gutsche (116)	Social Worker	VA Medical Center -676	500 E. Veterans Street	Tomah, WI 54660	Ext. 6413	608-372-1649
VISN 13						
Jo Weable, LICSW	HCHV Coordinator	VA Medical Center -618	One Veterans Drive	Minneapolis, MN 55417	612-725-2000 ext. 1772 612-727-5633	612-727-5633
Michael P. Keough, ACSW/LISW	Chief, SWS/V13 Homeless Coordinator	VA Med. & Regional Office -437	2101 Elm Street North	Fargo, ND 58102	701-239-3764	701-237-2686
Alan H. Stade, MSW, LISW (122) Chief, Social Work Service	Chief, Social Work Service	VA Medical Center -568	113 Comanche Road	Fort Meade, SD 57741	605-347-7035	605-347-7204
Richard A. O'Connor, VRS	DCHV Coordinator	VA Medical Center -579	500 N. 5th Street	Hot Springs, SD 57747		605-745-2056
Carolyn Mittendorf, RN	Homeless Coordinator	RCJ Vets. Mem. Hosp. & RO -438	P. O. Box 5046	Sioux Falls, SD 57117	$\vdash$	605-333-6878
SIIII DIODE, IMSWV (122)	Social Work Service	על ואפטונאן לפוופן -250	400 i oui oueet ivoitii	St. Croud, MIN 30303	320-233-6480 ext.6338	320-255-0326
VISN 14						
Marilyn Mangan,MSW (122)	Social Worker	VA Medical Center -636	4101 Woolworth Avenue	Omaha, NE 68105	402-346-8800 ext. 4212 402-449-0677 page 329	402-449-0677
Marilyn Jamison-Charron, RN,ACADC (00H)	Domiciliary Site Manager	VA Medical Center -555	3600 30th Street	Des Moines, IA 50310- 5774	5778	515-699-5779
See Theresa Hanzlicek below		VA Medical Center -574	2201 N. Broadwell Ave.	Grand Island, NE 68801		
Mike Kratz, ACSW (002C)	Patient-Centered Care	VA Medical Center -584	Highway 6 West	lowa City, IA 52246	319-338-0581 ext. 6450	319-339-7066
Ellen Mathes, LISW (116A)	Clinical Coord. Mental Health Services	VA Central Iowa HCS -592	1515 W. Pleasant St.	Knoxville, IA 50138	641-828-5161	641-828-5081
Theresa Hanzlicek, LCSW (116)	Homeless Coordinator	VA Medical Center -597	600 South 70th Street	Lincoln, NE 68510	402-489-3802 ext. 6623 402-486-7872 pager 355	402-486-7872
I DON AE						
William Bisbee	Vocational Rehabilitation Specialist	VA Medical Center -589	4801 E. Linwood Blvd.	Kansas City, MO 64128-	816-861-4700 ext.6649	816-922-3335
Becky Hartley, Social Worker	Homeless Coordinator-Mental Health	Harry S. Truman Vets. Hosp543	800 Hospital Drive	Columbia, MO 65201	573-814-6495	573-814-6600
Lyman Rate, Ph.D.	HVP Coordinator	VA Eastern Kansas HCS- Leavenworth	4101 S. 4th St. Tfway	Leavenworth, KS 66048	913-682-2000 ext. 2672	913-758-4149
Paul F. Thompson, LCSW (122)	Community Care Coordinator	VA Medical Center -609	2401 West Main Street	Marion, IL 62959	618-997-5311 ext. 4227 618-993-4194	618-993-4194
Renee' Taylor, MSW, LCSW	Soc ial Worker	John J. Pershing VAMC	1500 N. Westwood Blvd.	Poplar Bluff, MO 63901	573-686-4151 ext.4724	573-778-4156
Evelyn D. Paul (122)	HCHV Coordinator	John J. Cochran VAMC -657	915 North Grand Blvd.	St. Louis, MO 63106		314-289-9547
Randy Crandall (116B21)	Homeless Coordinator	Colmery-O'Neil VAMC -677	2200 Gage Boulevard	Topeka, KS 66622		785-350-4368
Hank Rivera, MSW	Lead Social Worker	VA Medical & Regional Office -452	5500 E. Kellogg	Wichita, KS 67218	1	316-634-3037
VISN 16						
Paul B. Matens, LCSW	Coordinator, Social Work Service	VA Medical Center -586	1500 E. W. Wilson Dr.	Jackson, MS 39216	601-364-1255	601-364-1325
Georgia T. Tiessen, LCSW	HCHV Coordinator	VA Medical Center -502	P.O.Box 69004	Alexandria, LA 71306- 9004	318-473-0010 ext. 2773	318-483-5177
John Sherman	Chief, Domiciliary	VA Medical Center -520	Veterans Boulevard	Biloxi, MS 39530	228-523-5000	228-523-4521 ext. 5409
Brian McAnally, LMSW (122)	Homeless Outreach Social Worker	VA Medical Center -564	1100 N. College Avenue	Fayetteville, AR 72703	ext.5143	501-587-5994
George Castillo, LMSW-ACP	HCHV Coordinator	VA Medical Center -580	2002 Holcombe Blvd.	Houston, TX 77030	713-794-7848	713-794-7929

		÷	

e, RN (116-						
(116-	Asst. HCHV Coordinator	VA Medical Center -546	1201 NW 16th Street	Miami, FL 33125	305-756-5229	305-754-1440
	HCHV Coordinator	James A. Haley Vets Hosp -673	10770 N. 46th Street	Tampa, FL 33617	813-228-2027	813-228-2857
Jeff Tepsitch, MSW (122)	Homeless Coordinator	W. Palm Beach VAMC -548	7305 N. Military Trail	West Palm Beach, FL 33410	561-882-7317	561-882-6725
Abelardo Quinones, MSW (122) Sc	Social Worker	VA Medical Center -455	One Veterans Plaza	San Juan, PR 00927- 5800	787-749-4449	787-749-4372
VISN 9						
Nancy Moore, MSW (122) H	HCMI Coordinator	VA Medical Center -626	1310 24th Ave., South	Nashville, TN 37212- 2637	615-321-3919/321- 4120/327-5320	615-321-6353
/ (122)			1030 Jefferson Avenue	Memphis, TN 38104	901-523-8990 ext. 5296 901-577-742	901-577-7427
David Hansard (122H) H		VA Medical Center -621		Mountain Home, TN 37684	423-926-1171 ext. 2874 423-926-1171 ext. 2812	423-926-1171 ext. 2812
	e	2	3400 Lebanon Road	Murfreesboro, TN 37129	615-893-1360 ext 6122	615-867-5790
Jonathon Sickman, MSW (122) Ac	Actg. Chief, Social Work Service		Leestown Road	Lexington, KY 40511	_	606-281-3984
Α.`	rogram		800 Zorn Avenue	Louisville, KY 40206	502-583-3331	502-587-6883
Julian E. Berry, MSW Ho	HCMI Coordinator	VA Medical Center -581	1540 Spring Valley Drive	Huntington, WV 25704	ext. 2841	304-429-6741 ext. 3479
VISN 10						
WSI I	Director Peychoencial Bohah	VAMC Building 4418A/B)	Control of the contro			
	acci, i sychosocial ivelian		round brecksville Road	Brecksville, OH 44141	440-526-3030 ext. 7961   440-546-2793	440-546-2793
	tor	-538	17273 State Route 104	Chillicothe, OH 45601	740-773-1141 ext. 7453   740-772-7051	740-772-7051
M			1000 S. Ft. Thomas Ave.	Ft. Thomas, KY 41075	606-572-6226	606-572-6222
	<b>)</b>	VA Medical Center -552	4100 West 3rd Street	Dayton, OH 45428	ext.3909	937-267-3909
Edgar Wallace, MSSW HC	HCHV Coordinator	VA Outpatient Clinic -757	543 Taylor Avenue	Columbus, OH 43203	614-257-5407	614-257-5418
VISN 11			Add to the second secon			
ed, MSW	HCHV Outreach Worker	VA Medical Center -506	2215 Fuller Road	App Arhor MI 48105	734 761 7030	724 760 7440
SW (116)			4646 John R.	Defroit MI 48201	+	134-709-7412
_	6	-515	5500 Armstrong Rd.	Battle Creek, MI 49015	616-966-5600 ext.5648	616-969-2979
	ial Work Service	Aleda E. Lutz VAMC -655	1500 Weiss Street	Saginaw, MI 48602	517-793-2340 pxt 3753	517_701_244B
-	Chief, Social Work Service	5	1900 East Main Street	Danville, IL 61832	217-442-8000 ext. 5109	217-477-4813
	s		2121 Lake Avenue	Fort Wayne, IN 46805	219-426-5431 ext. 1163 219-460-1481	219-460-1481
2B)	dinator	Richard L. Roudebush VAMC -583	3602 East Michigan St	Indiapanolis IN 46201	317-554-0000 004 4756	247 554 0070
Moses Deese, ACSW (122) CF	Chief, Social Work Service		1700 East 38th St.	Marion, IN 46953		765-677-3137
Linda Webb, LISW HC	HCHV Program Coordinator	Toledo Outpatient Clinic	3333 Glendale Avenue	Toledo, OH 43614	1082	419-259-3850
VISN 12			11.00			
4SW (122)	Assistant Chief, Social Work Service	Edward Hines Jr. Vet Hosp -578	P. O. Box 5000	Hines, IL 60141-5122	708-202-2055	708-202-2087
Lakeside see below		Chicago Health Care Sys -535	333 East Huron Street	Chicago, IL 60611	see below (Carol	

### **Special Needs Application:**

# Applicant Summary: Your Organization's Name: Name Phone Fax Executive Director/CEO Person to contact about application Mailing Address: (if different than 424). \_\_\_\_\_ Project Number for which the Special Need Grant assistance is requested. \_\_\_\_\_

Note: A separate application is needed for each project number that you wish to request special needs funding. A project number is the last two digits of the year funded, the sequence the application was received, and the state abbreviation for the project location, (i.e., 00-125-MA would have been funded in the year 2000, the 125<sup>th</sup> application received, and the project is located in Massachusetts. If you do not know your project number call the Grant and Per Diem Field Office (toll-free) at 1-877-332-0334.

### 1. Eligibility to Receive VA Assistance:

**Non Profit Organizations** must provide documentation of Accounting System Certification and Evidence of Private Nonprofit Status. This should be accomplished by the following:

Providing documentation showing the applicant is a certified United Way Member Agency;

### ΩR

Providing certification on letterhead stationery from a CPA or Public Accountant that the organization has a functioning accounting system that is operated in accordance with generally accepted accounting principles or that the organization has designated a qualified entity to maintain a functioning accounting system. If an entity is used their name and address must be included in the certification letter;

### AND

Providing evidence of their status as a nonprofit organization by submitting a copy of their IRS ruling providing tax-exempt status under the IRS Code of 1986, as amended.

VA I	FORM
JAN	2003

Sandra Beahm, MSW (55)	Social Worker	VA Medical Center -529	325 New Castle Road	Butler PA 16001-2480	1724-477-5033	724 477 5034
Steven M. Chambers, Psy.D.	Coord., Domiciliary Homeless	VA Medical Center -542	1400 Black Horse Hill Rd	Coatesville, PA 19320	610-384-7711 ext. 5610	
(1160)						
Richard Perretto		VA Medical Center -562	1001 State Street	Erie, PA 16504	814-874-0392	814-456-5464
Jeanne K.Lantzy, ACSW, LSW	HCHV Coordinator	VA Medical Center -595	1700 S. Lincoln Avenue	Lebanon, PA 17042	717-272-6621	717-272-7747
Charles R. Martin, MSS (116-7E)	Coordinator/Clinician, HCHV Program	VA Medical Center -642	University & Woodland	Philadelphia, PA 19104	215-823-4095/pager	215-823-5919
Mary Rooney, LSW (116S)	HCHV Coordinator	VA Medical Center -693	1111 East End Blvd	Wilkes-Barra PA 18711	570 824 3531 avt 7608	717 821 7200
Richard Campbell, B.A.	Social Science Program Specialist	Louis A. Johnson VAMC -540	1 Medical Center Drive	Clarksburg, WV 26301	304-623-3461 ext. 3353	
University Dr. VAMC	CHALENG POC is same as Highland Dr. VAMC	hland Dr. VAMC				
VISN 5		THE PROPERTY OF THE PROPERTY O				
see Dale Smith (below)		VA Medical Center -512	10 North Greene Stroot	Doubleman MD 04004	440 000 3000	
see Dale Smith (below)		VA Medical Center -566	9600 North Point Road	Fort Louised MD 240E2	410-003-7203	410-605-7926
Dale Smith, M.A.	Director, DCHV Program	VA Medical Center -641	Domiciliary	Pery Point MD 21002		410-667-6960
Ella J. (Kit) Angell	HCHV Coordinator	VA Medical Center -688	50 Irving Street, NW	Washington, DC 20422	202-745-8000 ext. 7633	
	DCHV Coordinator	VAMC, 'Domiciliary 502' -613	Route 9	Martinsburg, WV 25401	304-263-0811 ext. 4537	
VISN 6						
Bob Williamson, MSW	Social Work Service	VA Medical Center -558	508 Fulton Street	Outhorn NO 27702	010 200 0021	1000
Sylvia Portenier, MSW (122)	Coordinator, Homeless	VA Medical Center -637	1100 Tunnel Road	Asheville, NC 28805	919-286-5974 828-298-7911 ext 5439	919-286-6825 828-299-5804
	Program					100000000000000000000000000000000000000
Eugene Paul, MSW	Homeless Coordinator	VA Medical Center -565	2300 Ramsey Street	Fayetteville, NC 28301	910-822-7971	910-822-7927
Joseph A. Dennison, MSW, MSM   HCHV Coordinator (11E)	HCHV Coordinator	VA Medical Center -659	1601 Brenner Avenue	Salisbury, NC 28144	704-638-9000 ext 3147	704-638-3329
David R. Wall, MSW (122)	HCHV Coordinator	VA Medical Center -590	100 Emancipation Road	Hampton VA 23667	757-722-0061 put 2137	757 756 6056
Wendell Lifsey, MSW	Homeless Coordinator	Hunter Holmes McGuire VAMC - 652	1201 Broad Rock Road	Richmond, VA 23249		804-675-5951
Ronald L. Long, LCSW	Assistant Chief, Social Work	VA Medical Center -658	1970 Boulevard	Salem, VA 24153	540-982-2463 ext. 6784	FTS 700-937-1932
Cecil A. Meier, MSW	Clinical Social Worker	VA Medical Center -517	200 Veterans Avenue	Beckley, WV 25801	304-255-2121 avt 4646	304-25E-2434
		The state of the s	in the second se			204-203-243
VISN 7						
Dallas Campbell, LCSW (122)	HCHV Coordinator	VA Medical Center	1670 Claimont Rd	Decatur, GA 30033	404-708-4626	404-728-7779
Andrew Davis, ACSW (321)	HCHV Social Worker	VA Medical Center -509	1 Freedom Way	Augusta, GA 30904-6285	706-733-0188 ext. 7617	706-481-6734
Richard Rose, MSW	Outreach Social Worker, DCHV/CWT	Carl Vinson VAMC -557	1826 Veterans Blvd.	Dublin, GA 31021	800-595-5229 ext. 2684	912-277-2865
Willie Fields, LCSW	Coordinator, Homeless Veterans Prgm	Medical Tower Bldg Rm.613 -521	1717 11th Ave. South	Birmingham, AL 35205	205-939-2025	205-939-2022
see Ricky Leggitte below		VA Medical Center -619	215 Perry Hill Road	Montgomery AL 36109-3798	86.	
Sharon Kranz, LCSW-PIP	HCHV Coordinator	VA Medical Center -679	3701 Loop Road East	Tuscaloosa, AL 35404	5-534-3818	205-554-2064
Ricky Leggitte, MSW (122)	HCHV Coordinator	VA Medical Center -680	2400 Hospital Road	Tuskegee, AL 36083	ext 4425	334-704-6969
Linda Williams, RN	HCHV/CWT Coordinator (116)	Ralph H. Johnson VAMC ∙534	109 Bee Street	Charleston, SC 29401- 5799	843-577-5011 ext. 7953	843-853-9167
Patricia Bradford, LCSW (122)	Homeless Coordinator	WJB Dorn Vets. Hospital -544	6439 Gamers Ferry Rd.	Columbia, SC 29209- 1639	803-776-4000 ext. 7697 (	803-695-7962
NSIN 8		A Principal (			Patricia's pager 803- 1241-1895	Pat's cell 803-530-8469
Kirk Albaugh, MSW	HCHV Coordinator	VA Medical Center -516	P O Boy 5005	Boy, Bings El 20744		
Tom McGarry, RN	Homeless Coordinator	VA Medical Center -573	1601 S.W. Archer Road	Gainesville El 33/44	727-398-6661 ext. 4427 727-398-9577	727-398-9577
				Camera in Camera		352-376-7901

Our Organization requests	\$	.00	from VA to provide housing and/or services to the following special need homeless veterans populations (check all that apply):
Women, includi	ng wo	men who l	have care of minor dependents;
Frail Elderly;			
	perforn	ning one or	f age or older with one or more chronic health problems and more activities of daily living (such as bathing, toileting, transferring
Terminally III; o	r		
<u>Terminally ill</u> from a physic		a prognosi	is of 9 months or less to live based on a written medical diagnosis
Chronically Mer	ntally l	11.	
bipolar disord mental health in the last 2 y serious symp mood.	er) or profestears or tomolo	post-trauma sional, with with docur gy or serior	a condition of schizophrenia or major affective disorder (including atic stress disorder (PTSD), based on a diagnosis from a licensed in at least one documented hospitalization for this condition sometime mentation of a formal assessment on a standardized scale of any us impairment in the areas of work, family relations, thinking, or
Numbers to be served: Ei	iter th	e appropri	ate number in the blanks below.
Housing:			
Our organization wi Our organization wi	ll ded ll ded ll ded	cate cate	beds to Women. beds to the minor dependents in the care of women. beds to the Frail Elderly. beds to the Terminally Ill. beds to the Chronically Mentally Ill.
Total number of bed	ls dedi	cated to the	he special needs populations is
Service Center:			
Of the unique	_ veter	ans serve	d per month, as currently experienced in our service center;
			Women and women with minor dependents.  minor dependents in the care of women.

Total number of unique special needs population veterans expected to be served is \_\_\_\_\_.

Our organization expects to serve \_\_\_\_\_ Frail Elderly.

Our organization expects to serve \_\_\_\_\_ Terminally Ill.
Our organization expects to serve \_\_\_\_\_ Chronically Mentally Ill.

	MMONII 1 HOMELESSNESS AS	COMMUNITY HUMBLESSNESS ASSESSMENT, LOCAL EDUCATION AND NETWORKING GROUPS (CHALENG) FOR VETERANS - VAMC/VAOPC	AND NETWORKING GROUF	S (CHALENG) FOR VETER	ANS - VAMC/VAOPC	
Name	Title/Program	Facility/Station Number	Address	City/State/Zip	Phone	Fax
VISN 1			Weight and the second s			
Stanley Jenkins, MSW	Homeless Coordinator	VA Medical Center -523	150 S. Huntipoton Ave	Dector MAA 00400	1007 110 110	
Nancy Buck, MSW, Ph.D. (122)	HCHV Program Coordinator	VA Medical Center -689	950 Campbell Avenue	West Haven CT 06516	203 024 4024	502 527 5664
Dennis Tulley	HCHV Coordinator	VA Medical & Regional Office -402	One Veterans Center	Todie ME 04330	207 220 6004	203-937-3821
Martin B. McNamara, MSW	Chief, Domiciliary Care		200 Springs Road	Bedford MA 01730	791 697 0704	704 025 27.80
See Stanley Jenkins above		VA Medical Center -525	940 Belmont Street	Brockton MA 02401	508 583 4500 cvt 4400	101-2/3-7500 ext 521
James J. Mahoney, MSW	Homeless Coordinator	VA Medical Center -631	421 North Main Street	Northampton MA 04060	413.78F 0064	308-383-4500 ext. 2592
Bruce Bissett, MSW	Homeless Program Specialist	VA Medical Center -608	718 Smyth Road	Manchester NH 03104	603-624-4366 ovt 6041	413-703-0062
Richard T. Synnott, MSW (122)	Coordinator, Homeless Veterans Program	VA Medical Center -650	Davis Park	Providence, RI 02907	401-253-8000 ext. 528	401-254-2076
Collin Drake, MSW (122)	Social Worker	VA Med. & Regional Office -405	215 North Main Street	White River Junction, VT	802-295-9363 ext. 5081	802-296-5150
Peter McMullen, R.N. (116A3)	Homeless Veterans Service Coord.	VA Medical Center -627	555 Willard Ave	Newington, CT 06111	860-594-7327	860-667-6842
VISN 2						
Julie Laurenzi, CSW-R, ACSW	Homeless Coordinator	Samuel Stratton VA Med Ctr500	170 Ontario Stroot	A16		
see Robert Van Keuren (below)		VA Medical Center -514	Aronne Avenue	Rath NY 14810	518-434-1015	518-434-0043
Steve Mortimer, MSW	HCHV Coordinator	VA Satellite Opt. Clinic	465 Westfall Rd	Rochester, NY 14620-	716-242-0160 ext. 2223	716-241-2084
Jean Dittman,MSW (116-F)	Community Day Prgrm Service VA Medical Center -528 Line Mng	VA Medical Center -528	3495 Bailey Ave	Buffalo, NY 14215	716-551-3800	716-551-3983
Robert Van Keuren (001A)	ss Veterans	VA Medical Center -532	400 Fort Hill Avenue	Canandaigua, NY 14424	716-393-7413	716-393-7629
Frank Emenwein, ACSW (116A2) HCHV Coordinator		VA Medical Center -670	1031 E. Fayette Street	Syracuse, NY 13210	315-448-7608	315-448-7610
VISN 3						
Joseph Macaluso, CSW (00MH)	Homeless & Community Programs Dtr.	VA Medical Center -526	130 W. Kingsbridge Rd.	Bronx, NY 10468	718-579-2142	718-579-3363
Julie Irwin, CSW (630 GC)	orch Program	VA Health Care Center -527	40 Flatbush Ave. Ext.	Brooklyn NV 11201	718 A20 A24E	740 400 4000
see below( Montrose)				Castle Point, NY 12511-	010100100100100100100100100100100100100	18-439-4356
Linda Coulter, CSW	Homeless Services Coordinator	VA Hudson Valley HCS	P. O. Box 100 Bldg 52	Montrose, NY 10548	914-737-4400 ext. 3740	914-788-4362
See Julie Irwin above			312 West 36th Street	New York NY 10018		
John A. Sperandeo, MSW (122)			79 Middleville Road	T	631-261-4400 out 7031 631 751 7521	201 15 1 103 1
John Kuhn	Chief, Domiciliary Programs	al Center -604	151 Knollcroft Road		908-647-0180 ext. 6008 908-604-5850	931-734-7974 908-604-5850
East Orange vAMC	CHALENG POC is same as Lyons VAMC					0004-0000
VISN 4						
Lovetta Ford, MSW (122)	HCHV Coordinator	VA Medical Center -645	7180 Highland Drive	Pittsburgh, PA 15206-	412-365-5784	412-365-5778
Kent Johnson LCSW		VA Medical & Regional Office -460	1601 Kirkwood Highway	ington, DE 19805	302-633-5286	302-633-5266
Sandy Wortkowiak, MSW	Homeless Veterans Coordinator	James E. Van Zandt VAMC -503	2907 E. Pleasant Valley	Altoona, PA 16602-4377	814-943-8164 ext. 7640 814-940-7898	314-940-7898

### B. Project Narrative:

Insert new interim rule here when approved.

2.	Project Summary (cont.)
В.	Project Narrative (cont.) (Please answer in the space provided below.)

VA FORM JAN 2003 10-0361-SN

Applicant Page Number is:

# Homeless Providers Grant and Per Diem Program

## Section E - Appendices

Program Rules and Regulations
VISN Contact List
CHALENG Contact List

### C. State/Local Government Applicants:

Applicants who are states or local governments must provide a copy of any comments or recommendations by approved state and (area wide) clearinghouses pursuant to Executive Order 12372.

### 3. Project Budget:

In the chart below enter the total cost of the activity for the project. Keep in mind that if selected for funding you are required to document cost according to the OMB Grant Management Circulars. The activities listed below are not inclusive of all of the items of cost in the circulars nor does their presence below constitute that they are fully allowable under the circulars' guidance. Refer to the proper circular to determine if a cost is allowable.

**Budget Summary:** (Please answer in the space provided below.)

Summary of Grant Funds Requested

Enter the amount requested for each activity.	
•	Total Cost of Project
1. Service	\$ .00
2. Operation	\$ .00
3. Personnel	S .00
4. Total (This must match the amount on page 2.)	\$ .00

4. Detailed Project Plan: This is the portion of the application that describes your program; VA	
Reviewers will focus on how the project plan addresses the areas as listed below. Please describe in de	tail
how your agency will identify and serve homeless veteran populations by responding to the following	
questions:	

 - 0.	1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -		

- 11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
- 12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
- 13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
- 14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
- 15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the

- National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
- 16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
- 17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
- 18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
- 19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE	
APPLICANT ORGANIZATION		DATE SUBMITTED

assistance. Also, provided	 	

VA FORM JAN 2003

10-0361-SN

Applicant Page Number is:

### **ASSURANCES - CONSTRUCTION PROGRAMS**

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: As the duly authorized representative of the applicant, I certify that the applicant:

Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

- Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
- 2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
- 3. Will not dispose of, modify the use of, or change the terms of the real property title, or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal interest in the title of real property in accordance with awarding agency directives and will include a covenant in the title of real property aquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
- 4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
- 5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progress reports and such other information as may be required by the assistance awarding agency or State.
- 6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
- 7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.

- 8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
- 9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
- 10. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race. color or national origin: (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

oject. (Please answer in the spa	ace provided below.)	•	

### INSTRUCTIONS FOR THE SF-424C

Public reporting burden for this collection of information is estimated to average 180 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0041), Washington, DC 20503.

### PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

This sheet is to be used for the following types of applications: (1) "New" (means a new [previously unfunded] assistance award); (2) "Continuation" (means funding in a succeeding budget period which stemmed from a prior agreement to fund); and (3) "Revised" (means any changes in the Federal Government's financial obligations or contingent liability from an existing obligation). If there is no change in the award amount, there is no need to complete this form. Certain Federal agencies may require only an explanatory letter to effect minor (no cost) changes. If you have questions, please contact the Federal agency.

Column a. - If this is an application for a "New" project, enter the total estimated cost of each of the items listed on lines 1 through 16 (as applicable) under "COST CLASSIFICATION."

If this application entails a change to an existing award, enter the eligible amounts approved under the previous award for the items under "COST CLASSIFICATION."

Column b. - If this is an application for a "New" project, enter that portion of the cost of each item in Column a. which is not allowable for Federal assistance. Contact the Federal agency for assistance in determining the allowability of specific costs.

If this application entails a change to an existing award, enter the adjustment [+ or (-)] to the previously approved costs (from column a.) reflected in this application.

Column. - This is the net of lines 1 through 16 in columns "a." and "b."

- Line 1 Enter estimated amounts needed to cover administrative expenses. Do not include costs which are related to the normal functions of government. Allowable legal costs are generally only those associated with the purchases of land which is allowable for Federal participation and certain services in support of construction of the project.
- Line 2 Enter estimated site and right(s)-of-way acquisition costs (this includes purchase, lease, and/or easements).
- Line 3 Enter estimated costs related to relocation advisory assistance, replacement housing, relocation payments to displaced persons and businesses, etc.

- Line 4 Enter estimated basic engineering fees related to construction (this includes start-up services and preparation of project performance work plan).
- Line 5 Enter estimated engineering costs, such as surveys, tests, soil borings, etc.
- Line 6 Enter estimated engineering inspection costs.
- Line 7 Enter estimated costs of site preparation and restoration which are not included in the basic construction contract
- Line 9 Enter estimated cost of the construction contract.
- Line 10 Enter estimated cost of office, shop, laboratory, safety equipment, etc. to be used at the facility, if such costs are not included in the construction contract.
- Line 11 Enter estimated miscellaneous costs.
- Line 12 Total of items 1 through 11.
- Line 13 Enter estimated contingency costs. (Consult the Federal agency for the percentage of the estimated construction cost to use.)
- Line 14 Enter the total of lines 12 and 13.
- Line 15 Enter estimated program income to be earned during the grant period, e.g., salvaged materials, etc.
- Line 16 Subtract line 15 from line 14.
- Line 17 This block is for the computation of the Federal share. Multiply the total allowable project costs from line 16, column "c." by the Federal percentage share (this may be up to 100 percent; consult Federal agency for Federal percentage share) and enter the product on line 17.

(c.)	Continued.
I	

OMB Approval No. 0348-0041

**BUDGET INFORMATION - Construction Programs** 

NOTE: Certain Federal assistance programs require additional computations		to arrive at the Federal share of project costs eligible for participation. If such is the case, you will be notified	ise, you will be notified.
COST CLASSIFICATION	a. Total Cost	<ul><li>b. Costs Not Allowable for Participation</li></ul>	c. Total Allowable Costs (Columns a-b)
1. Administrative and legal expenses	\$		\$
2. Land, structures, rights-of-way, appraisals, etc.	↔	\$	\$
3. Relocation expenses and payments	ઝ	€	\$
4. Architectural and engineering fees	\$	\$	
5. Other architectural and engineering fees	&	\$	\$
6. Project inspection fees	ઝ	\$	€9
7. Site work	\$	\$	\$
8. Demolition and removal	\$	\$	↔
9. Construction	\$	\$	-
10. Equipment	\$	\$	\$
11. Miscellaneous	↔	\$	\$
12. SUBTOTAL (sum of lines 1-11)	\$	\$	\$
13. Contingencies	\$	\$	€
14. SUBTOTAL	\$	\$	\$
15. Project (program) income	8	\$	\$
16. TOTAL PROJECT COSTS (subtract #15 from #14)	\$	\$	€\$
	FEDERAL FUNDING		
17. Federal assistance requested, calculate as follows: (Consult Federal agency for Federal percentage share.) Enter the resulting Federal share.	Enter eligible costs from line 16c Multiply X	%	€

Previous Edition Usable

Authorized for Local Reproduction

Standard Form 424C (Rev. 7-97) Prescribed by OMB Circular A-102

c.) Continued.		
		-

- Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §§276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
- 10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
- 11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilitates pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seg.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).

- 12. Will comply, with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
- Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §§470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.)
- 14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
- 15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
- 16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in the construction or rehabilitation of residence structures.
- 17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
- Will Comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
APPLICANT ORGANIATION	DATE SUBMITTED

Standard Form 424B (Rev. 7-97) Back


VA FORM JAN 2003 10-0361-SN

Applicant Page Number is:

### **ASSURANCES - NON-CONSTRUCTION PROGRAMS**

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

**Note:** Certain of these assurances may not be applicable to your project or program. If you have questions please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

At the duly authorized representative of the applicant, I certify that the applicant:

- Has the legal authority to apply for federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of the project cost) to ensure proper planning, management and completion of the project described in this application.
- Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
- Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
- 4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
- Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
- 6. Will comply with all Federal statutes relating to nondiscrimination. These include but are on limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color, or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C.

- §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (I) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (i) the requirements of any other nondiscrimination statue(s) which may apply to the application.
- 7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
- Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

VA FORM JAN 2003

10-0361-SN

Applicant Page Number is:

SN Submission - 11

### **INSTRUCTIONS FOR THE SF-424A** (Continued)

narrative statement the nature and source of income. The estimated amount of program income my be considered by the Federal grantor agency in determining the total amount of the grant.

### Section C. Non-Federal Resources

**Lines 8-11** Enter amounts of non-Federal resources that will be used on the grant. If in-kind contributions are included, provide a brief explanation on a separate sheet.

**Column (a) -** Enter the program titles identical to Column (a), Section A. A breakdown by function or activity is not necessary.

Column (b) - Enter the contribution to be made by the applicant.

Column (c) - Enter the amount of the State's cash and in-kind contribution if the applicant is not a State or State agency. Applicants which are State or State agencies should leave this column blank.

**Column (d)** - Enter the amount of cash and in-kind contributions to be made from all other sources.

Column (e) - Enter totals of Columns (b), (c), and (d).

Line 12 - Enter the total for each of Columns (b)-(e). The amount in Column (e) should be equal to the amount on Line 5, Column (f), Section A.

### Section D. Forecasted Cash Needs

Line 13 - Enter the amount of cash needed by quarter from the grantor agency during the first year.

**Line 14 -** Enter the amount of cash from all other sources needed by quarter during the first year.

**Line 15 -** Enter the totals of amounts on Lines 13 and 14.

### Section E. Budget Estimates of Federal Funds Needed for Balance of the Project

Lines 16-19 - Enter in Column (a) the same grant program titles shown in Column (a), Section A. A breakdown by function or activity is not necessary. For new applications and continuation grant applications, enter in the proper columns amounts of Federal funds which will be needed to complete the program or project over the succeeding funding periods (usually in years). This section need not be completed for revisions (amendments, changes, or supplements) to funds for the current year of existing grants.

If more than four lines are needed to list the program titles, submit additional schedules as necessary.

**Line 20 -** Enter the total for each of Columns (b)-(e). When additional schedules are prepared for this Section, annotate accordingly and show the overall totals on this line.

### Section F. Other Budget Information

**Line 21 -** Use this space to explain amounts for individual direct object class cost categories that may appear to be out of the ordinary or explain the details as required by the Federal grantor agency.

Line 22 - Enter the type of indirect rate (provisional, predetermined, final or fixed) that will be in effect during the funding period, the estimated amount of the base to which the rate is applied, and the total indirect expense.

Line 23 - Provide any other explanations or comments deemed necessary.

answer in the space provided bel	)W.)	
	.,	

#### **INSTRUCTIONS FOR THE SF - 424A**

Public reporting burden for this collection of information is estimated to average 180 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0044), Washington, DC 20503.

### PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

#### **General Instructions**

This form is designed so that application can be made for funds from one or more grant programs. In preparing the budget, adhere to any existing Federal grantor agency guidelines which prescribe how and whether budgeted amounts should be separately shown for different functions or activities within the program. For some programs, grantor agencies may require budgets to be separately shown by function or activity. For other programs, grantor agencies may require a breakdown by function or activity. Sections A, B, C, and D should include budget estimates for the whole project except when applying for assistance which requires Federal authorization in annual or other funding period increments. In the latter case, Sections A, B, C, and D should provide the budget for the first budget period (usually a year) and Section E should present the need for Federal assistance in the subsequent budget periods. applications should contain a breakdown by the object class categories shown in Lines a-k of section B.

#### Section A. Budget Summary Lines 1-4 Columns (a) and (b)

For applications pertaining to a *single* Federal grant program (Federal Domestic Assistance Catalog number) and *not requiring* a functional or activity breakdown, enter on Line 1 under Column (a) the Catalog program title and the Catalog number in Column (b).

For applications pertaining to a *single* program *requiring* budget amounts by multiple functions or activities, enter the name of each activity or function on each line in Column (a), and enter the Catalog number in Column (b). For applications pertaining to multiple programs where none of the programs require a breakdown by function or activity, enter the Catalog program title on each line in Column (a) and the respective Catalog number on each line in Column (b).

For applications pertaining to *multiple* programs where one or more programs *require* a breakdown by function or activity, prepare a separate sheet for each program requiring the breakdown. Additional sheets should be used when one form does not provide adequate space for all breakdown of data required. However, when more than one sheet is used, the first page should provide the summary totals by programs.

#### Lines 1-4, Columns (c) through (g)

For new applications, leave Column (c) and (d) blank. For each line entry in Columns (a) and (b), enter in Columns (e), (f), and (g) the appropriate amounts of funds needed to support the project for the first funding period (usually a year).

For continuing grant program applications, submit these forms before the end of each funding period as required by the grantor agency. Enter in Columns (c) and (d) the estimated amounts of funds which will remain unobligated at the end of the grant funding period only if the Federal grantor agency instructions provide for this. Otherwise, leave these columns blank. Enter in columns (e) and (f) the amounts of funds needed for the upcoming period. The amount(s) in Column (g) should be the sum of amounts in (e) and (f).

For supplemental grants and changes to existing grants, do not use Columns (c) and (d). Enter in Column (e) the amount of the increase or decrease of Federal funds and enter in Column (f) the amount of increase or decrease of non-Federal funds. In Column (g) enter the new total budgeted amount (Federal and non-Federal) which includes the total previous authorized budgeted amounts plus or minus, as appropriate, the amounts shown in Columns (e) and (f). The amount(s) in Column (g) should not equal the sum of amounts in Columns (e) and (f).

Line 5 - Show the totals for all columns used.

#### Section B Budget Categories

In the column headings (1) through (4), enter the titles of the same programs, functions, and activities shown on Lines 1-4, Column (a), Section A. When additional sheets are prepared for Section A, provide similar column headings on each sheet. For each program, function or activity, fill in the total requirements for funds (both Federal and non-Federal) by object class categories.

Line 6a-i - Show the totals of Lines 6a to 6h in each column.

Line 6j - Show the amount of indirect cost.

Line 6k - Enter the total of amounts on Lines 6i and 6j. For all applications for new grants and continuation grants the total amount in column (5), Line 6k, should be the same as the total amount shown in section A, Column (g), Line 5. For supplemental grants and changes to grants, the total amount of the increase or decrease shown in columns (1)-(4), Line 6k should be the same as the sum of the amounts in section A, Columns (e) and (f) on Line 5.

**Line 7 -** Enter the estimated amount of income, if any, expected to be generated from this project. Do not add or subtract this amount from the total project amount, show under the program

		SECTION C - NON FEDERAL RESOURCES	AL RESOURCES			
(a) Grant Program	ogram	(b) Applicant	(c) State	tate	(d) Other Sources	(e) TOTALS
8.		\$	\$		€	₩.
ō		\$	\$		₩.	φ.
10.		\$	€		49	₩.
11.		€	\$		€	8
12. TOTAL (sum of lines 8-11)		₩.	8		€	8
	SE	SECTION D - FORECAST	- FORECASTED CASH NEEDS			
	Total for 1st Year	1st Quarter	2 <sup>nd</sup> Quarter	arter	3rd Quarter	4 <sup>th</sup> Quarter
is. rederai	↔	69	↔		\$	€9
14. Non-Federal	\$	€	\$		s	es-
15. TOTAL (sum of lines 13 & 14)	<del>\( \rightarrow \)</del>	\$	↔		\$	€
SECTIO	SECTION E - BUDGET ESTIMATI	ES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT	S NEEDED FOR E	SALANCE	OF THE PROJECT	
(a) Grant Program	aram		FUTURE FU	NDING PEF	FUTURE FUNDING PEROIDS (Years)	
		(b) First	(c) Second		(d) Third	(e) Fourth
16.		↔	€	₩	₩	
17.		\$	₩.	₩	€3	
18.		₩.	\$	69	€9	
19.		€	€	€	49	
20. TOTAL (sum of lines 16-19)		₩	₩.	69	€9	
	SEC	SECTION F - OTHER BUDGET INFORMATION	ET INFORMATION			
21. Direct Charges;			22. Indirect Charges:	es:		
23. Remarks:						

Authorized for Local Reproduction

al needs projec	als, objectives, and ct. (Please answer in th	e space provided be	godi proposed s elow.)	ei vice, opei audi	i, or personnel le

₹
90
$\simeq$
Ç
Φ.
34
m
$\sim$
0348
$\sim$
ϫ
Z
ŝ
=
ল
>
0
Œ
Ω
$\overline{}$
Approva
۹.
≅
ā
U

**BUDGET INFORMATION - Non-Construction Programs** 

		SECT	<b>SECTION A - BUDGET SUMMARY</b>	MARY		
Grant Program Function or	Catalog of Federal Domestic	Estimated Un	Estimated Unobligated Funds	Z	New or Revised Budget	
Activity (a)	Assistance Number (b)	Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal	Total
_		\$	€	₩.	₩.	\$
2.		\$	\$	₩.	₩.	49
છે		\$	\$	€	₩	↔
4.		\$	\$	\$	₩.	\$
5. Totals		\$	₩.	€	↔	<b>↔</b>
		SECTION	N B - BUDGET CATEGORIES	SORIES		
6. Object Class Categories	Sategories		GRANT PROGRAM, FUNCTION OR ACTIVITY	NCTION OR ACTIVITY		Total
- 1		(1)	(2)	(3)	(4)	(5)
a. Personnel		↔	<del>69</del>	₩	₩.	\$
b. Fringe Benefits	efits	↔	8	₩.	€	69
c. Travel		\$	₩	8	€	\$
d. Equipment		<b>4</b>	€	₩.	49	\$
e. Supplies		€	€	₩	8	\$
f. Contractual		₩.	€	↔	\$	\$
g. Construction	u	\$	₩	↔	8	€9
h. Other		\$	\$	49	S	49
i. Total Direct	Total Direct Charges (sum of 6a-6h)	\$	\$	49	€	€
j. Indirect Charges	arges	\$	€	4	69	\$
k. TOTALS (sum of 6i and 6j)	um of 6i and 6j)	€	\$	₩.	€	8
7. Program Income	ne	€9	\$	€	<del>t)</del>	8
Previous Edition Usable		Au	Authorized for Local Reproduction	duction	Standar Prescrit	Standard form 424A (Rev. 7-9) Prescribed by OMB Circular A-

al needs proje	als, objectives, and ect appropriate an	d reasonable?	(Please answer in	the space provide	ed below.)	

OMB Number: 2900-0554

# Department of Veterans Affairs

#### APPLICATION FOR VA HOMELESS PROVIDERS GRANTS

#### **RECEIPT FORM**

If you wish to receive written verification that your application was received by the deadline established in the notice of fund availability, type or print your name and address in the block provided below and attach this form on the top of the original application. The bottom portion will be completed by the Department of Veterans Affairs (VA) and the form returned to you.

NOTE: VA will use the name and address listed on your SF (Standard Form) 424 for all further correspondence.

Department of Veterans Affairs Mental Health and Behavioral Sciences

	NAME AND ADDRESS:
	VA USE ONLY
	application for the VA Homeless Providers Grant and Per Diem program was not received by the application specified in the Notice of Fund Availability, and cannot be considered for funding.
	application for the VA Homeless Providers Grant and Per Diem program was received in this office by the need deadline. It has been assigned the following project number:
PROJ	ECT NUMBER:

VA FORM JAN 2001

10-0361A

#### **INSTRUCTIONS FOR THE SF-424**

Public reporting burden for this collection of information is estimated to average 45 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0043), Washington, DC 20503.

### PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

This is a standard form used by applicants as a required facesheet for preapplications and applications submitted for Federal assistance. It will be used by Federal agencies to obtain applicant certification that States which have established a review and comment procedure in response to Executive Order 12372 and have selected the program to be included in their process, have been given an opportunity to review the applicant's submission.

Item:

Entry:

- 1. Self-explanatory.
- 2. Date application submitted to Federal agency (or State if applicable) and applicant's control number (if applicable).
- 3. State use only (if applicable).
- 4. If this application is to continue or revise an existing award, enter present Federal identifier number. If for a new project, leave blank.
- 5. Legal name of applicant, name of primary organizational unit which will undertake the assistance activity, complete address of the applicant, and name and telephone number of the person to contact on matters related to this application.
- Enter Employer Identification Number (EIN) as assigned by the Internal Revenue Service.
- 7. Enter the appropriate letter in the space provided.
- 8. Check appropriate box and enter appropriate letter(s) in the space(s) provided:
- -- "New" means a new assistance award.
- -- "Continuation" means an extension for an additional funding/budget period for a project with a projected completion date.
- -- "Revision" means any change in the Federal Government's financial obligation or contingent liability from an existing obligation.
- 9. Name of Federal agency from which assistance is being requested with this application.
- 10. Use the Catalog of Federal Domestic Assistance number and title of the program under which assistance is requested.
- 11. Enter a brief descriptive title of the project. If more than one program is involved, you should append an explanation on a separate sheet. If appropriate (e.g., construction or real property projects), attach a map showing project location. For preapplications, use a separate sheet to provide a summary description of this project.

Item:

12. List only the largest political entities affected (e.g., State, counties, cities).

Entry:

- 13. Self-explanatory.
- 14. List the applicant's Congressional District and any District(s) affected by the program or project.
- 15. Amount requested or to be contributed during the first funding/budget period by each contributor. Value of in-kind contributions should be included on appropriate lines as applicable. If the action will result in a dollar change to an existing award, indicate only the amount of the change. For decreases, enclose the amounts in parentheses. If both basic and supplemental amounts are included, show breakdown on an attached sheet. For multiple program funding, use totals and show breakdown using same categories as item 15.
- 16. Applicants should contact the State Single Point of Contact (SPOC) for Federal Executive Order 12372 to determine whether the application is subject to the State intergovernmental review process.
- 17. This question applies to the applicant organization, not the person who signs as the authorized representative. Categories of debt include delinquent audit disallowances, loans and taxes.
- 18. To be signed by the authorized representative of the applicant. A copy of the governing body's authorization for you to sign this application as official representative must be on file in the applicant's office. (Certain Federal agencies may require that this authorization be submitted as part of the application.)

**5.** <u>Assurances:</u> All applicants must agree to comply with the assurances to VA listed below. If you fail to do so it may result in the rejection of your application at the threshold review.

All applicants must agree to the following assurances to VA as described below. No other format will be accepted as evidence of reasonable assurances. Warning: Section 1001 of Title 18 of the United States Code (Criminal Code and Criminal Procedure) shall apply to these assurances. Section 1001 of title 18 United States Code provides, that whoever knowingly and willfully makes or uses a document or writing containing any false, fictitious, or fraudulent entry, in any matter within the jurisdiction of any department or agency of the United States shall be fined not more than \$ 10,000 or imprisoned not more than five years, or both.

#### A. Services Benefiting Veterans

If this proposal is funded applicant assures that:

- 1. Programs so funded will be used to provide special need assistance to those categories of special need homeless veteran populations as listed in 38 CFR part 61.40.
- 2. The special need assistance will be for services, operation, or personnel as outlined in 38 CFR 61.43(d) (1) through (4), (e) (1) through (6), (f) (1) through (5) and or (g) (1) through (5).
- 3. The special need assistance will be for services, operation, or personnel at the level for which the application was made.
- 4. Services provided will meet standards prescribed by the Secretary of Veterans Affairs.
- 5. Referral networks will be maintained for, and aid will be given to, those special need homeless veteran populations.
- 6. Confidentiality of records pertaining to homeless veterans will be maintained in accordance with applicable laws, Federal, State, and Local, (e.g., HIPAA, Privacy Act).

#### B. Reports; Record Retention

If this proposal is funded, applicants assure that any and all reports required by the Secretary of Veterans affairs shall be made in such form and contain such information as the Secretary may require and/or are required by law. Applicant further assures that upon demand, the Secretary of Veterans Affairs has access to the records upon which such information is based.

#### C. Continued Financial Support

If this proposal is funded, applicant assures that adequate financial support will be available for the continued operation of the special need assistance project funded by VA.

#### D. Fiscal Control

If this proposal is funded, applicant assures that it will establish and maintain such procedures for fiscal control and fund accounting as may be necessary to ensure proper disbursement and accounting with respect to the grant.

#### E. Non-Delinquency

This institution certifies that it is not delinquent on any Federal Debt and does not have any overdue or unsatisfactory response to an audit. Applicant, further assures that is not in default by failing to meet the requirements of any previous assistance from VA.

#### F. Accuracy of Application Information

All information submitted with this application is accurate, and does not contain any false, fictitious or fraudulent statement or entry.

#### **G.** Costs Incurred

I certify to the best of my knowledge the cost incurred for the special need grant assistance would not be incurred but for the fact that project number \_\_\_\_\_\_ is providing beds or services in supportive housing and/ or a service center for the homeless populations as designated in 38 CFR 61.40.

#### H. Compliance

Applicant assures that it will comply with applicable requirements of 38 C.F.R. Part 61.

Signature of Authorized Certifying Official Title	Date Submitted
Applicant Organization	Date

VA FORM JAN 2003

10-0361-SN

Applicant Page Number is: \_\_\_\_\_

## 6. <u>CERTIFICATION REGARDING DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS</u>

#### A. INSTRUCTIONS FOR CERTIFICATION PRIMARY COVERED TRANSACTIONS

By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.

- 1. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the determination of the Department of Veterans Affairs (VA) whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participating in this transaction.
- 2. The certification in this clause is a material representation of fact upon which reliance was placed when VA determined to enter into this transaction. If it is later determined that the prospective participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, VA may terminate this transaction for cause of default.
- 3. The prospective primary participant shall provide immediate written notice to VA if at any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- 4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact VA for assistance in obtaining a copy of those regulations.
- 5. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by VA.
- 6. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," provided by VA, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
- 7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.
- 8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- 9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, VA may terminate this transaction for default.

VA I	FORM
JAN	2003

10-0361-SN

Applicant Page Number is:	
---------------------------	--

# Application for Federal Assistance

OMB Approval No. 0348-0043

Federal Assist	ance	2. DATE SU	JBMITTED APPLICANT IDENITFIE			APPLICANT IDENITFIER	
Application Construction Non-Construction	pplication construction con-Construction	3. DATE RE		Y STATE Y FEDERAL A	GENCY	STATE APPLICANT IDENTIFIER FEDERAL IDENTIFIER	
5. APPLICANT INFORMATION							
Legal Name:			Organiza	tional Unit:			
Address (give city, county, State, a	nd zip code):			d telephone n this applicati		person to be contacted on matters rea code)	
6. EMPLOYER IDENTIFICATION N	IUMBER (EIN):		7. TYPE (	OF APPLICAN	IT: (enter a	appropriate letter in box)	
-			A. State			nt School Dist.	
8. TYPE OF APPLICATION:  New C  If Revision, enter appropriate letter(  A. Increase Award B. Decrease D. Decrease Duration Other (specification)	e Award C. Increase		B. County C. Municipal J. Private University D. Township K. Indian Tribe E. Interstate L. Individual F. Intermunicipal M. Profit Organization G. Special District N. Other (Specify):  9. NAME OF FEDERAL AGENCY: Department of Veterans Affairs				
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER:			44 0500	DIOTN'S TITL	5 OF ADD	LICANT'S PROJECT:	
6 4 - 0 2 4 TITLE: VA Homeless Providers Grant and Per Diem Program  12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.):  13. PROPOSED PROJECT  14. CONGRESSIONAL DISTRICTS (Congressional Districts)			OF:				
Start Date Ending Date a. Applicant			b. Project				
15. ESTIMATED FUNDING:			16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?				
a. Federal	\$		a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE			I/APPLICATION WAS MADE	
b. Applicant	\$		AVAILABLE TO THE STATE EXECUTIVE ORDER 12372				
c. State			PROCESS FOR REVIEW ON:				
d. Local			DATE				
e. Other \$		b. No. PROGRAM IS NOT COVERED BY E. O. 12372					
f. Program Income \$		OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW					
g. Total \$			17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?  Yes If "Yes," attach an explanation.				
	THORIZED BY THE GOV	ERNING BOD	III Tes in Tes, attach an explanation. IN NO HIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE HID OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE				
a. Type Name of Authorized Repres					c. Teleph	one Number	
d. Signature of Authorized Represer	ntative		e. Date Signed				

# 6. <u>CERTIFICATION REGARDING DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS (cont.)</u>

#### **B. PRIMARY COVERED TRANSACTIONS**

This certification is required by regulations implementing Executive Order 12549, Debarment and Suspension, and VA's implementing regulations at 38 CFR Part 44.

- 1. The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:
  - (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from covered transactions by any federal department or agency;
  - (b) Have not within a three- year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity federal, state or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
  - (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (federal, state or local) terminated for cause or default.
- 2. Where the prospective primary participant is unable to certify to any of the statements in this certification such prospective participant shall attach an explanation to this proposal.

Organization Name	Project Number	
Name and Title of Authorized Representative		
Signature of Authorized Representative	Date	

VA FORM JAN 2003

10-0361-SN

Applicant Page Number is:

### **Department of Veterans Affairs**

# Homeless Providers Grant and Per Diem Program

# Section D - Forms Section

These forms are to be used as necessary. All forms may not apply to the grant you are seeking.

Consult the grant application for use of the proper forms.

Standard Form 424

VA Form 10-0361A

Standard Form 424A

Standard Form 424B

Standard Form 424C

Standard Form 424D

Forms to accompany the JAN 2003 10-0361 Series

# 6. <u>CERTIFICATION REGARDING DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS</u> (cont.)

#### C. Instructions for Certification Lower Tier Covered Transactions

By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.

- 1. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, VA may pursue available remedies, including suspension and/or debarment.
- 2. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- 3. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact VA for assistance in obtaining a copy of those regulations.
- 4. The perspective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by VA.
- 5. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
- 6. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.
- 7. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- 8. Except for transactions authorized under paragraph 4 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, VA may pursue available remedies, including suspension and/or debarment.

VA FORM	10-0361-SN	
AN 2003	10-0301-314	

Applicant Page Number is:	:
---------------------------	---

#### **Application Assembly Checklist**

Place your application in the order of the checklist below and list the page numbers in sequence on both the application and on this checklist. The checklist will serve as your Table of Contents for your application package. A page number box is at the bottom center of each application page. On documents you have provided (i.e., assurances, resumes, etc.,) please place these documents in their proper order as directed in the application (i.e., Attach Here) and number them in sequence. When finished your application should be sequentially numbered, beginning at the first page and continuing through the last one submitted.

Included Items	VA Page Numbers	Applicant Page Number
Application for Federal Assistance (Standard Form 424)	Located in Forms Section	
Application Receipt Form (VA Form 10-0361A)	Located in Forms Section	
Application Assembly Checklist	SN Submission – page 24	
Applicant Summary	SN Submission – page 1	
Eligibility to Receive VA Assistance	SN Submission – page 1	
Project Summary	SN Submission – page 2 through 4	
Budget	SN Submission – page 5	
Project Plan	SN Submission – page 5 through 15	
Assurances	SN Submission – page 16	
Certification Regarding Debarment, Suspension, and other Responsibility Matters	SN Submission – page 17 through 20	
Certification Regarding Drug-Free Workplace	SN Submission – page 21 & 22	
Certification Regarding Lobbying	SN Submission – page 23	
OMB Forms Section D  a. Standard Form 424A, Non-Construction Budget b. Standard Form 424B, Non-Construction Assurances	Located in Forms Section	

Applicant Page Number is: \_\_\_\_\_

# 6. <u>CERTIFICATION REGARDING DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS (cont.)</u>

# D. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions

1.	The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any federal department or agency.
2.	Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.
Or	ganization Name
Na	me and Title of Authorized Representative

VA FORM JAN 2003

10-0361-SN

Signature of Authorized Representative

Applica

Applicant Page Number is:

Date

#### 8. CERTIFICATION REGARDING LOBBYING

### THIS CERTIFICATION IS REQUIRED BY 38 CFR PART 45, VA'S REGULATIONS IMPLEMENTING SECTION 319 OF PUBLIC LAW 101-121.

The undersigned certifies, to the best of their knowledge and belief, that:

- (1) No federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this federal contract, grant, loan or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require than the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and of more than \$100,000 for each such failure.

Organization Name	
Name and Title of Authorized Representative	
Signature of Authorized Representative	Date

VA FORM JAN 2003 Applicant Page Number is: \_

#### 7. CERTIFICATION REGARDING DRUG-FREE WORKPLACE

#### A. INSTRUCTIONS FOR CERTIFICATION DRUG-FREE WORKPLACE

By signing and/or submitting this, application or grant agreement, the grantee is providing the certification set out below.

- 1. The certification set out below is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, the agency, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
- 2. For grantees other than individuals, Alternate I applies.
- 3. For grantees who are individuals, Alternate II applies.
- 4. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
- 5. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or state highway department while in operation, state employees in each local unemployment office, performers in concert halls or radio studios).
- 6. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph five).
- 7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:
  - <u>Controlled substance</u> means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308-11 through 1308.15):
  - <u>Conviction</u> means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the federal or state criminal drug statutes;
  - <u>Criminal drug statute</u> means a federal of non-federal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

Employee means the employee of a grantee directly engaged in the performance of work under a grant, including:

- (i) All direct charge employees;
- (ii) All indirect charge employees unless their impact or involvement is insignificant to the performance of the grant; and
- (iii) Temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

VA FORM	10-0361-SN	Applicant Page Number is:
JAN 2003	10-0301-314	

### THIS CERTIFICATION IS REQUIRED BY 38 CFR PART 44, VA'S REGULATIONS IMPLEMENTING THE DRUG-FREE WORKPLACE ACT OF 1988.

- B. The grantee certifies that it will or will continue to provide a drug-free workplace by:
  - (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibitions:
  - (b) Establishing an ongoing drug-free awareness program to inform employees about-
    - (1) The dangers of drug abuse in the workplace;
    - (2) The grantee's policy of maintaining a drug-free workplace;
    - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
    - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
  - (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
  - (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will-
    - (1) Abide by the terms of the statement; and

VA FORM

JAN 2003

10-0361-SN

- (2) Notify the employer in writing of any conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- (e) Notifying the Agency in writing, within ten calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
- (f) Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d)(2), with respect to any employee who is so convicted-
  - (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a federal, state or local health, law enforcement, or other appropriate agency;
- (g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e) and (f).

the specific grant:		
Place of Performance (Street address, city, county, state, zip code.)		
riace of refrontiance (Street address, city, county, state, 21p code.)		
Check here if there are workplaces on file that are not identified here.		
Organization Name		
Name and Title of Authorized Representative		
Signature of Authorized Representative	Date	

Applicant Page Number is: