

Federal Financial Institutions Examination Council



Report of Assets and Liabilities of a Non-U.S. Branch that is Managed or Controlled by a U.S. Branch or Agency of a Foreign (Non-U.S.) Bank—FFIEC 002S

Report at the close of business September 30, 2020

20200930
(RCON 9999)

This report is required by law 12 U.S.C. § 3105(c)(2); 12 U.S.C. § 1817(a); and 12 U.S.C. § 3102(b).

Please read carefully "Instructions for Preparation of the Report of Assets and Liabilities of a Non-U.S. Branch that is Managed or Controlled by a U.S. Branch or Agency of a Foreign (Non-U.S.) Bank."

**Return original and 2 copies to the Federal Reserve Bank in whose district the branch or agency is located.
FDIC-insured branches should file one copy with the appropriate FDIC Regional Office (Supervision).**

Public reporting burden associated with the FFIEC 002S is estimated to average 6 hours per response including time to gather and maintain data in the required form and to review instructions and complete the information collection. A Federal agency may not conduct or sponsor, and an organization (or a person) is not required to respond to a collection of information, unless it displays a currently valid OMB control number. Comments regarding this burden estimate or any other aspect of this information collection, including suggestions for reducing the burden, may be sent to Secretary, Board of Governors of the Federal Reserve System, 20th and C Streets, NW, Washington, DC 20551 and to Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

SUPPLEMENT

Report of Assets and Liabilities

Non-U.S. Branch Licensed in _____ that is managed or controlled
 by _____ Country (TEXT 9031) _____
 _____ at close of business on _____
 Legal Title of U.S. Branch or Agency (TEXT 9010) _____ Month / Day / Year _____

Please read instructions carefully.

Dollar Amounts in Thousands

	Total Reporting Non-U.S. Branch		
	CRCB	Amount	
Assets			
1. Claims on U.S.-domiciled offices of related depository institutions denominated in U.S. dollars..	5527		1.
2. Claims on all other U.S. addressees (including related nondepository institutions) denominated in U.S. dollars:			
a. Balances due from nonrelated depository institutions:			
(1) With remaining maturities of one day or under continuing contract ("overnight")	5528		2.a.(1)
(2) All other maturities ("term").....	5529		2.a.(2)
b. Securities not held for trading:			
(1) U.S. Treasury securities and U.S. Government agency and corporation obligations	1918		2.b.(1)
(2) All other securities	0373		2.b.(2)
c. Loans held for investment and held for sale:			
(1) Loans secured by real estate.....	1410		2.c.(1)
(2) Loans to nonrelated depository institutions in the United States	5530		2.c.(2)
(3) Commercial and industrial loans	1763		2.c.(3)
(4) All other loans	5531		2.c.(4)
(5) Less: Any unearned income on loans reflected in items 2.c.(1) through 2.c.(4) above.....	2123		2.c.(5)
(6) Total loans held for investment and held for sale (sum of items 2.c.(1) through 2.c.(4) minus item 2.c.(5))	2122		2.c.(6)
d. All other claims (including trading assets)	5532		2.d.
e. Total claims on U.S. addressees other than related depository institutions, denominated in U.S. dollars (sum of items 2.a, 2.b, 2.c.(6), and 2.d)	5533		2.e.
3. Claims on all U.S. addressees denominated in currencies other than U.S. dollars.....	5534		3.
4. Claims on home-country addressees denominated in any currency:			
a. Related depository institutions	3173		4.a.
b. Nonrelated depository institutions	5536		4.b.
c. Home-country government and official institutions (including home-country central bank)	5537		4.c.
d. All other home-country addressees	5538		4.d.
5. Claims on all other non-U.S. addressees denominated in any currency	5539		5.
6. All other assets	2151		6.
7. Total assets (sum of items 1, 2.e, 3, 4, 5, and 6).....	2170		7.
Liabilities			
8. Liabilities to U.S.-domiciled offices of related depository institutions denominated in U.S. dollars....	5540		8.
9. Liabilities to all other U.S. addressees (including related nondepository institutions) denominated in U.S. dollars:			
a. Liabilities to nonrelated depository institutions in the U.S.:			
(1) With remaining maturities of one day or under continuing contract ("overnight")	5541		9.a.(1)
(2) All other maturities ("term").....	5542		9.a.(2)
b. Liabilities to all other U.S. addressees denominated in U.S. dollars:			
(1) With remaining maturities of one day or under continuing contract ("overnight")	5544		9.b.(1)
(2) All other maturities ("term").....	5545		9.b.(2)
10. Liabilities to all U.S. addressees denominated in currencies other than U.S. dollars	5546		10.
11. Liabilities to home-country addressees denominated in any currency:			
a. Related depository institutions	3174		11.a.
b. Nonrelated depository institutions	5548		11.b.
c. Home-country government and official institutions (including home-country central bank)	5549		11.c.
d. All other home-country addressees	5550		11.d.
12. Liabilities to all other non-U.S. addressees denominated in any currency	5551		12.
13. All other liabilities.....	2916		13.
14. Total liabilities (sum of items 8 through 13).....	2950		14.

Memoranda—Transactions with U.S. Addressees Denominated in U.S. Dollars

		Dollar Amounts in Thousands		CRCB	Amount	
1. Amount included in items 1 and 2.d above for U.S. Government securities purchased under agreements to resell:						
a. With original maturities of one day or under continuing contract ("overnight")		5552				M1.a.
b. All other maturities ("term")		5553				M1.b.
2. Amount included in items 8 and 9 above for U.S. Government securities sold under agreements to repurchase:						
a. With depository institutions in the U.S. (related and nonrelated) (included in items 8 and 9.a. above):						
(1) With original maturities of one day or under continuing contract ("overnight")		5554				M.2.a.(1)
(2) All other maturities ("term")		5555				M.2.a.(2)
b. With all other U.S. addressees (included in item 9.b above):						
(1) With original maturities of one day or under continuing contract ("overnight")		5556				M.2.b.(1)
(2) All other maturities ("term")		5557				M.2.b.(2)
3. Amount included in item 9.b above for negotiable certificates of deposit issued by the reporting foreign branch:						
a. Held in custody by the reporting foreign branch or by the managing U.S. branch or agency ..		5558				M.3.a.
b. All other negotiable certificates of deposit		5559				M.3.b.
4. Amount included in item 9.b above for deposits that are guaranteed payable in the U.S. or for which the depositor is guaranteed payment by a U.S. office:						
a. With original maturities of one day or under continuing contract ("overnight")		5560				M.4.a.
b. All other maturities ("term")		5561				M.4.b.

	CRCB	Yes	No
Does this supplement include data covering other related U.S. agencies or branches?	6862		

If yes, list the city and state of each other related U.S. agency and branch included in the report:

_____	_____
City (TEX1 9130)	State (TEX1 9200)
_____	_____
City (TEX2 9130)	State (TEX2 9200)
_____	_____
City (TEX3 9130)	State (TEX3 9200)
_____	_____
City (TEX4 9130)	State (TEX4 9200)
_____	_____
City (TEX5 9130)	State (TEX5 9200)
_____	_____
City (TEX6 9130)	State (TEX6 9200)