

**CERTIFICATION OF NON-RELOCATION
AND
MARKET AND CAPACITY INFORMATION REPORT**
(To be completed by borrower)

This form is to be executed by applicants for financial assistance for loan guarantees under provisions of the Consolidated Farm and Rural Development Act (7 U.S.C 1932)

1. Name of Applicant:	2. Unique Identifier Number
3. Name of Benefited Business or Industry:	4. Labor File No. (DOL use only)

5. Location of Proposed Project:

6. This Project is:

☐ A New Business Venture

☐ Refinance of Existing Loan

☐ A New Branch or Facility

☐ A Transfer of Ownership

☐ An Expansion of an Existing Facility

☐ Other (*Explain*)

7. Affiliate or Subsidiary of:

8. Amount of Loan: \$ _____

9. Purpose of Loan - (*Be specific*)

10. a. Information about your products or services: (NOTE: Describe each principal product or service to be furnished through this project. Do not list products or services already being offered unless this project also offers them and they are essentially an expansion of past activities. Be specific, for example, "MANUFACTURE FURNITURE-OFFICE-WOOD DESKS".)

Principal Product (1)	Products or Services and NAICS Code (2)	Projected Annual Sales and Average Employment to be Generated by each Product:			
		Latest Annual Total		At Full Capacity	
		\$ Sales	# Employees	\$ Sales	# Employees
		(3)	(4)	(5)	(6)
Product #1					
Product #2					
Product #3					
Product #4					

b. Principal Occupations:

Occupational Job Title (1)	Average Employment and Wage Rates			
	Current Period		When Fully Operational	
	# Employees	Average Wage Rate	# Employees	Average Wage Rate
	(2)	(3)	(4)	(5)

11. INFORMATION ABOUT YOUR MARKET: List below, for each principal product or service, the states in which you expect to make the greatest part of your sales. You need list only those states in which you expect to sell at least 5 percent of your volume. If your sales are nationwide, enter the word “**NATIONAL**” in the right hand column. If more than 5 percent of your total projected sales are to be in any standard metropolitan statistical area (for example, Chicago and its nearby suburbs), enter the name of the area. If possible, give the approximate percentage of your total sales that you expect to make in the states and metropolitan areas listed. Enter no more than one product or NAICS code per row. (See sample entry in the table below.)

Principal Product or Service			
(Sample entry) Product “X”	Chicago (8%) Kentucky (15%)	Indiana (12%) Iowa (20%)	Wisconsin (20%) Nebraska (10%)

12. INFORMATION ABOUT YOUR COMPETITORS: Please list the principal competitors offering the same or similar service or manufacturing a similar or identical product, regardless of where they are located, but only those who are selling in the market area you have indicated in section 11 above, where you intend to sell. Also indicate the location of your competitor's plants that is most likely to be serving your market area. If your market is national, omit a listing of competitor's shipping points.

NOTE: In terms of the following listing, a competitor should be considered an enterprise offering essentially similar services or products. Thus, a summer resort providing golf, swimming and tennis is not competitive with a winter resort offering only skiing and skating. By the same token, gypsum board or particle board are not considered competitive with plywood, nor wood furniture with metal furniture.

- a. Names of Competitors
- Location of plants serving market (Include street, city, state and zip code).
1. _____
2. _____
3. _____
4. _____
5. _____

- b. To the best of your knowledge, has any competitor recently ceased operations or withdrawn from your market area? Provide name and state reason, if known.

- c. Are you aware of any potential new entries or planned expansions that will be competitive in your market area? If known, describe by name and location.

13. Applicant must check one of a, b, or c below: (NOTE: "Related Company" as used in this form means any affiliate, subsidiary, or other business entity under direct, indirect or common control with applicant.)

☐ a. **New Business Venture.** This project is a new business venture unrelated to existing business facilities, and the borrower is not a company related to an existing business facility. (NOTE: If applicant or a related company has ceased or substantially reduced operations during the 24 months preceding the date of this request, the information required by Section 14 below must be attached.)

☐ b. **Expansion of Applicant's Only Business Facility.** This project is an expansion of an existing business facility located at: (Street Address)

Which carries on the following operations: _____

☐ c. **Applicant or Related Company with Business Facility at Another Location.** Applicant has attached _____ pages containing the information required by section 14 of this form concerning business operations conducted by the Applicant or by a related company at other locations than the location of the proposed project. Applicant has included business operations that have ceased or have been substantially reduced during the 24 months preceding the date of this request if such operations were conducted by Applicant or a related company.

It is not the intention of the Applicant or any related company to relocate any present operation as a result of the proposed Project, that to the extent said Project is undertaken to assist in the expansion of the operations of Applicant through the establishment of a new branch, affiliate or subsidiary of Applicant, such expansion will not result in an increase of unemployment in the area of original location or in any area where Applicant or any related company now conducts related business operations, that any such expansion is not being undertaken with the intention of closing down or curtailing any existing operations of Applicant or of any related company, and that such Project is not being undertaken with the intention of performing as contractor or subcontractor work heretofore performed by Applicant or a related company, the transfer of which work would result in the transfer of employment opportunities from one location to another and an increase in unemployment at the previous location of such work.

12. 14. The information required by this section must be supplied if Applicant or a related company now conducts business operations at a location other than the location of the proposed Project, or if Applicant or a related company has ceased or substantially reduced operations within the 24 months preceding the date of this application. A separate sheet of paper should be used for each location. Give the following information: (1) Name of company, (2) Full address of site on which business operations are or were conducted, (3) Relationship of Applicant to business entity conducting operation, (4) Brief description of articles produced or services provided at location, (5) Underline production articles or services provided that are similar to articles to be produced or services to be provided by the proposed Project, (6) Average number of persons employed at the location, (7) Average number of persons employed in production of articles or services similar to those provided by the proposed Project, (8) If applicable, date on which operations ceased, or were substantially reduced, and (9) If applicable, the size of the reduction.

13. 15. Please provide below name, address, telephone number and title of person to be contacted if any questions arise concerning this form.

14. 16. CERTIFICATION: I hereby certify that the information reported on this form, and any attachments to this form, are to the best of my belief and knowledge truly representative of the facts and reflect the future intentions of the Applicant as of this date:

(Date)

(Signature of authorized official)

(Title)