QIS Progress Report Form

Use this form to report on progress made during the previous year of your quality improvement strategy (QIS). Please refer to your most recent QIS Implementation Plan and any subsequent modifications for baseline data.

☐ *Confirm you have reviewed your most recent QIS Implementation Plan in preparing this submission.*

For detailed instructions, please refer to the QIS Technical Guidance and User Guide for the current plan year on the Marketplace Quality Initiatives website*.*

PLEASE NOTE: Only issuers who are continuing a QIS[1] need to submit a Progress Report form. *There are two scenarios for issuers:*

1. ***Progress Report:*** *Issuers who are continuing their current QIS (with or without modifications) should select Report on Progress and report progress on their prior year's QIS (i.e., the 2020 Plan Year Implementation Plan form). These issuers should also describe the QIS that will be in place for the 2022 Plan Year by submitting a QIS Implementation Plan.*

2. ***Progress Report Closeout Form:*** *Issuers discontinuing their current QIS and implementing a new one should select Progress Report Closeout Form and submit the Progress Report form to close out the discontinued QIS. These issuers should also describe the QIS that will be in place for the 2022 Plan Year by submitting a QIS Implementation Plan for the new QIS.*

For CMS Use Only

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[1] This includes issuers who selected 1) Baseline Implementation Plan (only for the 2022 Plan Year) or 2) New QIS After Discontinuing a QIS in the QIS Implementation Plan Form for the 2022 Plan Year.

QIS Submission Type

Part A. Progress Report or Closeout QIS Submission

These fields are required, but will not be scored as part of the QIS evaluation.

1. **Type of QIS Submission**

   Select the option that describes the type of QIS submission, and follow the instructions to complete the submission.

Type of QIS	Instructions
◯ **Progress Report**	Issuers must complete 2 forms: 1. Complete the Background Information section (Parts A and B), and the Progress Report Summary section (Part C) of the Progress Report form to report progress on your prior year's QIS (i.e., 2020 Plan Year Implementation Plan form). 2. Complete an Implementation Plan form to submit the baseline Implementation Plan. See instructions in the QIS Implementation Plan form: "Baseline Implementation Plan (only for the 2022 Plan Year)".
◯ **Progress Report Closeout Form**	Issuers must complete 2 forms: 1. Complete the Background Information section (Parts A and B) and the Progress Report Summary section (Part C) of the Progress Report form to close out the discontinued QIS, reporting on progress up until the strategy was discontinued. 2. Complete a new Implementation Plan form. See instructions in the QIS Implementation Plan form: New QIS after Discontinuing a QIS Submitted During a Prior QHP Application Period".

Background Information

Part B. Issuer Information

These fields are required, but will not be scored as part of the QIS evaluation. Issuers may update the information in Part B from year to year, as needed.

2. Issuer Legal Name

3. Company Legal Name

4. HIOS Issuer ID

5. Issuer State

6. QIS Primary Contact's First Name

QIS Primary Contact's Last Name

7. QIS Primary Contact's Title

8. QIS Primary Contact's Phone   **Ext.**

9. QIS Primary Contact's Email

10. QIS Secondary Contact's First Name

QIS Secondary Contact's Last Name

11. QIS Secondary Contact's Title

12. QIS Secondary Contact's Phone   **Ext.**

13. QIS Secondary Contact's Email

14. Date Issuer Began Offering Coverage Through the Exchange

Note: *For all date fields in this form, use the down arrow key to activate the calendar and then use the mouse or arrow keys to navigate to the correct date.*

15. Current Payment Model(s) Description

Select the category(ies) of payment models that are used by the issuer across its Exchange product line. Provide the percentage of payments in each payment model category[2] used by the issuer across its Exchange product line. The total percentage of payments across all four payment model types should equal approximately 100 percent.[3]

Note: *These percentages can be estimates and do not need to be exact figures. Issuers may update this information year to year, as needed.*

Payment Model Type	Payment Model Description	Provide Percentage
☐ **Fee for Service – No Link to Quality and Value**	Payments are based on volume of services and not linked to quality or efficiency.	☐ %
☐ **Fee for Service – Linked to Quality and Value**	At least a portion of payments vary based on the quality or efficiency of health care delivery.	☐ %
☐ **Alternative Payment Models Built on Fee for Service Architecture**	Some payment is linked to the effective management of a segment of the population or an episode of care. Payments are still triggered by delivery of services, but there are opportunities for shared savings or two-sided risk.	☐ %
☐ **Population-based Payment**	Payment is not directly triggered by service delivery, so payment is not linked to volume. Clinicians and organizations are paid and responsible for the care of a beneficiary for a long period (e.g., more than one year).	☐ %
Total	Please confirm the total percentage of payments across all four payment model type categories equals approximately 100%.	☐ %

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[2] Categories of payment models are defined in the *Alternative Payment Model Framework and Progress Tracking (APM FPT) Work Group – Alternative Payment Model (APM) Framework Final White Paper,* available at: https://hcp-lan.org/workproducts/apm-whitepaper.pdf. See the *QIS Technical Guidance and User Guide* for the current plan year, available on the Marketplace Quality Initiatives website, for examples of payment models within each category.

[3] To calculate the percentage of payments for Fee for Service payments linked to quality or value, and/or Alternative Payment Models tied to quality or value, issuers should use the calculation methodologies defined in the *Measuring Progress: Adoption of Alternative Payment Models in Commercial, Medicare Advantage, and State Medicaid Programs (APM Measurement Effort) Final Paper,* available at: https://hcp-lan.org/groups/apm-fpt/apm-report/. See Table 1 (p. 7-10) for instructions to calculate the percentage of payments for these two payment model categories.

QIS Progress Report Section

Part C. QIS Progress Report Summary

The Elements in Part C will be scored as part of the QIS evaluation.

Note: The Goal(s) and Measure(s) identified in this section (Part C: Progress Report Summary) are the Goal(s) and Measure(s) from the Implementation Plan form on file against which QIS progress is measured.

Note: All references to Implementation Plan form elements and criteria in this form refer to the 2020 Plan Year Implementation Plan.

16. **Analyze Progress Using Baseline Data, as Documented in the Implementation Plan (Must Pass)**

    Restate Goal 1 identified in Element 24 of the Implementation Plan on file.

    QIS Goal 1:

    (500 character limit)

    Measure 1a:

    16a. Restate Measure 1a name from Criterion 24a of the Implementation Plan on file:

    16b. Baseline Assessment: Restate the baseline results from Criterion 24c of the Implementation Plan on file by **either**:

    - Calculating the rate and providing the associated numerator and denominator (***Note:*** *The numerator and denominator should calculate to the rate provided*):

      Calculated Rate:

      Numerator: [   ]

      Denominator: [   ]

                        - OR -

    - Indicating the data point if the measure is not a rate:

      Data Point: [   ]

16c. Provide the baseline performance period (i.e., month and year when data collection began and ended) covered by the baseline assessment provided in Criterion 24c:

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16d. Provide the Progress Report results by **either:**

- Calculating the rate and providing the associated numerator and denominator (**Note:** *The numerator and denominator should calculate to the rate provided):*

Calculated Rate: [ ]

Numerator: [ ]

Denominator: [ ]

- OR -

- Indicating the data point if the measure is not a rate:

Data Point: [ ]

16e. Provide the Progress Report performance period (i.e., month and year when data collection began and ended) covered by the progress report assessment:

	—	

16f. Restate the numerical value performance target for this measure *(i.e., the target rate or data point the QIS intends to achieve)*
Note: *This entry should NOT be a percentage change but a numerical value.*

[ ]

16g. Was the performance target achieved?

○ Yes   ○ No

Measure 1b:

16h. Restate Measure 1b name from Criterion 24f of the Implementation Plan on file:

[ ]

16i. Baseline Assessment: Restate the baseline results from Criterion 24h of the Implementation Plan on file by **either**:

- Calculating the rate and providing the associated numerator and denominator (***Note:** The numerator and denominator should calculate to the rate provided*):

Calculated Rate: ☐

Numerator: ☐

Denominator: ☐

- OR -

- Indicating the data point, if the measure is not a rate:

Data Point: ☐

16j. Provide the baseline performance period (i.e., month and year when data collection began and ended) covered by the baseline assessment provided in Criterion 24h:

☐ **–** ☐

16k. Provide the Progress Report results by **either:**

- Calculating the rate and providing the associated numerator and denominator (***Note:** The numerator and denominator should calculate to the rate provided*):

Calculated Rate: ☐

Numerator: ☐

Denominator: ☐

- OR -

- Indicating the data point if the measure is not a rate:

Data Point: ☐

16l. Provide the Progress Report performance period (i.e., month and year when data collection began and ended) covered by the progress report assessment:

☐ **–** ☐

16m. Restate the numerical value performance target for this measure *(i.e., the target rate or data point the QIS intends to achieve)*
Note: *This entry should NOT be a percentage change but a numerical value.*

☐

16n. Was the performance target achieved?

○ Yes   ○ No

QIS Goal 2

Restate Goal 2 identified in Element 24 of the Implementation Plan on file.

QIS Goal 2:

(500 character limit)

Measure 2a:

16o. Restate Measure 2a name from Criterion 24k of the Implementation Plan on file:

16p. Baseline Assessment: Restate the baseline results from Criterion 24m of the Implementation Plan on file by **either**:

- Calculating the rate and providing the associated numerator and denominator (***Note:** The numerator and denominator should calculate to the rate provided*):

Calculated Rate:

Numerator:

Denominator:

- OR -

- Indicating the data point if the measure is not a rate:

Data Point:

16q. Provide the baseline performance period (i.e., month and year when data collection began and ended) covered by the baseline assessment provided in Criterion 24h:

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16r.   Provide the Progress Report results by **either:**

- Calculating the rate and providing the associated numerator and denominator (***Note:** The numerator and denominator should calculate to the rate provided*):

Calculated Rate: [   ]

Numerator: [   ]

Denominator: [   ]

- OR -

- Indicating the data point if the measure is not a rate:

Data Point: [   ]

16s.   Provide the Progress Report performance period (i.e., month and year when data collection began and ended) covered by the progress report assessment:

[   ] **–** [   ]

16t.   Restate the numerical value performance target for this measure *(i.e., the target rate or data point the QIS intends to achieve)*
Note: *This entry should NOT be a percentage change but a numerical value.*

[   ]

16u.   Was the performance target achieved?

○ Yes   ○ No

Measure 2b:

16v.   Restate Measure 2b name from Criterion 24p of the Implementation Plan on file:

[   ]

16w.   Baseline Assessment: Restate the baseline results from Criterion 24r of the Implementation Plan on file by   **either**:

- Calculating the rate and providing the associated numerator and denominator (Note: the numerator and denominator should calculate to the rate provided),

Calculated Rate: [   ]

Numerator: [   ]

Denominator: [   ]

- OR -

- Indicating the data point if the measure is not a rate:

Data Point: [   ]

16x. Provide the baseline performance period (i.e., month and year when data collection began and ended) covered by the baseline assessment provided in Criterion 24h:

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16y. Provide the Progress Report results by **either:**

- Calculating the rate and providing the associated numerator and denominator (***Note:** The numerator and denominator should calculate to the rate provided):*

Calculated Rate: [   ]

Numerator: [   ]

Denominator: [   ]

- OR -

- Indicating the data point if the measure is not a rate:

Data Point: [   ]

16z. Provide the Progress Report performance period (i.e., month and year when data collection began and ended) covered by the progress report assessment:

	–	

16aa. Restate the numerical value performance target for this measure *(i.e., the target rate or data point the QIS intends to achieve)*
Note: *This entry should NOT be a percentage change but a numerical value.*

[   ]

16bb. Was the performance target achieved?

○ Yes   ○ No

17. Summary of Progress (Must Pass)

17a. Please provide a summary of progress covering the following details:

- Indicate why progress was or was not made toward the performance target(s) documented in Element 24 of your QIS Implementation Plan on file, and
- Include a description of activities that led to the outcome.

(1,000 character limit)

17b. If the issuer selected **"Progress Report Closeout Form"** in Element 1 of *this* Progress Report form, provide the rationale for discontinuing the QIS.

(1,000 character limit)

17c. If the issuer received an **"Interim Meets"** determination during the previous Post-certification Assessment (PCA) period and was instructed to address the deficiencies in their subsequent Plan Year submission, please indicate which elements and/or criteria you updated based on PCA Notices and describe the changes.

(1,000 character limit)

18. Barriers and Mitigation Activities

18a. Were barriers encountered in implementing the QIS?

◯ Yes   ◯ No

If "Yes," describe:

- The barriers, and

- The mitigation activities implemented to address **each** barrier.

(1,500 character limit)

18b. Were there problems meeting timelines as indicated in Element 25 of the QIS Implementation Plan on file?

◯ Yes   ◯ No

If "Yes," describe:

- The problems in meeting timelines, and

- The mitigation activities implemented to address **each** problem in meeting the timeline.

(1,500 character limit)

Optional: *If there is any additional information you would like to provide regarding your QIS Progress Report, please do so in the box below.*

(1,500 character limit)