



NATIONAL SCIENCE FOUNDATION
ALEXANDRIA, VA 22314
HIGHER EDUCATION RESEARCH AND DEVELOPMENT SURVEY
Population Review Questionnaire

Your institution's inclusion in the FY 2020 Higher Education Research and Development (HERD) Survey will be based on your responses to the following five questions.

Question 1. During your institution's FY 2019, did your institution have any R&D expenditures?

(Please see definitions below.)

Yes → Go to Question 2.

No → Go to Question 3.

Research and development (R&D) is creative and systematic work undertaken in order to increase the stock of knowledge — including knowledge of humankind, culture, and society — and to devise new applications of available knowledge.

R&D expenditures

Include all expenditures for R&D activities from your institution's current operating funds that are separately accounted for. For purposes of this survey, R&D includes expenditures for organized research as defined by 2 CFR Part 200 Appendix III and expenditures from funds designated for research.

R&D <i>includes</i> :	R&D does <i>not</i> include:
<ul style="list-style-type: none"> • Sponsored research (federal and nonfederal) • University research (institutional funds that are separately budgeted for individual R&D projects) • Startup, bridge, or seed funding provided to researchers within your institution • Other departmental funds designated for research • Recovered and unrecovered indirect costs • Equipment purchased from R&D project accounts • R&D funds passed through to a subrecipient organization, educational or other • Clinical trials, Phases I, II, or III • Research training grants funding work on organized research projects • Tuition remission provided to students working on research 	<ul style="list-style-type: none"> • Public service grants or outreach programs • Curriculum development (unless included as part of an overall research project) • R&D conducted by university faculty or staff at outside institutions that is not accounted for in your financial records • Estimates of the proportion of time budgeted for instruction that is spent on research • Capital projects (i.e., construction or renovation of research facilities) • Non-research training grants • Unrecovered indirect costs that exceed your institution's federally negotiated Facilities and Administrative (F&A) rate

(Questions continue on page 2)

Question 2. What were your institution's total expenditures for R&D in FY 2019?

Less than \$150,000

\$150,000 to \$999,999

\$1,000,000 or more

Question 3. During your institution's FY 2020, did your institution have any, or do you expect to have any, R&D expenditures?

Yes → Go to Question 4.

No → Go to Question 5.

Question 4. What were your institution's total expenditures for R&D in FY 2020? If final FY 2020 expenditures are not yet available, please estimate.

Less than \$150,000

\$150,000 to \$999,999

\$1,000,000 or more

Question 5. If your institution is included in the FY 2020 Higher Education Research and Development (HERD) Survey, whom should we contact about the above information?

Name	<input type="text"/>
Title	<input type="text"/>
Institution name	<input type="text"/>
Department/office	<input type="text"/>
Mailing address (line 1)	<input type="text"/>
Mailing address (line 2)	<input type="text"/>
City, state, and ZIP code	<input type="text"/>
Phone number	<input type="text"/>
E-mail address	<input type="text"/>

Thank you for completing this questionnaire.

If you have any questions, please contact ICF at support@herdsurvey.org or (866) 936-9376.