



**NATIONAL SCIENCE FOUNDATION**  
ALEXANDRIA, VA 22314  
**HIGHER EDUCATION RESEARCH AND DEVELOPMENT SURVEY**  
**Population Review Questionnaire**

Your institution's inclusion in the FY 2020 Higher Education Research and Development (HERD) Survey will be based on your responses to the following five questions.

**Question 1. During your institution's FY 2019, did your institution have any R&D expenditures?**

(Please see definitions below.)

Yes  → Go to Question 2.

No  → Go to Question 3.

**Research and development (R&D)** is creative and systematic work undertaken in order to increase the stock of knowledge — including knowledge of humankind, culture, and society — and to devise new applications of available knowledge.

**R&D expenditures**

Include all expenditures for R&D activities from your institution's current operating funds that are separately accounted for. For purposes of this survey, R&D includes expenditures for organized research as defined by 2 CFR Part 200 Appendix III and expenditures from funds designated for research.

R&D <i>includes</i> :	R&D does <i>not</i> include:
<ul style="list-style-type: none"> <li>Sponsored research (federal and nonfederal)</li> <li>University research (institutional funds that are separately budgeted for individual R&amp;D projects)</li> <li>Startup, bridge, or seed funding provided to researchers within your institution</li> <li>Other departmental funds designated for research</li> <li>Recovered and unrecovered indirect costs</li> <li>Equipment purchased from R&amp;D project accounts</li> <li>R&amp;D funds passed through to a subrecipient organization, educational or other</li> <li>Clinical trials, Phases I, II, or III</li> <li>Research training grants funding work on organized research projects</li> <li>Tuition remission provided to students working on research</li> </ul>	<ul style="list-style-type: none"> <li>Public service grants or outreach programs</li> <li>Curriculum development (unless included as part of an overall research project)</li> <li>R&amp;D conducted by university faculty or staff at outside institutions that is not accounted for in your financial records</li> <li>Estimates of the proportion of time budgeted for instruction that is spent on research</li> <li>Capital projects (i.e., construction or renovation of research facilities)</li> <li>Non-research training grants</li> <li>Unrecovered indirect costs that exceed your institution's federally negotiated Facilities and Administrative (F&amp;A) rate</li> </ul>

(Questions continue on page 2)

**Question 2. What were your institution's total expenditures for R&D in FY 2019?**

Less than \$150,000

\$150,000 to \$999,999

\$1,000,000 or more

**Question 3. During your institution's FY 2020, did your institution have any, or do you expect to have any, R&D expenditures?**

Yes  → Go to Question 4.

No  → Go to Question 5.

**Question 4. What were your institution's total expenditures for R&D in FY 2020? If final FY 2020 expenditures are not yet available, please estimate.**

Less than \$150,000

\$150,000 to \$999,999

\$1,000,000 or more

**Question 5. If your institution is included in the FY 2020 Higher Education Research and Development (HERD) Survey, whom should we contact about the above information?**

Name	<input type="text"/>
Title	<input type="text"/>
Institution name	<input type="text"/>
Department/office	<input type="text"/>
Mailing address (line 1)	<input type="text"/>
Mailing address (line 2)	<input type="text"/>
City, state, and ZIP code	<input type="text"/>
Phone number	<input type="text"/>
E-mail address	<input type="text"/>

**Thank you for completing this questionnaire.**

**If you have any questions, please contact ICF at [support@herdsurvey.org](mailto:support@herdsurvey.org) or (866) 936-9376.**