Board of Governors of the Federal Reserve System



RSSD ID _

Consolidated Holding Company Report of Equity Investments in Nonfinancial Companies—FR Y-12

Report at the close of business as of the last calendar day of the reporting period.

This report is required by law: Section 5(c) of the BHC Act (12 U.S.C. § 1844(c)), section 10 of HOLA (12 U.S.C. § 1467a(b)), section 165 of the Dodd-Frank Act (12 U.S.C. § 5365), and	the instructions provided by the Federal Reserve may not concurred (or a person) is not required to	luct or sponsor a	and an organization
section 252.153(b)(2) of Regulation YY (12 CFR 252.153(b)(2)). The Consolidated Holding Company Report of Equity Investments in Nonfinancial Companies is to be prepared in accordance with	tion unless it displays a current		
NOTE: The Consolidated Holding Company Report of Equity Investments in Nonfinancial Companies must be signed and attested by an Executive Officer of the reporting holding company.	Date of Report: Month / Day / Yea	r (BHEI 9999)	
I, the undersigned Executive Officer of the named holding company, attest that the <i>Consolidated Holding Company Report of Equity Investments in Nonfinancial Companies</i> for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and is true and correct to the best of my knowledge and belief.			
Printed Name of Executive Officer at Holding Company (BHEI C490)	Legal Name of Holding Company (RSS	5D 9017)	
Title of Executive Officer of Holding Company (BHEI C491)	(Mailing Address of Holding Company)	Street / P.O. Box (RS	SSD 9110)
Signature of Executive Officer of Holding Company (BHEI H321)	City (RSSD 9130)	State (RSSD 9200)	Zip Code (RSSD 9220)
Date of Signature (MM/DD/YYYY) (BHEI J196)			
	Person to whom questions abo	ut this report sho	ould be directed:
For Federal Reserve Bank Use Only	Name / Title (BHEI 8901)		

Public reporting burden for this information collection is estimated to average16.5 hours per response, including time to gather and maintain data in the required form and to review instructions and complete the information collection. Comments regarding this burden estimate or any other aspect of this information collection, including suggestions for reducing the burden, may be sent to Secretary, Board of Governors of the Federal Reserve System, 20th and C Streets, NW, Washington, DC 20551, and to the Office of Management and Budget, Paperwork Reduction Project (7100-0300), Washington, DC 20503.

Area Code / Phone Number (BHEI 8902)

E-mail Address (BHEI 4086)

Legal Name of Holding Company	
As-of Date	_

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	(Column A) Acquisition Cost				Net Hold Not F	olumn B) Unrealized ding Gains Recognized Income	١ ،	olumn Carrying Value	,	` F	olumn D) Publicly oted Value
Dollar Amounts in Thousands	BHEI	Amount	BHEI	Amount	BHEI	Amo	ount	BHEI	Amount		
1. Direct investments in public entities	C088		C089		C090			C091			
2. Direct investments in nonpublic entities	C093		C094		C095			-			
All indirect investments Total portfolio (sum of items 1, 2, and 3)	C097		C098		C099			-			
+. Total portiono (sum of items 1, 2, and 3)	CIUI		0102		0 103						
Memoranda						N	lumbe	r of Con	npanies		
						BHEI	1-10	11-25	26-100 100+		
1. Total portfolio						C100					
Dollar Amou	unto in Thomas and		nts in Thousands		BHEI	Amount		Recogr Incom Amo	ne	BHEI	Amount
Dollar Amou Financial holding companies only	nts in i	nousanas	BHEI	Amount	BHEI	Amo	ount	BHEI	Amount		
z Investments held under Merchant Banking (GLBA) at	ıthority		C104		C105			C106			
in moderno no nota anaon morona na Barnang (OEB) y at	ati ioiity				[]			1 1			
								Incor	me Amount		
				Oollar Amou	nts in ⁻	Thous	ands	BHEI	Amount		
Only for holding companies filing FR Y-9C								D 400			
3. Pre-tax impact on net income from items 1, 2, and 3	above .							B498			
								Off-Ba	lance-Sheet		
								-	Amount		
				Oollar Amou	nts in ⁻	Thous	ands	BHEI	Amount		
For all holding companies								_			
4. Investments managed for others						•••••		C716			
								Incor	me Amount		
			г	ollar Amou	nts in T	Thous	ands	BHEI	Amount		
Only for holding companies filing FR Y-9C				2 7 111100					7 3		

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As-of Date

Schedule B: Type of Security

		(Column A) Acquisition Cost		(Column B) Carrying Value		
	Dollar Amounts in Thousands	BHEI	Amount	BHEI	Amount	
1.	Common stock	C107		C108		1.
2.	Convertible debt and convertible preferred stock	C109		C110		2.
3.	Other equity instruments	C111		C112		3.
4.	Total portfolio (sum of items 1, 2, and 3)	C113		C114		4.

	Off-Ba	lance-Sheet mount
Dollar Amounts in Thousands	BHEI	Amount
Memoranda		
1. Unused equity commitments	C115	

Schedule C: Type of Entity within the Banking Organization

	Àc	olumn A) quisition Cost	Net l Holdin Reco	olumn B) Jnrealized g Gains Not ognized as ncome) `c	olumn C) Carrying Value	
Dollar Amounts in Thousands	BHEI	Amount	BHEI	Amount	BHEI	Amount	
Depository institutions: a. SBICs b. Edge and agreement corporations c. All other 2. Parent holding and other nonbank subsidiaries:	C117 C121 C126		C718 C719 C720		C118 C122 C127		1.a. 1.b. 1.c.
a. SBICsb. Edge and agreement corporations	C136		C721		C137		2.a.
c. Broker/Dealers	C722 C131		C723 C725		C724 C132		2.b. 2.c.
d. Private equity subsidiaries	C726		C727		C728		2.d.
e. All other	C145		C729		C146		2.e.
3. Total portfolio (sum of items 1.a through 2.e)	C150		C730		C151		3.
Memoranda 1. Domestic investments 2. Foreign investments	C155 C157		C749 C750		C156 C158		M.1. M.2.

Legal Name of Holding Company	
Legal Name of Flording Company	
As-of Date	-

Schedule D: Nonfinancial Investment Transactions During Reporting Period

		Direct Public Investments			Direct Nonpublic Investments			Indirect (Fund) Investments				
		(Column A) Acquisition Cost		(Column B) Carrying Value		(Column C) Acquisition Cost		(Column D) Carrying Value		(Column E) Acquisition Cost		olumn F) arrying Value
Dollar Amounts in Thousands	BHEI	Amount	BHEI	Amount	BHEI	Amount	BHEI	Amount	BHEI	Amount	BHEI	Amount
Type of Transaction												
1. Purchases	C731		C732		J434		J435		C733		C734	
2. Less: return of capital	C735		C736		J436		J437		C737		C738	
3. Net valuation changes			C739				J438				C740	
4. Other	C741		C742		J439		J440		C743		C744	
5. Total transactions (sum of items 1 through 4)	C745		C746		J441		J442		C747		C748	