U.S. DEPT OF COMMERCE, NOAA NMFS Catch Shares Program, F/SER29 263 13th Avenue South St. Petersburg, FL 33701-5511 Toll Free 866-425-7627 (8 a.m. - 4:30 p.m. ET) 727-824-5305 (8 a.m. - 4:30 p.m. ET) https://SECatchShares.fisheries.noaa.gov/



NOAA FISHERIES SERVICE FEDERAL APPLICATION FOR CATCH SHARES ONLINE ACCOUNT

FOR OFFICE USE ONLY
Reviewer's Initials and Date
Sanction Case Number if Sanctioned and date held
Date Sanction Released and Initials
Application ID

APPLICATION INSTRUCTIONS

- 1. Current Catch Share participants need to complete this application to certify they are or are NOT a United States citizen or a permanent resident alien.
- 2. This application is to establish a Catch Share Online account for new participants and update account information for existing participants. However, a valid commercial permit for Gulf reef fish and allocation are required to possess (at and after the time of the advance notice of landing), land or sell Gulf reef fish subject to this Catch Share program.
- 3. Follow the instructions at the top of each section. Make sure all the information is correct then sign and date the application below. The Catch Share applicant signing the application must be an account holder listed in section 1 and a United States citizen or permanent resident alien.
- 4. Mail your completed application to: <u>U.S. Department of Commerce, NOAA, National Marine Fisheries Service F/SER29, 263 13th Avenue South, St. Petersburg, FL 33701-5505.</u>

Public reporting burden for this collection of information is estimated to average 13 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to PRA Officer, National Marine Fisheries Service, F/SER2, 263 13th Avenue South, St. Petersburg, FL 33701-5505.

The National Marine Fisheries Service requires this information for the conservation and management of marine fishery resources. The data reported will be used to develop, implement, and monitor fishery management activities for a variety of uses. Responses to this collection are required to obtain or retain a Catch Shares online account under the Magnuson-Stevens Act. Non-confidential information will be released via a NOAA Fisheries Service website. Non-confidential information means: name, address, city, state, zip code, etc. All other data submitted will be handled as confidential material in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

SIGNATURE OF APPLICATION

The undersigned certifies under penalty of perjury that the foregoing information is true and correct (28 USC 1746; 18 USC 1621; 18 USC 1001, 16 USC 1857). Knowingly supplying false information for the purpose of obtaining a Catch Shares Online Account is a violation of Federal law punishable by a fine and/or imprisonment. Please note: The individual signing below MUST be either the Catch Shares account holder OR must be one of the officers or shareholders that is a United States citizen or permanent resident alien listed in section 2 of this application.

Applicant Signature	Position in Compa	any (if applicable)
Print Name	Date	UserID
		(if applicable)

1. CATCH SHARES ONLINE ACCOUNT HOLDER INFORMATION

- 1) Check the appropriate box below if the applicant is a new or existing Catch Shares online account holder. Provide the USER ID for an existing account holder.
- 2) Complete this page for all Catch Shares online account holders. If the account holder is a business, enter the Federal ID number and date the business filed with the state. If the account holder is an individual, enter their Social Security Number and date of birth.
- 3) Check the appropriate box below to certify that the applicant IS or IS NOT a United States citizen or permanent resident alien.
- 4) If the Catch Shares account is held by a business, please also complete Section 2 on page 4.

Check the appropriate box below: NEW Catch Shares online account holder EXISTING Catch Shares online account holder and provi	ide the Catch Shares Online	account holder's Use	rID:	
E-mail address:				
CATCH SHARES ONLIN	NE ACCOUNT HOLD	DER INFORMAT	TION	
Check one: Individual/Sole Proprietorship Joint O	wnership Partnershi	p Corporation		
Certify Citizenship Status: The applicant IS a United States citizen or permanent a United States or any state of the United States.	resident alien OR this Busin	ness was properly esta	blished by the lav	ws of the
The applicant IS NOT a United States citizen or perma of the United States or any state of the United States.	anent resident alien OR this	Business was not pro	perly established	by the laws
What is this individual's sex?	e			
In this individual of Hispanic, Latino, or Spanish origin? What is this individual's race? Black or African Amer	☐ Yes ☐ No an Indian or Alaska Native rican ☐ Asian Americar	=	aiian or Other Pa	
Prefix Last Name or Business Name	First Name	Middl	e Name	Suffix
If you are operating under a different name, what is your Doing Business As (DBA) name?				
Mailing Address Apt/Suite City	State	County/Parish	Zip Code	Country
Physical Address Check if same as mailing address Apt/Suite City	State	County/Parish	Zip Code	Country
Tax ID number (FED ID or SSN) Date of Birth or Date Bu	siness Filed (mm/dd/yyyy)	Area Code Prima	ry Phone Number	
		Select one: Home	Work ☐ Ce	ell 🗆

ADDITIONAL CATCH SHARES ONLINE ACCOUNT HOLDER INFORMATION

1) Only complete this page for all additional Catch S date the business filed with the state. If the account					O number and		
2) Check the appropriate box below to certify that the applicant IS or IS NOT a United States citizen or permanent resident alien.							
Catch Shares online account holder's User	ID (if applicable): _						
ADDITIONAL CATCH S	SHARES ONLINE A	ACCOUNT I	HOLDER INF	ORMATION	Ī		
Check one: Individual/Sole Proprietorship Other	Joint Ownership	Partnership [Corporation	n 🗌			
Certify Citizenship Status: The applicant IS a United States citizen or punited States or any state of the United States.		PR this Business	s was properly esta	ablished by the la	ws of the		
The applicant IS NOT a United States citized of the United States or any state of the United		llien OR this Bu	usiness was not pr	operly establishe	d by the laws		
What is this individual's sex?	Female						
In this individual of Hispanic, Latino, or Spanish	n origin? Yes	No					
_	American Indian or Al	aska Native ian American		vaiian or Other Pa			
Prefix Last Name or Business Name	Fire	t Name	Mido	lle Name	Suffix		
If you are operating under a different name, what is your Doing Business As (DBA) name?							
Mailing Address Apt/Suite	City	State C	County/Parish	Zip Code	Country		
Physical Address							
Check if same as mailing address Apt/Suite	City	State C	County/Parish	Zip Code	Country		
Toy ID number (EED ID on SSN)	h or Data Pusiness Elled /	dd/mwy) ^	man Codo D	ony Dhone Numb			
Tax ID number (FED ID or SSN) Date of Birt	h or Date Business Filed (mm/	ua/yyyy) A	Area Code Prim	ary Phone Number			

ADDITIONAL CATCH SHARES ONLINE ACCOUNT HOLDER INFORMATION

1) Only complete this page for all add date the business filed with the state						number and
2) Check the appropriate box below to	o certify that the applican	nt IS or IS NOT a Uni	ted States citizer	n or permanent reside	ent alien.	
Catch Shares online account he	older's UserID (if a	,				
Check one: Individual/Sole Proproof	rietorship	Ownership	Partnership			
Certify Citizenship Status: The applicant IS a United Stat United States or any state of the		nt resident alien OR	this Business	was properly estab	lished by the lav	vs of the
The applicant IS NOT a Unite of the United States or any state			en OR this Bus	siness was not prop	perly established	by the laws
What is this individual's sex?	Male Fem	_	l sv			
In this individual of Hispanic, Lati What is this individual's race?		ican Indian or Alas] No ka Native n American	Native Hawa	iian or Other Pac	eific Islander
refix Last Name or Business Name		First I	Name	Middle	Name	Suffix
f you are operating under a different name what is your Doing Business As (DBA) nam	·					
Aailing Address	Apt/Suite City		State Co	ounty/Parish	Zip Code	Country
Physical Address Check if same as mailing address	Apt/Suite City		State Co	ounty/Parish	Zip Code	Country
Tax ID number (FED ID or SSN)	Date of Birth or Date	Business Filed (mm/dd	/yyyy) Ar	rea Code Primar	y Phone Number	
			Se	elect one: Home	Work ☐ Ce	шП

2. OFFICER/SHAREHOLDER INFORMATION FOR CORPORATION/BUSINESS/LLC THAT HOLD THE CATCH SHARES ONLINE ACCOUNT

1) If this Catch Shares online account is held by a business, then complete this section for EACH officer or partner associated with the business.

Provide the information for **all** officers or partners that are shown on your most recent annual report. If your business is structured as a corporation, identify all shareholders in the corporation that own at least 1% or more of the shares, as well as the percentage of all shares in the corporation held by each shareholder. Individuals holding less than 1% of the shares (minor shareholders) should not be individually listed. **Total shareholders must equal 100%.** For all provide position held in business, name, address, social security number, date of birth, and telephone number.

2) Check the appropriate box below to certify that the applicant is or is NOT a United States citizen or permanent resident alien.

Business name Federal Tax ID number										
	Oi	fficer or S	Sharehol	der Info	rmat	tion				
Check all that apply: President/CEO	Vice Preside	ent Se	ecretary	Treasurer	r 🔲	Director/Mana	ger 🗌	Other		
Shareholder	Percent (%) of corpora	ation held: _							
Certify Citizenship Status: The applicant IS a United States ci The applicant IS NOT a United St										
What is this individual's sex?	Male	☐ Femal	e							
In this individual of Hispanic, Latin	o, or Spanish or	rigin?	Yes Yes	☐ No						
What is this individual's race?	White Black or Africa	_	Indian or A	Alaska Nat Asian Am		<u> </u>		ian or Other Pa	cific Is	slander
Prefix Last Name				First Name			Middle N	Name	Su	uffix
Mailing Address	Apt/Suite	City			State	County/Parish		Zip Code	Co	untry
Maining Address	Aptisuite			κ	Jace	County/1 arisii		Zip Code		ını y
Physical Address										
Check if same as mailing address	Apt/Suite	City		5	State	County/Parish		Zip Code	Cor	untry
SSN	Date of Birtl	h (mm/dd/yyy	yy)			Area Code	Primary	Phone Number		
L						Select one: H	ome 🗌	Work Ce	ell 🔲	
MINOR SHAREHOLDERS - corporation/business/LLC. For individually only holds 0.66% of	example, there mig									
TOTAL PERCENTAGE (%) corporation/business/LLC.	of corporation/busi	ness/LLC held	d by <i>minor</i> sha	areholder(s) tl	hat indi	ividually holds less	than 1% o	f the total shares of	f the	

ADDITIONAL OFFICER/SHAREHOLDER INFORMATION FOR CORPORATION/BUSINESS/LLC THAT HOLD THE CATCH SHARES ONLINE ACCOUNT

- 1) If this Catch Shares online account is held by a business, then complete this section for EACH officer or partner associated with the business. Provide the information for all officers or partners that are shown on your most recent annual report. If your business is structured as a corporation, identify all shareholders in the corporation that own at least 1% or more of the shares, as well as the percentage of all shares in the corporation held by each shareholder. Individuals holding less than 1% of the shares (minor shareholders) should not be individually listed. Total shareholders must equal 100%. For all provide position held in business, name, address, social security number, date of birth, and telephone number.
- 2) Check the appropriate box below to certify that the applicant is or is NOT a United States citizen or permanent resident alien.

Additional Officer or Shareholder Information

Check all that apply: President/Cl	∃O	sident Seci	retary Treasur	rer 🗌	Director/Manager	Other	
Shareholder	Percent	(%) of corporati	on held:				
Certify Citizenship Status:							
The applicant IS a United Star	tes citizen or perma	nent resident alie	n.				
☐ The applicant IS NOT a Unite	ed States citizen or	permanent reside	nt alien.				
W71 4 1 41 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	□ M.1.	□ p 1.					
What is this individual's sex?	∐ Male	Female					
In this individual of Hispanic,	Latino, or Spanis!	h origin?	☐ Yes ☐ N	lo			
1		_					
What is this individual's race?	☐ White	American I	ndian or Alaska Na	ative	☐ Native Ha	waiian or Other Pa	acific Islander
	Black or Afr	rican American	Asian Aı	mericar	Other:	·	
Prefix Last Name			First Name	e	Mid	dle Name	Suffix
Mailing Address	Apt/Suite	e City		State	County/Parish	Zip Code	Country
_							
Physical Address							
Check if same as mailing address	Apt/Suite	e City		State	County/Parish	Zip Code	Country
SSN	Date of I	 	<u> </u>		Area Code Prin	nary Phone Number	
BBIT		min (min/da/yyy)	<u>, </u>		Area code Tim	ary rhone rumber	
							ell 🗆
					Select one: Home	Work ☐ C	on <u> </u>
MINOR SHAREHOLDI	ERS - Check here if o	one or more of your	shareholders each indiv	vidually h			_
corporation/business/LLC	. For example, there	•		-	old shares that total less	han 1% of the total sh	ares of the
	. For example, there	•		-	old shares that total less	han 1% of the total sh	ares of the
corporation/business/LLC	For example, there 66% of the shares.	might be three share	eholders whose total sh	ares adde	old shares that total less old together is 2% of the to	than 1% of the total shotal shares but each sha	ares of the areholder

ADDITIONAL OFFICER/SHAREHOLDER INFORMATION FOR CORPORATION/BUSINESS/LLC THAT HOLD THE CATCH SHARES ONLINE ACCOUNT

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- 2) Check the appropriate box below to certify that the applicant is or is NOT a United States citizen or permanent resident alien.

Additional Officer or Shareholder Information

Check all that apply: President/CEO	☐ Vice Presid	dent Secret	ary Treasure	r 🔛	Director/Manager	Other		
Shareholder	Percent (%	6) of corporation	held:					
Certify Citizenship Status:								
The applicant IS a United States								
The applicant IS NOT a United	States citizen or pe	ermanent resident	alien.					
What is this individual's sex?	☐ Male	☐ Female						
		_						
In this individual of Hispanic, Lat	tino, or Spanish o	origin?	Yes No)				
What is this individual's race?	White	American Ind	lian or Alaska Na	tive	☐ Native H	Iawaiian or Other Pa	acific Isla	ander
Γ	Black or Afric		Asian An		<u> </u>			
				1011041				
Prefix Last Name			First Name		M	iddle Name	Suff	ix
Mailing Address	Apt/Suite	City	1	State	County/Parish	Zip Code	Coun	try
Physical Address						<u> </u>		
Check if same as mailing address	Apt/Suite	City		State	County/Parish	Zip Code	Coun	try
SSN	Date of Bir	th (mm/dd/yyyy)		•	Area Code Pr	imary Phone Number	•	
	I				Select one: Home	Work C	ell 🗌	
MINOR SHAREHOLDERS corporation/business/LLC. F								
individually only holds 0.66%		ight be three sharen	olders whose total sha	ies adde	a together is 270 or the	total shares but each sha	ucholder	
	o of the shares.							
TOTAL PERCENTAGE (%		siness/LLC held by	minor shareholder(s)	that indi	vidually holds less tha	n 1% of the total shares o	of the	

ADDITIONAL OFFICER/SHAREHOLDER INFORMATION FOR CORPORATION/BUSINESS/LLC THAT HOLD THE CATCH SHARES ONLINE ACCOUNT

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- 2) Check the appropriate box below to certify that the applicant is or is NOT a United States citizen or permanent resident alien.

Additional Officer or Shareholder Information

Check all that apply: President/CEO	Vice Preside	ent Secretary	Treasurer	Director/Manager	Other	
Shareholder) of corporation held:	_			
Certify Citizenship Status: The applicant IS a United States of The applicant IS NOT						
What is this individual's sex?	Male	Female				
In this individual of Hispanic, Lati	no, or Spanish or	rigin? Yes	☐ No			
What is this individual's race?] White] Black or Africa	American Indian on	r Alaska Native Asian America		waiian or Other P	acific Islander
Prefix Last Name			First Name	Mic	ldle Name	Suffix
Malling Address	A 4/C*4	Cit	54-4-	Country/Doviet	Zin Codo	Countries
Mailing Address	Apt/Suite	City	State	County/Parish	Zip Code	Country
Physical Address Check if same as mailing address	Apt/Suite	City	State	County/Parish	Zip Code	Country
SSN	Date of Birth	h (mm/dd/yyyy)		Area Code Prin	mary Phone Number	
				Select one: Home [☐ Work ☐ C	ell 🗌
MINOR SHAREHOLDERS corporation/business/LLC. For individually only holds 0.66%	r example, there mig					
TOTAL PERCENTAGE (% corporation/business/LLC.) of corporation/busi	ness/LLC held by minor s	shareholder(s) that ind	ividually holds less than	1% of the total shares	of the

SMALL BUSINESS OR ORGANIZATION CERTIFICATION

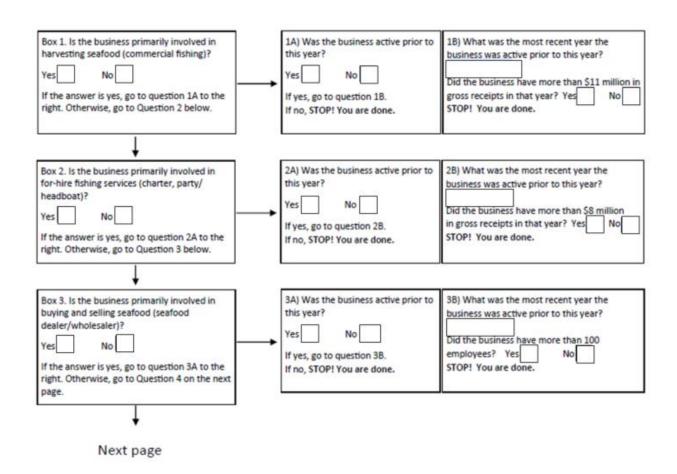
(All applicants must complete this section)

- For vessels that are leased, complete this section for the business(es)/organization(s) that <u>lease the vessel</u>.
- For vessels that are not leased, complete this section for the business(es)/organization(s) that own the vessel (i.e., the business(es)/organization(s) that appear on the vessel's USCG documentation or state registration).

Business name Federal	l Tax ID number
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Information needed to complete this section: Please estimate your annual gross revenues (sales) for the most recent calendar year your business or organization was active prior to this year. Your primary activity is the activity that generated the greatest percentage of annual gross revenues in the most recent complete calendar year the business or organization was active. Review the business/organization descriptions in Boxes 1 through 7 and identify the one that describes the applicant's primary activity. If the business is primarily a seafood dealer or processor, estimate the number of employees for the most recent calendar year your business or organization was active prior to this year. Include all full-time, part-time, and temporary employees in your estimate, but do not include crew that work on any vessels owned by the business or organization or its affiliates. Your estimates should ac-count for the revenues and employees of ALL businesses and organizations with which your business or organization is affiliated. See the instructions at the beginning of the application package for more information about affiliation. If you have questions about affiliation and how it applies in your case, please call 727-824-5305 and ask for one of our Office's economists.

How to fill out the form: Start with Box 1. If the answer to the question in Box 1 is "Yes," check "Yes" and answer the questions in the box to the right and follow the instructions in that box. If the answer to Question 1 is "No," check "No" and go to Box 2. Continue this process until you have either: (1) answered "Yes" to ONE of the questions in Boxes 1 through 6 AND the applicable questions in the boxes to the right, OR (2) answered all questions in Box 7.



SMALL BUSINESS OR ORGANIZATION CERTIFICATION (Continued from previous page)

Box 4. Is the business primarily involved in processing seafood (seafood processor)? Yes No If the answer is yes, go to question 1A to the right. Otherwise, go to Question 5.	4A) Was the business active prior to this year? Yes No If yes, go to question 4B. If no, STOP! You are done.	4B) What was the most recent year the business was active prior to this year? Did the business have more than 750 employees? Yes No STOP! You are done.
Box 5. Is the organization an Environmental, Conservation and Wildlife, or Professional Non-Profit Organization? Yes No If the answer is yes, go to question 5A to the right. Otherwise, go to Question 6 below.	SA) Was the organization active prior to this year? Yes No If yes, go to question 5B. If no, STOP! You are done.	5B) What was the most recent year the organization was active prior to this year? Did the organization have more than \$15 Million in gross receipts? Yes No STOP! You are done.
Box 6. Is the organization some other Non-Profit Organization (e.g., business associa-tion)? Yes No If the answer is yes, go to question 6A to the right. Otherwise, go to Question 7 below.	6A) Was the organization active prior to this year? Yes No If yes, go to question 6B. If no, STOP! You are done.	68) What was the most recent year the business was active prior to this year? Did the organization have more than \$7.5 Million in gross receipts? Yes No STOP! You are done.
7) The business or organization must be primarily in Refer to SBA's list of NAICS codes (see https://go.usprimary activity here:		
Based on the applicable SBA size standard, check the report the year on which that conclusion was based. Large Small Year:		or organization is Large or Small and