Form CMS-1572(a) – (e) Home Health Agency Survey and Deficiencies Change Description

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| **Current Item** | **Current 1572 (a – e/6 pgs) (08/90)** | **Change Description** |
| Form  Title | Home Health Agency Survey and Deficiencies Report | The information on this form is collected during recertification surveys (every three years); there is no change to the frequency of data collection.  The name of Form is now “Home Health Agency Survey Report”. Survey deficiency information formerly recorded on Forms 1572(c), (d) and (e) are now documented in the Automated Survey Processing Environment (ASPEN) computer application; therefore, the last 4 pages of this form that were once used to manually record deficiencies are obsolete.  The information collected on this form is updated to reflect the changes in:   * CMS 3819-F, Medicare and Medicaid Program: Conditions of Participation for Home Health Agencies; * Current HHA business characteristics; * Items that are tracked for certification tracking and ensuring up to date provider information; and * Electronic processes used to document the survey findings. |
| 1 | Name of Facility: | No Change |
| 2 | Street Address: | Combined fields 2, 3, 4 and 5 s into one field at #3 |
| 3 | City and/or County: |
| 4 | State: |
| 5 | Zip Code: |
| 6 | Telephone No. | Renumbered at #4 |
| 7 | State/County Code: | Not used in QIES, eliminated |
| 8 | State/Region Code: | Not used in QIES, eliminated |
| 9 | Name of Administrator: | Renumbered at #5 |
| 10 | Discipline of Administrator: | Renumbered at #6. Changed to “Qualification of administrator” to reflect the updated definition of administrator as per CMS 3819-F, Medicare and Medicaid Program: Conditions of Participation for Home Health Agencies. |
| 11 | Provider No.: | Renumbered at #2 |
| 12 | Type of Survey: | Renumbered at #13. All surveyor-collected data is now located at the end of the form. The current term “Resurvey” is clarified as “Recertification” |
| 13 | Eligibility: | This data collection is discontinued; all certified providers are Medicare eligible. |
| 14 | Has there been a change of ownership since last survey? | Renumbered at #8; No change in data collection. |
| 15A | Is this home health agency also a Medicare certified hospice?  If yes, give the hospice Medicare provider number: (G11) | Renumbered at #9; No change in data collection; clarified to read: “14. Is this home health agency co-located with a separately Medicare certified Hospice? If yes, provide Hospice provider number” |
| 15B | Does this home health agency operate sub-units? | The revised form discontinues collection of sub-unit data in 15B and 15C;CMS 3819-F, Medicare and Medicaid Program: Conditions of Participation for Home Health Agencies effective January 2018, eliminated the category of home health agency sub-units. |
| 15C | Is this home health agency a sub-unit? |
| 15D | Does this home health agency operate one or more branches? If yes, how many: (G17) Indicate all official name and mailing address of each branch (include street, state and zip code): | Renumbered at #10. No change in data collection |
| 16 | Type of agency | Discontinued collection of this data; the types of agencies that are listed in the form do not reflect current HHA business characteristics nor do the characteristics have any impact on the survey and certification processes. |
| 17 | Type of Control | Renumbered at # 7, reduced the number of responses from 7 to 2 to reflect prevalent HHA business characteristics:   * Proprietary * Government Operated |
| 18 | Services Offered: | Renumbered at #11, reduced the number of responses from 13 to 10.  Eliminated the rarely reported categories of:   * Intern/Resident * Nutritional Guidance * Appliance and Equipment Service * Vocational Guidance * Other   Updated with 2 new categories of “Infusion Services” and “Outpatient Therapy Services” to reflect current CMS HHA policies. |
| 19 | Staffing (List full-time equivalent): | Renumbered at #12;   * The staffing is broken down into direct hire and services under arrangement; * Eliminated the staffing categories of pharmacist, dietitian, other; * Added 3 categories to reflect the personnel qualifications in the CoPs: physical therapist assistant, occupational therapy assistant, and social work assistant. |
| 20 | Home Health Aide Training or Competency Program? | Discontinued collection of this data; this information has no implications for certification processes. |
| 21 | Number records reviewed with home visits Number records reviewed, no home visits Number of home visits | Relocated to #14 Survey Data--for the state surveyor to complete; the number of responses are reduced from 4 to 2 under the heading, Survey Data:   * Total Home Visits * Records reviewed, no home visits   This data is used to assist with state agency survey scheduling. |
| 22 | Patient Census since last survey | Discontinued collection of this data; this information has no implications for the survey and certification process. |
| 23 | Surveyor Summary | Discontinued manual collection of this surveyor reported data—form obsolete |
| 1572(d) | Deficiencies | Discontinued manual collection of this surveyor reported data—form obsolete due to ASPEN |
| 1572(c) | Instructions for using the 1572(d) | Discontinued manual collection of this surveyor reported data—form obsolete |
| 1572(e) | Standard, Partial Extended, and Extended surveyor certification statement. | Discontinued collection of this surveyor reported data—form obsolete. Information recorded in ASPEN. |

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| **Item**  **#** | **Revised Form 1572** |
| Title | Home Health Agency Certification Report |
| 1 | Name of Facility: |
| 2 | Provider Number |
| 3 | Street Address: |
| 4 | Telephone No |
| 5 | Name of Administrator: |
| 6 | Administrator Qualification:  1. RN 2. Physician 3. Undergraduate degree |
| 7 | Type of Control  01 = Proprietary  02 = Government Operated |
| 8 | Has there been a change of ownership since last survey? Yes/No |
| 9 | Is this home health agency co-located with a separately Medicare certified Hospice? Yes/No  If yes, provide Hospice provider number\_\_\_\_\_\_ |
| 10 | Does this home health agency operate branches? If so, how many? Yes/No  List official name and full address of all branches 3 lines |
| 11 | Services Offered  01 = Nursing Care  02 = Physical Therapy  03 = Occupational Therapy  04 = Speech Therapy  05 = Medical Social Worker  06 = Home Health Aide  07 = Pharmaceutical Services  08 = Infusion Services  09 = Laboratory Services |
| 12 | Staffing - List full-time equivalents (not hours), Broken down by direct hire and services under arrangement for greater clarity |
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| 13 | Type of Survey: (Reported by surveyor) |
| 14 | Survey Data: (Reported by surveyor)  -Total home visits  -Records reviewed, no home visits |
| OMB | OMB Disclaimer Statement |
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