



December 6, 2018

Memorandum to: Shagufta Ahmed  
Policy Analyst  
Office of Information and Regulatory Affairs  
Office of Management and Budget

From: Manny Cabeza  
Counsel  
Federal Deposit Insurance Corporation

RE: Non-Material Revisions to Interagency Form MSD-4: “Uniform Application for Municipal Securities Principal or Municipal Securities Representative Associated With a Bank Municipal Securities Dealer;” and Interagency Form MSD-5: “Uniform Termination Notice for Municipal Securities Principal or Municipal Securities Representative Associated With a Bank Municipal Securities Dealer.”

#### **MSD-4 Form**

The FDIC, the Office of the Controller of the Currency (OCC) and the Board of Governors of the Federal Reserve System (Board) all use the same *Uniform Application for Municipal Securities Principal or Municipal Securities Representative Associated With a Bank Municipal Securities Dealer* form (form MSD-4). An interagency workgroup recently revised the form to correct typographical errors; to add section headers and reposition certain questions to improve the flow of the form; and to implement features improving the functionality of the form as a vehicle for electronic completion. The revisions are fully detailed in the redlined version of the form uploaded to ROCIS herewith.

#### **MSD-5 Form**

The FDIC, the Office of the Controller of the Currency (OCC) and the Board of Governors of the Federal Reserve System (Board) all use the same *Uniform Termination Notice for Municipal Securities Principal or Municipal Securities Representative Associated With a Bank Municipal Securities Dealer* form (form MSD-5). An interagency workgroup recently revised the form to correct typographical errors; to add section headers and reposition certain questions to improve the flow of the form; and to implement features improving the functionality of the form as a vehicle for electronic completion. The revisions are fully detailed in the redlined version of the form uploaded to ROCIS herewith.

There is no change to the methodology or substance of the information collection and the reported FDIC burden for the information collection remains the same. The revisions are not substantive.