Board of Governors of the Federal Reserve System
OMB No. 7100-0101 Expires April 30, 2010
Federal Deposit Insurance Corporation
OMB No. 3064-0022 Expires March 31, 2018
Office of the Comptroller of the Currency
OMB No. 1557-0184 Expires April 30, 2007

## Form MSD-5 Uniform Termination Notice for Municipal Securities Principal or Municipal Securities Representative Associated with a Bank Municipal Securities Dealer

The Board of Governors of the Federal Reserve System, the Federal Deposit Insurance Corporation, and the Office of the Comptroller of the Currency are authorized to collect this information pursuant to the authority contained in the following statutes: 15 U.S.C. sections 78o-4, 78q, and 78w.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The information provided by each respondent is considered to be confidential.

REPORTING BURDEN: Public reporting burden for this collection of information is estimated to average 15 minutes per response, including the time to gather and maintain data in the required form and to review instructions and to complete the information collection. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Office of Management and Budget, Washington, DC 20503, and, depending on your primary federal regulator, to Secretary, Board of Governors of the Federal Reserve System, 20th and C Streets, N.W. Washington, DC 20551; or to Assistant Executive Secretary, Federal Deposit Insurance Corporation, Washington, DC 20429; or to Legislative and Regulatory Analysis Division, Office of the Comptroller of the Currency, Washington, DC 20219.

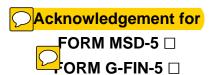
## **FORM MSD-5**

## Uniform Termination Notice for

## Municipal Securities Principal or Municipal Securities Representative Associated with a Bank Municipal Securities Dealer

	INDIVIDUAL'S NAME				
2	INDIVIDUAL 3 NAME	Last	First	Middle (i	f none, write "n/a")
2.	CAPACITY (check all that apply Municipal Securities	y):	Governme	ent Securities	
<b>)</b> .	SOCIAL SECURITY NUMBER	(optional)			
Į.	BANK MUNICIPAL SECURITIE  A. NAME	ES DEALER:			
	B. REGISTRATION NUMBER	₹			
5	C. MAIN ADDRESS				
<u></u>	OFFICE OF EMPLOYMENT AI	DDRESS			
<b>◯</b> 6.	DATE TERMINATED				
		Month	Day		Year
Z.	REASON FOR TERMINATION	(check one):			
	*RESIGNED				
	*DISCHARGED		*OTI	HER	
*FU		ACHED SHEET IF RELATED TO			
		vernment agency or self-regulator			· · · · · · · · · · · · · · · · · · ·
2	1934) described in Rules G-4 a	nd G-5 of the Municipal Securities	Rulemaking Board?		**YES \( \Boxed{\omega} \) NO \( \Boxed{\omega}
	** FURNISH FULL DETAILS C	N ATTACHED SHEET.			
(TO BE FILED WITH THE FOLLOWING (check one):					
	Comptroller of the Currency	Board of Governors of the	Federal Reserve System	Federal Deposi	t Insurance Corporation
Dat	re	Print Name of Securities Prin			e of Municipal s Principal
			- Part	2331110	
( ) EF	RSON TO CONTACT FOR FURT	THER INFORMATION			
اللكسك	RRENT, COMPLETE, OR NOT N	R FILING SHALL NOT CONSTITUMSLEADING. INTENTIONAL MIS J.S.C. sections 1001 and 1005, ar	STATEMENTS OR OMIS	THE INFORMATION SU SIONS OF FACT MAY C	BMITTED HEREIN IS TRUE, ONSTITUTE FEDERAL

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10.	). NAME OF PERSON TERMINATED						
		Last	First	Middle (if none, write "n/a")			
11.	Bank Municipal Securities Dealer Name _			Return Receipt			
12.	Bank Municipal Securities Dealer Address						
				•			
13.	Attention:						

WHEN THE FORM MSD-5 IS RECEIVED BY THE APPROPRIATE REGULATORY AGENCY, THIS ACKNOWLEDGEMENT WILL BE STAMPED TO SHOW RECEIPT AND RETURNED TO THE PERSON NAMED IN ITEM 13 E STAMPED ACKNOWLEDGEMENT SHOULD BE RETAINED TO SUBSTANTIATE FILING.

Mail the form to the Regulator indicated in item 9

The Office of the Comptroller of the Currency Treasury and Market Risk (MS 7-1)
250 E. Street, S.W.
Washington, DC 20219

Board of Governors of the Federal Reserve System
Market and Liquidity Risk Section
Mail Stop 185
20th and C Streets, N.W.
Washington, DC 20551

Federal Deposit Insurance Corporation
Division of Supervision and Consumer Protection
Policy and Program Development Section
Room F-6044
550 17th Street, N.W.
Washington, DC 20429