#### Supporting Statement

#### <u>OMB No</u>.: 1219-0088

Information Collection Title: Ventilation Plans, Tests, and Examinations in Underground Coal Mines

<u>Citations</u>: 30 CFR 75.310, 75.312, 75.342, 75.351, 75.360, 75.361, 75.362, 75.363, 75.364, 75.370, 75.371, and 75.382

#### **Collection Instrument(s):** None

#### **General Instructions**

A Supporting Statement, including the text of the notice to the public required by 5 CFR 1320.5(a)(i)(iv) and its actual or estimated date of publication in the *Federal Register*, must accompany each request for approval of a collection of information. The Supporting Statement must be prepared in the format described below, and must contain the information specified in Section A below. If an item is not applicable, provide a brief explanation. When the question "Does this ICR contain surveys, censuses or employ statistical methods" is checked "Yes", Section B of the Supporting Statement must be completed. OMB reserves the right to require the submission of additional information with respect to any request for approval.

**Specific Instructions** 

#### A. Justification

# **1**. Explain the circumstances that make the collection of information necessary. Identify any legal or administrative requirements that necessitate the collection. Attach a copy of the appropriate section of each statute and regulation mandating or authorizing the collection of information.

Section 103(h) of the Federal Mine Safety and Health Act of 1977 (Mine Act), 30 U.S.C. 813(h), authorizes the Mine Safety and Health Administration (MSHA) to collect information necessary to carry out its duty in protecting the safety and health of miners. Further, section 101(a) of the Mine Act, 30 U.S.C. 811, authorizes the Secretary of Labor (Secretary) to develop, promulgate, and revise as may be appropriate, improved mandatory health or safety standards for the protection of life and prevention of injuries in coal or other mines. In addition, section 303(a) of the Mine Act requires that all underground coal mines be ventilated by mechanical ventilation equipment installed and operated in a manner approved by an authorized representative of the Secretary and such equipment must be examined daily and a record be kept of such examination.

Underground coal mines usually present harsh and hostile working environments. The mine ventilation system is the most vital life support system in underground mining and a properly operating ventilation system is essential for maintaining a safe and healthful working environment. Lack of adequate ventilation in underground mines has resulted in fatalities from asphyxiation and explosions.

An underground mine is a maze of tunnels that must be adequately ventilated with fresh air to provide a safe environment for miners. Methane is liberated from the strata and noxious gases and dusts from blasting, operating diesel equipment, and other mining activities may be present. The explosive, noxious gases and dusts must be diluted, rendered harmless, and carried to the surface by the ventilating currents. Sufficient air must be provided to maintain a level of respirable dust at or below specific exposure limits and air quality must be maintained in accordance with MSHA standards. Mechanical ventilation equipment of sufficient capacity must operate at all times while miners are in the mine. Ground conditions are subject to frequent changes; thus, sufficient tests and examinations are necessary to ensure the integrity of the ventilation system and to detect any changes that may require adjustments in the system.

Records of tests and examinations are necessary to ensure that the ventilation system is being maintained and that changes which could adversely affect the integrity of the ventilation system or the safety of the miners are not occurring. These examination, reporting, and recordkeeping requirements in 30 CFR 75.310, 75.312, 75.342, 75.351, 75.360 through 75.364, 75.370, 75.371, and 75.382 also incorporate examinations of other critical aspects of the underground coal mine environment such as roof conditions and electrical equipment which have historically caused numerous fatalities if not properly maintained and operated.

Section 75.362, On-shift Examinations, was revised at section 75.362(a)(2) and (g)(2)-(4) by MSHA's rule titled "Lowering Miners' Exposure to Respirable Coal Mine Dust, Including Continuous Personal Dust Monitors, " published May 1, 2014 (79 FR 24813). This rule also revised section 75.371(f) and (j).

Section 75.362(a)(2) requires that a person designated by the mine operator conduct an examination and record the results and the corrective actions taken, if any, to ensure compliance with the respirable dust control parameters specified in the approved mine ventilation plan. Section 75.362(a)(2) requires mine operators to perform an examination and take corrective actions if needed, but it does not require a record of the examination or corrective actions.

Under section 75.362(g)(2)(i), the certified person directing the on-shift examination must certify by initials, date, and time, on a board maintained at the section load out or similar location showing that the examination was made prior to resuming production. No increased burden is estimated for this requirement in this Information Collection Request (ICR) because the Agency does not expect the burden to be different from the burden in existing section 75.362(g)(2).

Under section 75.362(g)(2)(ii), the certified person directing the on-shift examination must verify, by initials, date, and time, the record of the results of the examination required under section 75.362(a)(2) to ensure compliance with the respirable dust control parameters specified in the mine ventilation plan. Further, section 75.362(g)(3) requires a mine foreman or equivalent mine official to countersign each examination record required under section 75.362(a)(2) after it is verified by the certified person under section 75.362(g)(2)(ii), and no later than the end of the mine foreman's or equivalent mine official's next regularly scheduled working shift. Section 75.362(g)(2)(ii) and (g)(3) are additional burdens that are accounted for in this ICR and section

75.362(g)(2)(ii)(4) requires the records be retained at a surface location at the mine for at least 1 year and must be made available for inspection by authorized representatives of the Secretary and the representative of miners.

Section 75.370(a)(2), (Mine ventilation plan; submission and approval) contains the burden for underground coal mine operators to submit mine ventilation plan revisions for District Manager approval. Each mine ventilation plan must include information that is specified by section 75.371.

Section 75.371(f) adds the following information that a mine operator must include in the mine ventilation plan: the minimum quantity of air that will be delivered to the working section for each mechanized mining unit (MMU), and the identification by make and model, of each different dust suppression system used on equipment on each working section, including: (1) the number, types, location, orientation, operating pressure, and flow rate of operating water sprays; (2) the maximum distance that ventilation control devices will be installed from each working face when mining or installing roof bolts in entries and crosscuts; (3) procedures for maintaining the roof bolter dust collection system in approved condition; and, (4) recommended best work practices for equipment operators to minimize dust exposure.

Section 75.371(j) adds a requirement that for machine mounted dust collectors, the ventilation plan must include the type and size of dust collector screens used and a description of the procedures to be followed to properly maintain dust collectors used on the equipment.

Section 75.370(a)(2) requires all underground coal mine operators to submit revisions for mine ventilation plans to MSHA. The burden to submit the additional information required by section 75.371(f) and (j) as proposed revisions to the plan is accounted for in this package under section 75.370(a)(2). In addition, section 75.370(a) (3)(i) requires underground coal mine operators to notify the miners' representative at least 5 days prior to submission of mine ventilation plan revisions and, if requested, provide a copy of the revisions to the miners' representative at the time of notification. Section 75.370(a)(3)(ii) and (f)(3) require the operator to post a copy of the plan revisions. Section 75.370(f)(1) requires that the operator provide a copy of the revisions to the miners' representative, if requested. MSHA assumes that a copy of the revisions will be requested. The burdens for notification, providing requested copies, and posting associated with mine ventilation plan revisions resulting from section 75.371(f) and (j) are accounted for in this package under section 75.370(a)(3)(i), (f)(1), (a)(3)(iii), and (f)(3), respectively.

# 2. Indicate how, by whom, and for what purpose the information is to be used. Except for a new collection, indicate the actual use the agency has made of the information received from the current collection.

Each underground coal mine operator is required to keep records of on-shift examinations to ensure compliance with respirable dust control parameters specified in the mine ventilation plan.

These records are used by coal mine operators, miners, and State and Federal mine inspectors. The records provide notice to mine management and miners on the oncoming shift of mine conditions, identify hazards and violations of health or safety standards on working sections during the previous shift, and verify that proper ventilation is being maintained. The information is available to all interested persons at the mine to assure them that the integrity of the ventilation system is being maintained. MSHA inspectors use the records to determine

that required tests and examinations are made and that systems used to ventilate underground coal mines are maintained.

3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g., permitting electronic submission of responses, and the basis for the decision for adopting this means of collection. Also describe any consideration of using information technology to reduce burden.

Mine operators may use a computer to satisfy the recordkeeping requirements of these standards. Also, a computer-based main mine fan monitoring system can be used to reduce the daily required examination of the main mine fan to a weekly examination, thus reducing the associated recordkeeping burden.

## 4. Describe efforts to identify duplication. Show specifically why any similar information already available cannot be used or modified for use for the purposes described in Item 2 above.

MSHA knows of no other Federal or State reporting requirements that would duplicate the reporting requirements. The records are the results of tests and examinations conducted at individual mines by the mine operator. Similar examinations, tests, and records required by more than one section of subpart D of 30 CFR Part 75 can be conducted simultaneously. Also, where similar tests and examinations are required by both a State agency and MSHA, the tests would be conducted simultaneously and one record would be accepted by both agencies. MSHA has clarified that State approved examination record books are acceptable to be used for records required by MSHA.

### 5. If the collection of information impacts small businesses or other small entities, describe any methods used to minimize burden.

This information does not have a significant impact on small businesses or other small entities.

## 6. Describe the consequence to Federal program or policy activities if the collection is not conducted or is conducted less frequently, as well as any technical or legal obstacles to reducing burden.

Miners could be exposed to hazards or violations of health and safety standards because of constantly changing mining conditions that develop as mining progresses. MSHA believes that the recordkeeping requirements for ventilation tests and examinations and violations of health and safety standards observed are the minimum necessary to ensure that mines are safe and adequately ventilated to protect miners' health. Reductions in these requirements may result in the development of unsafe and unhealthy conditions, thus jeopardizing the health and safety of miners. Section 101(a)(9) of the Mine Act prohibits the Agency from reducing the protection given miners by any existing standard. The agency has clarified that once a ventilation plan is approved, the mine operator need only submit the revised pages or sketches of the plan when proposing revisions unless the District Manager has requested, in writing, that a fully revised plan be submitted.

Records and signatures required under section 75.362(a)(2), (g)(2), and (g)(3) ensure that the required on-shift examinations are made. The frequency of the information collection requirements is necessary to ensure that

respirable dust control parameters specified in the mine's approved ventilation plan are maintained on every shift so that miners are not overexposed to coal mine dust.

Section 75.371(f) and (j) requires additional information regarding dust control measures be included in the mine ventilation plan. This information assists miners in determining the types of dust controls being used, assists on-shift mine examiners in conducting adequate on-shift examinations of the dust controls, and allows mine operators, miners, and MSHA to observe and measure specific dust control parameters to better evaluate the effectiveness of dust control systems. In addition, if a respirable dust standard is exceeded, the mine operator and MSHA can better evaluate and adjust, as necessary, dust controls to protect miners from exposure to excessive dust levels. Revisions to mine ventilation plans resulting from section 75.371(f) and (j) are submitted under section 75.370(a)(2) to ensure miners are protected by up-to-date mine ventilation plans.

7. Explain any special circumstances that would cause an information collection to be conducted in a manner:

• Requiring respondents to report information to the agency more often than quarterly;

• Requiring respondents to prepare a written response to a collection of information in fewer than 30 days after receipt of it;

• Requiring respondents to submit more than an original and two copies of any document;

• Requiring respondents to retain records, other than health, medical, government contract, grant-in-aid, or tax records for more than three years;

• In connection with a statistical survey, that is not designed to produce valid and reliable results that can be generalized to the universe of study;

• Requiring the use of a statistical data classification that has not been reviewed and approved by OMB;

• That includes a pledge of confidentiality that is not supported by authority established in statute or regulation, that is not supported by disclosure and data security policies that are consistent with the pledge, or which unnecessarily impedes sharing of data with other agencies for compatible confidential use; or

• Requiring respondents to submit proprietary trade secret, or other confidential information unless the agency can demonstrate that it has instituted procedures to protect the information's confidentiality to the extent permitted by law.

Conditions in underground coal mines change as mining progresses. Ventilation system, methane, and dust control plans are statutory requirements, some of which are required to be recorded and/or reported more frequently than quarterly.

The mine operator is required to conduct examinations at various intervals in accordance with existing regulations. Under the Mine Act, violations of mandatory health and safety standards are required to be reported as they occur and are observed.

This collection of information is otherwise consistent with the guidelines in 5 CFR 1320.5.

8. If applicable, provide de a copy and identify the data and page number of publication in the Federal Register of the agency's notice, required by 5 CFR 1320.8(d), soliciting comments on the information collection prior to submission to OMB. Summarize public comments received in response to that notice

and describe actions taken by the agency in response to these comments. Specifically address comments received on cost and hour burden.

Describe efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported.

Consultation with representatives of those from whom information is to be obtained or those who must compile records should occur at least once every 3 years -- even if the collection of information activity is the same as in prior periods. There may be circumstances that may preclude consultation in a specific situation. These circumstances should be explained.

MSHA published a 60-day Federal Register notice on July 21, 2021 (86 FR 38502). MSHA received no public comments.

### 9. Explain any decision to provide any payment or gift to respondents, other than remuneration of contractors or grantees.

MSHA provides no payments or gifts to the respondents identified in this collection.

### 10. Describe any assurance of confidentiality provided to respondents and the basis for the assurance in statute, regulation, or agency policy.

There is no assurance of confidentiality provided to respondents. Records are maintained by the mine operator and reviewed by MSHA inspectors during routine inspections.

11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private. This justification should include the reasons why the agency considers the questions necessary, the specific uses to be made of the information, the explanation to be given to persons from whom the information is requested, and any steps to be taken to obtain their consent.

There are no questions of a sensitive nature.

#### 12. Provide estimates of the hour burden of the collection of information. The statement should:

• Indicate the number of respondents, frequency of response, annual hour burden, and an explanation of how the burden was estimated. Unless directed to do so, agencies should not conduct special surveys to obtain information on which to base hour burden estimates. Consultation with a sample (fewer than 10) of potential respondents is desirable. If the hour burden on respondents is expected to vary widely because of differences in activity, size, or complexity, show the range of estimated hour burden, and explain the reasons for the variance. Generally, estimates should not include burden hours for customary and usual business practices.

• If this request for approval covers more than one form, provide separate hour burden estimates for each form and aggregate the hour burdens.

• Provide estimates of annualized cost to respondents for the hour burdens for collections of information, identifying and using appropriate wage rate categories. The cost of contracting out or paying outside parties for information collection activities should not be included here. Instead, this cost should be included under Item 13.

All information related to quantities and inspection rates are estimated by MSHA Headquarters Enforcement Division based on field experience with different types of mining operations, sizes of mines, and the frequency of inspections dictated by statute. Mine operators provide MSHA Headquarters Enforcement Division the number of mines and employment, and from this information MSHA tracks the number of active and inactive mines and mine types throughout the United States.

Annual burden hours and related costs calculations are shown below. MSHA used data from the May 2019 Occupational Employment and Wage Statistics (OEWS) published by the Bureau of Labor Statistics (BLS) for hourly wage rates and adjusted the rates for benefits and wage inflation<sup>1</sup>.

The following estimate of burden hours is based on MSHA's records and the mine ventilation inspection experience of Coal Mine Inspectors under the existing standards. There are approximately 340 underground coal mines. Of that number, 153 underground coal mines report active employment (36 mines with 1-19 employees, 110 mines with 20-500 employees, and 7 mines with more than 500 employees) and are affected by these provisions. On average, there is 1 fan, 1 working section, and 1 shift per day at mines with 1-19 employees; 1.5 fans, 2.5 working sections, and 2 shifts per day at mines with 20-500 employees; 1.5 fans, 2.5 working sections, and 3 shifts per day at mines with 501+ employees. There are averages of 200 working days in mines with 501+ employees. All mines work an average of 50 weeks per year. However, the burden hour estimates are based on the total number of weeks ventilation fans operate yearly, rather than on the average number of work weeks.

**30 CFR 75.310** - **Installation of main mine fans**. Each mine is required to be ventilated by one or more main mine fans. This section sets forth requirements and specifications for the installation of main mine fans. Section 75.310(a)(4) requires that each main mine fan be equipped with a pressure recording device, which may be a part of a fan monitoring system, and that the resulting records be maintained for one year. The record, a pressure recording chart, will be generated an average of 50 weeks per year at mines with 1-19 employees, and every week per year (52 weeks) at mines with 20-500 employees and mines with 501+ employees. MSHA estimates that it takes 7 min per week to generate and maintain the record for each fan. A miner earning \$41.84<sup>2</sup> per hour typically performs this task.

<sup>&</sup>lt;sup>1</sup>Options for obtaining OEWS data are available at item "E3. How to get OEWS data. What are the different ways to obtain OEWS estimates from this website?" at <u>https://www.bls.gov/oes/oes\_ques.htm</u>. The benefit-scaler comes from BLS Employer Costs for Employee Compensation access by menu <u>https://data.bls.gov/cgi-bin/srgate</u>. The data series CMU2030000405000P, Private Industry Total benefits for Construction, extraction, farming, fishing, and forestry occupations, is divided by 100 to convert to a decimal value. MSHA used the latest 4-quarter moving average 2019Qtr4-2020Qtr3 to determine that 33.1 percent of total loaded wages are benefits. MSHA computes the scaling factor with a number of detailed calculations but it may be approximated with the formula and values 1 + (benefit percentage/(1-benefit percentage)) = 1+(.331/(1-.331)) =1.50. Wage inflation is the change in Series ID: CIS2020000405000I; Seasonally adjusted; Series Title: Wages and salaries for Private industry workers in Construction, extraction, farming, fishing, and forestry occupations, Index. ((<u>https://data.bls.gov/cgi-bin/srgate</u>); Qtr 3 2020/Qtr 2 2019; 140.0/135.9=1.030)

<u>30 CFR 75.312 - Main mine fan examinations and records</u>. Section 75.312(a) and (b) require that examinations be conducted daily on main mine fans not using a monitoring system to ensure electrical and mechanical reliability and every 7 days on main mine fans where monitoring systems are used. Fan examinations are not required on days when no one enters the mine. Although production may not occur, persons enter the mine for maintenance and examinations 240 days per year at mines with 1-19 employees, 365 days per year at mines with 20-500 employees, and 365 days at mines with 501+ employees.

Sections 75.312(f)(1) and 75.321(f)(2) require that persons making main mine fan examinations certify that the examinations were made. The fan examination certification time is estimated at 1 min. A certified person earning \$56.90 per hour<sup>3</sup> typically performs this task.

Section 75.312(c) requires that the automatic fan signal device for each main mine fan be tested at least once every 31 days. Section 75.312(d) requires that automatic closing doors in multiple main mine fan systems be tested at least once every 31 days. A record of these tests is required under 75.312(g)(3), taking 5 min per mine, 12 times yearly. This record can be performed by a certified person earning \$56.90 per hour.

Section 75.312(g)(1) requires a record of uncorrected defects found during an examination. Estimated recordkeeping is 5 min and MSHA estimates that 85 mines will have uncorrected defects requiring a record each month.

Section 75.312(g)(2)(ii) requires that mines using monitoring systems to monitor fan pressure must make a record concerning monitoring system malfunctions and electrical or mechanical deficiencies, and any sudden increase or loss in mine ventilating pressure. MSHA estimates 18 mines will be required to make a record of monitoring system malfunctions. MSHA estimates the recordkeeping to take 10 min.

<u>30 CFR 75.342 - Methane monitors</u>. Operators must install MSHA approved methane monitors on all face cutting machines, continuous miners, longwall face equipment, loading machines, and other mechanized equipment used to extract or load coal in the working place. In addition, methane monitors must be maintained in permissible and proper operating condition and be calibrated with a known methane-air mixture at least once every 31 days. Under section 75.342(a)(4)(ii), operators are required to keep records of calibration tests. Records are required to be retained for 1 year from date of the test. Estimated time to make a record is 5 min per month for mines with 1-19 employees, and 8 min for mines with 20-500 and 501+ employees. The record can be made by a qualified electrician earning \$45.57 per hour<sup>4</sup>.

<u>**30 CFR 75.351(o)**</u>– **Atmospheric monitoring systems.** This section applies to mines performing monitoring which is permitted as an alternative compliance option in accordance with sections 75.323(d)(1)(ii), 75.340(a)

<sup>&</sup>lt;sup>2</sup> The miner wage is the employment weighted average for 7 separate Standard Occupational Classification Codes (SOC) for the general categories 47, 49, and 53 from the BLS May 2019 OEWS data for NAICS 212100, coal mining. Employment weighted average rate \$41.84 = \$27.08 x 1.50 benefit-adjustment x 1.03 inflation-adjustment.

<sup>&</sup>lt;sup>3</sup> A wide range of certified occupational specialties can do the examinations. For these examinations, the wage is the employment weighted average of the rates for supervisor, miner (90<sup>th</sup> percentile), and electrician (90<sup>th</sup> percentile) used elsewhere in this document and developed from the BLS May 2019 OEWS data for NAICS 212100, coal mining. Employment weighted average rate \$56.90=\$36.83 x 1.50 benefit-adjustment x 1.30 inflation-adjustment.

<sup>&</sup>lt;sup>4</sup> The electrician wage is for the SOC 47-2111 from the BLS May 2019 OEWS data for NAICS 212100, coal mining. Employment weighted average rate \$45.57 =\$ 29.43 x 1.50 benefit adjustment x 1.030 inflation adjustment.

(1)(ii), 75.340(a)(2)(ii), and 75.362(f), and to mines with approval to ventilate working sections with air from the belt air course under section 75.350(b) and associated point-feed regulators under section 75.350(d). If an alarm is generated by the system, the provision requires that an examination be conducted to determine its cause, section 75.351(d)(2), and a record must be made, section 75.351(o). The recordkeeping burden has been estimated for 27 mines averaging 7 alarm activations annually. MSHA estimates that it will take 2 min to make a record of the occurrence. The record can be made by a certified person earning \$56.90 per hour.

**30 CFR 75.360** - **Preshift examination at fixed intervals.** Preshift examinations are required to be conducted within 3 hours prior to the beginning of each shift. On average, a mine with 1-19 employees will conduct 1 examination per day, mines with 20-500 employees will conduct 2 examinations per day, and mines with 501+ employees will conduct 3 examinations per day. Under the standard, records of the results of preshift examinations, including hazardous conditions observed during the examinations and their locations, are required to be made. Section 75.360 also requires operators to record violations of nine specific mandatory health or safety standards found during these examinations. The nine standards represent the conditions or practices that, if uncorrected, present the greatest unsafe conditions and the most serious risks to miners. Records are also required to be made of the action taken to correct hazardous conditions and violations of the nine standards observed during the preshift examination. MSHA estimates the recordkeeping activity to take about 18 min in mines with 1-19 employees and 33 min in mines with 20 or more employees. Records are typically made by examiners earning \$56.90 per hour. Countersigning by the mine foreman, earning \$62.23 per hour<sup>5</sup>, is required and takes an estimated 5 min for mines with 1-19 employees, 10 min for mines with 20-500 employees, and 15 min for mines with 501+ or more employees.

<u>**30 CFR 75.361 - Supplemental examination**</u>. This requires a certified person to make a supplemental examination for hazardous conditions before any person enters an area of the mine that has not been preshift examined. This section has no explicit recordkeeping requirement; however, if a hazard is found or a violation of one or more of the nine specific health or safety standards is identified then a record must be kept under section 75.363. The burden for section 75.363 is discussed below.

**30 CFR 75.362** - **On-shift examination [for respirable dust].** Section 75.362(a)(2) requires that a person designated by the operator conduct an examination and record the results and the corrective actions taken to assure compliance with the respirable dust control parameters specified in the mine ventilation plan. This requirement impacts only underground coal mines. MSHA estimates that a supervisor, earning \$62.23 per hour, takes 3 min to make a record of the examination for the average mine in all mine sizes. On average, MSHA estimates that: 37 MMUs in mines with 1-19 employees have 1 shift per day (or 37 shifts per day); 310 MMUs in mines with 20-500 employees have 2 shifts per day (or 620 shifts per day); and 53 MMUs in mines with 501+ employees have 3 shifts per day (or 159 shifts per day). Records of the examinations will need to be made of these shifts each working day. On average, MSHA estimates that the number of workdays per year is: 200 days for mines with 1-19 employees. The annual burden hours and burden hour costs for underground coal mines are shown below.

<sup>&</sup>lt;sup>5</sup> The mine foreman or supervisory rate is the employment weighted average for 4 separate Standard Occupational Classification Codes (SOC) for the supervisory and management occupational general categories 47, 49, 51, and 53 from the BLS May 2019 OEWS data for NAICS 212100, coal mining. Employment weighted average rate  $62.23 = 40.28 \times 1.50$  benefit adjustment x 1.030 inflation adjustment.

Section 75.362(g)(2)(i) requires that the record required under section 75.362(a)(2) be certified by initials, date, and time on a board maintained at the section load-out or similar location showing that the examination was made prior to resuming production. This standard does not add any additional burden because the record is already required under section 75.362(g)(2).

Section 75.362(g)(2)(ii) requires that the record required under section 75.362(a)(2) be verified by initials, date, and time, by the certified person directing the on-shift examination. Section 75.362(g)(3) requires that the mine foreman or equivalent official countersign each examination record under section 75.362(a)(2) after it is verified by a certified person in accordance with section 75.362(g)(2)(ii). MSHA estimates that it takes 1 min for a certified person earning \$56.90 per hour to verify the record; and another 1 min for a mine foreman or equivalent mine official earning \$62.23 per hour to review and countersign the record.

The annual burden hours and burden hour costs for underground coal mines are shown below.

<u>30 CFR 75.362 - On-shift examination [for hazardous conditions]</u>. The recordkeeping requirement for hazardous conditions and violations of the nine mandatory health or safety standards found during on-shift examinations under section 75.362(a)(1) are accounted for in section 75.363. The records that are accounted under section 75.363 pertains to records recorded in a mine's book in accordance with 75.363(b). Recordkeeping requirements under section 75.362(g)(1), 75.362(g)(3) and (g)(4) pertain to violations under 75.362(a)(3)(i)-(vi). Section 75.362(g)(1) requires a certified person to certify by initial, date, and time at enough locations to show the entire area has been examined for hazards under 75.362(a)(3)(i)-(vi), and in accordance with the recordkeeping requirements of section 75.362(g)(1), 75.362(g)(3) and (g)(4). The estimated burden of this recordkeeping required under section 75.362(g)(1), 75.362(g)(3) and (g)(4) are included below.

These requirements include that a person designated by the operator must conduct an examination and record the results and the corrective actions taken to ensure compliance with section 75.362(a)(3). The examinations are made on the sections and these sections include MMUs.

MSHA estimates that a certified person earning \$56.90 per hour, takes 3 min to make a record of the examination for the average mine in all mine sizes. On average, MSHA estimates that: 37 MMUs in mines with 1-19 employees have 1 shift per day (or 37 shifts per day); 310 MMUs in mines with 20-500 employees have 2 shifts per day (or 620 shifts per day); and 53 MMUs in mines with 501+ employees have 3 shifts per day (or 159 shifts per day). Records of the examinations will need to be made of these shifts each working day. On average, MSHA estimates that the number of workdays per year is: 200 days for mines with 1-19 employees; 300 days for mines with 20-500 employees; and 350 days for mines with 501+ employees and these records are retained at a surface location at the mine for at least 1 year.

Section 75.362(g)(3) requires that the mine foreman or equivalent official countersign each examination record under section 75.362(a)(3) after it is certified by a certified person in accordance with section 75.362(g)(1). MSHA estimates that it takes 1 min for a mine foreman or equivalent mine official earning \$62.23 per hour to review and countersign the record.

MSHA estimates that 249,050 records will be countersigned per year with 7,400 records in mines with 1-19 employees (37 shifts per day x 200 days per year); 186,000 records in mines with 20-500 employees (620 shifts per day x 300 days per year); and 55,650 records in mines with 501+ employees (159 shifts per day x 350 days per year). The annual burden hours and hour burden costs for underground coal mines are shown below.

**30 CFR 75.363** - **Hazardous conditions and violations of mandatory health or safety standards; posting, correcting, and recording.** This provision requires a record of hazardous conditions found, including any found during section 75.361 supplemental examinations and any of the nine mandatory health or safety standards found during section 75.362 on-shift examinations which must be recorded along with corrective actions taken to abate the conditions. Under section 75.363, a record is required for any hazardous condition found by the mine examiner. This record must be countersigned by the mine foreman. This record must be maintained in a secure book at the mine in accordance with 75.363(b) and be retained for at least 1 year in accordance with 75.363(d). The time to record a hazard is estimated to be 5 min, and 3 min to countersign the record. The record can be made by a certified person earning \$56.90 per hour and signed by the mine foreman earning \$62.23 per hour. MSHA estimates that 100 hazards per year will be recorded at large mines and 50 hazards per year will be recorded in small mines.

Section 75.363 requires operators to record any violations of nine mandatory health or safety standards found on supplemental and on-shift examinations and any corrective actions taken. The nine standards represent the conditions or practices that, if uncorrected, present the greatest unsafe conditions and the most serious risks to miners. The supplemental (section 75.361) and on-shift (section 75.362) standards contain recordkeeping requirements if a violation of any of the nine noted mandatory health or safety standards is found. During fiscal years 2018 through 2020, MSHA inspectors found an annual average of 10,394 violations of the nine standards MSHA believes are most likely to be identified on preshift, supplemental, on-shift, and weekly examinations. Because conditions resulting in these violations can occur and require corrective action multiple times during the year (e.g., insufficient rock dust), MSHA multiplied the 10,394 violations found by MSHA inspectors by a factor of 1.5 to arrive at an estimated 15,591 violations that could be found by mine examiners. MSHA assumes that half of these violations, 7,796 violations, would be identified on the preshift and weekly examinations and the other half, 7,795 violations, would be identified on supplemental and on-shift examinations.

MSHA estimates that 80 percent of these (6,236 violations out of 7,795 violations) would be found on the onshift examinations and 20 percent (1,559 violations out of 7,795 violations) would be found on the supplemental examinations. MSHA estimates that it would take 3 min to record any violations identified and corrective actions taken. Supervisors earning \$62.23 an hour perform on-shift exams and certified examiners earning \$56.90 perform supplemental exams.

MSHA's estimates of underground coal operators' annual burden hours and related costs are presented below.

<u>30 CFR 75.364 - Weekly examination</u>. MSHA estimates the time required to make the record to be 35 min in mines with 1-19 employees and 60 min in mines with 20 or more employees. Records are completed by examiners earning \$56.90 per hour. The time needed to review and countersign the record by the mine foreman earning \$62.23 per hour is 5 min at mines with 1-19 employees and 10 min at mines with 20 or more employees.

Section 75.364 requires operators also to record violations of nine mandatory health or safety standards found along with the corrective actions taken. The nine standards represent the conditions or practices that, if uncorrected, present the greatest unsafe conditions and the most serious risks to miners. MSHA estimates that it would take a certified examiner 3 min to record violations along with any corrective actions taken. An examiner conducting these examinations earns a wage of \$56.90 per hour. MSHA also estimates that, on average, mines

with 1-19 employees operate for 50 weeks out of the year and mines with 20+ employees operate 52 weeks out of the year.

<u>30 CFR 75.370 - Mine ventilation plan; submission and approval</u>. The mine operator must submit a proposed ventilation plan in writing to the District Manager for approval and that plan must be reviewed by both the mine operator and MSHA every 6 months. However, once a ventilation plan is approved, the operator needs to submit only the revised pages, sketches, and drawings of the plan when proposing revisions, unless the District Manager requests in writing that the mine operator submit a new fully revised plan. The operator must update the plan as often as necessary to ensure that the plan is suitable to current conditions in the mine.

The mine operator must notify the representative of miners of any proposed and approved ventilation plan or plan revision, and upon request, provide a copy. In addition, 3 copies of the mine ventilation map must be submitted annually including supplemental information listed in section 75.372 requiring 1 hour per copy. Plan updates and maps are prepared by a mine management professional earning \$62.23 per hour. Mines with 20 or more employees will submit 4 updates requiring 16 hours and 3 maps requiring 1 hour each. (Note: mines with 1-19 employees will generally contract out for this service. The contract costs are included in question 13 below as a cost estimate, rather than here.)

Sections 75.371(r), (kk), (ll), (mm), (nn), (oo), and (pp) provide that certain information required in sections 75.325 and 70.1900 be recorded in the mine operator's ventilation plan required by section 75.370. MSHA estimates that 39 mines will need to provide and record certain information with ventilation plans under sections 75.325 and 70.1900. MSHA estimates that the time required to record the additional information in the ventilation plan will be 15 min. The information is recorded by a mine supervisor earning \$62.23 per hour.

#### **Mine Ventilation Plans**

#### 30 CFR 75.370 - Mine ventilation plan; submission and approval and 30 CFR 75.371 -

<u>Mine ventilation plan; contents.</u> Operators will need to revise their mine ventilation plan to include additional engineering controls that are used to comply with sections 75.371(f) and (j). After initial revisions have been made, further updates can be made when operators normally revise their ventilation plan.

All underground coal mine operators must make the above revisions in accordance with section 75.370(a)(2). On average, MSHA estimates that 1 hour is sufficient for a supervisor, earning \$62.23 per hour, to make the plans revisions and any notifications of intent to use continuous personal dust monitors for designated area sampling. On average, MSHA estimates that a clerical employee, earning \$27.81 per hour<sup>6</sup>, will take 15 min to prepare and send the revisions to MSHA. Annual burden hours and burden hour costs for underground coal mines are shown below.

Under sections 75.370(a)(3)(i) and (f)(1), underground coal mine operators are required to notify the miners' representative at least 5 days prior to submission of a mine ventilation plan revision for MSHA approval and, if requested, provide a copy of the proposed and approved revisions to the miners' representative. MSHA assumes that a copy of the revisions will be requested. The number of copies

<sup>&</sup>lt;sup>6</sup> Clerical Wage is the employment weighted average for 3 separate Standard Occupational Classification Codes (SOC) for the clerical occupational general category 43 from the BLS May 2019 OEWS data for NAICS 212100, coal mining. Employment weighted average rate \$27.81= (\$18.00 x 1.50 benefit adjustment x 1.030 inflation adjustment.)

provided to miners' representatives is estimated to be 153 plans, and equals the number of revisions noted above. MSHA estimates that a clerical employee will take 15 min to notify and provide a copy of the revisions. Annual burden hours and burden hour costs for underground coal mines are shown below.

Underground coal operators must post a copy of the revisions of the mine ventilation plan under section 75.370(a)(3)(iii) and (f)(3). The number of postings is equal to the number of revisions. MSHA estimates that it takes a clerical employee 15 min to post a copy of the revisions to the mine ventilation plan. Annual burden hours and burden hour costs for underground coal mines are shown below.

**30 CFR 75.382 Mechanical escape facilities.** Section 75.382(c) requires that mines employing mechanical escape facilities must conduct a weekly examination to ensure that the facility is in proper operating condition. Section 75.382(g) requires that the examiner certify by date, time, and initials, that the examination was conducted. It is estimated that 137 such facilities are in use at large mines operating 52 weeks per year and that the certification will take 1 min. The certification can be conducted by a certified person earning \$56.90 per hour.

For the summary table that follows, MSHA calculated all time at the full precision (e.g. minutes/60) and then rounded to two decimal places after hours are calculated. The hourly employee cost is shown rounded to two decimal places. The total burden costs for question 12 are the product of hours multiplied by rate and then rounded to two decimal places. MSHA rounded all grand totals to whole numbers.

	Estimated Annualized Respondent Cost and Hour Burden							
Activity/ 30 CFR Section	No. of Respondents	No. of Responses per Respondent	Total Responses	Average Burden per Response	Total Burden (Hours)	Hourly Wage Rate	Total Burden Cost	
75.310 (1-19	36	50	1,800	7 min	210.00	\$41.84	\$8,786.40	
employees)								
75.310 (20-500	110	78	8,580	7 min	1,001.00	\$41.84	\$41,881.84	
employees)							t	
75.310 (500+	7	78	546	7 min	63.70	\$41.84	\$2,665.21	
employees) 75.312(f)(1) and 75.321(f)(2) (1-19 employees)	36	240	8,640	1 min	144.00	\$56.90	\$8,193.60	
75.312(f)(1) and 75.321(f)(2) (20- 500 employees)	110	548	60,280	1 min	1,004.67	\$56.90	\$57,165.72	
75.312(f)(1) and 75.321(f)(2) (500+ employees)	7	548	3,836	1 min	63.93	\$56.90	\$3,637.62	
75.312 (c)	153	12	1,836	5 min	153.00	\$56.90	\$8,705.70	
75.312 (g)(1)	85	12	1,020	5 min	85.00	\$56.90	\$4,836.50	
75.312(g)(2)(ii)	18	12	216	10 min	36.00	\$56.90	\$2,048.40	
75.342 (1-19	36	12	432	5 min	36.00	\$45.47	\$1,636.92	
employees)			_	_		•	· · · · · · · · · · · · · · · · · · ·	
75.342 (20-500	110	12	1,320	8 min	176.00	\$45.47	\$8,002.72	
employees)						<b>.</b>		
75.342 (500+	7	12	84	8 min	11.20	\$45.47	\$509.26	
employees) 75.351(o)	27	7	189	2 min	6.30	\$56.90	\$358.47	
75.360 (1-19	36	200	7,200	18 min	2,160.00	\$56.90	\$122,904.00	
employees) Record		200	7,200	10 11111	2,100.00	\$30.30	φ122,30 <b>4.</b> 00	
75.360 (20-500 employees) Record	110	600	66,000	33 min	36,300.00	\$56.90	\$2,065,470.00	
75.360 (500+ employees) Record	7	1,050	7,350	33 min	4,042.50	\$56.90	\$230,018.25	
75.360 (1-19 employees) Countersign	36	200	7,200	5 min	600.00	\$62.23	\$37,338.00	
75.360 (20-500 employees) Countersign	110	600	66,000	10 min	11,000.00	\$62.23	\$684,530.00	
75.360 (500+ employees) Countersign	7	1,050	7,350	15 min	1,837.50	\$62.23	\$114,347.63	

### Estimated Annualized Respondent Cost and Hour Burden

#### OMB No. 1219-0088

Ventilation Plans, Tests, and Examinations in Underground Coal Mines 2021

		No. of		Average			
Activity/ 30 CFR	No. of	Responses per	Total	Burden per	Total Burden	Hourly Wage	
Section	Respondents	Respondent	Responses	Response	(Hours)	Rate	Total Burden Cost
75.362(a)(2) (1-19 employees) Examinations	37	200	7,400	3 min	370.00	\$62.23	\$23,025.10
75.362(a)(2) (20-500 employees) Examinations	310	600	186,000	3 min	9,300.00	\$62.23	\$578,739.00
75.362(a)(2) (500+ employees) Examinations	53	1,050	55,650	3 min	2,782.50	\$62.23	\$173,154.98
75.362(g)(2)(ii) (1-19 employees) Verification	37	200	7,400	1 min	123.33	\$56.90	\$7,017.48
75.362(g)(2)(ii) (20-500 employees) Verification	310	600	186,000	1 min	3,100.00	\$56.90	\$176,390.00
75.362(g)(2)(ii) (500+ employees) Verification	53	1,050	55,650	1 min	927.50	\$56.90	\$52,774.75
75.362(g)(3) Countersign (1-19 employees)	37	200	7,400	1 min	123.33	\$62.23	\$7,674.83
75.362(g)(3) Countersign (20-500 employees) Verification	310	600	186,000	1 min	3,100.00	\$62.23	\$192,913.00
75.362(g)(3) Countersign (500+ employees) Verification	53	1,050	55,650	1 min	927.50	\$62.23	\$57,718.33
75.362(a)(3) Certification (1-19 Employees) Hazardous Conditions	37	200	7,400	3 min	370.00	\$56.90	\$21,053.00
75.362(a)(3) Certification (20- 500 Employees) Hazardous Conditions	310	600	186,000	3 min	9,300.00	\$56.90	\$529,170.00
75.362(a)(3) Certification (501+ Employees) Hazardous Conditions	53	1,050	55,650	3 min	2,782.50	\$56.90	\$158,324.25
75.362(g)(3)	37	200	7,400	1 min	123.33	\$62.23	\$7,674.83

Activity/ 30 CFR Section	No. of Respondents	No. of Responses per Respondent	Total Responses	Average Burden per Response	Total Burden (Hours)	Hourly Wage Rate	Total Burden Cost
Countersign (1-19 employees) Hazardous Conditions	Respondents	Kespondent	Responses	Kesponse	(riours)	Kale	
75.362(g)(3) Countersign (20-500 employees) Hazardous Conditions	310	600	186,000	1 min	3,100.00	\$62.23	\$192,913.00
75.362(g)(3) Countersign (500+ employees) Hazardous Conditions	53	1,050	55,650	1 min	927.50	\$62.23	\$57,718.33
75.363 (1-19 Employees) Record	36	50	1,800	5 min	150.00	\$56.90	\$8,535.00
75.363 (20-500 Employees) Record	110	100	11,000	5 min	916.67	\$56.90	\$52,158.33
75.363 (501+ Employees) Record	7	100	700	5 min	58.33	\$56.90	\$3,319.17
75.363 (1-19 Employees) Countersign	36	50	1,800	3 min	90.00	\$62.23	\$5,600.70
75.363 (20-500 Employees) Countersign	53	1,050	55,650	3 min	550.00	\$62.23	\$34,226.50
75.363 (501+ Employees) Countersign	7	100	700	3 min	35.00	\$62.23	\$2,178.05
75.363 (On-shift exams)	153	6,236/153	6,236	3 min	311.80	\$62.23	\$19,403.31
75.363 (Supplemental exams)	153	1,559/153	1,559	3 min	77.95	\$56.90	\$4,435.36
75.364 (Exams) (1- 19 employees)	36	50	1,800	35 min	1,050.00	\$56.90	\$59,745.00
75.364 (Exams) (20-500 employees)	110	52	5,720	1 h	5,720.00	\$56.90	\$325,468.00
75.364 (Exams) (500+ employees)	7	52	364	1 h	364.00	\$56.90	\$20,711.60
75.364 (Countersign) (1-19 employees)	36	50	1,800	5 min	150.00	\$62.23	\$9,334.50
75.364 (Countersign) (20- 500 employees)	110	52	5,720	10 min	953.33	\$62.23	\$59,325.73
75.364	7	52	364	10 min	60.67	\$62.23	\$3,775.49

#### OMB No. 1219-0088

Ventilation Plans, Tests, and Examinations in Underground Coal Mines 2021

Activity/ 30 CFR Section	No. of Respondents	No. of Responses per Respondent	Total Responses	Average Burden per Response	Total Burden (Hours)	Hourly Wage Rate	Total Burden Cost
(Countersign) (500+ employees)							
75.364 (Record) (1- 19 employees)	36	50	1,800	3 min	90.00	\$56.90	\$5,121.00
75.364 (Record) (20-500 employees)	110	52	5,720	3 min	286.00	\$56.90	\$16,273.40
75.364 (Record) (500+ employees)	7	52	364	3 min	18.20	\$56.90	\$1,035.58
75.370 (Plans)	117	4	468	16 h	7,488.00	\$62.23	\$465,978.24
75.370 (Maps)	117	3	351	1 h	351.00	\$62.23	\$21,842.73
75.370 (Copies)	117	4	468	1 h	468.00	\$62.23	\$29,123.64
75.370 (Recordkeeping)	39	1	39	15 min	9.75	\$62.23	\$606.74
75.370 (Supervisor revisions)	153	1	153	1 h	153.00	\$62.23	\$9,521.19
75.370 (Clerical revisions)	153	1	153	15 min	38.25	\$27.81	\$1,063.73
75.370(a)(3)(i) and (f)(1)	153	1	153	15 min	38.25	\$27.81	\$1,063.73
75.370(a)(3)(iii) and (f)(3)	153	1	153	15 min	38.25	\$27.81	\$1,063.73
75.38	137	52	7,124	1 min	118.73	\$56.90	\$6,755.74
TOTAL	153		10,926		115,874 (rounded)		\$6,806,963 (rounded)

Note: The number of responses prepared by the supervisors and copied by clerical staff are counted as one combined response for both. The total responses for all responses does not include the supervisor preparation time as responses.

13. Provide an estimate of the total annual cost burden to respondents or recordkeepers resulting from the collection of information. (Do not include the cost of any hour burden already reflected on the burden worksheet).

• The cost estimate should be split into two components: (a) a total capital and start-up cost component (annualized over its expected useful life); and (b) a total operation and maintenance and purchase of services component. The estimates should take into account costs associated with generating, maintaining, and disclosing or providing the information. Include descriptions of methods used to estimate major cost factors including system and technology acquisition, expected useful life of capital equipment, the discount rate(s), and the time period over which costs will be incurred.

Capital and start-up costs include, among other items, preparations for collecting information such as purchasing computers and software; monitoring, sampling, drilling and testing equipment; and record storage facilities.

• If cost estimates are expected to vary widely, agencies should present ranges of cost burdens and explain the reasons for the variance. The cost of purchasing or contracting out information collection services should be a part of this cost burden estimate. In developing cost burden estimates, agencies may consult with a sample of respondents (fewer than 10), utilize the 60-day pre-OMB submission public comment process and use existing economic or regulatory impact analysis associated with the rulemaking containing the information collection, as appropriate.

• Generally, estimates should not include purchases of equipment or services, or portions thereof, made: (1) prior to October 1, 1995, (2) to achieve regulatory compliance with requirements not associated with the information collection, (3) for reasons other than to provide information or keep records for the government, or (4) as part of customary and usual business or private practices.

<u>30 CFR 75.370 - Mine ventilation plan; submission and approval</u>. The underground mine operator must submit a proposed ventilation plan in writing to the District Manager for approval and that plan must be reviewed by both the mine operator and MSHA every 6 months. However, once a ventilation plan is approved, the operator needs to submit only the revised pages, sketches, and drawings of the plan when proposing revisions, unless the District Manager requests in writing that the mine operator submit a new fully revised plan. The operator must update the plan as often as necessary to ensure that the plan is suitable to current conditions in the mine.

Mines with 1-19 employees will generally contract out for this service. These mines will submit 2 updates annually requiring 4 hours and 3 maps requiring 1 hour each.

Contractor Hour Estimate:		
Recordkeeping:		
Plan updates:		
36 mines x 4 hours x 2 updates	=	288.00 hours
Ventilation Map:		
<u>36 mines x 3 maps x 1 hour per map</u>	=	108.00 hours
TOTAL	=	396.00 hours
Copying:		
36 mines x 30 min x 2 updates	=	36.00 hours
ĩ		
Contractor Costs		
	=	\$ 24,643.08
Updates and Maps 396 hours x \$62.23	=	\$ 24,643.08 \$ 1.001.16
	= = =	\$ 24,643.08 <u>\$ 1,001.16</u> <b>\$ 25,644.24</b>

#### **Mine Ventilation Plans**

<u>30 CFR 75.370 - Copy and transmission costs for revising mine ventilation plan; submission and approval and</u>

<u>30 CFR 75.371 - Mine ventilation plan; contents</u>. This is a one-time only cost for plan revisions. Under section 75.370(a)(2), all underground coal mines must revise approved mine ventilation plans. The operator must revise the mine ventilation plan to include additional engineering controls, specified in section 75.371(f) and (j). After initial revisions have been made further updates can be made when operators normally revise their ventilation plan. On average, MSHA estimates that a plan revision will be three pages. Copying will cost \$0.15 per page and \$1.00 for postage, for a total cost of \$1.45 per plan revision. Annual costs for underground coal mines are shown below.

153 plans x \$1.45 for copy and transmission costs = \$222.00

<u>30 CFR 75.370(a)(3)(i) and (f)(1) - Copy costs for notifying miners' representative of plan revisions and provide copy if requested</u>. Underground coal mine operators are required to notify the miners' representative at least 5 days prior to submission of a mine ventilation plan revision and, if requested, provide a copy of the revisions to the miners' representative under section 75.370(a)(3)(i) and (f)(1). MSHA assumes that a copy of the revisions will be requested. The number of copies provided equals the number of revisions noted above. MSHA estimates that costs of copying will be \$0.45 for three pages. Annual costs for underground coal mines are shown below.

153 plans x \$0.45 per copy

<u>30 CFR 75.370(a)(3)(iii) and (f)(3) - Copy costs related to posting revision of mine ventilation plan</u>. Underground coal mine operators must post a copy of the revisions of the mine ventilation plan under section 75.370(a)(3)(iii) and (f)(3). The number of postings is equal to the number of revisions noted above. MSHA estimates that costs of copying will be \$0.45 for three pages. Annual costs for underground coal mines are shown below.

153 plans x \$0.45 per copy

= \$69.00

= \$69.00

#### SUMMARY

Section/Activity	Cost
75.370	\$25,644.24
Mine Ventilation Plans	\$360.00
Total	\$26,004 (rounded)

14. Provide estimates of annualized cost to the Federal government. Also, provide a description of the method used to estimate cost, which should include number of hours, operational expenses (such as equipment, overhead, printing, and support staff), and any other expense that would not have been incurred without this collection of information. Agencies also may aggregate cost estimates from Items 12, 13, and 14 in a single table.

<u>Mine ventilation plan</u>; submission and approval. The review/inspection of ventilation plans, test results, and examination in underground mines is just one aspect of the annual inspection. The plans are reviewed by a GS-12 mine inspector with an average salary (including benefits) of \$61.59 per hour<sup>7</sup>.

The recurring cost to the Federal Government each year is as follows: There are 153 underground mines reporting active employment: 36 mines with 1-19 employees, 110 mines with 20-500 employees, and 7 mines with 501+ employees. It is estimated that mines with 1-19 employees will average 3 revisions, or supplements (including the ventilation map) each year and mines with 20 or more employees will average 5 revisions, or supplements (including the ventilation map). On average, MSHA personnel will take 3 hours to review a submission. The recurring cost to the Federal Government is estimated as follows:

Reviews by MSHA:		
36 mines x 3 revisions x 3 hours/revision		
x \$61.59 hour	=	\$19,955.16
110 mines x 5 revisions x 3 hours/revision		
x \$61.59 hour	=	\$101,623.50
7 mines x 5 revisions x 3 hours/ revision		
x \$61.59 hour	=	<u>\$6,466.95</u>
ROUNDED TOTAL COST	=	\$128,046

#### 15. Explain the reasons for any program changes or adjustments reported on the burden worksheet.

Due to a reduction in respondents (underground coal mines), responses, burden hours and costs have decreased.

*Respondents:* There is a decrease in the number of respondents from 255 to 153.

*Responses:* There is a decrease in responses from the previously approved 1,164,908 responses to the current total request of 10,926.

*Hours:* There is a decrease from the previously approved 142,550 hours to the current total request of 115,874 hours.

*Costs:* There is a decrease in estimated costs from the previously approved \$91,870 to the current total estimate of \$26,004.

16. For collections of information whose results will be published, outline plans for tabulation, and publication. Address any complex analytical techniques that will be used. Provide the time schedule for the entire project, including beginning and ending dates of the collection of information, completion of report, publication dates, and other actions.

<sup>&</sup>lt;sup>7</sup>Hourly rate developed from office of Personnel Management (OPM), December 2020 *FedScope* employment cube, <u>http://www.fedscope.opm.gov/</u>. Data search qualifiers were: Agency = DLMS, Occupation = 18xx, Work Schedule = Full-Time, Salary Grade = GS-12, Measure = Average Salary. The hourly wage is the annual salary divided by 2,087. In order to include the cost of benefits, MSHA multiplied the average annual salary by a federal benefit scaler for MSHA of 1.40 (FY 2021-budget submission). Rate equals \$61.59 = (91,807÷2,087 x 1.40).

MSHA does not intend to publish the results of this information collection.

### 17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons that display would be inappropriate.

There are no forms associated with this information collection.

### 18. Explain each exception to the topics of the certification statement identified in, "Certification for Paperwork Reduction Act Submissions."

There are no certification exceptions identified with this information collection.

#### **B.** Collection of Information Employing Statistical Methods

This collection of information does not employ statistical methods.