# Request for Approval under the "Generic Clearance for Improving Customer Experience: OMB Circular A-11, Section 280 Implementation"

(OMB Control Number: 0412-0609)

TITLE OF INFORMATION COLLECTION: USAID Data Governance Primer

### **PURPOSE OF COLLECTION:**

What are you hoping to learn / improve? How do you plan to use what you learn? Are there artifacts (user personas, journey maps, digital roadmaps, summary of customer insights to inform service improvements, performance dashboards) the data from this collection will feed?

We are hoping through qualitative interviews to identify key issues, arguments, trends, and best practices in national-level data governance policy to inform a USAID Data Governance Primer. The primer will be targeted primarily at USAID staff and implementing partners. The goal of the primer is to raise awareness of the importance of robust national-level data governance policy in low and middle-income countries(LMICs) and to equip USAID staff to design interventions to improve national-level data governance policy in LMICs. The primer may include a high-level digital roadmap of for national-level data governance policy in LMICs.

TYPE OF ACTIVITY: (Chec	ck one)		
[ X ] Customer Research [ ] Customer Feedbach [ ] User Testing		Focus	Groups)

### **ACTIVITY DETAILS**

1. Ho	OW	wil	l you c	ollect	the	infor	mation	n? (Ch	neck	all	that	apply)
	[	X]	Web-ba	sed or	othe	er form	ns of S	Socia	l Me	dia		
	[	X]	Teleph	one								
	[	]	In-per	son								
	[	]	Mail									
	[	]	Other,	Expla	in							

2. Who will you collect the information from? Explain who will be interviewed and why the group is appropriate for the Federal program / service to connect with. Please provide a description of how you plan to identify your potential group of respondents and if only a sample will be solicited for feedback, how you will select them(e.g., anyone who provided an email address to a call center rep, a representative sample of Veterans who received outpatient services in May 2019, do you have a list

of customers to reach out to (e.g., a CRM database that has the contact information, intercept interviews at a particular field office?)

We will interview thought leaders, experts, researchers, and practitioners in the USG, foreign governments, multilateral institutions, private sector, academia, and civil society. We will identify our respondents through personal networks and by identifying authors of publications that have factored into the literature review component of our research. We estimate that we will select and interview 30-50 individuals, targeting them based on gaps in our research. Interview participation will be voluntary.

3. How will you ask a respondent to provide this information? (e.g., after an application is submitted online, the final screen will present the opportunity to provide feedback by presenting a link to a feedback form / an actual feedback form)

We will ask respondents if they would be willing to participate in a 45-60 minute interview via video-conference call or telephone call. We will ask respondents questions and write their responses in our interview guide.

4. What will the activity look like?

Describe the information collection activity – e.g. what happens when a person agrees to participate? Will facilitators or interviewers be used? What's the format of the interview/focus group? If a survey, describe the overall survey layout/length/other details? If User Testing, what actions will you observe / how will you have respondents interact with a product you need feedback on?

When a respondent agrees to participate, members of our team will send respondents a calendar invitation with background information on our research, video-conference links, and telephone numbers. The interview format is a structured qualitative interview. There are 17 total questions in our interview guide, and we will ask 5-17 of the questions, depending on time constraints and relevant expertise of the respondent. Interviews will be conducted by members of our team.

5. Please provide your question list.

Paste here the questions or prompts presented to participants in your activity. If you have an interview / facilitator guide, that can be attached to the submission and referenced here.

Please make sure that all instruments, instructions, and scripts are submitted with the request.

Please see questions in attached instrument titled "USAID Data Governance Primer - Interview Guide."

6. When will the activity happen?

Describe the time frame or number of events that will occur

(e.g., We will conduct focus groups on May 13,14,15, We plan

to conduct customer intercept interviews over the course of

the Summer at the field offices identified in response to #2

based on scheduling logistics concluding by Sept. 10<sup>th</sup>, or

"This survey will remain on our website in alignment with the

timing of the overall clearance.")

We estimate that we will conduct 30-50 interviews between approval of our instrument and June 30, 2021.

7. Is an incentive (e.g., money or reimbursement of expenses, token of appreciation) provided to participants?
[ ] Yes [ X] No
If Yes, describe:

# **BURDEN HOURS**

Category of Respondent	No. of Respondents	Participation Time	Burden Hours
Data Governance thought leaders, experts, researchers, and practitioners	50	45-60 minutes	50
Totals			

## **CERTIFICATION:**

I certify the following to be true:

- 1. The collections are voluntary;
- 2. The collections are low-burden for respondents (based on considerations of total burden hours or burden-hours per respondent) and are low-cost for both the respondents and the Federal Government;
- 3. The collections are non-controversial and do not raise issues of concern to other Federal agencies;
- 4. Any collection is targeted to the solicitation of opinions from respondents who have experience with the program or may have experience with the program in the near future;
- 5. Personally identifiable information (PII) is collected only to the extent necessary and is not retained;
- 6. Information gathered is intended to be used for general service improvement and program management purposes

- 7. Upon agreement between OMB and the agency aggregated data may be released as part of A-11, Section 280 requirements only on performance.gov. Summaries of customer research and user testing activities may be included in public-facing customer journey maps.
- 8. Additional release of data will be coordinated with OMB.

Name: Mikael Baker

All instruments used to collect information must include:

OMB Control No. 0412-0609 Expiration Date: 04/30/2024

# HELP SHEET (OMB Control Number: XXXX-XXXX)

**TITLE OF INFORMATION COLLECTION:** Provide the name of the collection that is the subject of the request. (e.g. Comment card for soliciting feedback on xxxx)

**PURPOSE:** Provide a brief description of the purpose of this collection and how it will be used. If this is part of a larger study or effort, please include this in your explanation.

TYPE OF COLLECTION: Check one box. If you are requesting approval of other instruments under the generic, you must complete a form for each instrument.

**CERTIFICATION:** Please read the certification carefully. If you incorrectly certify, the collection will be returned as improperly submitted or it will be disapproved.

**Personally Identifiable Information:** Agencies should only collect PII to the extent necessary, and they should only retain PII for the period of time that is necessary to achieve a specific objective.

#### **BURDEN HOURS:**

**Category of Respondents:** Identify who you expect the respondents to be in terms of the following categories: (1) Individuals or Households;(2) Private Sector; (3) State, local, or tribal governments; or (4) Federal Government. Only one type of respondent can be selected per row.

No. of Respondents: Provide an estimate of the Number of respondents.

Participation Time: Provide an estimate of the amount of time required for a respondent to participate (e.g. fill out a survey or participate in a focus group)

**Burden:** Provide the Annual burden hours: Multiply the Number of responses and the participation time and divide by 60.