



Proceedings Details Screenshots

January 24, 2018



1. Arrive at Proceedings Questions Page



OMB Control No: 3090-0293 Expires: XX/XX/XXX

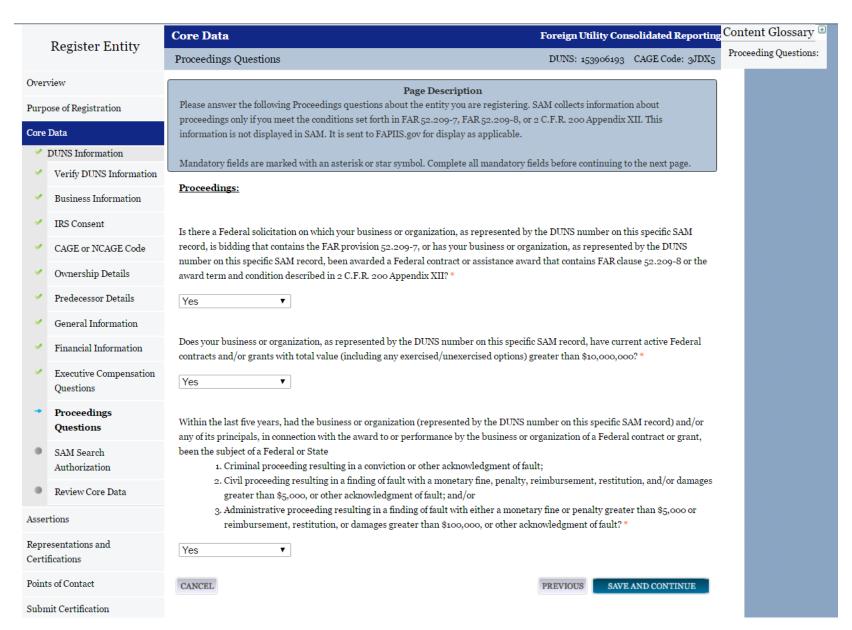
Paperwork Reduction Act Statement - This information collection meets the requirements of 44 U.S.C. § 3507, as amended by section 2 of the Paperwork Reduction Act of 1995. You do not need to answer these questions unless we display a valid Office of Management and Budget (OMB) control number. The OMB control number for this collection is 3090-0293. We estimate that it will take .7 hours to read the instructions, gather the facts, and answer the questions. Send only comments relating to our time estimate, including suggestions for reducing this burden, or any other aspects of this collection of information, to: U.S. General Services Administration, Regulatory Secretariat Division (MVCB), 1800 F Street, NW, Washington, D.C., 20405.

Register Entity		Core Data Foreign Utility Consolidated Reporting	Content Glossary 🗈
		Proceedings Questions DUNS: 153906193 CAGE Code: 3JDX5	Proceeding Questions:
Overview		Page Description	
Purpose of Registration		Please answer the following Proceedings questions about the entity you are registering. SAM collects information about proceedings only if you meet the conditions set forth in FAR 52.209-7, FAR 52.209-8, or 2 C.F.R. 200 Appendix XII. This	
Core Data		information is not displayed in SAM. It is sent to FAPIIS.gov for display as applicable.	
	DUNS Information	Mandatory fields are marked with an asterisk or star symbol. Complete all mandatory fields before continuing to the next page.	
	Verify DUNS Information	Proceedings:	
	Business Information		
	IRS Consent	Is there a Federal solicitation on which your business or organization, as represented by the DUNS number on this specific SAM	
	CAGE or NCAGE Code	record, is bidding that contains the FAR provision 52.209-7, or has your business or organization, as represented by the DUNS number on this specific SAM record, been awarded a Federal contract or assistance award that contains FAR clause 52.209-8 or the	
	Ownership Details	award term and condition described in 2 C.F.R. 200 Appendix XII? *	
	Predecessor Details	No ▼	
-	General Information		
-	Financial Information	Does your business or organization, as represented by the DUNS number on this specific SAM record, have current active Federal contracts and/or grants with total value (including any exercised/unexercised options) greater than \$10,000,000?	
-	Executive Compensation Questions	Not Applicable ▼	
-	Proceedings Questions	Within the last five years, had the business or organization (represented by the DUNS number on this specific SAM record) and/or any of its principals, in connection with the award to or performance by the business or organization of a Federal contract or grant,	
•	SAM Search Authorization	been the subject of a Federal or State 1. Criminal proceeding resulting in a conviction or other acknowledgment of fault;	
	Review Core Data	 Civil proceeding resulting in a finding of fault with a monetary fine, penalty, reimbursement, restitution, and/or damages greater than \$5,000, or other acknowledgment of fault; and/or 	
Assertions		3. Administrative proceeding resulting in a finding of fault with either a monetary fine or penalty greater than \$5,000 or reimbursement, restitution, or damages greater than \$100,000, or other acknowledgment of fault?	
Representations and Certifications		Not Applicable ▼	
Point	s of Contact	CANCEL SAVE AND CONTINUE	
Cul	it Coutification		



2. Answer Conditional Questions







3. Arrive at Proceedings Details Page

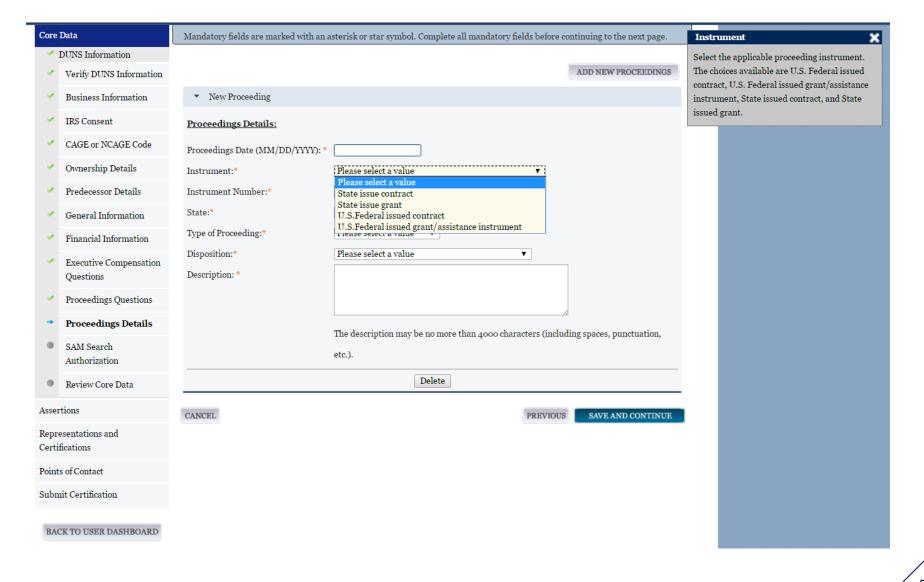


Register Entity		Core Data Foreign Utility Consolidated Reporting Content		Content Glossary 🕀
		Proceedings Details	DUNS: 153906193 CAGE Code: 3JDX5	Proceeding Details:
Overview			Page Description	
Purpose of Registration		Please enter the Proceedings Details.		
Core Data		Mandatory fields are marked with an	asterisk or star symbol. Complete all mandatory fields before continuing to the next page.	
-	DUNS Information			
	Verify DUNS Information		ADD NEW PROCEEDINGS	
•	Business Information	▼ New Proceeding		
•	IRS Consent	Proceedings Details:		
•	CAGE or NCAGE Code	Proceedings Date (MM/DD/YYYY): *		
•	Ownership Details	Instrument:*	Please select a value ▼	
•	Predecessor Details	Instrument Number:*		
•	General Information	State:*	Please select a value ▼	
•	Financial Information	Type of Proceeding:*	Please select a value 🔻	
•	Executive Compensation Questions	Disposition:* Description:*	Please select a value ▼	
•	Proceedings Questions			
+	Proceedings Details		The description may be no more than 4000 characters (including spaces, punctuation,	
0	SAM Search Authorization		etc.).	
0	Review Core Data		Delete	
Assertions		CANCEL	PREVIOUS SAVE AND CONTINUE	



4. Choices for Instrument







5. Choices for Type of Proceeding



Core Data		Mandatory fields are marked with an asterisk or star symbol. Complete all mandatory fields before continuing to the next page.	Type of Proceeding X
9	DUNS Information Verify DUNS Information	ADD NEW PROCEEDINGS	Select the type of proceeding. The choices are Administrative, Criminal, or Civil.
•	Business Information	▼ New Proceeding	
•	IRS Consent	Proceedings Details:	
•	CAGE or NCAGE Code	Proceedings Date (MM/DD/YYYY): *	
~	Ownership Details	Instrument:* Please select a value ▼	
•	Predecessor Details	Instrument Number:*	
•	General Information	State: Please select a value ▼	
•	Financial Information	Type of Proceeding:* Please select a value ▼ Please select a value	
•	Executive Compensation Questions	Disposition:* Administrative Civil Criminal	
*	Proceedings Questions		
•	Proceedings Details	The description may be no more than 4000 characters (including spaces, punctuation,	
0	SAM Search Authorization	etc.).	
0	Review Core Data	Delete	
Assertions		CANCEL SAVE AND CONTINUE	ı
Representations and Certifications			
Points of Contact			
Submit Certification			
BACK TO USER DASHBOARD			



6. Choices for Disposition



Core Data		Mandatory fields are marked with an asterisk or star symbol. Complete all mandatory fields before continuing to the ne	xt page. Disposition
7	DUNS Information Verify DUNS Information Business Information	ADD NEW PROC	Select the proceeding disposition. The choices are: Conviction / Finding of Fault, or Other acknowledgment of fault.
	IRS Consent CAGE or NCAGE Code Ownership Details Predecessor Details General Information Financial Information Executive Compensation Questions Proceedings Questions	Proceedings Details: Proceedings Date (MM/DD/YYYY): * Instrument: * Please select a value Instrument Number: * State: * Please select a value ▼ Type of Proceeding: * Please select a value ▼ Disposition: * Please select a value ▼ Please select a value Type of Proceeding: * Please s	
•	Proceedings Details SAM Search Authorization Review Core Data	The description may be no more than 4000 characters (including spaces, punctuetc.). Delete	ation,
Assertions Representations and Certifications		CANCEL SAVE AND CO	ONTINUE
Points of Contact Submit Certification BACK TO USER DASHBOARD			