

Request for Approval under the “Generic Clearance for the Collection of Routine Customer Feedback” (OMB Control Number 1513–0132)

TITLE OF INFORMATION COLLECTION:

Foreign Producer Survey.

PURPOSE:

The Craft Beverage Modernization Act (CBMA) is a name used to describe recent U.S. laws that reduced Federal excise taxes on alcohol. Under the CBMA, foreign distilled spirits operations, foreign wineries, and foreign breweries may assign reduced tax rates or tax credits to their U.S. importers. These tax benefits are subject to quantity limitations in the law, and may be further limited when foreign producers are related through common ownership to other foreign or U.S. producers.

Currently, U.S. Customs and Border Protection (CBP) administers CBMA import requirements and requires that U.S. importers obtain an Assignment Certification in letter format from each assigning Foreign Producer, see CBP CSMS #50484790 and the CBMA Assignment Certification available on CBP’s website.

Beginning in 2023, U.S. importers will no longer be allowed to take CBMA reduced tax rates and tax credits when foreign alcohol is entered into the United States. Instead, U.S. importers will need to file refund claims with the U.S. Department of the Treasury (Treasury). Treasury’s Alcohol and Tobacco Tax and Trade Bureau (TTB) is preparing to administer this program. Through the program, foreign producers will provide information about their business and their assignments directly to TTB through an online module. This electronic registration and assignment process will replace the current CBP Assignment Certification letter process.

TTB needs to learn more about foreign producers – a group with little to no prior interaction with TTB – to ensure the online system is responsive to their needs. This survey is part of a usability effort to ensure help designers build a user experience for this new user group.

DESCRIPTION OF RESPONDENTS:

Respondents are foreign producers of alcohol that are associated with permit or notice holders. All respondents are either in or associated with the industries regulated by TTB.

TYPE OF COLLECTION: (Check one)

- Customer Comment Card/Complaint Form
- Customer Satisfaction Survey
- Usability Testing (e.g., Website or Software)
- Small Discussion Group
- Focus Group
- Other: Informational Survey

CERTIFICATION:

I certify the following to be true:

- 1. The collection is voluntary.
- 2. The collection is low-burden for respondents and low-cost for the Federal Government.
- 3. The collection is non-controversial and does not raise issues of concern to other federal agencies.
- 4. The results are not intended to be disseminated to the public.
- 5. Information gathered will not be used for the purpose of substantially informing influential policy decisions.
- 6. The collection is targeted to the solicitation of opinions from respondents who have experience with the program or may have experience with the program in the future.

Name:

To assist review, please provide answers to the following questions:

Personally Identifiable Information:

- 1. Is personally identifiable information (PII) collected? Yes No
- 2. If Yes, will any information that is collected be included in records that are subject to the Privacy Act of 1974? Yes No N/A
- 3. If Yes, has an up-to-date System of Records Notice (SORN) been published? Yes No N/A

Gifts or Payments:

Is an incentive (e.g., money or reimbursement of expenses, token of appreciation) provided to participants? Yes No

BURDEN HOURS:

Category of Respondent	No. of Respondents	Participation Time	Burden
Private Sector	1,000	0.15 hours	150 hours
Totals	1,000	0.15 hours	150 hours

FEDERAL COST: The estimated annual cost to the Federal government is \$700.

If you are conducting a focus group, survey, or plan to employ statistical methods, please provide answers to the following questions:

SELECTION OF YOUR TARGETED RESPONDENTS:

1. Do you have a customer list or something similar that defines the universe of potential respondents and do you have a sampling plan for selecting from this universe? Yes No

If the answer is yes, please provide a description of both below (or attach the sampling plan). If the answer is no, please provide a description of how you plan to identify your potential group of respondents and how you will select them.

As these foreign producers have not yet interacted with TTB, the respondents will be contacted by trade organizations and foreign regulators as part of outreach around the legislative changes set to begin in 2023.

ADMINISTRATION OF THE INSTRUMENT

1. How will you collect the information? (Check all that apply)

Web-based or other forms of Social Media

Telephone

In-person

Mail

Other, Explain:

_____.

2. Will interviewers or facilitators be used? Yes No

Please make sure that all instruments, instructions, and scripts are submitted with the request.

Instructions for completing Request for Approval under the “Generic Clearance for the Collection of Routine Customer Feedback”

TITLE OF INFORMATION COLLECTION: Provide the name of the collection that is the subject of the request. (e.g. Comment card for soliciting feedback on xxxx)

PURPOSE: Provide a brief description of the purpose of this collection and how it will be used. If this is part of a larger study or effort, please include this in your explanation.

DESCRIPTION OF RESPONDENTS: Provide a brief description of the targeted group

or groups for this collection of information. These groups must have experience with the program.

TYPE OF COLLECTION: Check one box. If you are requesting approval of other instruments under the generic, you must complete a form for each instrument.

CERTIFICATION: Please read the certification carefully. If you incorrectly certify, the collection will be returned as improperly submitted or it will be disapproved.

Personally Identifiable Information: Provide answers to the questions. Note: Agencies should only collect PII to the extent necessary, and they should only retain PII for the period of time that is necessary to achieve a specific objective.

Gifts or Payments: If you answer yes to the question, please describe the incentive and provide a justification for the amount.

BURDEN HOURS:

Category of Respondents: Identify who you expect the respondents to be in terms of the following categories: (1) Individuals or Households; (2) Private Sector; (3) State, local, or tribal governments; or (4) Federal Government. Only one type of respondent can be selected per row.

No. of Respondents: Provide an estimate of the Number of respondents.

Participation Time: Provide an estimate of the amount of time required for a respondent to participate (e.g. fill out a survey or participate in a focus group)

Burden: Provide the Annual burden hours: Multiply the Number of responses and the participation time and divide by 60.

FEDERAL COST: Provide an estimate of the annual cost to the Federal government.

If you are conducting a focus group, survey, or plan to employ statistical methods, please provide answers to the following questions:

SELECTION OF YOUR TARGETED RESPONDENTS: Please provide a description of how you plan to identify your potential group of respondents and how you will select them. If the answer is yes, to the first question, you may provide the sampling plan in an attachment.

ADMINISTRATION OF THE INSTRUMENT: Identify how the information will be collected. More than one box may be checked. Indicate whether there will be interviewers (e.g. for surveys) or facilitators (e.g., for focus groups) used.

Submit all instruments, instructions, and scripts are submitted with the request.